

Brentuximab Vedotin and Chemotherapy in Relapsed/Refractory Hodgkin Lymphoma: a Propensity Score Matched Analysis

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Abstract:

Several single-arm studies have explored the inclusion of brentuximab vedotin (BV) in salvage chemotherapy followed by autologous stem-cell transplantation (ASCT) for relapsed/refractory (R/R) classical Hodgkin lymphoma (cHL). However, no head-to-head comparisons with standard salvage chemotherapy have been performed. This study presents a propensity score-matched analysis encompassing individual patient data from ten clinical trials to evaluate the impact of BV in transplant-eligible R/R cHL patients. We included 768 patients, of whom 386 were treated with BV +/- chemotherapy (BV-cohort), while 382 received chemotherapy alone (chemo-cohort). Propensity score matching resulted in balanced cohorts of 240 patients each. No significant differences were observed in pre-ASCT complete metabolic response (CMR) rates ($p=0.69$) or progression free survival (PFS) ($p=0.14$) between the BV- and chemo-cohorts. However, patients with relapsed disease had a significantly better 3-year PFS of 80% versus 70% in the BV- versus chemo-cohort ($p=0.02$), while there was no difference for primary refractory patients (56% versus 62%, respectively; $p=0.67$). Patients with stage IV disease achieved a significantly better 3-year PFS in the BV-cohort ($p=0.015$). Post-ASCT PFS was comparable for patients achieving a CMR after BV monotherapy and those receiving BV followed by sequential chemotherapy ($p=0.24$). While 3-year overall survival was higher in the BV-cohort (92% versus 80%, $p<0.001$, respectively), this is likely attributed to the use of other novel therapies in later lines for patients experiencing progression, given that studies in the BV-cohort were conducted more recently. In conclusion, BV +/- salvage chemotherapy appears to enhance PFS in relapsed but not primary refractory cHL patients.

Conflict of interest: COI declared - see note

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49

50 **Data sharing**

51 Researchers may request access to certain de-identified data and related study documents by
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53

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70 database harmonization. HS performed the PET revision. JD performed the statistical analysis

71 under supervision of BAH. JD and FdW drafted the manuscript with contributions from all
72 authors. All authors interpreted the data, read, commented on, and approved the final version of
73 the manuscript.

74

75 **CONFLICT OF INTEREST**

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105 **ABSTRACT: (250 of max 250 words)**

106 Several single-arm studies have explored the inclusion of brentuximab vedotin (BV) in salvage
107 chemotherapy followed by autologous stem-cell transplantation (ASCT) for relapsed/refractory
108 (R/R) classical Hodgkin lymphoma (cHL). However, no head-to-head comparisons with standard
109 salvage chemotherapy have been performed. This study presents a propensity score-matched
110 analysis encompassing individual patient data from ten clinical trials to evaluate the impact of
111 BV in transplant-eligible R/R cHL patients. We included 768 patients, of whom 386 were treated
112 with BV +/- chemotherapy (BV-cohort), while 382 received chemotherapy alone (chemo-
113 cohort). Propensity score matching resulted in balanced cohorts of 240 patients each. No
114 significant differences were observed in pre-ASCT complete metabolic response (CMR) rates
115 ($p=0.69$) or progression free survival (PFS) ($p=0.14$) between the BV- and chemo-cohorts.
116 However, patients with relapsed disease had a significantly better 3-year PFS of 80% *versus* 70%

117 in the BV- *versus* chemo-cohort (p=0.02), while there was no difference for primary refractory
118 patients (56% *versus* 62%, respectively; p=0.67). Patients with stage IV disease achieved a
119 significantly better 3-year PFS in the BV-cohort (p=0.015). Post-ASCT PFS was comparable for
120 patients achieving a CMR after BV monotherapy and those receiving BV followed by sequential
121 chemotherapy (p=0.24). While 3-year overall survival was higher in the BV-cohort (92% *versus*
122 80%, p<0.001, respectively), this is likely attributed to the use of other novel therapies in later
123 lines for patients experiencing progression, given that studies in the BV-cohort were conducted
124 more recently. In conclusion, BV +/- salvage chemotherapy appears to enhance PFS in relapsed
125 but not primary refractory cHL patients.

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128 **KEY POINTS** (max 140 characters)

- 129 • BV +/- chemotherapy does not increase CMR rates or PFS in R/R cHL, but seems to
130 increase PFS in patients with relapsed or stage IV disease
- 131 • Sequential treatment with BV and chemotherapy is feasible and could spare salvage
132 chemotherapy in a subset of fast responding patients

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148 INTRODUCTION

149 For the past 30 years, standard treatment of patients with classical Hodgkin lymphoma (cHL)
150 who are primary refractory or relapse (R/R) after first-line (primary) treatment, has been to test
151 for chemosensitivity with salvage chemotherapy and, upon response, to treat with myeloablative
152 high-dose chemotherapy (HDCT) followed by autologous stem-cell transplantation (ASCT).¹⁻³
153 With this strategy about 70-80% of patients respond to salvage chemotherapy of whom
154 approximately 60% achieve a complete metabolic response (CMR) based on a negative ¹⁸F-
155 fluorodeoxyglucose (FDG)-positron emission tomography (PET) scan prior to ASCT.^{1,4-6}
156 However, 30-40% of patients will relapse within 5 years after ASCT and subsequently have a
157 poor prognosis.^{1,7} Importantly, it has been shown that patients who achieve a CMR pre-ASCT
158 have a better prognosis with long-term post-ASCT progression free survival (PFS) of
159 approximately 70-80%.^{1,4,8}

160 In the past decade, new targeted treatment options such as brentuximab vedotin (BV) and
161 checkpoint inhibitors have become available for patients with R/R cHL.⁹⁻¹¹ BV is an antibody-
162 drug conjugate composed of an anti-CD30 monoclonal antibody with a cytotoxic payload of

163 monomethyl auristatin E (MMAE).¹² In the first-line setting, BV in combination with
164 adriamycin, vinblastine and dacarbazine (BV-AVD) has been shown to improve PFS and overall
165 survival (OS) in advanced stage patients compared to standard adriamycin, bleomycin,
166 vinblastine and dacarbazine (ABVD).^{13,14} In the R/R setting, several phase II single arm clinical
167 trials have investigated BV in combination with concomitant or sequential chemotherapy
168 followed by ASCT.¹⁵⁻²⁴ These trials showed a high CMR rate prior to ASCT, and PFS and OS
169 appear to be higher when compared to historical controls.²⁵ However, no randomized controlled
170 trials (RCT) investigating the addition of BV to salvage chemotherapy compared to
171 chemotherapy alone in R/R cHL have been published to this date. An individual patient-data
172 analysis could provide more power for assessing the effect of novel treatments, and can also
173 detect interactions between outcome parameters and patient characteristics outcomes, compared
174 to standard meta-analyses.

175 Therefore, we aimed to perform a large, individual patient data analysis to investigate the
176 effect of BV addition to salvage chemotherapy versus chemotherapy alone on pre-ASCT PET
177 response, PFS and OS in patients with transplant-eligible R/R cHL.

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METHODS

Literature search and data collection

We performed a literature search on PubMed and clinicaltrials.gov to identify clinical trials investigating BV in combination with salvage chemotherapy (BV-cohort), or salvage chemotherapy alone (chemo-cohort) followed by ASCT in transplant-eligible cHL patients with a first relapse or primary refractory disease after first-line (primary) treatment [**Supplementary Extended Methods**]. Ten studies were identified that met our inclusion criteria, the investigators of all ten studies provided the individual-patient data for inclusion in the analysis. Seven studies, published between 2017 and 2021, were included in the BV-cohort and three studies, published between 2010 and 2016, were included in the chemo-cohort [**Supplemental Figure 1 and Supplemental Table 1**]. We gathered pseudonymized individual patient-data from case record forms or study databases. For secondary use of data for this analysis, a waiver for informed consent was obtained from the Ethics Committee of all participating centers.

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210 **Endpoints and definitions**

211 The primary endpoint was the 3-year PFS. A cutoff of 3 years was chosen because most relapses
212 occur within 2-3 years, and limited follow-up for several studies.⁷ Secondary endpoints included
213 event free survival (EFS), OS, and pre-ASCT CMR rate. PFS was defined as time from
214 enrollment in the clinical trial to progressive disease (PD) or death from any cause, whichever
215 occurs first. To eliminate bias in PFS occurring due to differences in study protocols, patients
216 with stable disease (SD) after salvage treatment who did not proceed to ASCT were censored at
217 time of going off study. Patients who did not undergo ASCT but received BV monotherapy
218 instead were censored at time of end of salvage chemotherapy. EFS was defined as time from
219 enrollment to PD or death, or until end of salvage therapy if patients could not proceed to ASCT
220 due to toxicity or insufficient response (SD/PD) after salvage therapy. Patients with SD who
221 received additional therapy before ASCT were counted as event. OS was defined as time from
222 enrollment to death from any cause.

223 CMR was defined as Deauville score (DS) 1-3 according to the 2014 Lugano criteria.²⁶ A
224 partial metabolic response (PMR) was defined as DS 4-5 without progression or development of
225 new lesions. In the ICE-GVD study of Moskowitz et al., the pre-ASCT PET-scans in the chemo-
226 cohort were evaluated according to the international working group criteria, in which a positive
227 scan was defined as uptake greater than the mediastinal or abdominal aortic blood pool
228 (comparable to \geq DS3).^{4,27} To harmonize response assessment, all positive PET-scans from the
229 ICE-GVD study were re-assessed according to the Lugano criteria by a nuclear medicine
230 physician (HS).²⁶

231 The definition of primary refractory disease varied among studies, and not all collected
232 relapse interval data. We defined primary refractory disease as ‘not having achieved a complete
233 response on first line treatment’, encompassing partial response, SD or PD, irrespective of
234 relapse interval. Bulky disease was defined as a tumor bulk ≥ 5 cm. Early relapse was defined as
235 relapse interval < 1 year. Stage was defined according to the Ann Arbor criteria. In the study of
236 Santoro et al.⁵ (n=59 patients), stage was not collected but information about the number of
237 lymphatic and extralymphatic sites allowed to identify patients with stage I (one lymphatic site)
238 or stage IV disease (≥ 1 lymphatic and ≥ 1 extralymphatic site, and the investigators confirmed
239 that there were no patients with stage IE/IIIE disease). However, stage II and III were combined
240 for n=24 patients because the infra- or supradiaphragmatic distribution was unknown. Primary
241 treatment was categorized into ABVD, escalated bleomycin, etoposide, adriamycin,
242 cyclophosphamide, vincristine, procarbazine and prednisone (escBEACOPP) or other therapies.
243 Patients initially treated with ABVD and later escalated to escBEACOPP were categorized under
244 escBEACOPP.

245

246 **Statistical analysis**

247 Pearson’s chi-squared or Fisher’s exact test were used to compare categorical variables, and
248 Kruskal–Wallis rank-sum test for assessing continuous variables. Survival outcomes were
249 analyzed using the Kaplan-Meier method and pairwise log-rank tests. Univariable and
250 multivariable Cox regression analyses were performed to assess the association between baseline
251 characteristics and survival outcomes. Logistic regression was used to assess the association
252 between baseline characteristics and binary response outcomes. Patients with missing data were
253 only excluded from analyses when the missing variable was required for the specific analysis.

254 A 1:1 propensity score matching analysis was performed to adjust for the effects of
255 unbalanced covariates between the BV- and chemo-cohort.²⁸ We conducted matching based on
256 baseline patient characteristics significantly associated with PFS. To ensure a robust distribution
257 of patients within the matched dataset, we repeated the matching process 2000 times as part of
258 internal cross-validation. More detailed information about the matching procedure is provided in
259 the **Supplementary Extended Methods**.

260 Statistical analysis was performed using R software version 4.0.3. A P-value of <0.05
261 was considered statistically significant.

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263

264 **RESULTS**

265 **Patient characteristics**

266 Individual patient-data of ten clinical trials with a total of 832 transplant-eligible patients were
267 collected.^{4-6,15-21} Sixty-four patients were excluded (mainly because they had received >1 line of
268 therapy). In total, 768 patients were included, with 386 in the BV-cohort (BV +/- salvage
269 chemotherapy) and 382 in the chemo-cohort (salvage chemotherapy only) [**Figure 1** and **Table**
270 **1**]. There was an imbalance in primary refractory cases (55% versus 20% for the BV- and
271 chemo-cohort, respectively) due to a substantial number of patients enrolled in the study of
272 Josting et al.⁶ (225 of 382; 59%) that specifically excluded primary refractory patients.
273 Moreover, this study included more patients who were treated with escBEACOPP as primary
274 treatment. An overview of study information including treatment regimens and summarized
275 patient characteristics can be found in **Supplemental Table 1** and **2**.

276

277 **Survival outcomes in the whole cohort**

278 The median follow-up time was 38 months (interquartile range, IQR, 24-50) for the BV-cohort
279 and 47 months (IQR 31-68) for the chemo-cohort. Of 242 patients with PD, only 17 (7%)
280 progressed beyond three years, supporting the 3-year cutoff for survival analysis [**Supplemental**
281 **Table 3**]. The 3-year PFS, without matching for baseline characteristics, was not significantly
282 different between the BV- and chemo-cohort: 66.7% (95% confidence interval (CI): 62-72)
283 versus 67.4% (95% CI: 63-72) (p=0.61), respectively, and EFS was comparable to PFS
284 [**Supplementary Figure 2**]. In the BV cohort, 40 (10.4%) patients died, of whom 9 patients died
285 without having PD (n=2 toxicity, n=3 infection, n=1 other cause, n=3 unknown). In the chemo-
286 cohort, a total of 76 (19.9%) patients died, of whom 14 patients died without PD (n=7 toxicity,
287 n=1 infection, n=3 other cause, n=3 unknown). Three-year OS was significantly higher for the
288 BV-cohort compared to the chemo-cohort: 91.0% (95% CI: 88-94) versus 80.4% (95% CI: 76-
289 85) (p=0.002) [**Supplementary Figure 2 and 3**].

290

291 **Survival outcomes in the matched dataset**

292 The following variables were significantly related to PFS and were used for propensity score
293 matching: R/R status, bulky disease, extranodal disease, stage IV, B symptoms (at time of
294 enrollment in the studies) and primary treatment with escBEACOPP [**Supplementary Extended**
295 **methods Table 2**]. The matched dataset consists of a total of 480 patients with 240 patients each
296 in the BV- and chemo-cohort in which the patient characteristics are now equally distributed,
297 except for WHO performance status 2, but this was not significantly related to PFS (p=0.6) or
298 OS (p=0.6) [**Table 2, Extended methods Table 2**].

299 In the matched dataset, 3-year PFS did not significantly differ between the BV- and
300 chemo-cohort with a 3-year PFS of 72.2% (95% CI: 67-78) versus 67.1% (95% CI: 61-73)
301 (p=0.14), respectively [**Figure 2A** and **Supplemental Table 4**]. The EFS was similar to PFS.
302 However, there was a significant higher 3-year OS for patients treated within the BV-cohort of
303 91.9% (95% CI: 88-96) vs 79.5% (95% CI: 74-85) for the chemo-cohort, p=0.00043 [**Figure**
304 **2C**]. In patients with PD, significantly more patients died in the chemo-cohort (31/72; 43%)
305 compared to the BV-cohort (19/65; 29%) (p=0.0011), while in patients without PD there was no
306 significant difference in the number of deaths between the BV-cohort (5/175; 3%) versus the
307 chemo-cohort (8/168; 5%) (p=0.4), suggesting that advances in later lines of therapy are most
308 likely the cause of improved OS in the BV-cohort.

309 In patients with relapsed disease, the BV-cohort showed a significantly better 3-year PFS
310 compared to the chemo-cohort of 79.9% (95% CI: 74-87) versus 69.7% (95% CI: 63-77),
311 respectively (p=0.02) [**Figure 2D**]. The EFS and OS for relapsed patients were also significantly
312 better in the BV-cohort (p=0.043 and p<0.0001, respectively). However, for patients with
313 primary refractory disease, there were no significant differences in 3-year PFS (p=0.67), EFS
314 (p=0.54) and OS (p=0.32) between the BV- and chemo-cohorts [**Figure 2G-I**].

315 In the BV-cohort, 216 (90%) patients underwent ASCT compared to 199 (83%) patients
316 in the chemo-cohort (p=0.023) [**Table 3**]. Post-ASCT survival outcomes were comparable
317 between the BV- and chemo-cohorts [**Supplementary Figure 4**]. In patients with relapsed
318 disease who underwent ASCT, the 3-year PFS (p=0.32) and EFS (p=0.32) were not significantly
319 different, but the OS was significantly better for the BV-cohort (p=0.0097). Again, for primary
320 refractory patients there was no difference in PFS (p=0.18), EFS (p=0.22) and OS (p=0.48)
321 [**Supplemental Table 5** and **Supplementary Figure 4**].

322

323 **Subgroup analysis for survival between BV- and chemo-cohort**

324 In the matched dataset, we tested differences in 3-year PFS between the BV- and chemo-cohort
325 for specific subgroups using univariable Cox regression [**Figure 3**]. Patients with relapsed
326 disease in the BV-cohort had a significantly lower risk of PD compared to the chemo-cohort (HR
327 0.59; 95% CI: 0.37-0.93; p=0.022). Similarly, patients with stage IV disease had significantly
328 lower risk of PD in the BV-cohort (HR 0.53, 95% CI: 0.32-0.88; p=0.015). Patients with
329 extranodal disease showed a trend for better PFS in the BV-cohort with a HR of 0.65 (95% CI:
330 0.41-1.03; p=0.067), but this was not significant. Exploratory multivariable subgroup analysis of
331 R/R status and stage IV showed a trend for better PFS in the BV-cohort for patients who had
332 both stage IV and relapsed disease (n=97) (HR 0.50; 0.25-1.02; p=0.058).

333

334 **Pre-ASCT PET responses in the whole cohort**

335 Nine out of ten studies had PET-CT data available. N=225 patients from the study of Josting et
336 al. were excluded from the chemo-cohort because responses were assessed using conventional
337 CT scan. Consequently, the chemo-cohort comprised 157 patients with available PET data. The
338 CMR rate in the whole BV-cohort was 76% versus 80% in the chemo-cohort (p=0.30) [**Table 3**].
339 The ORR rates based on PET were not significantly different between the BV- and chemo
340 cohorts. However, when including patients from the study of Josting et al. in which the ORR was
341 based on conventional CT, the BV-cohort displayed a significantly higher ORR of 89%,
342 compared to 79% in the chemo-cohort (p<0.001) [**Table 3**].

343 In subgroup analysis, patients with relapsed disease exhibited higher CMR rates
344 compared to patients with primary refractory disease. However, no significant differences in

345 CMR or ORR rates were observed between the BV- and chemo cohorts within these subgroups
346 [Table 3].

347 In the study of Moskowitz et al. within the chemo-cohort, patients with a PMR or SD
348 after ifosfamide, carboplatin, and etoposide (ICE) treatment underwent sequential gemcitabine,
349 vinorelbine, and doxorubicin (GVD). This sequential therapy resulted in a conversion from
350 PMR/SD to a CMR in n=21 patients (of whom n=15 were included in the matched cohort). To
351 ensure a comprehensive assessment, we recalculated the CMR rate after ICE-only, excluding
352 these patients from the CMR count. This adjustment yielded a CMR rate of 67% for the total
353 matched chemo-cohort. Upon comparing the CMR rate of 76% in the BV-cohort to the CMR
354 rate of 67% after ICE-only in the chemo-cohort, a notable significance emerged in both
355 univariable (p=0.025) and multivariable analysis (p=0.0017) [Table 3]. This distinction was
356 particularly pronounced among patients with relapsed disease, as in this subgroup the CMR rate
357 was significantly higher in the BV-cohort compared to the chemo-cohort. Conversely, in primary
358 refractory patients, no significant differences in CMR rates were observed between the two
359 cohorts [Table 3].

360 Slightly more patients underwent ASCT in the BV-cohort (335/386; 87%) versus the
361 chemo-cohort (324/382; 85%), but this was not significant in univariable (p=0.38) or
362 multivariable analysis adjusted for baseline characteristics (p=0.06). For relapsed patients, a
363 significant higher percentage of patients underwent ASCT in the BV-cohort compared to the
364 chemo-cohort (90% versus 86%; p=0.012 multivariate) [Table 3]. Among patients who
365 underwent ASCT, those achieving a CMR (n=398) pre-ASCT had a 3-year PFS of 78.3% (95%
366 CI: 74-83), which was significantly higher than those transplanted after a PMR (n=57) with a 3-
367 year PFS of 64.2% (95% CI: 53%-78%) (p=0.01), or SD (n=8) with a 3-year PFS of 37.5% (95%

368 CI: 15-92; p=0.0004) [Figure 4A]. In all patients who were transplanted while having obtained a
369 CMR, there was no difference in 3-year PFS between the BV- and chemo-cohorts (p = 0.92; data
370 not shown). Notably, post-ASCT there was a significantly lower OS for patients with SD
371 compared to a CMR (p=0.0042), while no OS difference was observed for patients with a PMR
372 versus CMR (p=0.286 [Figure 4B].

373

374 **Influence of BV dose and salvage chemotherapy schedule**

375 Within the whole BV-cohort (unmatched dataset, BV-cohort n=386), subgroup analysis shows a
376 non-significant trend for a higher PFS (HR 0.72; 95% CI: 0.50 – 1.04; p=0.079) in studies that
377 used BV with a combination of chemotherapeutic agents, e.g. dexamethasone, high-dose
378 cytarabine, and cisplatin (DHAP), ICE, or etoposide, methylprednisolone, cisplatin and
379 cytarabine (ESHAP), versus a single agent, e.g. bendamustine or gemcitabine [Supplemental
380 Table 6].^{16,17,21,24} The use of a sequential schedule (i.e. BV monotherapy followed by
381 chemotherapy), the number of BV cycles and the cumulative BV dose did not have an impact on
382 3-year PFS or pre-ASCT CMR rate between studies in the BV-cohort. This suggests that more
383 cycles of BV does not improve CMR rates or PFS. Two studies applied BV maintenance after
384 ASCT (11% of total number of patients).^{17,19} However, not all patients received BV maintenance
385 and many patients received less than the intended number of maintenance cycles due to toxicity
386 or other reasons, which limits an analysis to assess the effect of BV maintenance [Supplemental
387 Table 2].^{17,19}

388

389 **Outcomes of sequential treatment**

390 Three studies followed a sequential approach: two studies in the BV-cohort used 2-4
391 cycles of BV monotherapy, allowing patients with a CMR to proceed directly to ASCT while
392 PET-positive patients received additional ICE salvage chemotherapy before ASCT, and one
393 study in the chemo-cohort used two cycles of ICE and patients without CMR received additional
394 GVD chemotherapy before ASCT.^{4,21,24} Subgroup analysis showed no significant differences in
395 3-year PFS between patients achieving CMR with one line of therapy (BV monotherapy or ICE
396 only) and those requiring two lines (BV-ICE or ICE-GVD) to achieve a CMR (p=0.24) [**Figure**
397 **4C** and **4D**]. OS also showed no significant differences between these groups (p=0.62)
398 [**Supplemental Table 7**].

399

We gathered pseudonymized individual patient-data from case record forms or study databases from clinical trials through the corresponding authors / investigators from the studies. For secondary use of data for this analysis, a waiver for informed consent was obtained from the Ethics Committee of all participating centers.

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405 **DISCUSSION**

406 In this matched analysis of individual patient-data from prospective single-arm clinical trials, we
407 investigated the effect of BV addition to salvage chemotherapy followed by ASCT in transplant-
408 eligible R/R cHL patients. We found no statistically significant differences in PFS, EFS and pre-

409 ASCT CMR rate for patients treated with BV +/- chemotherapy compared to patients treated
410 with salvage chemotherapy only. However, relapsed patients and patients with stage IV disease
411 had a significantly better PFS and EFS when adding BV to the salvage treatment. While OS was
412 significantly better in the BV-cohort, this may be influenced by the time in which the BV studies
413 were conducted (2015-2021) compared to chemo-cohort studies (2010-2016). A recent
414 retrospective study in R/R cHL patients who underwent ASCT showed an OS improvement over
415 time, corresponding to the increased usage of immune-checkpoint inhibitors and BV.²⁹
416 Therefore, the observed OS difference in the BV cohort is probably driven by the availability of
417 checkpoint inhibitors for patients who fail salvage therapy or relapse after ASCT.^{9-11,30}

418 The disparity in survival outcomes between primary refractory and relapsed patients
419 could potentially be explained by the antitumor mechanism of action of BV. BV elicits its
420 antitumor effect through the cytotoxic warhead MMAE, a substrate for the multidrug resistance
421 pump P-glycoprotein (PGP).³¹ It has been shown that BV-resistant cell lines have elevated PGP,
422 which is known to also occur after exposure to other cytotoxic agents such as doxorubicin.^{32,33}
423 Thus, tumor cells that are able to resist first-line chemotherapy might employ the same
424 mechanism to convey resistance to BV. Because patients with primary refractory disease are
425 more likely to be resistant to chemotherapy, this might explain that they could also be resistant to
426 BV. Therefore, in patients with primary refractory disease there is still an unmet need to improve
427 outcomes, and other non-chemotherapeutic therapies such as immune checkpoint inhibitors
428 should be considered.³⁴⁻³⁶

429 Patients with stage IV disease had improved PFS in the BV-cohort versus the chemo-
430 cohort. This may be attributed to a larger total tumor volume, necessitating intensified treatment
431 which could be achieved by augmenting standard chemotherapy with BV. In subgroup analyses

432 of the Echelon-1 trial, stage IV was also associated with better PFS in patients treated with BV-
433 AVD compared to ABVD, suggesting a similar effect in the R/R setting.^{13,14}

434 We showed that patients who were treated with a sequential approach who achieved a
435 PMR after BV or ICE only, yet converting to a CMR following salvage chemotherapy with ICE
436 (after BV) or GVD (after ICE), exhibited comparable survival outcomes to those directly
437 achieving CMR. This highlights the feasibility of a sequential approach, potentially sparing
438 chemotherapy in rapid responders. Emphasizing the significance of attaining CMR pre-ASCT,
439 our study suggests that improving survival in PMR patients could be accomplished by inducing
440 CMR through additional salvage chemo- or immunotherapy before ASCT.^{4,21,24}

441 Our analysis is limited by missing variables in certain studies, partially mitigated by our
442 matching method. Consequently, not all patients could be included in specific (multivariable)
443 analyses. While our analysis approach addresses inherent differences in trial populations and
444 design as much as possible, it is essential to emphasize several significant distinctions in design:
445 a large portion of patients in the chemo-cohort lacked response assessment using PET, restricting
446 the comparison of pre-ASCT CMR rates between the BV- and chemo-cohorts. Unfortunately, we
447 could not evaluate the impact of BV maintenance in our analysis as only a limited number of
448 patients received BV maintenance in our cohort, and the number of BV maintenance cycles
449 differed widely across patients due to various reasons, limiting a proper analysis. Additionally,
450 assessing the impact of radiotherapy was hindered by varying protocols among the studies.
451 While some universally applied pre-ASCT radiotherapy to patients with extranodal and bulky
452 disease, others selectively used it on residual lesions either before or after ASCT.^{4,16,24}

453 Generally, the PFS, OS and CMR rates in the chemo-cohort appear favorable compared
454 to real-world data.^{7,37} However, the studies in our analysis only included transplant-eligible

455 patients, known for better outcomes compared to elderly or unfit patients. Furthermore, the study
456 of Josting et al. specifically excluded primary refractory patients. While our analysis minimizes
457 bias through matching and inclusion of prospective trials, caution is warranted in generalizing to
458 real-world scenarios. Therefore, the observed results of our analysis should be interpreted with
459 caution and cannot replace an RCT. Nonetheless, at the moment this is the largest matched
460 analysis based on individual patient-data in R/R cHL, incorporating recent clinical trial data.
461 Therefore, it serves as a benchmark for future (single-arm) studies exploring novel therapies or
462 regimens that aim to replace HDCT/ASCT with novel drugs.

463 Preliminary results of an ongoing phase IIb RCT, comparing BV-ESHAP to ESHAP
464 alone in a cohort of 150 patients, indicate a higher CMR rate in the BV-ESHAP group.³⁸
465 However, the limited sample size of the study may impede subgroup analyses for risk factors. In
466 addition, this study evaluates the substitution of ASCT by BV maintenance therapy in patients
467 with a CMR after salvage treatment. While this investigation could provide valuable insights into
468 the potential replacement of ASCT with maintenance therapy, it may complicate the direct
469 comparison of long-term outcomes between the BV-ESHAP and ESHAP arms.

470 Emerging novel therapies, including immune-checkpoint inhibitors, are gaining attention
471 in the relapsed/refractory setting. In a phase III head-to-head comparison, single-agent
472 pembrolizumab demonstrated superior median PFS and lower toxicity to BV.⁴⁰ Checkpoint
473 inhibition, either alone or in combination with BV or chemotherapy, has proven effective in
474 single-arm studies.³⁴⁻³⁶ Exploring a similar individual patient-data analysis for studies combining
475 chemotherapy with checkpoint inhibitors versus BV-chemo or chemotherapy alone could offer
476 valuable insights. The evolving landscape, where BV is increasingly used in newly diagnosed
477 patients, raises questions about its retreatment efficacy in the salvage setting.¹³ However,

478 | retreatment with BV in patients with multiple relapses showed persistent efficacy.⁴¹ Preliminary
479 findings from an extensive ongoing RCT comparing nivolumab-AVD to BV-AVD demonstrated
480 favorable outcomes for the nivolumab-AVD arm.⁴² This outcome might potentially prompt a
481 shift toward integrating checkpoint inhibitors as a first-line treatment, thereby reinstating the use
482 of BV in the salvage setting. Consequently, our results remain pertinent for future treatment
483 contexts. As novel therapeutic options shift to earlier lines of therapy, such as the use of
484 checkpoint inhibitors in the first or second line, studying the sequencing effects of these agents
485 becomes increasingly crucial, ideally through prospective clinical trials. However, it is essential
486 to acknowledge the lack of universal global access to these novel (and often expensive) agents, a
487 consideration that should also be addressed in guidelines outlining the optimal treatment for
488 patients with R/R cHL.

489 In summary, our study indicates that the addition of BV to chemotherapy did not enhance
490 CMR rates or PFS in the overall population of R/R cHL patients compared to standard salvage
491 chemotherapy. However, notable PFS improvements were observed in patients with relapsed or
492 stage IV disease undergoing salvage treatment that includes BV. Moreover, a sequential
493 approach involving BV monotherapy followed by salvage chemotherapy is both viable and has
494 the potential to reduce the need for salvage chemotherapy in certain patients. In the absence of
495 RCTs, this propensity score matched analysis on individual patient-data, offers valuable insights
496 in the treatment landscape for patients with R/R cHL. .e

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629

630 **AUTHORSHIP CONTRIBUTIONS**

631 JD and MJK designed the study. All authors collected the data. FdW and JD performed the
632 database harmonization. HS performed the PET revision. JD performed the statistical analysis
633 under supervision of BAH. JD and FdW drafted the manuscript with contributions from all
634 authors. All authors interpreted the data, read, commented on, and approved the final version of
635 the manuscript.

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638 **FIGURE LEGENDS**

639

640 **Figure 1: Consort diagram.** *Abbreviations: BV, Brentuximab vedotin; Chemo, chemotherapy;*
641 *n, number of patients.*

642

643 **Figure 2: Kaplan-Meier survival analyses on the matched cohort.** Kaplan-Meier curves
644 showing the progression free survival (PFS), event free survival (EFS) and overall survival (OS)
645 in the brentuximab vedotin (BV) and chemotherapy (chemo)-cohort in the matched dataset (**A,**
646 **B, C**), and corresponding analyses stratified for patients with relapsed (**D, E, F**) or primary
647 refractory disease (**G, H, I**).

648 *Abbreviations: ICE, ifosfamide, carboplatin and etoposide; GVD, gemcitabine, vinorelbine and*
649 *doxorubicin; CMR, complete metabolic response; PR, partial response.*

650

651 **Figure 3: Forest plot of the association between baseline characteristics and differences in**
652 **progression free survival between the BV- and chemo-cohorts.** Hazard ratios are shown for
653 univariable Cox regression on subgroup analyses of baseline characteristics for progression free
654 survival (PFS) comparing the brentuximab vedotin (BV)- and chemo-cohorts. A hazard ratio
655 lower than 1 corresponds to a higher PFS in the BV-cohort compared to the chemo-cohort.

656 *Abbreviations: BV, Brentuximab vedotin; Chemo, chemotherapy; CI, confidence interval; yr,*
657 *year; R/R status, relapsed or primary refractory disease status; ABVD, Adriamycin, bleomycin,*
658 *vinblastine, dacarbazine; BEACOPP, bleomycin, etoposide, adriamycin, cyclophosphamide,*
659 *vincristine, procarbazine, prednisone; CR, complete response; PR, partial response; SD, stable*
660 *disease; PD, progressive disease.*

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Figure 4: Kaplan-Meier subgroup survival analyses on the whole dataset. **A)** Progression free survival (PFS) and **B)** overall survival (OS) in patients who underwent ASCT stratified for pre-ASCT PET response in the whole dataset. **C-D)** PFS for patients who were treated in studies with a sequential approach and achieved a complete metabolic response (CMR) after one line of salvage treatment (BV or ICE only) *versus* patients who initially had no CMR but converted to a CMR after two lines of sequential treatment with additional chemotherapy (BV-ICE or ICE-GVD).

Abbreviations: BV, brentuximab vedotin; ICE, ifosfamide, carboplatin and etoposide; GVD, gemcitabine, vinorelbine and doxorubicin; PFS, progression free survival; OS, overall survival.

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685 **Tables**686 **Table 1: Baseline patient characteristics in the whole dataset**

| Patient characteristics (N; %) | BV-cohort (N=386) | Chemo-cohort (N=382) | P-value |
|---|-------------------|----------------------|---------|
| Female sex | 202 (52%) | 168 (44%) | 0.021 |
| Age, median (range) | 31 (5 - 68) | 34 (18 - 72) | 0.031 |
| WHO PS | | | < 0.001 |
| 0 | 158 (64%) | 256 (70%) | 0.1030 |
| 1 | 85 (34%) | 79 (22%) | 0.0008 |
| 2 | 5 (2%) | 29 (8%) | 0.0029 |
| <i>Unknown</i> | 138 | 18 | |
| Ann Arbor stage | | | < 0.001 |
| I | 29 (9%) | 43 (11%) | 0.3589 |
| II | 132 (41%) | 135 (36%) | 0.1861 |
| III | 53 (16%) | 59 (16%) | 0.8534 |
| II or III ¹ | 0 (0%) | 24 (6%) | NA |
| IV | 109 (34%) | 117 (31%) | 0.4791 |
| <i>Unknown</i> | 63 | 4 | |
| B symptoms | 107 (28%) | 74 (23%) | 0.133 |
| <i>Unknown</i> | 2 | 59 | |
| Extranodal disease | 142 (38%) | 134 (35%) | 0.493 |
| <i>Unknown</i> | 8 | 1 | |
| Bulky disease² | 128 (37%) | 101 (31%) | 0.126 |
| <i>Unknown</i> | 40 | 60 | |
| Primary refractory³ | 213 (55%) | 78 (20%) | < 0.001 |
| Relapse interval in days, median (range) | 147 (0 - 4883) | 250 (0 - 5258) | 0.123 |
| <i>Unknown</i> | 212 | 6 | |
| Early relapse <1 year | 259 (76%) | 230 (61%) | < 0.001 |
| <i>Unknown</i> | 43 | 5 | |
| Response to primary treatment | | | < 0.001 |
| Complete response | 173 (59%) | 304 (89%) | < 0.001 |
| Partial response | 55 (19%) | 21 (6%) | < 0.001 |
| Stable disease | 18 (6%) | 2 (1%) | < 0.001 |
| Progressive disease | 46 (16%) | 14 (4%) | < 0.001 |
| <i>Unknown</i> | 94 | 41 | |
| Primary treatment | | | < 0.001 |
| ABVD | 254 (90%) | 259 (71%) | < 0.001 |
| BEACOPP | 16 (6%) | 79 (22%) | < 0.001 |
| Other | 11 (4%) | 25 (7%) | 0.1455 |
| <i>Unknown</i> | 105 | 19 | |
| BV maintenance post-ASCT | 87 (24%) | NA | NA |

687 Patient characteristics are measured at time of enrollment in the studies, i.e. at time of relapse or
688 primary refractory disease, unless indicated otherwise.

689 ¹For 24 patients in the chemo-cohort from the trial by Santoro et al, stage at relapse was not
690 recorded but stage I and IV were deducted from the amount of involved lymph node sites,

691 extranodal sites and bone marrow involvement. It was not possible to distinguish between stage
 692 II and III disease because no data was available on the spatial distribution of nodal sites (i.e.
 693 infra- and/or supradiaphragmatic location). *Abbreviations:* BV, Brentuximab vedotin; Chemo,
 694 chemotherapy; PS, performance status; ABVD, doxorubicin, bleomycin, vinblastine,
 695 dacarbazine; BEACOPP, bleomycin, etoposide, adriamycin, cyclophosphamide, vincristine,
 696 procarbazine, prednisone.

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 698 **Table 2: Patient characteristics in the matched dataset**
 699

| | BV-cohort (N=240) | Chemo-cohort (N=240) | P-value |
|---|------------------------------|---------------------------------|----------------|
| Female sex | 132 (55%) | 130 (54%) | 0.855 |
| Age, median (range) | 30 (11 - 66) | 33 (18 - 72) | 0.118 |
| Primary refractory | 78 (32%) | 78 (32%) | 1.000 |
| B symptoms at relapse | 70 (29%) | 42 (23%) | 0.163 |
| <i>Unknown</i> | 1 | 59 | |
| Stage at relapse¹ | | | |
| I | 16 (8%) | 23 (10%) | 0.627 |
| II | 77 (38%) | 84 (35%) | 0.631 |
| II or III | 0 (0%) | 24 (10%) | NA |
| III | 37 (18%) | 27 (11%) | 0.112 |
| IV | 72 (36%) | 79 (33%) | 0.612 |
| <i>Unknown</i> | 38 | 3 | |
| Extranodal disease at relapse | 102 (42%) | 94 (39%) | 0.458 |
| Bulky disease at relapse² | 89 (41%) | 71 (39%) | 0.689 |
| <i>Unknown</i> | 24 | 59 | |
| Primary treatment with escBEACOPP | 14 (8%) | 17 (7%) | 0.985 |
| Early relapse <1year | 129 (65%) | 162 (68%) | 0.480 |
| <i>Unknown</i> | 42 | 3 | |
| WHO PS | | | |
| 0 | 98 (66%) | 158 (70%) | 0.505 |
| 1 | 49 (33%) | 48 (21%) | 1.000 |
| 2 | 2 (1%) | 21 (9%) | 0.0036 |
| <i>Unknown</i> | 91 | 13 | |
| Response to primary treatment = PD | 14 (7%) | 14 (7%) | 0.414 |
| <i>Unknown</i> | 38 | 41 | |

700 ¹For 24 patients in the chemo-cohort from the trial by Santoro et al, stage at relapse was not
 701 recorded but stage I and IV were deducted from the amount of involved lymph node sites,
 702 extranodal sites and bone marrow involvement. It was not possible to distinguish between stage
 703 II and III disease because no data was available on the spatial distribution of nodal sites (i.e.
 704 infra- and/or supradiaphragmatic). *Abbreviations:* BV, Brentuximab vedotin; Chemo,
 705 chemotherapy; escBEACOPP, escalated bleomycin, etoposide, adriamycin, cyclophosphamide,
 706 vincristine, procarbazine, prednisone; PS, performance score; PD, progressive disease; BV,
 707 brentuximab vedotin; N, number.

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Table 3: Pre-ASCT response rates and patients who underwent ASCT

| Outcome | Dataset | BV-cohort | | | Chemo-cohort | | | P | P |
|----------------------------|----------------------|-----------|-------|-----|--------------|-------|-----|--------------------|-----------------------|
| | | N | Total | % | N | Total | % | chisq ¹ | multivar ² |
| Underwent ASCT | Whole | 335 | 386 | 87% | 324 | 382 | 85% | 0.38 | 0.064 |
| Underwent ASCT | PET | 335 | 386 | 87% | 130 | 157 | 83% | 0.20 | 0.23 |
| Underwent ASCT | Matched | 216 | 240 | 90% | 199 | 240 | 83% | 0.023 | 0.020 |
| Underwent ASCT | Whole - Relapsed | 156 | 173 | 90% | 262 | 304 | 86% | 0.20 | 0.012 |
| Underwent ASCT | Whole - Refractory | 179 | 213 | 84% | 62 | 78 | 79% | 0.32 | 0.40 |
| Underwent ASCT | Whole - Stage IV | 92 | 109 | 84% | 91 | 117 | 78% | 0.15 | 0.29 |
| CMR | PET | 292 | 386 | 76% | 126 | 157 | 80% | 0.30 | 0.23 |
| CMR | Matched ⁴ | 193 | 240 | 80% | 108 | 137 | 79% | 0.69 | 0.28 |
| CMR | PET - Relapsed | 148 | 173 | 86% | 78 | 90 | 87% | 0.72 | 0.75 |
| CMR | PET - Refractory | 144 | 213 | 68% | 48 | 67 | 72% | 0.67 | 0.11 |
| CMR | PET - Stage IV | 74 | 109 | 68% | 46 | 60 | 77% | 0.22 | 0.42 |
| ORR (PET) | PET | 343 | 386 | 89% | 136 | 157 | 87% | 0.46 | 0.51 |
| ORR (PET) | PET - Relapsed | 164 | 173 | 95% | 81 | 90 | 90% | 0.14 | 0.11 |
| ORR (PET) | PET - Refractory | 179 | 213 | 84% | 55 | 67 | 82% | 0.71 | 0.43 |
| ORR (PET) | PET - Stage IV | 90 | 109 | 83% | 50 | 60 | 83% | 0.90 | 0.97 |
| ORR (CT) | Whole | 343 | 386 | 89% | 300 | 382 | 79% | <0.001 | <0.001 |
| ORR (CT) | Whole - Relapsed | 164 | 173 | 95% | 238 | 304 | 78% | <0.001 | <0.001 |
| ORR (CT) | Whole - Refractory | 179 | 213 | 84% | 62 | 78 | 79% | 0.36 | 0.84 |
| ORR (CT) | Whole - Stage IV | 90 | 109 | 83% | 88 | 117 | 75% | 0.18 | 0.020 |
| CMR ICE/BeGEV ⁵ | PET | 292 | 386 | 76% | 105 | 157 | 67% | 0.025 | 0.0017 |
| CMR ICE/BeGEV | Matched ⁴ | 193 | 240 | 80% | 93 | 137 | 68% | 0.005 | 0.0040 |
| CMR ICE/BeGEV | PET - Relapsed | 148 | 173 | 86% | 67 | 90 | 74% | 0.030 | 0.007 |
| CMR ICE/BeGEV | PET - Refractory | 144 | 213 | 68% | 38 | 67 | 57% | 0.067 | 0.15 |
| CMR ICE/BeGEV | PET - Stage IV | 74 | 109 | 68% | 39 | 60 | 65% | 0.69 | 0.11 |

715 ¹P values from chi-square comparison of BV- versus Chemo-cohort

716 ²P values from multivariable logistic regression comparing BV- versus Chemo-cohort corrected for
717 baseline characteristics: R/R status, stage, B symptoms, extranodal disease, bulky disease and primary
718 treatment with escBEACOPP.

719 ³The PET dataset is the whole dataset excluding patients from the study of Josting et al., in which
720 response-assessment was done by conventional CT scan only.

721 ⁴For CMR calculations in the matched dataset, patients from the study of Josting et al. have been removed
722 from the chemo-cohort, resulting in a smaller chemo-cohort of n=137 patients instead of n=240.

723 ⁵Comparison of pre-ASCT CMR rates measured after first sequential chemotherapy only. In the study of
724 Moskowitz et al. patients received sequential ICE and GVD chemotherapy in case of no CMR. In this
725 comparison the response after ICE only is used in the chemo cohort.

726 *Abbreviations:* PET, positron emission tomography; ASCT, autologous stem-cell transplant; CMR,
727 complete metabolic response rate; ICE, ifosfamide, carboplatin, etoposide; BeGEV, bendamustine,
728 gemcitabine, etoposide, vinorelbine; GVD, gemcitabine, vinorelbine, doxorubicin; BV, brentuximab
729 vedotin; Chemo, chemotherapy; chisq, chi-square test; multivar, multivariable logistic regression
730 analysis;

Figure 1

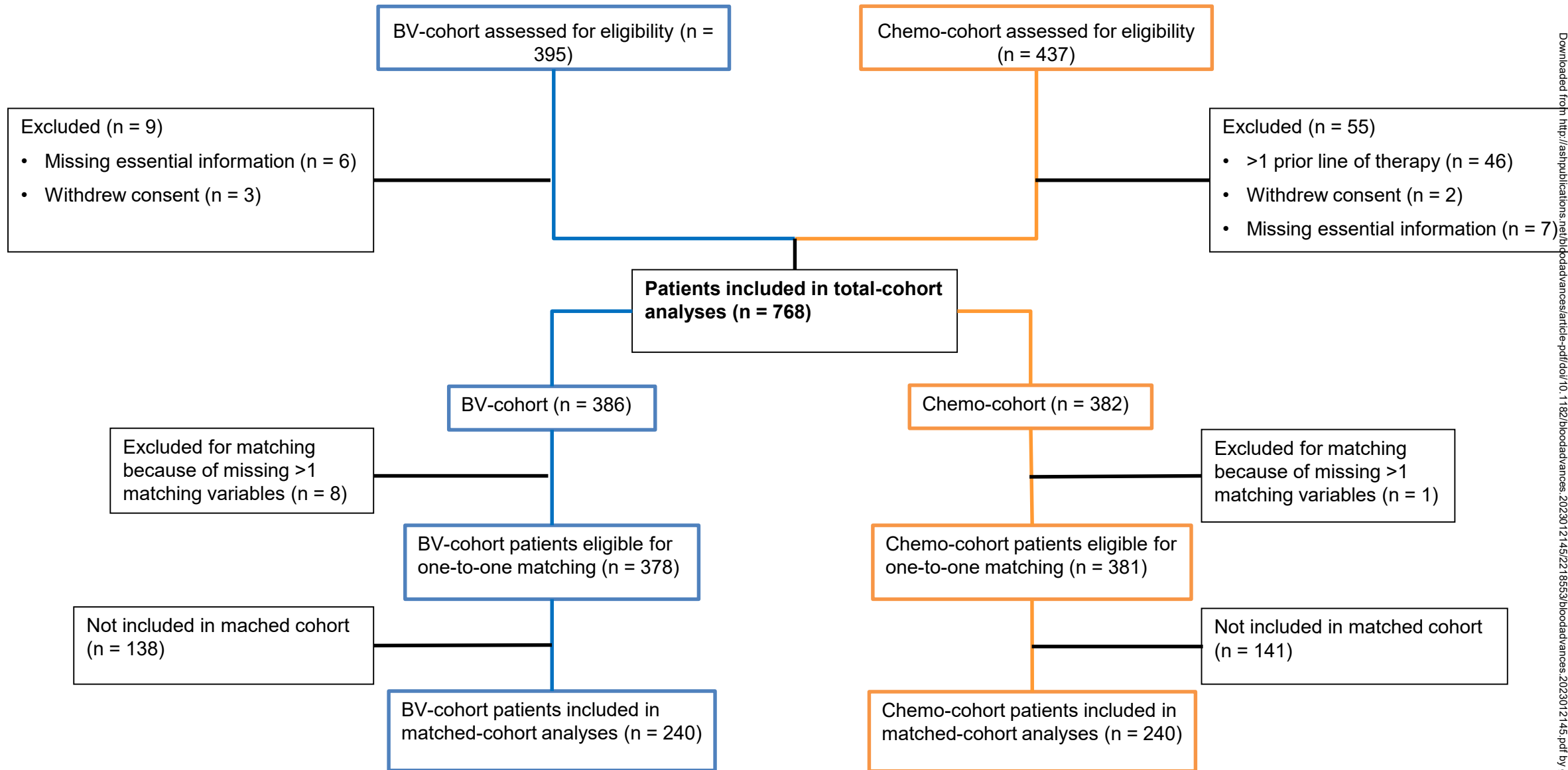


Figure 2

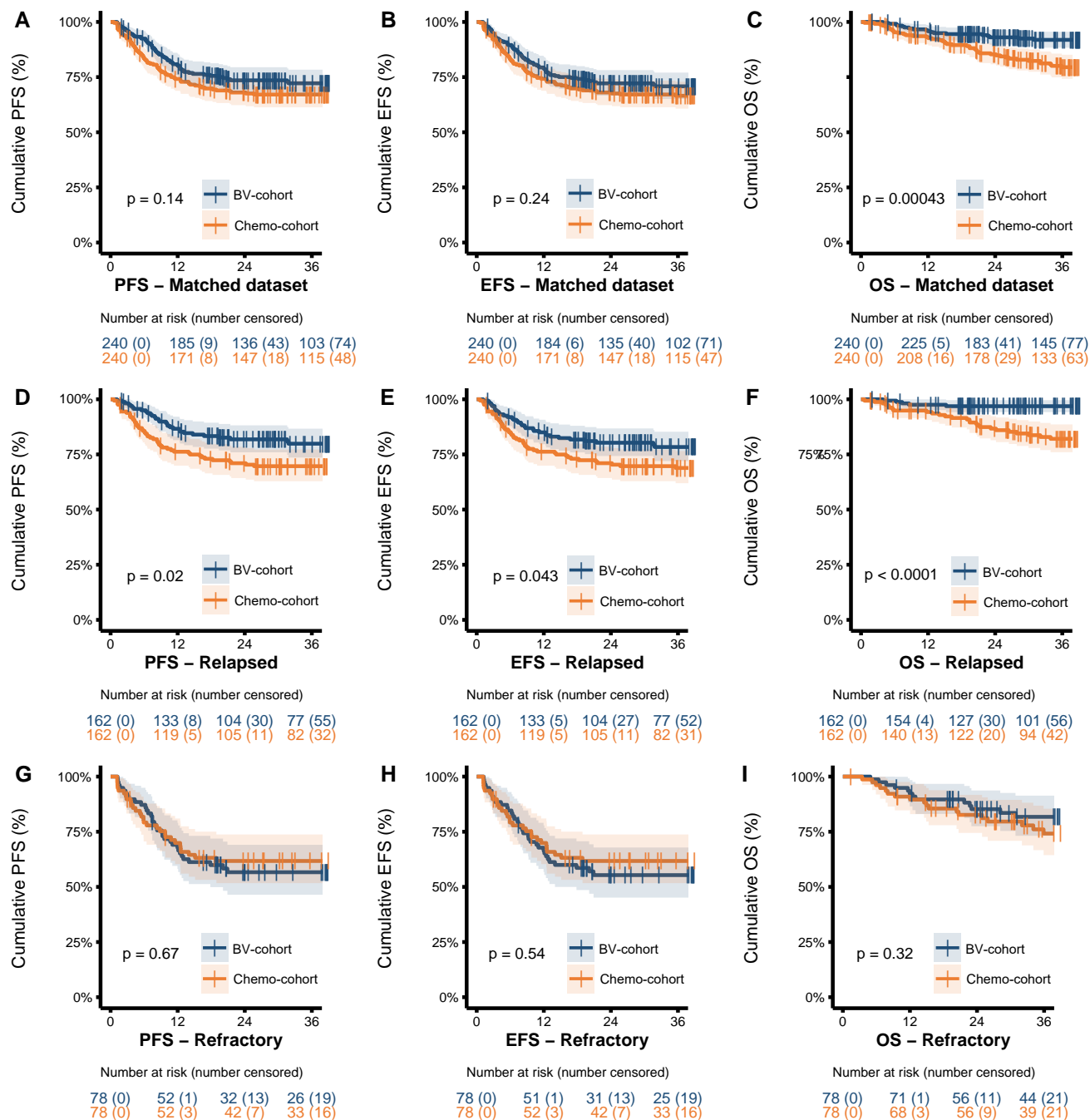


Figure 3

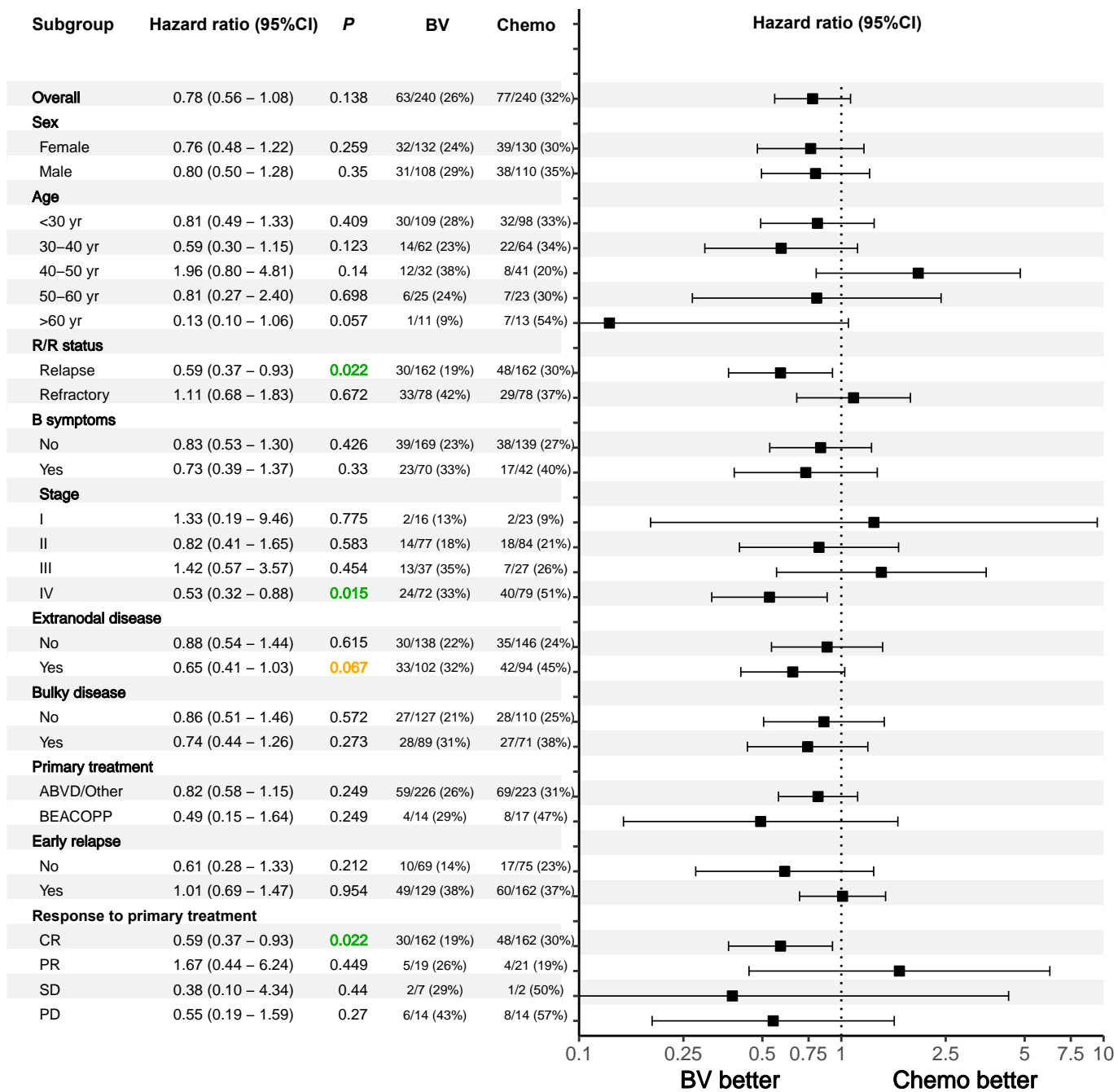


Figure 4

