

## Long-term Outcomes of Peripheral Blood Stem Cell Unrelated Donors Mobilized with Filgrastim

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### Abstract:

Allogeneic hematopoietic cell transplantation is a life-saving procedure used to treat of a variety of devastating diseases. It requires hematopoietic stem cells collected via filgrastim mobilized peripheral blood stem cells or bone marrow harvest from volunteer unrelated donors. There is a paucity of safety data regarding donors' long-term adverse events. This prospective, observational study combined peripheral blood stem cell donors enrolled on the NMDP Investigational New Drug trial and bone marrow donors between July 1, 1999, and September 30, 2015. The primary objective was to describe the long-term incidence of myeloid malignancies. Secondary objectives included describing the long-term incidence of lymphoid malignancies, non-hematologic malignancies, autoimmune disorders, and thrombotic events. 21643 donors (14530 peripheral blood stem cells and 7123 bone marrow) were included. The incidence rate of myeloid disorders per 100000 person years in donors of peripheral blood stem cells was 2.53 (95% CI: 0.82-7.84) and in donors of bone marrow it was 4.13 (95% CI: 1.33-12.8). The incidence rate ratio of peripheral blood stem cells /bone marrow donors was 0.61 (95% CI: 0.12-3.03; p=0.55). The incidence of other malignancies, autoimmunity, and thrombosis did not differ between donor types. This comprehensive study of long-term effects of filgrastim in unrelated donors of peripheral blood stem cells provides strong evidence that donors who receive filgrastim are not at increased risk of these events compared to bone marrow donors. It also provides reassurance to current donors undergoing stem cell mobilization as well as individuals considering joining stem cell registries such as NMDP.

**Conflict of interest:** COI declared - see note

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**Clinical trial registration information (if any):**

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1 **Long-term Outcomes of Peripheral Blood Stem Cell Unrelated Donors Mobilized with**  
2 **Filgrastim**

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33 data to be shared publicly, so due to the sensitive nature of the research supporting data is not  
34 available.

35 Individual data will not be shared. Aggregated data can be obtained upon reasonable request  
36 from the corresponding author, Heather E. Stefanski ([hstefans@nmdp.org](mailto:hstefans@nmdp.org)).

37

38 **Key Points:**

- 39
- This was a prospective long term follow-up study including 14530 PBSC and 7123 BM  
40 volunteer unrelated donors from NMDP.
  - PBSC and BM donors had no differences in incidence rates for myeloid, lymphoid, other  
41 cancers, autoimmune diseases, or thrombotic events.  
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44 **ABSTRACT**

45 Allogeneic hematopoietic cell transplantation is a life-saving procedure used to treat of a variety  
46 of devastating diseases. It requires hematopoietic stem cells collected via filgrastim mobilized  
47 peripheral blood stem cells or bone marrow harvest from volunteer unrelated donors. There is a  
48 paucity of safety data regarding donors' long-term adverse events.

49 This prospective, observational study combined peripheral blood stem cell donors enrolled on  
50 the NMDP Investigational New Drug trial and bone marrow donors between July 1, 1999, and  
51 September 30, 2015. The primary objective was to describe the long-term incidence of myeloid  
52 malignancies. Secondary objectives included describing the long-term incidence of lymphoid  
53 malignancies, non-hematologic malignancies, autoimmune disorders, and thrombotic events.  
54 21643 donors (14530 peripheral blood stem cells and 7123 bone marrow) were included. The  
55 incidence rate of myeloid disorders per 100000 person years in donors of peripheral blood stem  
56 cells was 2.53 (95% CI: 0.82-7.84) and in donors of bone marrow it was 4.13 (95% CI: 1.33-  
57 12.8). The incidence rate ratio of peripheral blood stem cells /bone marrow donors  
58 was 0.61 (95% CI: 0.12-3.03; p=0.55). The incidence of other malignancies, autoimmunity, and  
59 thrombosis did not differ between donor types. This comprehensive study of long-term effects of  
60 filgrastim in unrelated donors of peripheral blood stem cells provides strong evidence that donors  
61 who receive filgrastim are not at increased risk of these events compared to bone marrow donors.  
62 It also provides reassurance to current donors undergoing stem cell mobilization as well as  
63 individuals considering joining stem cell registries such as NMDP.

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## 65 INTRODUCTION

66 Allogeneic hematopoietic cell transplantation (alloHCT) is a life-saving procedure for treatment  
67 of devastating diseases. The NMDP was established in 1987 to facilitate alloHCT from  
68 anonymous, volunteer adult unrelated donors (URDs) to patients in need. Hematopoietic stem  
69 cells are typically collected from URDs in two ways: via bone marrow (BM) harvest or by  
70 recombinant human granulocyte colony stimulating factor (filgrastim) mobilized peripheral  
71 blood stem cell (PBSC) collection. BM has been used as a source of hematopoietic stem cells  
72 (HSC) from the 1960s<sup>1</sup>, however, since recognition that HSC could be mobilized from the BM  
73 by filgrastim treatment and collected via apheresis in the 1990s, PBSCs have been increasingly  
74 used<sup>2,3</sup>. Data collected to date have been primarily focused on short-term side effects for both  
75 BM and PBSC donors<sup>4-22</sup>.

76 Previous reports suggested filgrastim increases the risk for myelodysplastic syndrome (MDS) or  
77 acute myeloid leukemia (AML) in patients with severe chronic neutropenia<sup>23</sup>, breast cancer<sup>24,25</sup>  
78 and lung cancer<sup>25</sup>. There have also been reports in URDs that developed myeloid malignancies  
79 after receiving filgrastim<sup>26,27</sup>; however additional studies with longer follow-up provided  
80 reassurance that filgrastim does not increase the risk of cancer in URDs<sup>11,28-32</sup>.

81 It is documented that autoimmune diseases, such as iritis, can rarely be exacerbated following  
82 filgrastim administration in normal donors<sup>33</sup>. Worsening autoimmune diseases can also be seen  
83 in patients with multiple sclerosis (MS)<sup>34,35</sup>, systemic lupus erythematosus (SLE)<sup>36-38</sup>,  
84 rheumatoid arthritis (RA)<sup>39</sup> and other immune-mediated vasculidities<sup>40-42</sup> when being treated for  
85 neutropenia with filgrastim.

86 Thrombosis has been a concern as a side effect of filgrastim. Administration of filgrastim leads  
87 to a potentially pro-thrombotic state via stimulation of tissue factor and increased endothelial

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88 markers<sup>43</sup>. Additionally, there have been reports of healthy donors having acute arterial  
89 thrombosis related to filgrastim<sup>44</sup>.

90 Since 1997, the NMDP has maintained an Investigational New Drug (IND) application accepted  
91 by the Food and Drug Administration (FDA) for manufacture of PBSC products from URDs. To  
92 address whether filgrastim administration in URDs has significant long-term consequences, we  
93 evaluated the incidence of malignant, autoimmune and thrombotic disorders after HSC donation  
94 in PBSC donors. The results of this study, the largest and most comprehensive, are presented in  
95 this manuscript.

96

## 97 **Design and Methods**

98 NMDP initiated an IND to collect AEs for donors that underwent PBSC donation in 1997. All  
99 donors were mobilized with subcutaneous filgrastim (Neupogen<sup>®</sup>, Amgen, Thousand Oaks, CA,  
100 United States [U.S.]) at an approximate dose of 10 µg/kg/d for 5 days. The study population  
101 presented in this paper was comprised of two cohorts of URDs from the U.S. and was activated  
102 on October 1, 2010, with all follow-ups being completed by September 30, 2020. There was an  
103 early group, registered either prospectively on NMDP PBSC IND trial or, if a BM donor, on  
104 NMDP Registry BM follow up trial, between July 1, 1999, and September 30, 2010, and a later  
105 donor group who underwent a collection from October 1, 2010, to September 30, 2015. The  
106 trials were approved by NMDP Institutional Review Board.

107 The primary objective of this study was to describe the long-term incidence of malignant  
108 myeloid disorders (AML, MDS, chronic myelogenous leukemia [CML], or chronic  
109 myeloproliferative disorders) in PBSC and BM donors and to compare incidences between the  
110 donor cohorts, with BM donors serving as the control. The secondary objectives were to describe

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111 malignant lymphoid disorders (acute lymphoblastic leukemia [ALL], chronic lymphocytic  
112 leukemia [CLL], Hodgkin lymphoma, or non-Hodgkin lymphoma), non-hematologic malignant  
113 disorders (as defined by the Surveillance, Epidemiology, and End Results [SEER] Program  
114 database<sup>45</sup>), autoimmune diseases, and thrombotic events (venous and arterial), in PBSC and BM  
115 donors.

116 Donors completed biennial surveys to report new diagnoses of Malignancy, Autoimmune, or  
117 Thrombotic (MAT) events over approximately 10 years until study conclusion. All donor follow-  
118 up assessments were administered by trained staff members of the Center for International Blood  
119 and Marrow Transplant Research (CIBMTR) Survey Research Group (SRG) and Donor Center  
120 staff. Donors were asked if they had developed any cancers, autoimmune disorders, or had a  
121 blood clot anywhere other than where they've had an IV placed since their donation or previous  
122 follow-up, and if yes, when diagnosis occurred.

123 When donors reported a MAT event, the SRG requested a medical records release form and  
124 contacted donors' clinics to obtain the relevant medical records. NMDP medical staff reviewed,  
125 and then documented whether the reported MAT event was correct and occurred post-collection,  
126 correct but occurred pre-collection, incorrect or not a MAT event, duplicative of a previously  
127 reported event, or unverified with existing records. If a donor did not return a medical records  
128 release form, or SRG was unable to obtain records from their clinic, the reported MAT event was  
129 coded as unverified.

130

### 131 **Subjects**

132 Inclusion criteria included: URDs who donated either BM or PBSC between July 1, 1999, and  
133 September 30, 2015; URDs who received at least one injection of filgrastim or more but did not



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134 donate PBSC between July 1, 1999, and September 30, 2015; donation was managed by a  
135 participating U.S. donor center; and URDs provided informed consent for participation in this  
136 study. Exclusion criteria included: URD who donated filgrastim-mobilized BM; donation was  
137 managed by a non-U.S. donor center; or donor was unable to verbally communicate in any of the  
138 following languages: English, Spanish, Mandarin Chinese, Cantonese Chinese, Vietnamese,  
139 Korean, or Portuguese. This study was registered as EUPAS19126 and as NCT01362179.

140

## 141 **Statistical Methods**

142 Counts and percentages were used to describe frequency of donor characteristics. Donors in the  
143 BM group included those who later underwent filgrastim mobilization, and outcomes from BM  
144 donation were censored at the time of mobilization. Donors in the PBSC group who had  
145 previously donated BM were followed for outcomes as part of the PBSC group. A descriptive  
146 analysis estimated the overall and age-specific incidence rate of MAT events. Rate ratios for  
147 MAT events were estimated for donors of PBSC vs. BM. To determine if there were differences  
148 in myeloid, lymphoid, and other cancer events in donors who donated PBSC or BM compared to  
149 the general population, we used the SEER Program database<sup>45</sup>, which provides incidence rates  
150 on cancer statistics among the U.S. population, as a post-hoc analysis. Age-adjusted rates were  
151 calculated using the 2000 U.S. Standard Population. P-values and 95% confidence intervals for  
152 all rate ratios were calculated using Poisson regression methods. A P-value of < 0.05 was  
153 considered significant. Statistical analyses were done using SAS version 9.4 (Cary, NC, USA).

154

## 155 **Results**

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156 A total of 32634 donors were contacted to participate in this study (Figure 1) and 21833 (66.9%)  
157 donors were enrolled. Of the subjects enrolled, 39 donors withdrew and 141 subjects with  
158 missing data were removed, leaving 21653 donors in the final analyses. The total donor years  
159 were 118720 and 72643 for donors of PBSC and BM, respectively.

160 The demographics of the donors are described in Table 1. There were 14530 donors in the PBSC  
161 cohort, of whom 3.6% had previously donated BM. Most PBSC donors were male (59%) and  
162 white (76%). The median age (range) at the first filgrastim injection was 33 (18 to 62) years and  
163 the median time to follow up was 7 years (0.6-20.2) (Table 1). There were 7123 donors in the  
164 BM donor cohort, of whom 6.3% were later exposed to filgrastim. Most BM donors were male  
165 (57%) and white (71%). The median age (range) at the first BM collection was 35 (19 to 61)  
166 years and the median time of follow up post-collection was 9 years (0-29.2).

167

### 168 **Myeloid Malignancies**

169 The incidence rate of myeloid disorders in PBSC donors was 2.53 (95% CI: 0.82-7.84) and in  
170 BM donors was 4.13 (95% CI: 1.33-12.8) per 100000 person years (Table 2). The incidence rate  
171 ratio of PBSC/BM donors was 0.61 (95% CI: 0.12-3.03; p=0.55) (Table 2). The incidence rates  
172 by age range are shown in Tables 3A-C, but as shown, there are very few events in both groups  
173 (3 each).

174

### 175 **Lymphoid Malignancies**

176 The incidence rate of lymphoid malignancies in PBSC donors was 14.33 (95% CI: 8.91-23.05)  
177 and in BM donors was 13.78 (95% CI: 7.41-25.61) per 100000 person years (Table 2). The

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178 incidence rate ratio was 1.04 (95%CI: 0.48-2.27; p=0.92) (Table 2). The crude incidence rate  
179 was highest in donors over 50 years of age in both PBSC (IR: 21.50; 95% CI: 6.93-66.64) (Table  
180 3A) and BM donors (IR: 62.63; 95% CI: 23.51-166.8) (Table 3B) and the incidence rate ratio for  
181 donors over 50 years of age was 0.34 (95% CI: 0.08-1.53; p=0.16) (Table 3C).

182

### 183 **Non-Hematologic Malignancies**

184 The incidence rate of non-hematologic malignancies, as defined in the SEER database, for PBSC  
185 donors was 460.36 (95% CI: 423.0-501.0) and in BM donors was 521.59 (95% CI: 471.1-577.6)  
186 per 100000 person years with an incidence rate ratio of 0.88 (95% CI: 0.77-1.00; p=0.05) (Table  
187 2). The crude incidence rates of other malignancies increased as donors aged in both PBSC and  
188 BM donors (Tables 3A and 3B). However, in the 18 to 29 age group, there was a statistically  
189 significant incidence rate ratio of other malignancies (RR: 0.61; 95% CI: 0.40-0.92; p=0.02)  
190 (Table 3C) with the BM group having increased numbers of other types of malignancies,  
191 although the numbers were very low. The incidence rate ratio was not statistically significant in  
192 the other age groups.

193

### 194 **Autoimmune Diseases**

195 The incidence rate of autoimmune diseases (e.g., rheumatoid arthritis, psoriatic arthritis, systemic  
196 lupus erythematosus, scleroderma, vasculitides, multiple sclerosis and immune  
197 thrombocytopenia [ITP] etc.) in PBSC donors was 385.19 (95% CI: 351.2-422.4) and in BM  
198 donors was 367.62 (95% CI: 325.8-414.9) per 100000 person years with an incidence rate ratio  
199 of 1.03 (95% CI: 0.89-1.21; p=0.66) (Table 2). Interestingly, there was a significant difference in

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200 the incidence rate ratio in donors aged 30-39 years. The PBSC donors had an incidence rate of  
201 425.3 (95% CI: 360.5-501.8) (Table 3A) and the BM donors had an incidence rate of 300.71  
202 (95% CI: 238.4-379.3) (Table 3B) and an incidence rate ratio of 1.42 (95% CI: 1.06-1.89;  
203  $p=0.02$ ) (Table 3C). This phenomenon was not observed in the other age groups.

204

### 205 **Thrombotic Events**

206 The incidence rate of thrombotic events in PBSC donors was 132.23 (95% CI: 113.0-154.7) and  
207 in BM donors it was 131.63 (95% CI: 107.7-160.9) per 100000 person years and the incidence  
208 rate ratio was 1.01 (95% CI: 0.78-1.30;  $p=0.95$ ). The incidence of thrombotic events was not  
209 different between PBSC or BM donors in the different age groups (Tables 3A-C).

210

### 211 **SEER data comparison**

212 To address differences between the two cohorts and the general population, the SEER database  
213 was used, and age-adjusted rates were calculated using the 2000 U.S. Standard Population<sup>45</sup>. The  
214 general population was more likely to have all types of cancer compared to PBSC donors (Table  
215 4A). The incidence rate of myeloid malignancies in PBSC donors was 2.53 (95% CI: 0.82-7.84)  
216 and 17.91 (95% CI: 17.85-17.96) in the general population with a rate ratio of 0.14 (95% CI:  
217 0.05,0.44;  $p<0.001$ ), in lymphoid malignancies the incidence rate in PBSC donors was 14.33  
218 (95% CI: 8.91-23.05) and 33.76 (95% CI: 33.68-33.84) in the general population with a rate ratio  
219 of 0.42 (95% CI 0.26-0.68;  $p<0.001$ ), and in other malignancies the incidence rate in PBSC  
220 donors was 460.36 (95% CI: 423.0-501.0) and 568.3 (95% CI: 567.9, 568.6) in the general  
221 population with a rate ratio of 0.81 (95% CI: 0.74-0.88;  $p<0.001$ ) (Table 4A). The incidence rate

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222 of myeloid malignancies in BM donors was 4.13 (95% CI: 1.33-12.8) and 17.91 (95% CI:  
223 17.85-17.96) in the general population with a rate ratio of 0.23 (95% CI: 0.07,0.72; p=0.011), in  
224 lymphoid malignancies the incidence rate in BM donors was 13.78 (95% CI: 7.41-25.61) and  
225 33.76 (95% CI: 33.68-33.84) in the general population with a rate ratio of 0.41 (95% CI 0.22-  
226 0.76; p=0.005), and in other malignancies the incidence rate in BM donors was 521.59 (95% CI:  
227 471.1-577.6) and 568.3 (95% CI: 567.9, 568.6) in the general population with a rate ratio of 0.92  
228 (95% CI: 0.83-1.02; p=0.100) (Table 4B).

229

## 230 **DISCUSSION**

231 This is the largest, most comprehensive study of long-term outcomes in URDs. It provides  
232 evidence that filgrastim in the setting of mobilization of PBSC in URDs is not associated with an  
233 increase in hematological or non-hematological malignancies, nor is it associated with an  
234 increase in autoimmune disorders or thrombotic events.

235 Concerns were raised about the association of filgrastim and AML due to several reports.  
236 Bennett et al. published 2 cases of AML among 200 individuals who had received filgrastim<sup>26</sup>. In  
237 these cases, matched sibling donors, who donated PBSC to their siblings with AML, developed  
238 AML approximately 4 years after filgrastim exposure. NMDP reviewed their donor outcomes  
239 and published on 20 cases of cancer (none of them leukemia or lymphoma) reported among 4015  
240 URDs who had passed their first anniversary of PBSC donation<sup>46</sup>. There have been reports from  
241 multiple registries that looked at the incidence of cancer post donation during different time  
242 frames in PBSC donors. Nacheva et al. tested 50 PBSC donors for mutations common in  
243 hematological malignancies and showed that PBSC samples collected before, on the day of  
244 donation, 90 and 180 days after G-CSF administration had no damagingly effects on the genome

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245 integrity and did not differ between samples from individuals that donated BM 3-5 years prior or  
246 healthy people<sup>32</sup>. Additional reporting by NMDP showed no increase of cancer, autoimmune  
247 diseases or stroke related to filgrastim in 6768 PBSC donors<sup>17</sup>. MD Anderson reported that none  
248 of 343 donors from their institution had been diagnosed with acute or chronic leukemia with a  
249 median follow up of 39 months<sup>47</sup>. The Japan Society for Hematopoietic Cell Transplantation  
250 reported long term follow up 1708 PBSC donors and showed no difference in hematological  
251 malignancies compared with a retrospective cohort of BM donors<sup>48</sup>. A prospective Swedish  
252 national cohort study analyzed the cancer incidence after donation in 1082 Swedish PBSC  
253 donors and did not find differences in the incidence of hematologic malignancies or other  
254 malignancies between PBSC donors and BM donors or non-donating siblings<sup>49</sup>. Of note,  
255 different formulations of filgrastim have been used in URDs, such as lenograstim or biosimilars,  
256 and data has shown no differences in efficacy or side effects<sup>50-53</sup>. Moreover, the World Marrow  
257 Donor Association (WMDA) has recommended that bioimilars may be used for mobilization  
258 <sup>51</sup>and has a mechanism for ongoing surveillance of donor adverse events<sup>54</sup>. While the PBSC  
259 donors in this study received Neupogen, these results could be applicable to donors that received  
260 other types of filgrastim. The study presented here is the first study that analyzed long-term  
261 effects of filgrastim on URDs over a 10-year period and confirmed no association between PBSC  
262 donors and an increased risk of any type of malignancy including myeloid, lymphoid, or other  
263 types of cancer compared to BM donors.

264 Other studies have compared cancer rates in PBSC donors to the general population. Hölig et al.  
265 published the results of 3928 PBSC donors at the University of Dresen and showed that  
266 malignancies were found in 0.3% of the donors; the incidence of Hodgkin lymphoma differed  
267 significantly compared to the general population<sup>11</sup>. There was not an increased risk in Hodgkin

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268 lymphoma in our study, however the number of donors was much greater in this study and is  
269 more reassuring than the previous study<sup>11</sup>. Halter et al. recently published a retrospective  
270 multicenter EBMT study covering 51024 first allogeneic hematopoietic stem cell donations  
271 (27770 BM donors and 23254 PBSC donors) from predominantly related donors and found the  
272 incidences of hematologic malignancies were below the age-specific incidence of the normal  
273 population<sup>30</sup>. To elucidate if PBSC donors were more likely to develop cancer compared to the  
274 general population, we used the SEER database as a comparison. URDs that donate BM and  
275 PBSC are, in general, healthier than the general population as they are younger and must also  
276 meet eligibility criteria to donate and their overall rates of cancer are lower. An important  
277 consideration of PBSC and BM donors is that follow-up is shorter than the life-time follow up of  
278 the general population. However, this comparison suggests that filgrastim did not potentiate  
279 cancer risk in this population and the findings presented here give additional reassurance that  
280 both hematological and non-hematological malignancies are not increased in PBSC donors.

281 One of the secondary objectives of this study was to determine if filgrastim increased the  
282 incidence of autoimmune disorders. Filgrastim has been used in patients with lupus, multiple  
283 sclerosis, Felty's syndrome and temporal arteritis to treat neutropenia<sup>35-38,40-42</sup>. In URDs who  
284 received filgrastim, we did not see an increase in the incidence of the development of  
285 autoimmune diseases. However, when the results were stratified based on age, PBSC donors that  
286 were 30-39 years of age had a higher risk of having autoimmune diseases compared to BM  
287 donors, although the numbers were of events were very low (140/3871 [3.6%]). This same  
288 phenomenon was not observed in the other age ranges. A recent report by Conrad et al. estimates  
289 that 1/10 people are affected by autoimmune diseases<sup>55</sup>; the 3.6% observed in the 30-39 PBSC  
290 age group is less than the expected rate in the general population, giving support that short term

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291 filgrastim use in a donor does not appear to potentiate autoimmune disorders. The low incidence  
292 of autoimmune disorders in BM donors could be because the BM donors at risk could have been  
293 screened out prior to donation.

294 Thrombosis has been a concern as a side effect of filgrastim due to reports of arterial thrombosis  
295 and a potentially increased pro-thrombotic state<sup>43,44</sup>. This study showed that the incidence of  
296 thrombotic events in PBSC donors was not increased compared to BM donors. This confirmed  
297 evidence from another report showing stroke was not increased in PBSC donors<sup>17</sup> and that short  
298 term-filgrastim use does not appear to be associated with thrombosis.

299 There are several limitations to this study. BM donors who were later exposed to filgrastim were  
300 censored at the time of filgrastim exposure, thus limiting the amount of follow-up time at risk  
301 (this issue is addressed to some degree by the very large number of BM donor follow up years in  
302 this trial). Also, donors that had incorrect contact information were not included on this study.  
303 Another limitation is that verification could not be performed on all MAT events during medical  
304 record review. To address this specific limitation, we attributed unverified events as an event to  
305 overestimate, rather than underestimate, the number of late events. Additional limitations include  
306 relatively short follow-up of 7 years for donors enrolled after 2010, self-reporting and the donors  
307 that did not enroll could have increased late effects. Due to the overestimation of late events as  
308 well as the number of donor years studied, these limitations are not as worrisome.

309 This is the largest, prospective study addressing the safety of filgrastim in URDs. These results  
310 provide evidence that filgrastim is not associated with an increase in the incidence of myeloid or  
311 lymphoid malignancy, other types of cancer, autoimmune diseases, or thrombotic events. Most  
312 importantly, this provides URDs with reassurance that in this large study of thousands of healthy



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313 donors followed on average for more than ten years, we saw no adverse effects associated with  
314 receiving filgrastim.

### 315 **AUTHOR CONTRIBUTION**

316 H.E.S designed the research plan, analyzed the data, and wrote the manuscript; M.K and S.B-S.  
317 analyzed the data; H.K. was the project manager in the clinical trial., D.M. gathered data; J.S.,  
318 D.S., and C.D.B.O. edited the final version of the manuscript; L.J.B. reviewed medical records  
319 and critically reviewed and edited the final version of this manuscript; B.E.S and M.A.P  
320 critically reviewed and edited the final version of this manuscript; and J.P.M. and S.M.D  
321 designed the research plan, reviewed medical records, analyzed the data, critically reviewed and  
322 edited the final version of this manuscript.

323

### 324 **CONFLICTS OF INTEREST**

325 D.S., C.D.O.B and J.S are employed by Amgen. All Amgen employees are Employed by Amgen  
326 and own Stock. B.E.S Consulting: OrcaBio, Mallinkrodt.; M.A.P. Adaptive: Research  
327 Funding; Gentibio: Membership on an entity's Board of Directors or advisory  
328 committees; Miltenyi: Research Funding; Vertex: Membership on an entity's Board of Directors  
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330 committees; Novartis: Consultancy; CARGO: Membership on an entity's Board of Directors or  
331 advisory committees. All other authors declare no COI.

332

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354 Pharmaceuticals; Vor Biopharma Inc.; Xenikos BV.

355

356 **FIGURE LEGEND**

357

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358 **Figure 1.** Study enrollment flow chart

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516

517 **Table 1. Demographic characteristics of donors**

<b>Characteristic</b>	<b>PBSC Donors No. (%)</b>	<b>BM Donors No. (%)</b>	<b>p-value</b>
Number of donors	14530	7123	
Number of filgrastim exposures – No. (%)			
1	13579 (93)	NA	
2	416 (3)	NA	
3	6 (<1)	NA	
Number of BM donations – No. (%)			
1	NA	6496 (91)	
2	NA	169 (2)	
3	NA	12 (<1)	
Number of both BM donations and filgrastim exposures <sup>a</sup> – No. (%)			0.846
1 BM donation -1 filgrastim exposure	498 (3)	416 (6)	
1 BM donation - 2 filgrastim exposures	13 (<1)	12 (<1)	
2 BM donations -1 filgrastim exposure	18 (<1)	18 (<1)	
Sex – No. (%)			0.019
Female	5975 (41)	3048 (43)	
Male	8555 (59)	4075 (57)	
Race – No. (%)			<0.001
White	10980 (76)	5070 (71)	
Hispanic	1252 (9)	798 (11)	
Black	498 (3)	396 (6)	
Asian / Pacific Islander	800 (6)	407 (6)	
Native American	103 (1)	68 (1)	
Multiple races / Other	848 (6)	360 (5)	
Unknown / Decline	49 (<1)	24 (<1)	
Age at first BM collection or first filgrastim injection, years – No. (%)			<0.001
Median (min-max)	33 (18-62)	35 (19-61)	<0.001
18 to 29	5896 (41)	2542 (36)	
30 to 39	3871 (27)	2186 (31)	
40 to 49	3231 (22)	1804 (25)	
50+	1532 (11)	591 (8)	
Year of first BM collection or first filgrastim injection – No. (%)			<0.001
1989	0	1 (<1)	
1990	0	4 (<1)	
1991	0	3 (<1)	



1992	0	7 (<1)	
1993	0	19 (<1)	
1994	0	9 (<1)	
1995	0	10 (<1)	
1996	0	25 (<1)	
1997	0	30 (<1)	
1998	0	36 (1)	
1999	15 (<1)	281 (4)	
2000	137 (1)	492 (7)	
2001	195 (1)	435 (6)	
2002	317 (2)	457 (6)	
2003	466 (3)	375 (5)	
2004	453 (3)	307 (4)	
2005	537 (4)	243 (3)	
2006	587 (4)	272 (4)	
2007	652 (4)	276 (4)	
2008	777 (5)	280 (4)	
2009	850 (6)	297 (4)	
2010	1001 (7)	329 (5)	
2011	1450 (10)	510 (7)	
2012	1772 (12)	656 (9)	
2013	2010 (14)	656 (9)	
2014	1817 (13)	625 (9)	
2015	1479 (10)	484 (7)	
2016	15 (<1)	4 (<1)	
Median (min-max) follow-up - years	7.0 (0.6-20.2)	9.0 (0.0-29.2)	<0.001

518 PBSC = peripheral blood stem cells; BM= bone marrow; No=number; NA = not applicable; min=minimum; max=maximum  
519 <sup>a</sup> X-Y lists the total number of BM donations followed by the total number of exposures to filgrastim. Donors in the BM group  
520 who later had exposure to filgrastim were censored at the time of filgrastim exposure.  
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523

524 **Table 2. Incidence rates of MAT events**

525

Outcomes	PBSC donors			BM donors			Rate ratio (95% CI)	p-value
	Person-years	Number of events	Incidence rate <sup>a</sup> (95% CI)	Person-years	Number of events	Incidence rate <sup>a</sup> (95% CI)		
Myeloid	118712	3	2.53 (0.82-7.84)	72641	3	4.13 (1.33-12.8)	0.61 (0.12-3.03)	0.55
Lymphoid	118649	17	14.33 (8.91-23.05)	72572	10	13.78 (7.41-25.61)	1.04 (0.48-2.27)	0.92
Other malignancies	116213	535	460.36 (423.0-501.0)	70553	368	521.59 (471.1-577.6)	0.88 (0.77-1.00)	0.05
Autoimmune	116566	449	385.19 (351.2-422.4)	71269	262	367.62 (325.8-414.9)	1.03 (0.89-1.21)	0.66
Thrombosis	117977	156	132.23 (113.0-154.7)	72172	95	131.63 (107.7-160.9)	1.01 (0.78-1.30)	0.95

526 MAT = malignancy, autoimmune, or thrombotic events; PBSC = peripheral blood stem cells; BM = bone marrow; CI=confidence  
 527 interval

528 <sup>a</sup>Incidence rate per 100000 person-years.

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534 **Table 3. Incidence rate of MAT events, stratified by age**

535 a. Crude incidence rate of MAT events in PBSC donors

Age, years	18 to 29	30 to 39	40 to 49	50+
Number of donors	5896	3871	3231	1532
Donor-years	41450	33548	29717	13969
<b>Myeloid</b>				
Donor-years	41444	33584	29715	13968
Events	1	0	1	1
Crude rate <sup>a</sup>	2.41	0.00	3.37	7.16
95% CI	(0.341-7.13)	N/A	(0.47-23.89)	(1.01-50.82)
<b>Lymphoid</b>				
Donor-years	41432	33558	29704	13956
Events	4	5	5	3
Crude rate <sup>a</sup>	9.65	14.90	16.83	21.50
95% CI	(3.62-25.72)	(6.20-35.79)	(7.01-40.44)	(6.93-66.64)
<b>Other malignancies</b>				
Donor-years	41249	33099	28644	13221
Events	49	105	225	156
Crude rate <sup>a</sup>	118.79	317.23	785.50	1179.97
95% CI	(89.80-157.2)	(262.1-384.0)	(689.6-894.7)	(1009.5-1379.1)
<b>Autoimmune</b>				
Donor-years	40855	32915	29105	13690
Events	137	140	115	57
Crude rate <sup>a</sup>	335.33	425.34	395.12	416.35
95% CI	(238.7-396.4)	(360.5-501.8)	(329.2-474.2)	(321.3-539.5)
<b>Thrombosis</b>				
Donor-years	41345	33401	29409	13821
Events	25	40	60	31
Crude rate <sup>a</sup>	60.47	119.76	204.02	224.29
95% CI	(40.86-89.48)	(87.86-163.2)	(158.5-262.7)	(157.8-318.8)

536 MAT = malignancy, autoimmune, or thrombotic events; PBSC = peripheral blood stem cells; CI = confidence interval

537 <sup>a</sup>Incidence rate per 100000 person-years.

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539

## b. Crude incidence rate of MAT events in BM donors by age

Age, years	18 to 29	30 to 39	40 to 49	50+
Number of donors	2542	2186	1804	591
Donor-years	21549	23995	20671	6428
Myeloid				
Donor-years	21549	23993	20671	6428
Events	0	2	1	0
Crude rate <sup>a</sup>	0.00	8.34	4.84	0.00
95% CI	N/A	(2.08-33.33)	(0.68-34.34)	N/A
Lymphoid				
Donor-years	21547	23985	20653	6387
Events	1	3	2	4
Crude rate <sup>a</sup>	4.64	12.51	9.68	62.63
95% CI	(0.65-32.95)	(4.03-38.78)	(2.42-38.72)	(23.51-166.8)
Other malignancies				
Donor-years	21305	23513	19786	5949
Events	41	91	156	80
Crude rate <sup>a</sup>	192.44	387.02	788.45	1344.74
95% CI	(141.7-261.3)	(315.3-475.1)	(674.4-921.8)	(1081.7-1671.8)
Autoimmune				
Donor-years	21194	23611	20208	6257
Events	73	71	84	34
Crude rate <sup>a</sup>	344.44	300.71	415.67	543.43
95% CI	(273.9-433.1)	(238.4-379.3)	(335.8-514.6)	(388.6-759.8)
Thrombosis				
Donor-years	21460	23871	20506	6335
Events	20	27	34	14
Crude rate <sup>a</sup>	93.19	113.11	165.80	221.01
95% CI	(60.14-144.4)	(77.58-164.9)	(118.5-232.0)	(131.0372.9)

MAT = malignancy, autoimmune, or thrombotic events; BM= bone marrow; CI = confidence interval

<sup>a</sup>Incidence rate per 100000 person-years.

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c. Rate ratio of observed MAT events in PBSC donors and BM donors by age

Age, years	18 to 29	30 to 39	40 to 49	50+
Myeloid				
Rate Ratio	NE	NE	0.70	NE
95% CI			0.04, 11.2	
P-value			0.80	
Lymphoid				
Rate Ratio	2.09	1.20	1.75	0.34
95% CI	(0.23-18.7)	(0.29-5.00)	(0.34-9.01)	(0.08-1.53)
P-value	0.51	0.81	0.50	0.16
Other malignancies				
Rate Ratio	0.61	0.81	0.98	0.89
95% CI	(0.40-0.92)	(0.61-1.07)	(0.80-1.21)	(0.68-1.17)
P-value	0.02 <sup>a</sup>	0.1344	0.87	0.41
Autoimmune				
Rate Ratio	0.98	1.42	0.93	0.74
95% CI	(0.73-1.30)	(1.06-1.89)	(0.70-1.24)	(0.48-1.13)
P-value	0.86	0.02 <sup>a</sup>	0.62	0.16
Thrombosis				
Rate Ratio	0.69	1.04	1.24	1.01
95% CI	(0.38-1.24)	(0.63-1.69)	(0.81-1.88)	(0.54-1.90)
P-value	0.21	0.89	0.32	0.97

545 MAT = malignancy, autoimmune, or thrombotic events; PBSC = peripheral blood stem cells; BM=bone marrow; NE = not  
 546 evaluable; CI = confidence interval

547 <sup>a</sup>Statistically significant result (p<0.05).

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551 **Table 4. Age-adjusted incidence rates of malignancies in donors compared to the**  
 552 **U.S. general population.**

553a. PBSC donors vs U.S. General Population - SEER

Outcomes	PBSC donors			US General Population - SEER			Rate ratio (95% CI)	p-value
	Person-years	Number of events	Incidence rate <sup>a</sup> (95% CI)	Person-years	Number of events	Incidence rate <sup>a</sup> (95% CI)		
Myeloid	118712	3	2.53 (0.82-7.84)	2211987365	396134	17.91 (17.85-17.96)	0.14 (0.05-0.44)	<0.001 <sup>b</sup>
Lymphoid	118649	17	14.33 (8.91-23.05)	2211987365	746740	33.76 (33.68-33.84)	0.42 (0.26-0.68)	<0.001 <sup>b</sup>
Other malignancies	116213	535	460.36 (423.0-501.0)	2211987365	12569821	568.3 (567.9-568.6)	0.81 (0.74-0.88)	<0.001 <sup>b</sup>

554 PBSC = peripheral blood stem cells; SEER = Surveillance, Epidemiology, and End Results Program database<sup>4,5</sup>; CI =

555 confidence interval; U.S = United States

556 <sup>a</sup>Age-adjusted incidence rate per 100,000 person-years.

557 <sup>b</sup>Result is statistically significant (p<0.05).

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559b. BM donors vs U.S. General Population - SEER

Outcomes	BM donors			US General Population - SEER			Rate ratio (95% CI)	p-value
	Person-years	Number of events	Incidence rate <sup>a</sup> (95% CI)	Person-years	Number of events	Incidence rate <sup>a</sup> (95% CI)		
Myeloid	72641	3	4.13 (1.33-12.8)	2211987365	396134	17.91 (17.85-17.96)	0.23 (0.07-0.72)	0.011 <sup>b</sup>
Lymphoid	72572	10	13.78 (7.41-25.61)	2211987365	746740	33.76 (33.68-33.84)	0.41 (0.22-0.76)	0.005 <sup>b</sup>
Other malignancies	70553	368	521.59 (471.1-577.6)	2211987365	12569821	568.3 (567.9-568.6)	0.92 (0.83-1.02)	0.100

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560 BM = bone marrow; SEER = Surveillance, Epidemiology, and End Results Program database<sup>4,5</sup>; CI = confidence  
561 interval; U.S = United States

562 <sup>a</sup>Age-adjusted incidence rate per 100,000 person-years.

563 <sup>b</sup>Result is statistically significant ( $p < 0.05$ ).

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**Figure 1.** Study enrollment flow chart

