

Cytokine profiling in 128 patients with transient abnormal myelopoiesis: a report from the JPLSG TAM-10 trial

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Abstract:

Transient abnormal myelopoiesis (TAM) occurs in 10% of neonates with Down syndrome (DS). Although most patients show spontaneous resolution of TAM, early death occurs in approximately 20% of cases. Therefore, new biomarkers are needed to predict early death and determine therapeutic interventions. This study aimed to determine the association between clinical characteristics and cytokine levels in patients with TAM. A total of 128 patients with DS with TAM enrolled in the TAM-10 study conducted by the Japanese Pediatric Leukemia/Lymphoma Study Group were included in this study. Five cytokine levels [interleukin (IL)-1 β , IL-1 receptor agonist, IL-6, IL-8, and IL-13] were significantly higher in patients with early death than in those with non-early death. Cumulative incidence rates (CIR) of early death were significantly associated with high levels of the five cytokines. Based on unsupervised consensus clustering, patients were classified into three cytokine groups: hot-1 (n = 37), hot-2 (n = 42), and cold (n = 49). The CIR of early death was significantly different between the cytokine groups [hot-1/2 (n = 79); cold (n = 49); CIR (95% confidence interval [CI]) = 16.5% (7.9%-24.2%); 2.0% (0.0%-5.9%), P = 0.013]. Furthermore, cytokine groups (hot-1/2 vs. cold) were independent poor prognostic factors in the multivariable analysis for early death [hazard ratio (95% CI) = 19.25 (2.056-180.3), P = 0.010]. These results provide valuable information that cytokine level measurement was useful in predicting early death in patients with TAM and might help to determine the need for therapeutic interventions.

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55 (hayashiy@jobu.ac.jp).

56

57 **Key Points**

58 Unsupervised consensus clustering of cytokine profiles in 128 patients with TAM
59 identified groups at higher risk for early death.

60 Measurement of levels of cytokine provides valuable information for patients with
61 TAM that may help determine therapeutic interventions.

62

63 **Abstract**

64 Transient abnormal myelopoiesis (TAM) occurs in 10% of neonates with Down
65 syndrome (DS). Although most patients show spontaneous resolution of TAM,
66 early death occurs in approximately 20% of cases. Therefore, new biomarkers
67 are needed to predict early death and determine therapeutic interventions. This
68 study aimed to determine the association between clinical characteristics and
69 cytokine levels in patients with TAM. A total of 128 patients with DS with TAM
70 enrolled in the TAM-10 study conducted by the Japanese Pediatric
71 Leukemia/Lymphoma Study Group were included in this study. Five cytokine
72 levels [interleukin (IL)-1b, IL-1 receptor agonist, IL-6, IL-8, and IL-13] were
73 significantly higher in patients with early death than in those with non-early death.
74 Cumulative incidence rates (CIR) of early death were significantly associated
75 with high levels of the five cytokines. Based on unsupervised consensus
76 clustering, patients were classified into three cytokine groups: hot-1 (n = 37),
77 hot-2 (n = 42), and cold (n = 49). The CIR of early death was significantly
78 different between the cytokine groups [hot-1/2 (n = 79); cold (n = 49); CIR (95%
79 confidence interval [CI]) = 16.5% (7.9%–24.2%); 2.0% (0.0%–5.9%), $P = 0.013$].
80 Furthermore, cytokine groups (hot-1/2 vs. cold) were independent poor
81 prognostic factors in the multivariable analysis for early death [hazard ratio (95%
82 CI) = 19.25 (2.056–180.3), $P = 0.010$]. These results provide valuable
83 information that cytokine level measurement was useful in predicting early death
84 in patients with TAM and might help to determine the need for therapeutic
85 interventions.

86

87 Introduction

88 Transient abnormal myelopoiesis (TAM), also known as transient leukemia or
89 transient myeloproliferative disorder, is a unique clonal myeloproliferation
90 characterized by immature megakaryoblasts. It occurs in 10% of neonates with
91 Down syndrome (DS).¹ Although most patients show spontaneous resolution of
92 TAM without therapeutic interventions, approximately 20% of TAM cases result
93 in early death (death within nine months), and approximately 20% of the
94 survivors develop acute megakaryoblastic leukemia within four years.²⁻⁶ Our
95 previous reports showed that high white blood cell (WBC) count ($\geq 100 \times 10^9/L$),
96 systemic edema, low birth weight, preterm birth at <37 weeks of gestational age,
97 and elevated direct bilirubin level >5 mg/dL were associated with early death.²⁻⁶
98 Low-dose cytarabine (LDAC) is a common therapy for TAM. It has been reported
99 that LDAC should be considered for patients with life-threatening symptoms and
100 risk factors associated with early death.⁷ Additionally, it has been reported that
101 the LDAC intervention rate was adversely associated with the early death rate.²
102 However, further studies are needed to determine the criteria for consensus
103 therapeutic intervention.

104 Previous reports showed that cytokine levels are associated with liver
105 failure, which is a cause of early death in patients with TAM.⁸ Thus, cytokine
106 levels can be new biomarkers to predict early death in patients with TAM.
107 However, no large cohort data are available for cytokine analyses in patients
108 with TAM. Thus, this study aimed to determine the association between clinical
109 characteristics and cytokine levels in patients with TAM by analyzing 128
110 patients with DS with TAM enrolled in the TAM-10 prospective observational

111 study conducted by the Japanese Pediatric Leukemia/Lymphoma Study Group
112 (JPLSG).

113

114 **Methods**

115 ***Patients***

116 A total of 167 neonates diagnosed with TAM were prospectively registered in the
117 TAM-10 study between May 2011 and February 2014 conducted by the JPLSG
118 of the Japan Children's Cancer Group. The TAM-10 study was registered with
119 the UMIN Clinical Trials Registry (UMIN-CTR, URL:
120 <http://www.umin.ac.jp/ctr/index.htm>, number UMIN000005418). The details of
121 the eligibility criteria and the Central Review System, including the *GATA1*
122 mutation analysis, are shown in the previous report.² Clinical data and sample
123 collections in the clinical trials were approved by the Institutional Review Boards
124 of each participating institution. Written informed consent was obtained from all
125 patients' parents/guardians. This study was conducted in accordance with the
126 principles of the Declaration of Helsinki and approved by the Ethical Review
127 Board of the JPLSG. Cytokine levels were analyzed in 128 of the 167 patients
128 for whom serum samples were available in the early postnatal period (days 0–8).
129 A comparison of clinical characteristics between 128 and 39 patients with or
130 without available samples is shown in *Supplemental Table 1*.

131

132 ***Cytokine analysis***

133 Serum concentrations of the following 27 cytokines were determined using the
134 Bio-Prex cytokine assay (Bio-Rad, Hercules, CA, USA), measured using a

135 Luminex System (Austin, TX, USA), and quantified using Bio-Plex software
136 (Bio-Rad). The details of 27 cytokines are described in *Supplemental Table 2*.
137 Serum samples were frozen at -80°C immediately after collection and stored
138 until analysis. Each sample was analyzed twice. The mean values of
139 measurements were used as representative values for each subject.

140

141 **Statistical analysis**

142 Optimal cutoff values for biomarkers were determined using the Youden index of
143 the receiver operator characteristic (ROC) curve based on logistic regression
144 analyses. The association between the covariates and early death (<9 months of
145 age) was evaluated in univariable and multivariable Cox proportional hazard
146 models using the stepwise Akaike information criterion method. Between-group
147 comparisons were performed using the Mann–Whitney U test or Fisher’s exact
148 test, as appropriate. Differences in cytokine levels between groups were
149 determined using the Mann–Whitney U test. A correction for multiple testing was
150 performed using the Benjamini–Hochberg method with the threshold *P*-value set
151 at <0.05 .

152 Cluster analysis was performed by two-step unsupervised consensus
153 clustering of 27 cytokine variables. Five cytokines (IL-2, IL-12, IL-15, IL-17, and
154 RANTES) with missing values in ≥ 10 patients were excluded from subsequent
155 analyses (*Supplemental Figure 1A*). Details of missing values for the remaining
156 22 cytokines are shown in *Supplemental Figures 1B and 1C*. Missing values of
157 22 cytokines were imputed using the random forest-based algorithm,
158 missForest.¹⁰ The features were log-standardized for data preprocessing. For

159 sensitivity analyses, cluster analyses were also performed on the dataset
160 complemented with different imputation methods based on the k-nearest
161 neighbor (kNN) and principal component analysis (PCA) (*Supplemental Figure*
162 *1D, E*)¹¹. In addition, complete data analysis was performed on 43 patients
163 without missing data for all 27 cytokines.

164 All statistical analyses were performed using EZR software version 1.36
165 (Saitama Medical Center, Jichi Medical University, Saitama, Japan)¹² and R
166 Version 4.3.2. with “naniar” (<https://github.com/njtierney/naniar>),
167 “ConsensusClusterPlus”¹³, “ComplexHeatmap”
168 (<https://bioconductor.org/packages/release/bioc/html/ComplexHeatmap.html>),
169 and “ggplot2” (<https://ggplot2.tidyverse.org/>) packages. A two-tailed *P*-value
170 <0.05 was considered statistically significant. Details and other information on
171 statistical analysis are described in *Supplemental Methods*.

172
173 The TAM-10 study was registered with the UMIN Clinical Trials Registry
174 (UMIN-CTR, URL: <http://www.umin.ac.jp/ctr/index.htm>, number
175 UMIN000005418) and was conducted by the Japanese Pediatric
176 Leukemia/Lymphoma Study Group (JPLSG) of the Japan Children's Cancer
177 Group. Clinical data and sample collections in the clinical trials were approved
178 by the Institutional Review Boards of each participating institution. Written
179 informed consent was obtained from all patients' parents/guardians. This study
180 was conducted in accordance with the principles of the Declaration of Helsinki
181 and approved by the Ethical Review Board of the JPLSG.

182

183 **Results**

184 ***Patient characteristics***

185 Table 1 shows the clinical characteristics and laboratory findings of 128 patients
186 with TAM. The median (range) values of gestational age, body weight at birth,
187 WBC count, and percentage of blasts at diagnosis were 37 (29–40) weeks,
188 2,588 (1,438–3,714) g, $48.3 (4.7–478.7) \times 10^9/L$, and 41% (1%–96%),
189 respectively. Of the 128 patients, 87 (68%) had congenital heart disease, and 14
190 (11%) had other congenital abnormalities. Trisomy 21 was observed in 126
191 patients (98%), trisomy 21 mosaicism in 1 patient (1%), and a normal karyotype
192 in 1 patient (1%). Systemic edema was observed in 26 patients (20%) and organ
193 hemorrhage in 12 patients (9%). Somatic *GATA1* gene mutations were
194 confirmed in 127 patients (99%) using Sanger and/or next-generation
195 sequencing. One patient with undetectable *GATA1* mutations had flow cytometry
196 markers (CD7+/CD117+/CD56+), consistent with a TAM phenotype. The
197 expression type of *GATA1* mutations was determined based on a previous
198 report.² High-expression mutations were observed in 57 patients (45%),
199 whereas low-expression mutations were observed in 58 patients (45%). Of the
200 128 patients, 46 (36%) received LDAC.

201 Of the 128 patients, 20 (16%) died, and early death (<9 months of age)
202 occurred in 14 (11%). The causes of early death were as follows: multiple organ
203 failure (5), liver failure (1), respiratory failure (3), sepsis (1), congenital heart
204 disease (1), and other reasons (3) (*Supplemental Table 3*). The cumulative
205 incidence rate (CIR) of early death at 9 months was 11.0% [95% confidence
206 interval (CI): 5.3%–16.2%], and the leukemia development rate at 4 years was

207 20.9% (95% CI: 12.9%–28.2%) (*Supplemental Figure 2*). The early death group
208 had a significantly lower gestational age ($P = 0.003$), lower birth weight ($P =$
209 0.035), higher WBC counts ($P = 0.006$), higher rate of organ hemorrhage ($P =$
210 0.012), and higher rate of systemic edema ($P < 0.001$) than the non-early death
211 group, which are poor prognostic factors associated with early death (Table 1).
212 The median values and ranges of the 27 cytokines and the number of subjects
213 for each cytokine are shown in *Supplemental Table 2*. Five cytokines (IL-2, IL-12,
214 IL-15, IL-17, and RANTES) with missing values in ≥ 10 patients were excluded
215 from subsequent analyses (*Supplemental Figure 1A*).

216

217 ***Relation between cytokine levels and clinical characteristics***

218 The comparison between 29 patients with a high WBC count ($\geq 100 \times 10^9$ cells/L,
219 a poor prognostic factor in patients with TAM) and 99 patients without a high
220 WBC count for 22 cytokine levels showed that the levels of six cytokines (IL-1b,
221 IL-6, IL-7, IL-8, IL-13, and MCP-1b) were significantly higher in patients with high
222 WBC counts (Table 2). The association between expression types of *GATA1*
223 mutations and 22 cytokine levels is shown in *Supplemental Table 4*. Six
224 cytokines (IL-4, Eotaxin, PDGF-bb, basic FGF, MIP-1 β , and TNF- α) were
225 significantly higher in the high *GATA1* expression group than in the low *GATA1*
226 expression group.

227 Furthermore, the correlation between cytokine levels and hepatomegaly
228 and serum markers of liver fibrosis (procollagen type III peptide, type IV collagen,
229 and hyaluronic acid) was evaluated (*Supplemental Table 5*). The serum levels of
230 several cytokines, especially IL-13 ($r = 0.35$, $P < 0.05$) and Eotaxin ($r = 0.35$,

231 $P < 0.05$), were correlated with hepatomegaly. Additionally, five cytokines (IL-6,
232 IL-9, Eotaxin, IP-10, and MIP-1 β) were positively correlated with two liver fibrosis
233 markers. Cytokine levels were compared in patients with or without leukemia
234 development ($n = 23$ vs. $n = 105$), and no cytokine showed significant
235 differences between the two groups (*Supplemental Table 6*).

236

237 ***Strong association between cytokine levels and early death***

238 Cytokine levels were compared between the early death ($n = 14$) and non-early
239 death groups ($n = 114$). The levels of five cytokines [IL-1b ($P = 0.031$), IL-1ra (P
240 $= 0.007$), IL-6 ($P = 0.022$), IL-8 ($P = 0.004$), and IL-13 ($P = 0.037$)] were
241 significantly higher in the early death group than those in the non-early death
242 group (Table 3). The optimal cytokine cutoff points of IL-1b, IL-1ra, IL-6, IL-8, and
243 IL-13 were determined as 2.9 pg/mL, 256.0 pg/mL, 141.0 pg/mL, 102.0 pg/mL,
244 and 9.2 pg/mL, respectively, to predict early death using ROC curves, which
245 yielded the highest sum of sensitivity and specificity (*Supplemental Figure 3*).
246 The CIR of early death was significantly associated with higher levels of these
247 five cytokines, respectively (Figure 1). Additionally, in a subgroup analysis
248 restricted to 99 patients with low WBC counts ($< 100 \times 10^9$ cells/L), high levels of
249 these five cytokines were significantly associated with early death
250 (*Supplemental Figure 4*).

251 An unsupervised clustering analysis was performed using the values of
252 22 cytokines. Missing values (1.1%; *Supplemental Figure 1B*) were
253 computationally imputed using the missForest method. The patients were
254 divided into three groups: hot-1 ($n = 37$), hot-2 ($n = 42$), and cold ($n = 49$) (Figure

255 2A). The hot-1 group showed high inflammatory cytokine levels, including IL-8,
256 IL-6, and IL-1 β (Figure 2B). The hot-2 group was characterized by elevated IL-5
257 levels (Figure 2C). The cold group did not show any significant cytokine
258 elevation (Figure 2D). The clinical characteristics of the three groups are
259 described in *Supplemental Table 7*. The CIR of early death was significantly
260 different between the cytokine groups [hot-1/2 (n = 79); cold (n = 49); CIR (95%
261 confidence interval [CI]) = 16.5% (7.9–24.2%); 2.0% (0.0–5.9%), $P = 0.013$]. The
262 cytokine hot-1/2 groups showed significantly higher early mortality compared to
263 the cytokine cold group (Figure 3 and *Supplemental Figure 5*). For sensitivity
264 analyses, cluster analyses were conducted on datasets complemented using
265 other imputation methods: kNN and PCA (*Supplemental Figure 6A,B*). In
266 addition, complete data analysis was performed for 43 patients without missing
267 data for 27 cytokines (*Supplemental Figure 6C*). The reproducibility of the three
268 identified clusters was high while using the missForest-imputed dataset as a
269 reference; the concordance rates with the kNN, PCA, and complete data
270 analysis were 0.94, 0.99, and 0.95, respectively (*Supplemental Figure 1D, E*).

271 The univariable analysis showed that the following covariates were
272 correlated with early death: cytokine group, gestational age, organ hemorrhage,
273 systemic edema, congenital heart disease, high WBC counts in peripheral blood,
274 systemic steroid therapy, and hepatomegaly (*Supplemental Table 8*). The
275 multivariable analysis was performed in two models using factors extracted
276 using the stepwise Akaike information criterion method, which were identified as
277 significantly different in univariable analysis. The multivariable analysis (Model 1),
278 without incorporating cytokine group, identified the following independent risk

279 factors for early death: high WBC counts [hazard ratio (HR) (95% CI) = 3.450
280 (1.127–10.56), $P = 0.030$], systemic edema [HR (95% CI) = 13.76 (3.784–50.06),
281 $P < 0.001$], hepatomegaly [HR (95% CI) = 3.375 (1.108–10.28), $P = 0.032$], and
282 congenital heart disease [HR (95% CI) = 0.294 (0.096–0.903), $P = 0.033$], and
283 the multivariable analysis (Model 2), incorporating cytokine group, showed that
284 cytokine hot-1/2 group was an independent prognostic factor [HR (95% CI) =
285 15.53 (1.434–168.3), $P = 0.024$] (Table 4).

286

287 **Discussion**

288 A total of 22 cytokine levels were analyzed in 128 patients with DS with TAM who
289 were enrolled in the TAM-10 prospective observational study to determine the
290 association between cytokine levels and clinical characteristics. Five cytokines
291 (IL-1b, IL-1ra, IL-6, IL-8, and IL-13) were significantly associated with early death.
292 Furthermore, an unsupervised clustering analysis based on the 22 cytokine
293 levels generated three groups (cytokine hot-1, hot-2, and cold). The cytokine
294 hot-1/2 groups showed significantly higher early death rates than the cytokine
295 cold group.

296 The univariable analysis showed a strong association between the
297 cytokine hot-1/2 group and early death (HR [95% CI] = 8.509 [1.113–65.05]), and
298 a multivariable model incorporating cytokine group (Model 2) identified the
299 cytokine hot-1/2 group as an independent prognostic factor. These findings
300 indicate that the cytokine group is a potent prognostic factor for TAM and may
301 outperform the traditional clinical prognostic factor, WBC count.

302 The IL-1 family consists of proinflammatory cytokines such as IL-1b and

303 anti-inflammatory cytokines such as IL-1ra.¹⁴ IL-1b is a potent proinflammatory
304 cytokine, originally identified as an endogenous thermogenic agent, and IL-1ra is
305 an acute phase protein secreted by the liver in response to inflammatory stimuli
306 and can inhibit signal transduction.¹⁵ It has been reported that patients with TAM
307 who died early had significantly elevated levels of both IL-1b and IL-1ra.
308 However, IL-1ra is considered much less effective than agonists, requiring up to
309 1000-fold excess IL-1ra to inhibit IL-1 signaling.¹⁶ These findings suggest that
310 the observed IL-1ra elevation is a secondary event, and IL-1 signaling is
311 activated in patients with severe TAM. IL-6 promotes B and T lymphocyte
312 differentiation and IgG production.^{17,18} Furthermore, IL-6 has been reported to be
313 involved in cancer cell proliferation via STAT3 activation¹⁹ and promote cancer
314 cell migration and invasion.²⁰⁻²² Shitara *et al.*²³ reported a case of severe TAM
315 that showed IL-6 elevation in the pericardial fluid. Targeted therapy with cytokine
316 antagonists, such as anakinra and canakinumab, which inhibit IL-1 signaling,
317 and tocilizumab, which inhibits IL-6, have already been approved and
318 demonstrated clinical efficacy for the treatment of hypercytokinemia in various
319 diseases. These cytokine antagonists are expected to be evaluated in clinical
320 studies as a potential future treatment for hypercytokinemia in severe TAM.

321 The correlation between cytokine levels and other clinical features was
322 evaluated, except for early death. This study revealed that no cytokine levels
323 were associated with leukemia development. Only the flow cytometric minimal
324 residual disease positivity has been reported to be a valuable marker for
325 predicting leukemia development.^{2,24} These results implied that it might be
326 difficult to predict leukemia development from any data at the time of diagnosis.

327 Furthermore, the association between cytokine levels and *GATA1* expression
328 type was investigated. The results showed that seven cytokine levels were
329 significantly associated with the *GATA1* expression type. All seven cytokine
330 levels were higher in patients in the *GATA1* high-expression group than in those
331 in the *GATA1* low-expression group. Kanezaki²⁵ reported that the mutation types
332 of *GATA1* affected the amount of the mutant, and the *GATA1* expression type
333 significantly affected the TAM phenotype. The study findings might imply that the
334 *GATA1* high-expression type caused high levels of their cytokines.

335 This study has several limitations. First, this study included patients
336 enrolled in the JPLSG TAM-10 study, and clinical samples immediately after
337 diagnosis for cytokine measurement in 23% (39 patients) were unavailable and
338 could not be included in the analysis. Most clinical characteristics did not show
339 significant differences between patients with and without cytokine information;
340 however, WBC counts at diagnosis, blast rates, and percentage of patients
341 receiving LDAC were significantly higher in cases with cytokine information
342 (Supplemental Table 1). Second, of the 27 cytokines measured, the percentage
343 of deficient values for 22 cytokines used in the analysis was only 1.1%
344 (*Supplemental Figure 1B*); however, 5 cytokines were deficient in >10% of cases
345 and had to be excluded from subsequent analyses. Moreover, we performed a
346 complete data analysis of 43 cases for which we had data for all 27 cytokines
347 and found consistent results (*Supplemental Figure 1D, E*). Third, the dosage and
348 intervention criteria of LDAC were not standardized, although a relatively high
349 percentage (36%) of patients were treated with LDAC as per the policy of the
350 participating centers. Fourth, the clinical significance of cytokine profiling

351 analysis has not been validated due to the absence of a validation cohort. This
352 limitation is largely unavoidable given the rarity of TAM and the scarcity of
353 international prospective studies in this field. However, we plan to re-evaluate
354 the cytokine profiling analysis in the future using clinical samples from patients
355 enrolled in our ongoing prospective clinical trial (jRCTs041190063).

356 In conclusion, this study showed that cytokine profiling provides
357 supportive information along with previous clinical prognostic factors such as
358 WBC count as a biomarker for predicting early death and may contribute to
359 precision medicine for patients with TAM.

360

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367

368 **Author Contributions**

369 G.Y. conducted the study, analyzed the data, and wrote the paper. Y.H. designed
370 and conducted the study, led the project, and wrote the paper. Y.T. and H.M.
371 wrote the paper and analyzed the data. A.S., N.S., T.Kaburagi, T.D., T.Kawai,
372 and Y.Y. analyzed the data. T.I. performed statistical analyses. H.T. performed
373 the research and bioinformatics analysis. Y.T. wrote the paper. K.T. and E.I.
374 performed the *GATA1* mutation analysis. K.W. collected clinical samples and

375 data. All authors critically reviewed and revised the manuscript.

376

377 **Competing Interests:** The authors have no conflicts of interest to declare.

378

379

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454

Table 1. Clinical characteristics of 128 TAM patients

	All patients N = 128	Patients with early death n = 14	Patients without early death n = 114	P-value*
Sex (male:female)	64:64	9:5	55:59	0.396
Median gestational age, week (range)	37 (29–40)	34 (29–38)	37 (31–40)	0.003
Median birth weight, gram (range)	2588 (1438–3714)	2249 (1438–3044)	2624 (1598–3714)	0.035
Median age at diagnosis, day (range)	0 (0–8)	0 (0–8)	0 (0–8)	0.854
Congenital heart disease, n (%)	87 (68)	5 (36)	82 (72)	0.012
Other congenital abnormality, n (%)	14 (11)	2 (14)	12 (11)	0.651
Chromosomal status, n				
Trisomy 21: Mosaic trisomy 21: Normal	126:1:1	14:0:0	112:1:1	1.000
Median WBC count at diagnosis, x 10 ⁹ /L (range)	48.3 (4.7–478.7)	157.3 (14.3–238.5)	44.3 (4.7–478.7)	0.006
Median blasts percentage in PB at diagnosis, % (range)	41 (1–96)	60 (5–95)	37 (1–96)	0.057
Direct Bilirubin, mg/dL, median (range)	0.8 (0–12.3)	1.0 (0.3–5.6)	0.7 (0–12.3)	0.134
Hepatomegaly, cm, median (range)**	3 (0–8)	5 (0–8)	2 (0–7)	0.043
Systemic edema, n (%)	26 (20)	11 (79)	15 (13)	<0.001
Organ hemorrhage, n (%)	12 (9)	4 (29)	8 (7)	0.027
Therapeutic interventions, n (%)	60 (47)	11 (79)	49 (43)	0.021
Low dose cytarabine, n (%)	46 (36)	6 (43)	40 (35)	0.586
Exchange blood transfusion, n (%)	16 (13)	4 (29)	12 (11)	0.076
Systemic steroid therapy, n (%)	24 (19)	8 (57)	16 (14)	<0.001
Classification of <i>GATA1</i> mutation				
High expression type mutation, n (%)	57 (45)	6 (43)	51 (45)	1.000
Low expression type mutation, n (%)	58 (45)	6 (43)	52 (46)	1.000
Unclassified mutation, n (%)	12 (9)	2 (14)	10 (9)	0.620
Negative, n (%)	1 (1)	0	1 (1)	1.000
Events***, n (%)	42 (33)	14 (100)	28 (25)	<0.001
Early deaths (<9 months of age), n (%)	14 (11)	14 (100)	0 (0)	<0.001
Later phase deaths (after 9 months), n (%)	5 (4)	0 (0)	5 (4)	1.000
Leukemia development, n (%)	23 (18)	0 (0)	23 (20)	0.073

PB, peripheral blood

*P-value was evaluated between patients with early death vs. patients without non-early death using Fisher's exact test or Mann-Whitney U test.

Under costal margin, *Events were defined by death or leukemia development

Table 2. Serum concentrations (pg/mL) of cytokines between TAM patients with or without a high WBC count

	Patients with a high WBC count*, n = 29	Patients without a high WBC count, n = 99	P-value**
IL-1b, median (range)	3.13 (1.42-325.2)	2.49 (0.63-3662)	0.005
IL-1ra	211.6 (19.71-868.1)	116.0 (8.85-9285)	0.064
IL-4	4.06 (1.69-10.43)	3.79 (0.89-32.97)	0.698
IL-5	2.295 (0.11-16.44)	2.47 (0.06-20.88)	0.625
IL-6	117.9 (8.49-1537.9)	33.70 (1.75-6851)	0.003
IL-7	31.67 (4.91-613.2)	12.10 (0.97-184.5)	0.007
IL-8	93.43 (19.42-8350)	44.97 (8.81-37418)	0.023
IL-9	26.71 (2.46-130.8)	21.89 (1.26-250.6)	0.225
IL-10	14.69 (5.36-260.5)	11.62 (1.56-170.6)	0.066
IL-13	19.84 (1.01-123.0)	8.980 (0.58-199.2)	0.029
Eotaxin	176.7 (48.34-2265)	148.4 (12.50-892.0)	0.090
PDGF-bb	5610 (300.0-18523)	4459 (61.75-18489)	0.060
basic FGF	60.79 (16.31-935.3)	47.42 (6.77-254.3)	0.079
G-CSF	65.73 (25.02-7232)	55.71 (9.39-1770)	0.078
GM-CSF	173.8 (47.04-851.1)	132.0 (6.05-1836)	0.081
IFN-r	93.31 (16.52-597.9)	74.79 (10.22-6328)	0.606
IP-10	2011 (296.0-18554)	1742 (70.84-18686)	0.602
MCP-1(MCAF)	617.2 (86.33-4051)	195.8 (31.28-10398)	0.020
MIP-1a	7.710 (0.53-759.1)	6.640 (0.71-565.6)	0.460
MIP-1b	380.7 (121.7-4387)	280.1 (59.98-50908)	0.229
TNF-a	50.40 (21.43-362.8)	40.81 (10.23-1029)	0.216
VEGF	112.6 (12.17-1974)	76.85 (8.27-4490)	0.083

*a high WBC count was defined as over 100×10^9 cells/L.

**P-value was evaluated using the Mann-Whitney U test followed by the Benjamini and Hochberg

456

457

Table 3. Serum concentrations (pg/mL) of cytokines between TAM patients with or without early death

	Patients with early death n = 14	Patients without early death n = 114	P-value*
IL-1b, median (range)	4.435 (1.94-351.1)	2.630 (0.63-3662)	0.031
IL-1ra	372.1 (101.9-1544)	122.0 (8.85-9285)	0.007
IL-4	4.690 (1.69-9.35)	3.725 (0.89-32.97)	0.178
IL-5	5.210 (0.26-20.88)	2.140 (0.06-17.35)	0.232
IL-6	222.9 (23.55-1537)	37.43 (1.75-6851)	0.022
IL-7	31.30 (4.43-613.2)	12.86 (0.97-301.1)	0.081
IL-8	217.1 (28.92-8350)	45.87 (8.81-37418)	0.004
IL-9	34.92 (2.46-110.2)	23.43 (1.26-250.6)	0.335
IL-10	28.38 (1.85-260.5)	11.71 (1.56-170.6)	0.069
IL-13	22.80 (3.52-173.5)	9.045 (0.58-199.2)	0.037
Eotaxin	178.2 (46.17-2265)	150.4 (12.50-892.0)	0.424
PDGF-bb	5755 (300.0-18523)	4568 (61.75-18489)	0.434
basic FGF	60.28 (19.26-935.3)	49.75 (6.77-254.3)	0.261
G-CSF	58.34 (25.63-7232)	56.40 (9.39-1770)	0.354
GM-CSF	141.7 (59.65-851.1)	138.1 (6.05-1836)	0.750
IFN-r	106.4(26.36-597.9)	74.79 (10.22-6328)	0.329
IP-10	1360 (195.7-17268)	1857 (70.84-18686)	0.604
MCP-1(MCAF)	658.6 (93.37-4051)	292.3 (31.28-10398)	0.222
MIP-1a	7.79 (2.63-759.1)	6.660 (0.53-565.6)	0.347
MIP-1b	454.5 (116.8-50908)	283.8 (59.98-40790)	0.185
TNF-a	63.46 (21.97-362.8)	40.66 (10.23-1029)	0.065
VEGF	105.7 (12.17-1974)	76.85 (8.27-4490)	0.275

*P-value was evaluated using the Mann-Whitney U test followed by the Benjamini and Hochberg

Table 4. Multivariable Cox regression analyses of early death

Covariates			Multivariable analysis-Model 1 without incorporating cytokine group		Multivariable analysis-Model 2 incorporating cytokine group	
			HR (95% CI)	<i>P</i> -value	HR (95% CI)	<i>P</i> -value
Cytokine group	cold	49	Exclusion		(1)	0.024
	hot-1/2	79			15.53 (1.434–168.3)	
Systemic edema	No	102	(1)	<0.001	(1)	<0.001
	Yes	26	13.76 (3.784–50.06)		19.24 (4.787–77.30)	
Congenital heart disease	No	41	(1)	0.033	(1)	0.012
	Yes	87	0.294 (0.096–0.903)		0.174 (0.044–0.681)	
WBC	<100x10 ⁹ /L	99	(1)	0.030	(1)	0.607
	≥100x10 ⁹ /L	29	3.450 (1.127–10.56)		1.383 (0.401–4.770)	
Hepatomegaly	<5 cm	100	(1)	0.032	(1)	0.006
	≥5 cm	28	3.375 (1.108–10.28)		5.839 (1.639–20.80)	
Akaike's information Criterion			102.4		96.17	
Likelihood ratio			40.00 (<i>P</i> < 0.001)		48.21 (<i>P</i> < 0.001)	

CI, confidence interval; HR, hazard ratio; Int, intermediate

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461

462 **Figure legends**

463 **Figure 1. Cytokine levels are valuable markers for predicting early death in**
464 **patients with TAM.** (A) Cumulative incidence rates (CIR) of early death between
465 patients with TAM with high and low levels of IL-1b [high, n = 64; low, n = 64; CIR
466 (95% CI) = 20.3% (9.8%–29.6%) vs. 1.6% (0.0%–4.6%), $P < 0.001$], (B) high and
467 low levels of IL-1ra [high, n = 36; low, n = 90; CIR (95% CI) = 30.6% (13.8%–
468 44.1%) vs. 3.4% (0.0%–7.0%), $P < 0.001$], (C) high and low levels of IL-6 [high, n
469 = 28; low, n = 98; CIR (95% CI), 32.1% (12.4%–47.4%) vs. 4.1% (0.0%–8.0%),
470 $P < 0.001$], (D) high and low levels of IL-8 [high, n = 35; low, n = 90; CIR (95% CI),
471 31.4% (14.2%–45.2%) vs. 2.2% (0.0%–5.3%), $P < 0.001$], and (E) high and low
472 levels of IL-13 [high, n = 69; low, n = 59; CIR (95% CI), 18.8% (9.1%–27.6%) vs.
473 1.7% (0.0%–4.9%), $P < 0.001$].

474 **Figure 2. Total 128 patients with TAM are classified into three groups by an**
475 **unsupervised consensus clustering analysis based on cytokine profiling.**
476 (A) Based on unsupervised clustering, patients were classified into three
477 cytokine groups [hot-1 (n = 37), hot-2 (n = 42), and cold (n = 49) groups]. Missing
478 data (1.1%) in 22 cytokines were imputed using the missForest method. Black
479 boxes indicate each clinical feature. Gray boxes indicate patients with no data.
480 (B–D) The mean cytokine differences (X-axis) and the negative
481 log₁₀-transformed statistical P -values (Y-axis) between (B) hot-1 group and
482 other groups, (C) hot-2 group and other groups, (D) cold group and other groups
483 are shown in the volcano plot.

484 **Figure 3. Cytokine group is significantly associated with the early death**
485 **rate in patients with TAM.** The CIR of early death in patients with TAM between

486 cytokine hot-1/2 and cold groups [hot-1/2, n = 79; cold, n = 49; CIR (95% CI) =
487 16.5% (7.9%–24.2%); 2.0% (0.0%–5.9%), $P = 0.013$].

488

Figure 1

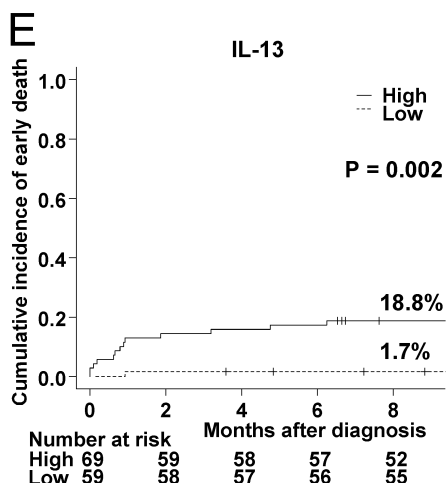
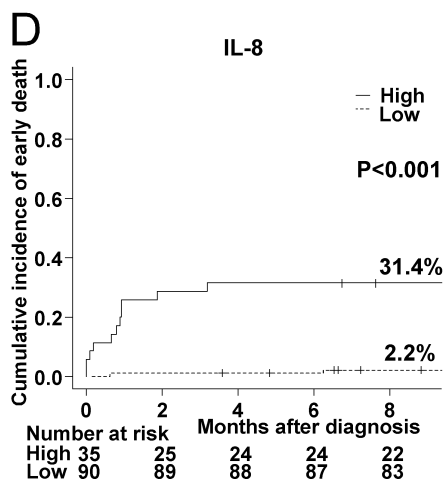
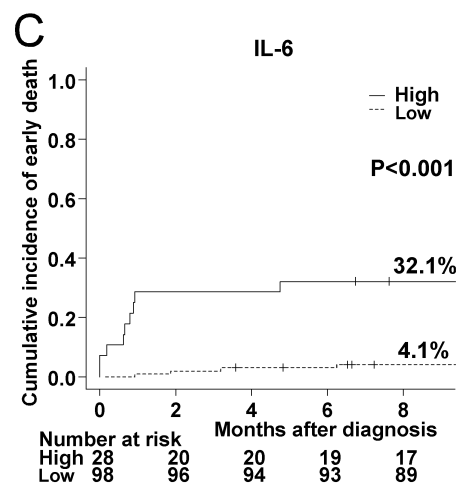
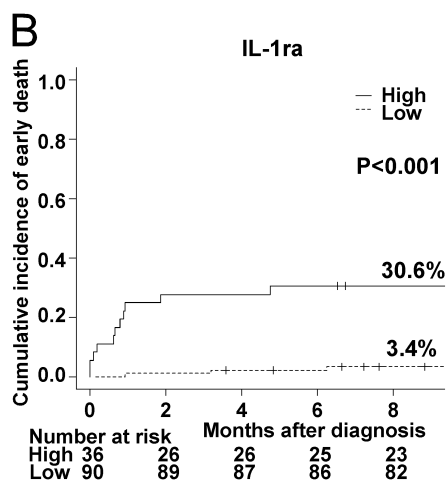
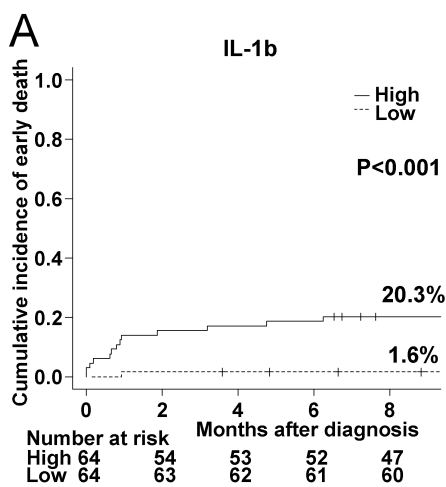


Figure 2

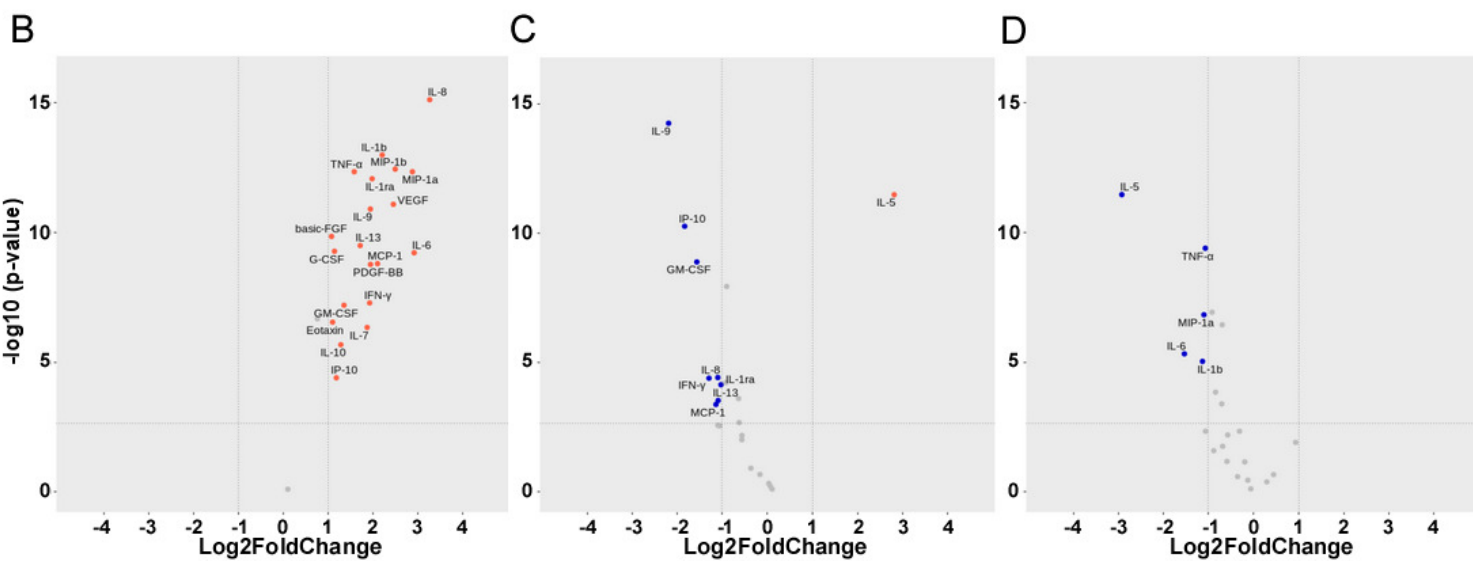
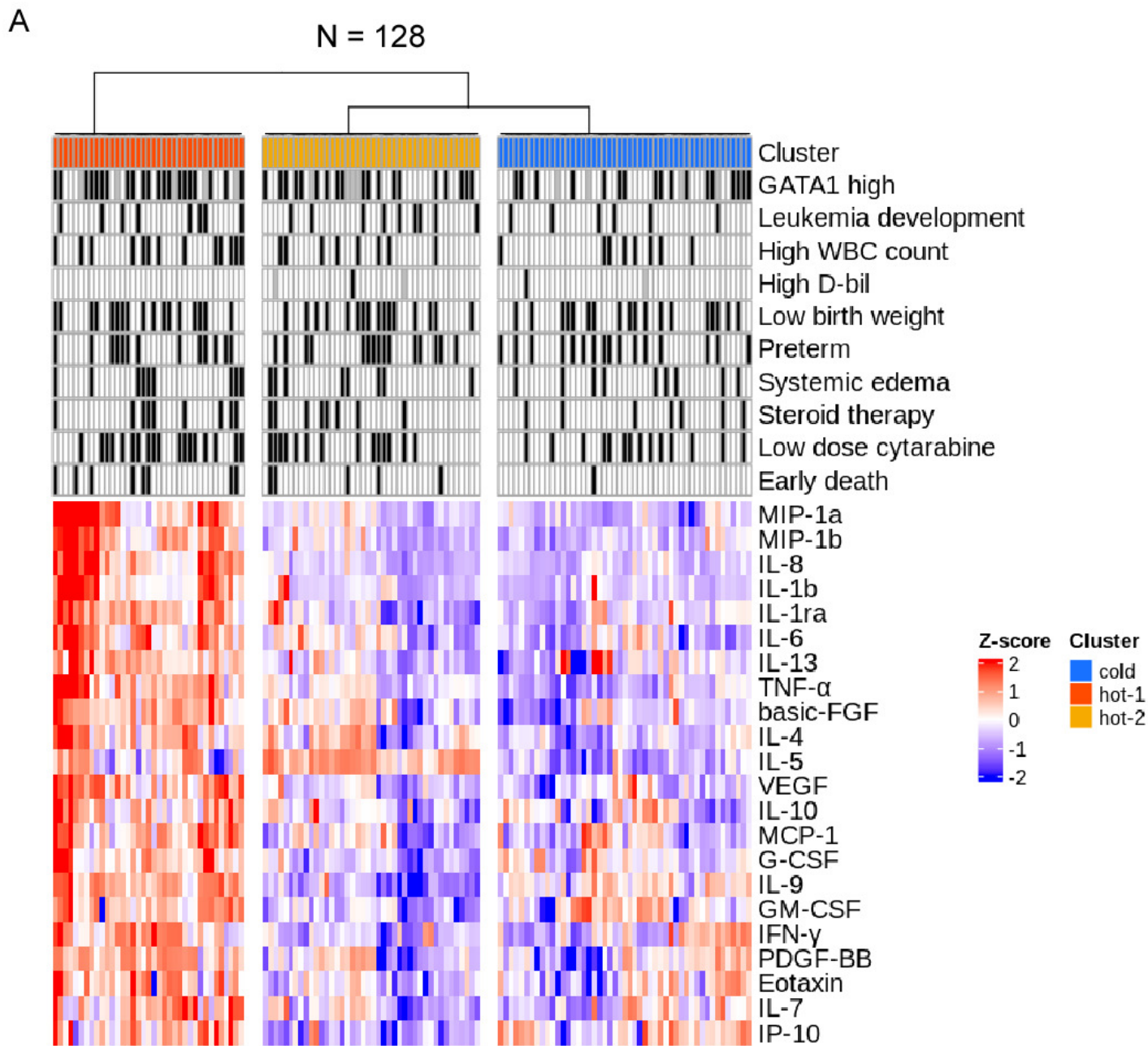


Figure 3
Figure 3

