

Cytoreductive therapy in younger adults with polycythemia vera: a meta-analysis of safety and outcomes

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Reem Chamseddine (Weill Cornell Medicine - Qatar, Qatar) Oleksandr Savenkov (Weill Cornell Medicine, United States) Shehroz Rana (Weill Cornell Medicine - Qatar, Qatar) Mohammed Khalid (Weill Cornell Medicine - Qatar, Qatar) Richard Silver (Weill Cornell Medicine, United States) Nicole Kucine (Weill Cornell Medicine, United States) Joseph Scandura (Weill-Cornell Medicine, United States) Ghaith Abu-Zeinah (Weill Cornell Medicine, United States)

Abstract:

Cytoreductive therapy is not routinely recommended for younger patients with polycythemia vera (PV) due to concern that treatment toxicity may outweigh therapeutic benefits. However, no systematic data supports this approach. To support objective risk/benefit assessment of cytoreductive drugs in PV patients younger than 60 (PV<60), this systematic review and meta-analysis was conducted to evaluate toxicity and disease-related complications in PV<60 treated with interferon alfa (rIFN α) or hydroxyurea (HU). A search of PubMed, Scopus, Web of Science and Embase identified 693 unique studies with relevant keywords, of which 14 met inclusion criteria and were selected for analysis. The weighted average age of patients treated with rIFN α was 48 years (n=744 patients, 12 studies) and for HU was 56 years (n=1397, 8 studies). The weighted average duration of treatment for either drug was 4.5 years. Using a Bayesian hierarchical model, the pooled annual rate of discontinuation due to toxicity was 5.2% (n=587, CI 2.2%-8.2%) for patients receiving rIFN α , and 3.6% (n=1097, CI 1%-6.2%) for HU. The average complete hematologic response (CHR) for rIFN α and HU was 62% and 52%, respectively. Patients experienced thrombotic events at a pooled annual rate of 0.79% and 1.26%; sMF at 1.06% and 1.62%; AML at 0.14% and 0.26%; and death at 0.87% and 2.65%, respectively. No treatment-related deaths were reported. With acceptable rates of non-fatal toxicity, cytoreductive treatment, particularly with disease-modifying rIFN α , may benefit PV<60. Future randomized trials prioritizing inclusion of PV<60 are needed to establish a long-term benefit of early cytoreductive treatment in these patients.

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2 **and outcomes**

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4 Reem S. Chamseddine,¹ Oleksandr Savenkov,² Shehroz Rana,¹ Mohammed Khalid,¹ Richard T.
5 Silver,² Nicole Kucine,³ Joseph M. Scandura,² Ghaith Abu-Zeinah²

6
7 **Affiliations:**

8 ¹ Weill Cornell Medicine-Qatar, Doha, Qatar

9 ² Richard T. Silver Myeloproliferative Neoplasms Center, Division of Hematology and Medical
10 Oncology, Weill Cornell Medicine, New York, NY, USA.

11 ³ Department of Pediatrics, Division of Hematology and Oncology, Weill Cornell Medicine, New
12 York, NY, USA

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14 **Running Head:** Cytoreductive therapy in younger adults with PV

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18 **Corresponding Author:**

19 Ghaith Abu-Zeinah, MD

20 1300 York Avenue, Box 113

21 New York, NY 10065

22 gfa2001@med.cornell.edu

23 Phone: 646.962.2700

24 Fax: 646.962.0115

25

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32 **Abstract**

33

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35 vera (PV) due to concern that treatment toxicity may outweigh therapeutic benefits. However, no
36 systematic data supports this approach. To support objective risk/benefit assessment of
37 cytoreductive drugs in PV patients younger than 60 (PV<60), this systematic review and meta-
38 analysis was conducted to evaluate toxicity and disease-related complications in PV<60 treated
39 with interferon alfa (rIFN α) or hydroxyurea (HU). A search of PubMed, Scopus, Web of Science
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41 criteria and were selected for analysis. The weighted average age of patients treated with rIFN α
42 was 48 years (n=744 patients, 12 studies) and for HU was 56 years (n=1397, 8 studies). The
43 weighted average duration of treatment for either drug was 4.5 years. Using a Bayesian
44 hierarchical model, the pooled annual rate of discontinuation due to toxicity was 5.2% (n=587,
45 CI 2.2%-8.2%) for patients receiving rIFN α , and 3.6% (n=1097, CI 1%-6.2%) for HU. The
46 average complete hematologic response (CHR) for rIFN α and HU was 62% and 52%,
47 respectively. Patients experienced thrombotic events at a pooled annual rate of 0.79% and
48 1.26%; sMF at 1.06% and 1.62%; AML at 0.14% and 0.26%; and death at 0.87% and 2.65%,
49 respectively. No treatment-related deaths were reported. With acceptable rates of non-fatal
50 toxicity, cytoreductive treatment, particularly with disease-modifying rIFN α , may benefit
51 PV<60. Future randomized trials prioritizing inclusion of PV<60 are needed to establish a long-
52 term benefit of early cytoreductive treatment in these patients.

53

54 **Introduction**

55

56 Polycythemia vera (PV) is a myeloproliferative neoplasm (MPN) characterized by the clonal
57 proliferation of JAK2-mutated hematopoietic stem, progenitor, and precursor cells, causing
58 blood count abnormalities, associated symptoms, and potentially fatal complications. Currently,
59 PV is frequently diagnosed at a young adult age (<60)¹ and occasionally in children and
60 adolescents. Irrespective of age, more than 60% of PV patients are symptomatic^{2,3}. PV symptoms
61 such as pruritus, fatigue, headaches, difficulty concentrating, and erythromelalgia can
62 significantly impair quality of life². The course of PV is further complicated by thrombosis (11-

63 36%)^{4,5}; hemorrhage (4%)⁴; and progression to more aggressive malignancies including
64 secondary myelofibrosis (sMF) (7-50%)^{1,4,6}, myelodysplastic syndrome (MDS) and acute
65 myeloid leukemia (AML) (3-6%)^{4,7}. The lifetime risk of these complications is the similar if not
66 greater for patients younger than 60 (PV<60) compared to older patients^{1,4}. Relative to the age-
67 matched general population, PV<60 have a higher excess mortality than older patients⁸. Despite
68 the facts, cytoreductive treatment is often deferred in PV<60.

69
70 Currently, PV treatment is recommended by some to control symptoms and reduce the risk of
71 thrombosis, whereas others believe that treatment should be initiated from the onset of disease^{1,9-}
72 ¹². In addition to phlebotomy and aspirin, cytoreductive drugs such as hydroxyurea (HU) and
73 interferon-alfa (rIFN α) are known to reduce thrombosis risk in PV^{13,14}, but are not routinely
74 recommended by the European LeukemiaNet (ELN) or National Comprehensive Cancer
75 Network (NCCN) for PV<60 without a history of thrombosis, a high symptom burden, or
76 intolerance to phlebotomy^{9,10}. These drugs are readily prescribed to older or “high-risk” patients
77 (PV>60 or history of thrombosis) and have been shown to be safe, tolerable, and effective in the
78 majority¹². A meta-analysis of 44 rIFN α studies across all age groups, including older patients,
79 revealed an annual discontinuation rate of only 6.5%, with a uniformly low thrombosis rate of
80 0.5% per patient-year¹³. A meta-analysis of 16 studies of patients of all ages also provided
81 insight on long-term outcomes with HU use in high-risk PV¹⁵. It is only as recently as 2021 that
82 a Phase II trial compared the efficacy of cytoreductive therapy (ropeginterferon alfa-2b) in “low-
83 risk” patients against the standard treatment (aspirin and phlebotomy)¹⁶.

84
85 Because current recommendations defer cytoreductive therapy for younger, low-risk PV patients,
86 we conducted a systematic review and meta-analysis to support an objective risk/benefit
87 assessment of cytoreductive agents, rIFN α and HU, in PV<60. The aim of this study was to
88 define cytoreductive treatment toxicity and disease-related complications in PV<60 to support
89 treatment recommendations for younger patients with PV.

90 91 **Methods**

92

93 Four databases (PubMed, Scopus, Web of Science and Embase) were used to identify relevant
94 articles using search terms for PV and cytoreductive drugs (supplementary methods). The
95 Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) checklist was
96 followed throughout the search. Case reports, case series with ≤ 20 patients, reviews, abstract-
97 only studies, and any articles that did not include primary data were excluded. Inclusion criteria
98 were predefined as studies of patients with a diagnosis of PV, reporting a median age between 18
99 and 60 years, in which patients were treated with hydroxyurea (HU), interferon alfa (rIFN α),
100 and/or ruxolitinib (RUX). Studies reporting results using other cytoreductive agents were
101 excluded (*e.g.*, chlorambucil, P32, nitrogen mustard, imatinib, busulfan, etc. or investigational
102 therapy). Studies that did not report drug toxicity data, or that focused on post-PV MF,
103 accelerated/blast-phase MPN, or pregnancy in PV were also excluded. Selected literature was
104 archived and reviewed on Covidence¹⁷. The quality of each study design was assessed using the
105 JBI (Joanna Briggs Institute) checklist, where a higher score from 0 to 1 indicates higher quality.

106
107 Data regarding the duration of treatment, dosage, adverse events (AEs), and discontinuation were
108 extracted from each study. The incidence rate of AEs, the discontinuation frequency, and the
109 annual discontinuation rate were calculated using standard methods. Cochran's Q test and the
110 heterogeneity index (I^2) was used in the assessment of heterogeneity in the reported
111 discontinuation rates between studies. The meta-analysis for discontinuation rates was conducted
112 using a Bayesian hierarchical model. The summary estimates and confidence intervals were
113 obtained from the posterior distribution. Forest plots represent estimates for each study and
114 summary estimates. A similar process was followed for extracting efficacy data via the rates of
115 complete hematologic response (CHR), partial hematologic response (PHR), and outcomes data
116 including thrombosis, progression to sMF or AML, and death. A weighted average based on
117 sample size and duration (patient-years) was also calculated for outcomes including annual rates
118 of thrombosis, progression to sMF or AML, and death. These data were analyzed using
119 descriptive statistics. All statistical analyses were performed using R version 4.2.2.

120

121

122 **Results**

123

124 A total of 693 unique titles and abstracts were identified through our search. These were
125 independently screened by two authors (RC and GAZ); 97 articles were read in full, and
126 ultimately 14 studies^{11,16,26–29,18–25} met inclusion criteria (**Figure 1**). The concordance rate
127 between the reviewers was 91%. JBI scores for the included studies ranged between 0.54 and 1
128 (**Supplementary Table 1**). Because only 2 studies reported on ruxolitinib in PV<60, they were
129 not included in meta-analysis.

130
131 A total of 2141 patients from 14 studies were included in the final analysis; 744 patients received
132 rIFN α (12 studies) and 1397 patients, HU (8 studies). The weighted average age of patients
133 receiving rIFN α was 48 years, whereas the weighted average age of patients receiving HU was
134 56 years. The weighted average duration of treatment for either drug was 4.5 years. The most
135 frequently prescribed HU dose ranged between 0.5g/day and 1.5 g/day. The dosing of
136 recombinant interferon alfa-2a/2b, pegylated interferon alfa-2a, and ropeginterferon alfa-2b
137 varied across studies (**Supplementary Table 1**).

138
139 During the treatment period, adverse events were reported at different rates across studies. The
140 frequency of grade 3-4 toxicity in patients on rIFN α ranged from 0 to 11%, with flu-like
141 symptoms and liver enzyme dysfunction being cited the most (**Supplementary Table 1**). The
142 frequency of grade 3-4 toxicity in patients on HU was reported only in two studies included in
143 our analysis; hematologic and dermatologic toxicity being the most common. The
144 discontinuation rates of rIFN α ranged from 4.6-37% over median durations of 0.4-6.3 years. The
145 discontinuation rates of HU ranged from 2.6-17% over median durations of 0.5-14 years. There
146 was significant heterogeneity in discontinuation rates across rIFN α studies (Cochran's $Q = 29$,
147 $p < 0.001$, and $I^2 = 70\%$), perhaps related to differences in rIFN α formulation and dosing, but not
148 across HU studies ($Q = 6.4$, $p = 0.3$, and $I^2 = 27\%$). Pooling the rIFN α studies that reported
149 discontinuation (587 patients in 10 studies), the overall frequency was 13% (95% CI 2.7-23%)
150 and the annualized rate was 5.2% (CI 2.2%-8.2%). Pooling HU studies that reported
151 discontinuation (1097 patients in 5 studies), the overall frequency was 15% (95% CI 6.9-24%)
152 and annualized discontinuation rate was 3.6% (95% CI 1-6.2%) (**Figure 2**).

153

154 Both rIFN α and HU were effective in controlling blood counts, with an average CHR of 62%
155 and 52%, respectively; and PHR of 27.9% and 43.0%, respectively (**Supplementary Table 2**).
156 Frequency of hematologic response increased over time in patients treated with rIFN α , but not
157 for patients treated with HU (**Figure 3**). Thrombotic events were estimated at a frequency of
158 3.2% with an annual rate of 0.79% on rIFN α (284 patients in 7 studies) and a frequency of 5.4%
159 with an annual rate of 1.26% on HU (842 patients in 4 studies). Progression to sMF was
160 estimated at a frequency of 11% with an annual rate of 1.06% on rIFN α (267 patients in 3
161 studies) and at a frequency of 27% with an annual rate of 1.62% on HU (1206 patients in 5
162 studies). Progression to AML was infrequent at an annual rate of 0.14% in 267 rIFN α -treated
163 patients in 3 studies and 0.26% in 908 HU-treated patients in 3 studies. In studies that reported
164 deaths, the annual mortality rate was 0.87% on rIFN α (592 patients in 9 studies) and 2.65% on
165 HU (1223 patients in 6 studies). No deaths were attributed to treatment toxicity.

166

167 Of the 14 studies, only 4 compared cytoreduction with the control arm of phlebotomy-only
168 (PHL-O)^{11,16,22,29}. The key findings of the limited data available include: improved symptoms,
169 hematologic, and histomorphologic responses with rIFN α compared to PHL-O^{11,16,29}; lower
170 myelofibrosis incidence with rIFN α compared to PHL-O¹¹; and no significant difference in
171 myelofibrosis incidence between HU and PHL-O^{11,22}. Overall survival for PV<60 on
172 cytoreduction compared to PHL-O was not reported^{16,22,29}, or was not significantly different¹¹.

173

174 **Discussion**

175

176 Younger PV patients are usually symptomatic and suffer impaired quality of life, reduced work
177 productivity, and excess mortality compared age-matched controls^{2,8}. Unfortunately, effective,
178 and potentially life-prolonging cytoreductive therapy^{1,11} is often deferred in younger patients
179 who are considered “low-risk” because of their age and lack of thrombosis history^{9,10}. The
180 rationale for withholding cytoreductive therapy is data-sparse and driven by theoretical concerns
181 for toxicity and unknown benefits from early treatment. Yet, there is some evidence that early
182 treatment is both well tolerated and potentially useful. The Low-PV Study demonstrated that
183 young patients receiving cytoreduction with ropeginterferon alfa-2b experienced no more
184 adverse events of grade 3 or higher than those randomized to treatment with phlebotomy alone,

185 and improved quality of life, after 1-2 years of treatment¹⁶. An evaluation of thrombosis-free,
186 progression-free, and overall survival would require a larger study with long follow-up powered
187 for these important endpoints, and only retrospective data is currently available. Recently, our
188 retrospective study demonstrated that use of rIFN α can improve myelofibrosis-free and overall
189 survival, independent of patient age^{1,11}. These findings motivated this systematic review and
190 meta-analysis to help inform decisions regarding use of cytoreductive agents in PV<60.

191
192 To our knowledge, this is the first systematic review evaluating the available evidence regarding
193 the safety of cytoreductive agents in PV<60. Our findings suggest that both rIFN α and HU are
194 safe and well-tolerated in younger patients with low rates of discontinuation for toxicity. The
195 annualized rates of discontinuation we calculated are similar to those reported for older patients
196 who are routinely prescribed cytoreductive therapy¹³. In fact, our institutional experience showed
197 even lower rates of discontinuation at 2.2% and 2.8%, for HU and rIFN α , respectively¹¹, a
198 finding possibly related to younger age of our patients, dosing, or longer follow-up. On the other
199 hand, almost all patients achieved hematologic response (CR+PR=overall responses of 90-95%)
200 with both rIFN α and HU; a proportion at least as good as that reported in older or high-risk
201 patients with PV¹³.

202
203 This study identified a modest but not insignificant rate of thrombosis, sMF, AML and disease-
204 related mortality in younger patients with PV. There are currently no studies in “Low-risk” or
205 PV<60 evaluating event-free survival as an endpoint of cytoreductive therapy. The low event
206 rates observed in this meta-analysis point to the known role of these cytoreductive drugs in
207 reducing thrombosis (rIFN α & HU) and sMF (rIFN α)^{11,13,30}. Unfortunately, long-term data
208 reporting the rate of CHR, toxicity, or event-free survival with cytoreduction compared to
209 phlebotomy-only for younger patients are limited or unavailable. In this meta-analysis, event
210 rates were lower with rIFN α than with HU, but the data available from these studies did not
211 allow direct comparison of these two drugs. It is potentially informative that the CONTI-PV
212 study of “high-risk” patients with PV showed longer event-free survival in patients treated with
213 rIFN α compared to those randomized to HU³¹. Similarly, rIFN α compared to no cytoreduction
214 was associated with a significantly higher myelofibrosis-free survival (MFS) in a recent study of
215 adolescent and young adult MPN patients (20-year MFS of 100% versus 73%, respectively)³².

216

217 While preventing thrombosis remains the core of PV treatment recommendations, our study
218 highlights that PV<60 patients suffer greater risk of disease progression to sMF than thrombosis
219 during a few years of follow-up, despite thrombosis being a recurrent event (rates of 1.06% vs
220 0.79% respectively with rIFN α , and 1.62% vs 1.26% respectively with HU). Progression to
221 AML was a rare event. Although concerns have been raised regarding potential oncogenicity of
222 HU in PV, we found that progression to AML at 0.26% was very uncommon in PV<60 patients
223 receiving HU. In contrast, fibrotic progression in PV<60 is a major problem considering the long
224 duration of disease expected for these patients¹. Therefore, future studies should evaluate the role
225 of early intervention with cytoreductive therapy in preventing disease progression in PV<60 as
226 well as thrombosis, particularly with rIFN α , which has been shown to improve survival
227 outcomes in a retrospective analysis^{11,31}. Such studies are feasible if highly predictive risk
228 models are available to identify patients at greatest risk of events; these can be developed in the
229 era of large data and artificial intelligence³³.

230

231 This meta-analysis provides objective data demonstrating low toxicity and the potential for
232 therapeutic benefit from early cytoreductive therapy in younger patients with PV. Yet, this
233 analysis shared limitations common to meta-analyses. Patient-level raw data were not available,
234 so summary statistics across heterogenous studies were pooled. Heterogeneous dosing (HU and
235 rIFN α), type of rIFN α and follow-up duration, may explain some variability in adverse event
236 rates and outcomes. Overall, the follow-up duration on treatment was short (4.5 years), limiting
237 an assessment of long-term safety and efficacy. Additionally, our inclusion criterion for age
238 based on a median age <60 years allowed inclusion of some older patients, but this limitation
239 was more likely to overestimate rather than underestimate the discontinuation rate
240 (Supplementary Table 4). Available data also did not allow us to identify those younger patients
241 with a history of thrombosis. Lastly, the limited number of studies on this subject, made it
242 difficult to assess the quality of each study according to the same JBI criteria. Nonetheless, in the
243 absence of perfect data, we believe this study provides the best available objective data related to
244 efficacy and toxicity of cytoreductive therapy in patients with PV<60.

245

246 The findings of this meta-analysis could influence current clinical practice recommendations and
247 perhaps aid the design of future research studies. The findings suggest that ELN/NCCN
248 recommendations result in undertreatment of patients with PV<60 since there is no clear
249 evidence to support concerns that risk of toxicity exceeds potential benefit. ELN/NCCN risk
250 stratification is only valid for thrombotic events and not validated for the risk of sMF,
251 MDS/AML, or death, and a history of thrombosis is unlikely to affect treatment tolerance or non-
252 thrombosis outcomes. Most younger patients are appropriately categorized as “low-risk” for
253 thrombosis, but they continue to be at risk for PV progression because of a longer duration of
254 illness. Future investigation of cytoreductive agents should prioritize the inclusion of PV<60,
255 allowing for the development of new, evidence-based clinical guidelines that can improve their
256 management.

257

258

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264 **Authorship Contributions**

265 GAZ and RC selected studies for inclusion in the meta-analysis; RC, SR and MK extracted raw
266 data from the studies; OS and GAZ performed the statistical analyses; all authors interpreted the
267 data, participated in the writing and review of this article, and approved the final submitted
268 version.

269

270 **Conflict of Interest (COI) Disclosures**

271

272 RC, OS, SR, MK, RTS, GAZ: None

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274 JMS: Consultancy – Abbvie, MorphoSys, CTI Biopharma Corp, SDP Oncology,
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276

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371

372 **Figure legends**

373

374 **Figure 1: PRISMA flowchart of study selection process.**

375

376 **Figure 2: Annual Discontinuation Rates in patients receiving rIFN α (top panel) and HU**
 377 **(bottom panel).** N = number of patients. CI = confidence interval.

378

379 **Figure 3: The rates of complete hematological response (CHR) over time in patients**
380 **receiving rIFN and HU over time.** N= number of patients.

381

382

Figure 1

Identification of studies via databases and registers

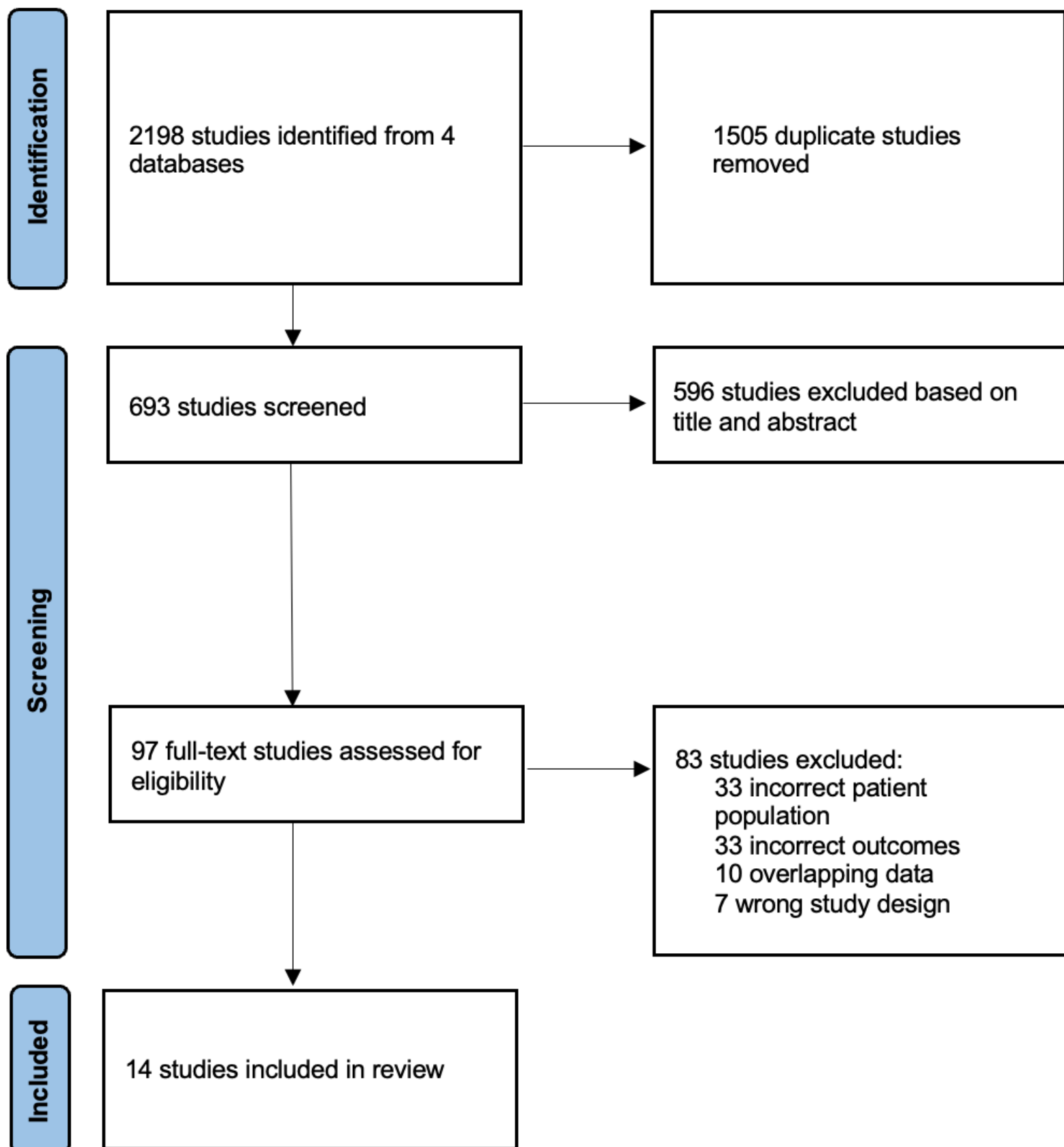
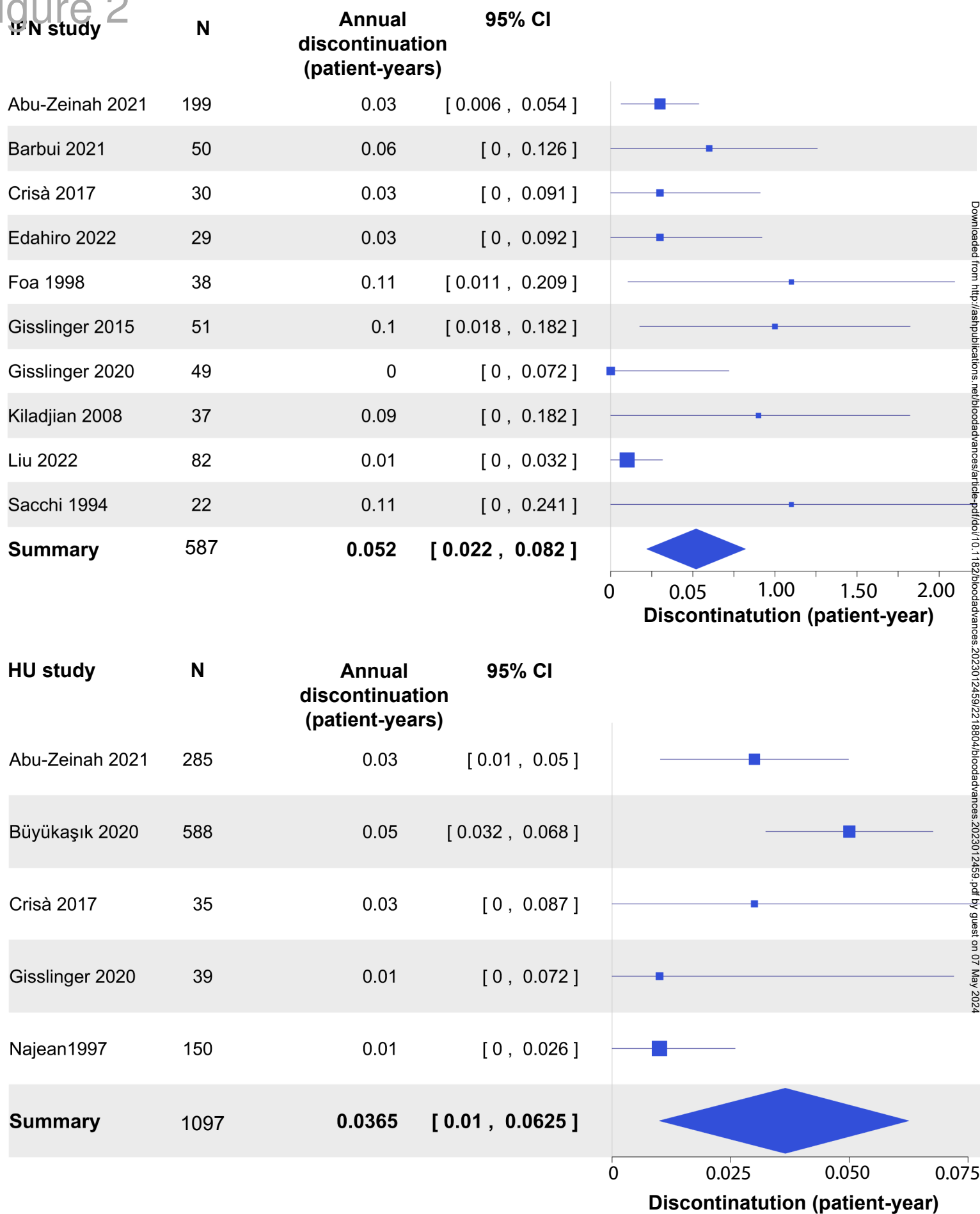


Figure 2



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Figure 3

