

# Genomic landscape of patients with germline *RUNX1* variants and familial platelet disorder with myeloid malignancy

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## Key Points

- Comprehensive genomic profile of patients with FPDMM with germ line *RUNX1* mutations.
- Rising clonal hematopoiesis related secondary mutations that may lead to myeloid malignancies.

Familial platelet disorder with associated myeloid malignancies (FPDMM) is caused by germline *RUNX1* mutations and characterized by thrombocytopenia and increased risk of hematologic malignancies. We recently launched a longitudinal natural history study for patients with FPDMM. Among 27 families with research genomic data by the end of 2021, 26 different germline *RUNX1* variants were detected. Besides missense mutations enriched in Runt homology domain and loss-of-function mutations distributed throughout the gene, splice-region mutations and large deletions were detected in 6 and 7 families, respectively. In 25 of 51 (49%) patients without hematologic malignancy, somatic mutations were detected in at least 1 of the clonal hematopoiesis of indeterminate potential (CHIP) genes or acute myeloid leukemia (AML) driver genes. *BCOR* was the most frequently mutated gene (in 9 patients), and multiple *BCOR* mutations were identified in 4 patients. Mutations in 6 other CHIP- or AML-driver genes (*TET2*, *DNMT3A*, *KRAS*, *LRP1B*, *IDH1*, and *KMT2C*) were also found in  $\geq 2$  patients without hematologic malignancy. Moreover, 3 unrelated patients (1 with myeloid malignancy) carried somatic mutations in *NFE2*, which regulates erythroid and megakaryocytic differentiation. Sequential sequencing data from 19 patients demonstrated dynamic changes of somatic mutations over time, and stable clones were more frequently found in older adult patients. In summary, there are diverse types of germline *RUNX1* mutations and high frequency of somatic mutations related to clonal hematopoiesis in patients with FPDMM. Monitoring changes in somatic mutations and clinical manifestations prospectively may reveal mechanisms for malignant progression and inform clinical management. This trial was registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) as #NCT03854318.

## Introduction

*RUNX1* is a transcription factor indispensable for the development and function of definitive hematopoietic stem cells (HSCs).<sup>1</sup> Chromosome translocations and somatic mutations affecting *RUNX1* are

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All data described in this manuscript are based on reference hg38 coordinates.

The raw ES, RNA-seq and SNP-array data have been deposited in the database of Genotypes and Phenotypes (dbGaP) (accession number phs003075).

The full-text version of this article contains a data supplement.

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frequently detected in hematologic malignancies, such as myelodysplastic syndrome (MDS), acute myeloid leukemia (AML), and acute lymphoblastic leukemia (ALL).<sup>2</sup> Germline *RUNX1* mutations lead to familial platelet disorder with associated myeloid malignancy (FPDMM; Online Mendelian Inheritance in Man no. 601399), a rare autosomal dominant disease associated with platelet defects, both quantitatively and qualitatively, resulting in easy bleeding and bruising.<sup>3</sup> Patients with FPDMM are predisposed to hematologic malignancies,<sup>4,5</sup> for example, MDS, AML, chronic myelomonocytic leukemia, or ALL.<sup>6-8</sup> The current consensus for the incomplete penetrance of malignancy is that germline *RUNX1* mutations are insufficient for leukemogenesis. Additional risk factors, such as somatic mutations, are important for the development of hematologic malignancies.<sup>4,9</sup>

Somatic mutations in HSCs may lead to accelerated proliferation and reduced cell death, resulting in clonal expansion of the mutation-carrying HSC, or clonal hematopoiesis (CH).<sup>10,11</sup> CH increases with age. Large population studies showed that CH increases the risks of atherosclerotic cardiovascular diseases,<sup>12,13</sup> hematologic neoplasms,<sup>11,14</sup> and other nonmalignant diseases.<sup>15</sup> Previous studies have described early onset of CH in patients with FPDMM without hematologic malignancy.<sup>4,16</sup> However, it is still unclear what the role of CH is in the development of hematologic malignancies.

To improve our understanding of FPDMM pathogenesis and identify potential driver alterations for malignancy transformation, we initiated a natural history study in 2019 to longitudinally investigate the genomic and clinical profile of FPDMM. Here, we report the genomic data from 62 patients enrolled in our study whose samples had been sequenced for research purposes by the end of 2021.

## Methods

### Patients and samples

Patients were enrolled in the clinical study entitled “Longitudinal Studies of Patients with FPDMM,” after obtaining informed consent in accordance with the declaration of Helsinki. *RUNX1* variants were determined to be pathogenic (P), likely pathogenic (LP), or variants of uncertain significance (VUSs) by American College of Medical Genetics (ACMG) ClinGen Myeloid Malignancy Variant Curation Expert Panel criteria.<sup>17</sup> Clinical studies of the enrolled participants have been described recently by Cunningham et al.<sup>18</sup>

Genomic DNA, RNA, and cryopreserved cell samples were processed and biobanked for further needs.

### Exome sequencing and data processing

Exome sequencing (ES) of genomic DNA samples at the NIH Intramural Sequencing Center (NISC) is described in supplemental Methods. In brief, genomic DNA were fragmented to the size around 300bp, ligated and pre-amplified with adapters, following exome panels capture. Libraries were sequenced on NovaSeq 6000 platform with PE151 strategy. Our IDT xGen Exome Research Panel data achieved a mean coverage of 87X-353X, with a median of 174X, expect to identify somatic mutations with variant allele frequency (VAF) above 3-5% in most of the region, and lower VAF at regions with higher coverage. Detailed information about the sequenced samples is described in supplemental Table 1 and supplemental

Figure 1. Sequencing data were analyzed with in-house pipelines on NIH high-performance computing system “Biowulf.” Detailed descriptions of data analysis, workflow and parameters can be found in supplemental Figure 2 and supplemental Methods. All *RUNX1* mutations listed in this manuscript are based on the representative transcript NM\_001754 (coding *RUNX1c*).<sup>19</sup>

### Bulk RNA-seq and analysis

RNA sequencing (RNA-seq) was performed at NISC with Illumina TruSeq stranded chemistry and PE151 strategy on NovaSeq 6000. The sequenced samples are listed in supplemental Table 1, and detailed information on sequencing and data analysis is described in supplemental Methods. Published healthy donor bone marrow (BM) RNA-seq data were used for splice junction analysis.<sup>20</sup>

### Cytogenetics and CNV analyses

Cytogenetic analyses of BM cells were conducted at the Mayo Clinic Laboratories. For single-nucleotide polymorphism (SNP) array, Infinium OmniExpressExome-8 kit was used to analyze guide DNA samples (supplemental Table 1). CNVPartition and PennCNV<sup>21</sup> were used to identify candidate copy number variation (CNV) events. CNVkit<sup>22</sup> (version 0.9.8) was used to identify CNVs from ES data for samples without SNP-array data. All CNV calls were revised with Integrative Genomics Viewer illustration.

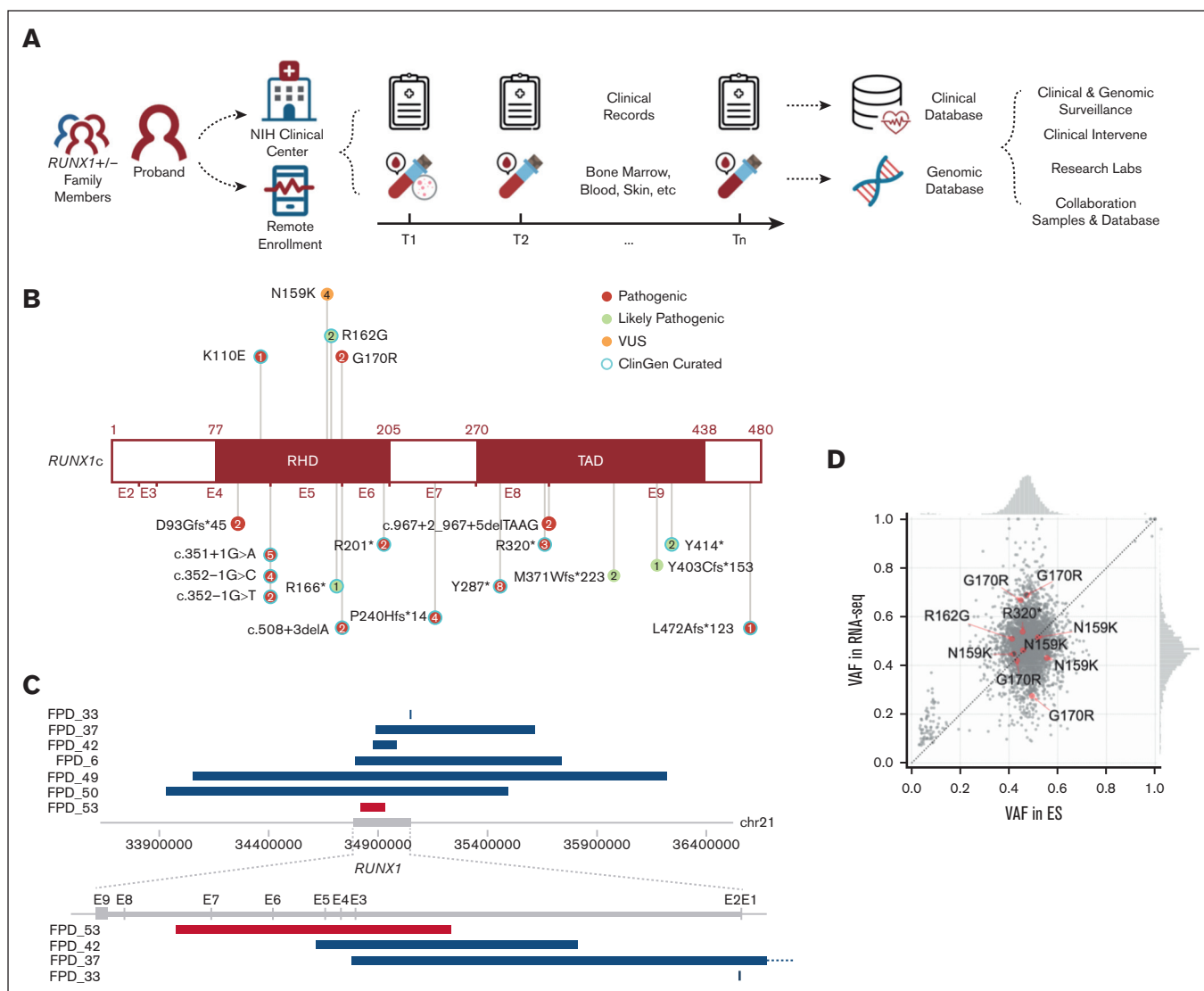
## Results

### Study cohort and *RUNX1* variant evaluation

The natural history study was launched in early 2019, and by the end of 2021, 111 patients and 45 family controls had been enrolled. This report focuses on 62 patients in 27 families whose research genomic data were available. Sequential samples had been collected for 19 patients who visited NIH Clinical Center (NIHCC) more than once (Figure 1A; supplemental Table 1).

Our cohort excluded families carrying benign, likely benign, or VUSs without enough evidence of familial platelet disorder (FPD)-like clinical features. In total, 26 different germline *RUNX1* variants were detected in the 27 families. The most common types of *RUNX1* mutations are mutations causing truncated *RUNX1* protein (including splice-site mutations, frameshift mutations, and stop-gain mutations), or large CNVs that cause complete loss or partial loss or gain of the *RUNX1* gene (Figure 1B-C; supplemental Table 2). All *RUNX1* mutations listed here are based on NM\_001754<sup>19</sup>. Four families had 4 different missense variants; all located in the Runt homology domain, with 3 predicted to be P or LP, and 1 predicted to be VUS per ACMG ClinGen Myeloid Malignancy Variant Curation Expert Panel criteria.<sup>17</sup> We included the family (FPD\_5) with a *RUNX1* VUS variant (c.477T>G, p.Asn159Lys) in the study because all 5 *RUNX1* variant carriers in this family (across 3 generations) had mild-to-moderate thrombocytopenia as well as abnormal platelet functions and/or platelet morphological abnormalities. On the other hand, 2 noncarriers from the family, who were tested, had normal platelet counts.

Large-scale genomic alteration is common in our study cohort. Among the 27 families, 3 had large deletions covering the entire *RUNX1* gene and, in some cases, additional genes. Three other families had smaller deletions, and 1 family has an intragenic duplication that affects several *RUNX1* exons (Figure 1C).



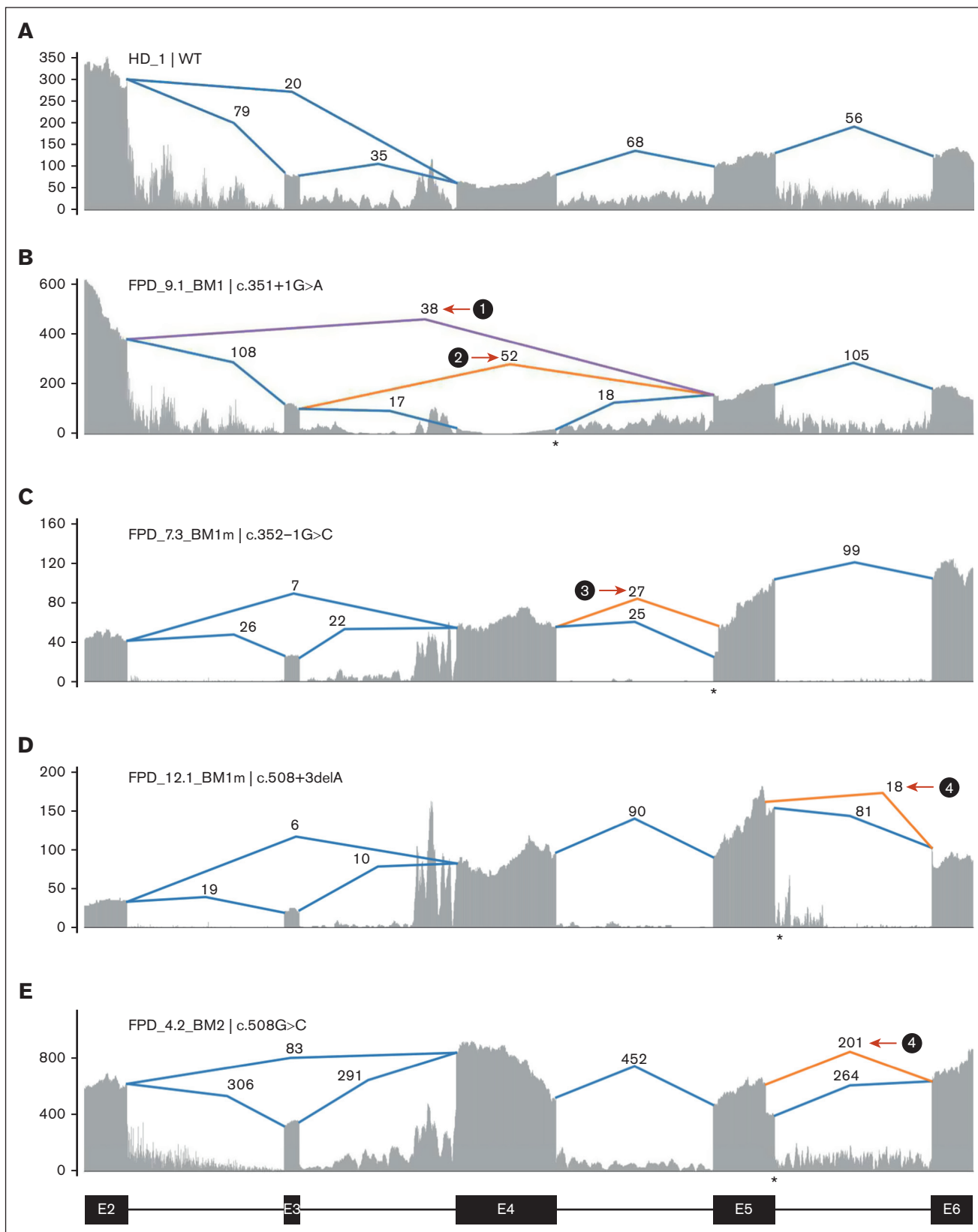
**Figure 1. Overview of NIH FPDMM natural history study.** (A) Study schema. Enrolled patients with germline *RUNX1* variants and family member controls visited the National Institutes of Health (NIH) clinical center and/or sent remote samples annually. Both clinical and research data stored in the clinical and genomic databases were used for downstream research and guide clinical management. (B) *RUNX1* mutations carried by the patients are included in this manuscript. Numbers in the circle indicate patient number who carries the mutation. (C) CNVs affecting *RUNX1* gene. Blue bars are showing deletions, and red bars are showing duplication. (D) VAF correlation between exome sequencing and RNA-seq data. Red dots are *RUNX1* variants, and gray dots are all other variants (all variants with  $\geq 20\times$  coverage in both RNA-seq and ES, including both germline and somatic) in all samples that have 2 platform data set. Histograms (top and right) show the VAF distributions in exome sequencing and RNA-seq, respectively.

To determine whether the mutations alter *RUNX1* expression, we compared allele frequencies between ES and RNA-seq data from 7 patients, which have adequate coverage (including both germline and somatic mutations). The *RUNX1* variant alleles were expressed between 40% and 70% at the RNA level, in the expected germline variant allele frequency (VAF) range (Figure 1D).

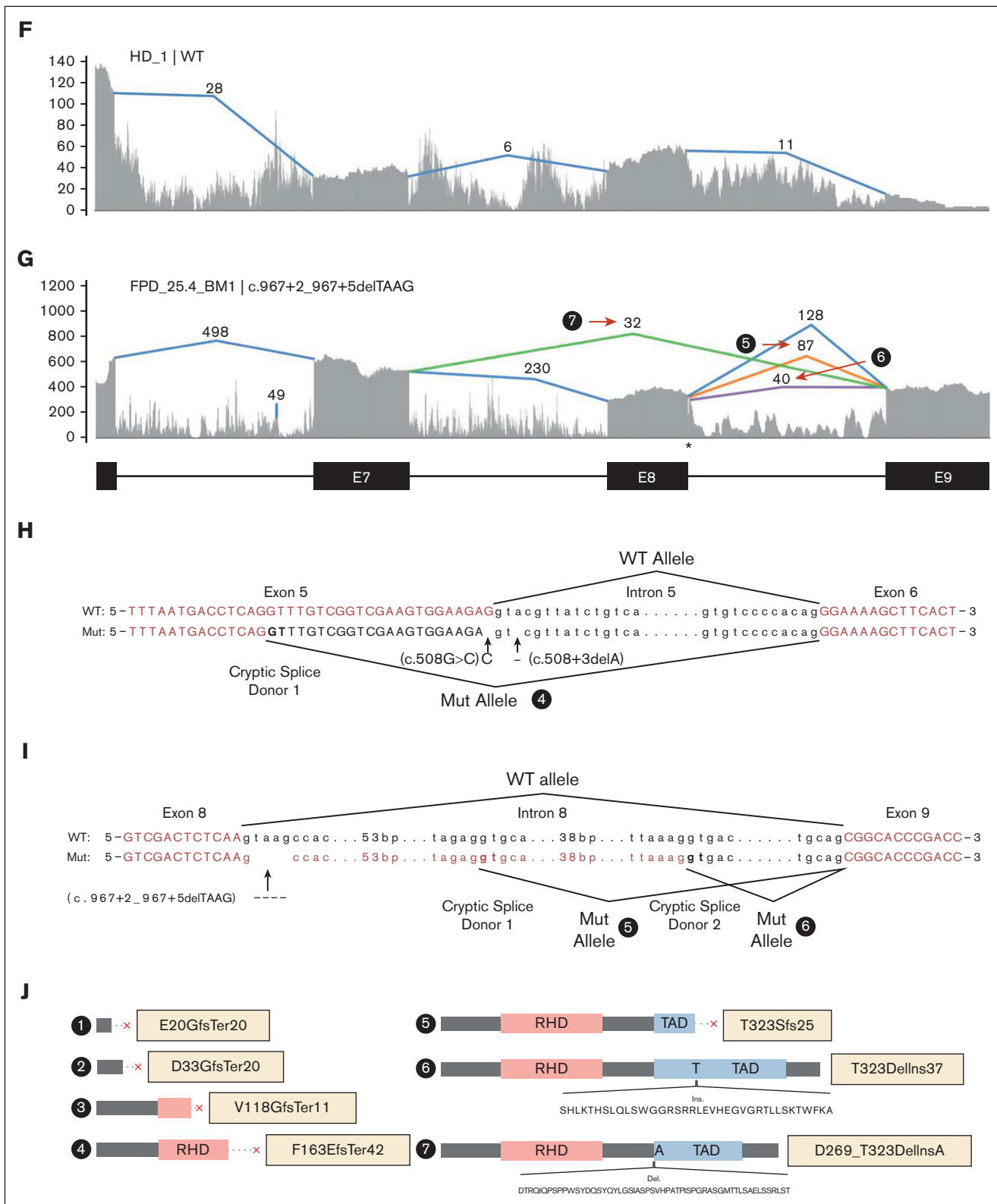
### *RUNX1* splice-site mutations

Multiple families have mutations at or near splice donor or acceptor sites, located in introns 4, 5, and 8 (Figure 1B). These mutations led to aberrantly spliced transcripts, as detected by RNA-seq (Figure 2; supplemental Figure 3). The c.351+1G>A variant, detected in 2 independent families, caused 2 types of exon-4

skipping: E2 to E5 and E3 to E5 (Figure 2B; supplemental Figure 3B). Junction counts showed a significantly higher proportion of the novel splicing products than the wild-type splicing products. The c.352-1G>C variant led to the usage of a cryptic acceptor site in exon 5 (Figure 2C; supplemental Figure 3C), which is also the cryptic acceptor site resulting from a c.352-1G>T variant.<sup>3</sup> In this case, the mutant and wild-type transcripts were detected at similar levels. A cryptic splice donor site near the end of exon 5 was identified in patients with c.508+3delA (Figure 2D; supplemental Figure 3D), as previously reported.<sup>23</sup> Interestingly, a missense variant c.508G>C (p.G170R) affects the adjacent splice donor site at the end of exon 5, leading to the usage of the same cryptic splice donor site associated with c.508+3delA



**Figure 2. Aberrant splicing events in patients with *RUNX1* splice-site variants.** (A) Splice junctions and covered reads from exons 2 to 6 of *RUNX1* in a healthy donor BM sample. (B-E) Splice junctions and covered reads in 4 patients with different *RUNX1* splice-site or splice-region mutations. Orange or purple lines indicate abnormal junctions and blue lines indicate junctions also seen in the healthy control. Numbers indicate supporting read counts. (F) Splice junctions and covered reads from exons 6 to 9, in a healthy donor



**Figure 2 (continued)** BM sample. (G) Splice junctions and covered reads in a patient with c.967+2\_967+5delTAAG mutation. (H-I) Detailed information of cryptic splice donors and abnormal splice junctions caused by c.508+3delA, c.508G>C, and c.967+2\_967+5delTAAG. (J) Predicted protein products of the 6 abnormal splicing transcripts shown in panels B-E and G. HD, healthy donor; Mut, mutation; WT, wild-type.

(Figure 2E,H; supplemental Figure 3D); only 5% to 10% of transcripts are in the missense form. For both c.508+3delA and c.508G>C variants, the splice product resulting from the cryptic donor site had fewer aberrant splicing junction counts when than the wild-type junction, but there is a strong signal of intron retention (Figure 2D-E; supplemental Figure 3D). Finally, a c.967+2\_967+5delTAAG variant caused exon 8 skipping (Figure 2G,I; type 7) and the activation of cryptic splice donors in intron 8 (Figure 2G,I; types 5 and 6); 1 of them (type 5) has been reported previously.<sup>24</sup> At the protein level, it is predicted that the first 5 splice-site-related variants will produce truncated RUNX1 proteins, whereas the c.967+2\_967+5delTAAG variant will lead to 2 in-frame products: an insertion of 37 amino acids in the middle of transactivation domain (TAD) domain for type 6 and a deletion of 55 amino acids at the beginning of the TAD domain for type 7 (Figure 2J). Most of the splice-site variants reported lead to an early stop codon, similar to nonsense or frameshift variants; we did not observe a reduced transcript expression level from the *RUNX1* mutant allele caused by nonsense-mediated messenger RNA decay.<sup>25</sup> Most of the *RUNX1* splice-site variants had an estimated VAF of ~50% in RNA-seq, but the c.351+1G>A samples even showed higher mutant allele VAF of ~84% (supplemental Table 1).

### Somatic mutation landscape in patients with FPDMM

We have generated ES data from 58 patients with FPDMM for somatic mutation identification. We applied 2 strategies (supplemental Figure 2) to identify somatic mutations. For 31 patients with ES data from fibroblast, true somatic mutations were confirmed by comparing BM/peripheral blood (PB) data with fibroblast data. For the remaining 27 patients without fibroblast data, we used Mutect2 single sample mode to identify likely somatic mutations in the PB or BM samples with a panel of normal reference, which was composed of sequencing data from all unaffected family members in our cohort. The variants were further verified according to their population frequency (at <1%), absence in any members of the same family, and presence in the Catalogue of Somatic Mutations in Cancer database. The number of identified somatic mutations in these patients is likely an underestimate because we have been conservative with somatic mutation calling.

The somatic mutation landscape of hematopoietic cells in the patients with FPDMM of our cohort is depicted in Figure 3A. The middle heat map shows the aggregated somatic mutation landscape that merged all mutations in CHIP- or AML-driver genes<sup>26,27</sup> (CL genes for CHIP- and leukemia-driver genes are listed in supplemental Table 3) detected in each patient and recurrently detected in multiple individuals. Interestingly, 25 of 51 (49%) patients without hematologic malignancy and 4 of 7 (57%) patients with hematologic malignancy have at least 1 somatic mutation in CL genes (supplemental Table 3). Somatic mutations were recurrently (>1 patient) observed in the following CL genes: *BCOR*, *TET2*, *DNMT3A*, *KRAS*, *LRP1B*, *IDH1*, *KMT2C*, *KMT2D*, *NRAS*, *PHF6*, and *SF3B1*. *BCOR* was the most frequently mutated CL gene because *BCOR* mutations were found in 11 of 58 patients (19%) and most *BCOR* mutations resulted in frameshifts. Moreover, 4 patients had >1 somatic *BCOR* mutation detected at the same time. Recurrent mutations were also observed in 7 non-CL genes (*NFE2*, *GSTT1*, *KDM3A*, *PRKDC*, *PTPN14*, *RRBP1*, and *SPTBN2*).

The overall somatic mutation numbers in each patient are shown in the top bar graph in Figure 3A. There seemed to be a correlation between the overall number of somatic mutations and the presence of CL gene mutations. Notably, 26 of 51 (51%) patients without a hematologic malignancy had no mutation detected in CL genes. However, somatic CL mutations in patients without fibroblast ES data might have been underdetected (CL mutations were found in 14 of 25 patients with fibroblast ES data, whereas in only 11 of 26 patients without such data). As expected, the total numbers of somatic mutations correlated with patients' ages (Figure 3B; supplemental Figures 4B and 8B). Based on the published data from The Cancer Genome Atlas (TCGA) program, myeloid malignancies showed a relatively lower mutation burden than other cancer types.<sup>28,29</sup> For the 43 patients without a hematologic malignancy in our cohort, the median mutation burden is <0.1 mutations per megabyte, which is less than that in reported AML and MDS cohorts. Meanwhile, 9 samples from 8 patients with myeloid malignancies in our cohort showed mutation burden close to that in the TCGA AML cohort (supplemental Figure 5A).

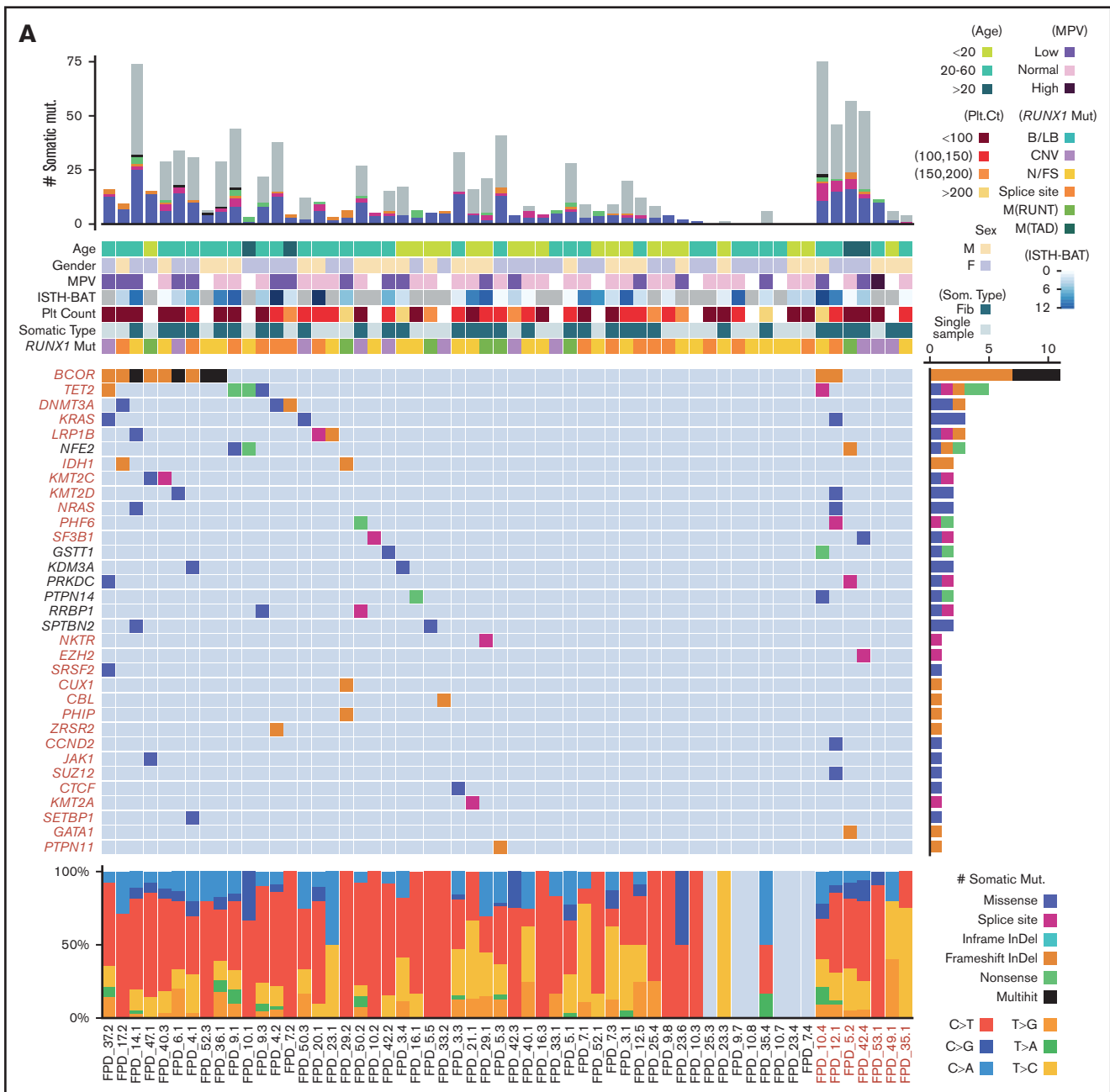
Between the top bar graph and the middle heatmap in Figure 3A are heatmaps for patient age, sex, mean platelet volume, the International Society on Thrombosis and Haemostasis Bleeding Assessment Tool (a tool to record both the presence and the severity of bleeding symptoms in patients) score,<sup>30</sup> platelet count, somatic mutation data type (whether fibroblast ES data are available), and *RUNX1* mutation type. The existence of any correlations between these measures and the detected somatic mutations is further depicted in supplemental Figure 4, by sorting the landscape heatmap with different annotation items. Total somatic mutation number and CHIP gene mutations most frequently seen in the general population (*TET2* and *DNMT3A*) and in high-risk genes (such as *KRAS*, *NRAS*, *PHF6*, *ZRSR2*, and *SF3B1*), all trend up with increasing age (supplemental Figure 4B). *BCOR* mutations were significantly enriched in patients aged between 20 and 60 years (supplemental Figure 4B) and correlated with lower platelet count (supplemental Figure 4D) and mean platelet volume level (supplemental Figure 4E). Patients with *BCOR* mutations tended to have low platelet count (supplemental Figure 4D). With current data, we did not find correlations between somatically mutated genes and *RUNX1* mutation types or sex.

The bottom bar plot of Figure 3A shows the types of base substitutions associated with the somatic mutations. C>T and T>C transitions are more common than transversions.

Pathway and gene ontology analyses of somatically mutated genes showed enrichment of regulation of histone methylation (also seen in CHIP studies<sup>10,15</sup>). Highly related pathways also include RAS, PI3K-AKT, MAPK, and interleukin-6 (IL-6) signaling, which are related to inflammation (Figure 3C). In addition, mutations were enriched in genes with hemostasis functions and genes transcriptionally regulated by *RUNX1*.

### Recurrent somatic mutations in *NFE2*

As mentioned earlier, we found 7 recurrently mutated genes in the somatic mutation landscape besides the CL genes. Notably, *NFE2* was mutated in 3 unrelated patients, including 2 nonsense mutations and 1 missense mutation in the important basic region leucine zipper domain (Figure 4A). *NFE2* somatic mutations have been reported in an FPDMM case report<sup>31</sup>; it encodes a transcription



**Figure 3. Somatic mutations of the NIH FPDMM cohort.** (A) Somatic mutation landscape depicting CHIP genes, AML-driver genes, and recurrently mutated genes. Each column is a patient; patients with hematologic malignancy are highlighted in red. The center heat map shows somatic mutation distribution; mutation types are represented with specific colors, as described by legends at the bottom right. Each row is a gene; genes belonging to CHIP and AML-driver genes are highlighted in red. The next heat map (top) shows annotated demographic and clinical information, which are also color-coded, as described by the legends at the right-hand side. The top bar plot shows the total somatic mutation numbers in each patient, with coding region mutations marked with colors used in the middle heat map and the noncoding region mutations colored in gray. The bar plot on the right of the middle heat map shows aggregated somatic mutation numbers for each gene, with color coding for mutation types. The bottom bar plot shows the percentage of the different base-changes (C>T, C>G, etc) in each patient. (B) Correlation between patients' age at the time of sampling and the total number of somatic mutations. Only samples with fibroblast controls are included. Each dot in the graph represents the number of somatic mutations in 1 sequenced sample from a patient at the indicated age, and the color indicates the type of *RUNX1* germline mutation in that patient. (C) Functional enrichment analysis of all somatically mutated genes. B/LB, benign/likely benign; CNV, copy number variation; fib, fibroblast; InDel, insertion-deletion; ISTH-BAT, International Society on Thrombosis and Haemostasis Bleeding Assessment Tool; MPV, mean platelet volume; M(RUNT), mutation in RUNT domain; M(TAD), mutation in transactivation domain; N/FS, nonsense/frame shift; Plt, platelet; som, somatic.

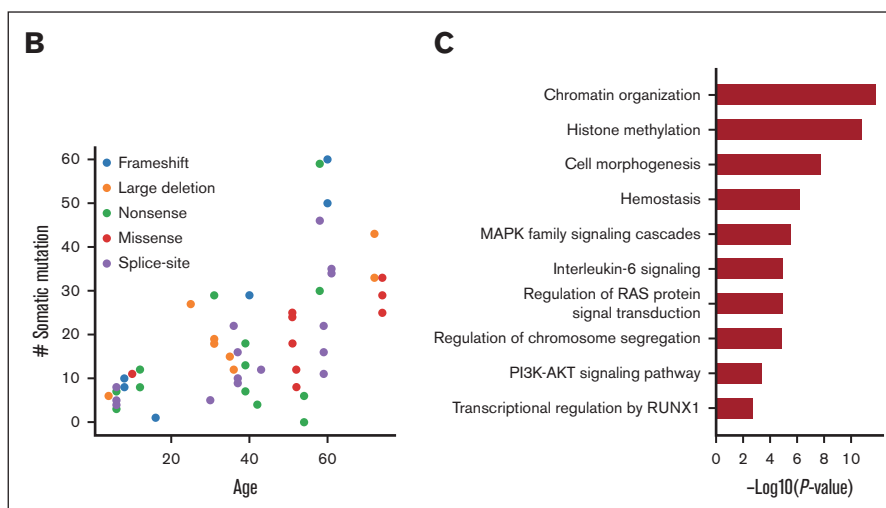
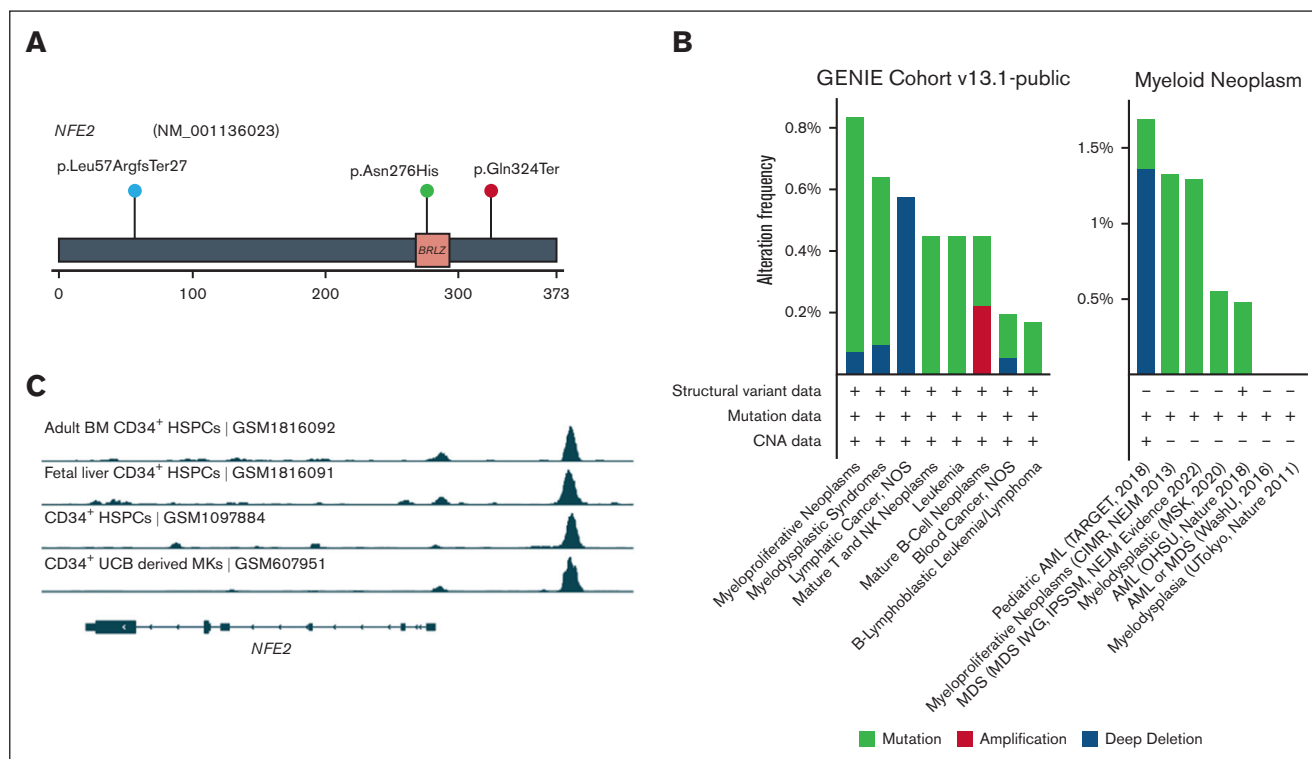


Figure 3 (continued)

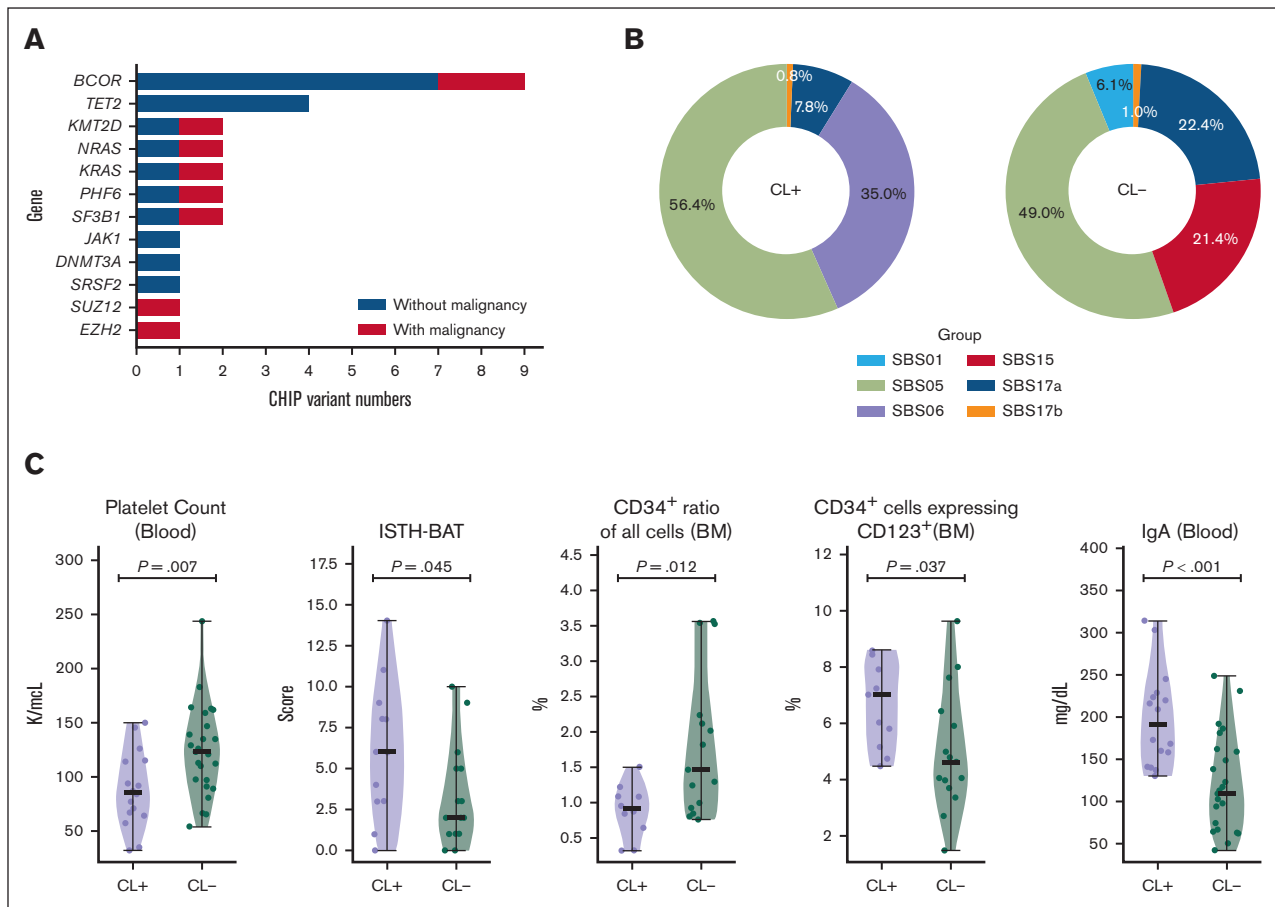
factor involved in megakaryocyte development and platelet production,<sup>32,33</sup> which is mutated in 0.6% to 1.3% of patients with MDS, 0.5% of those with leukemia, and 1.6% of pediatric patients with AML<sup>34,35</sup> (Figure 4B). However, *NFE2* has not been reported as a gene associated with CH. Reported functional studies also indicate that *NFE2* is a downstream target of RUNX1.<sup>36</sup> Published chromatin immunoprecipitation sequencing data<sup>37-39</sup> showed a

strong RUNX1 binding signal in the promoter region of *NFE2* in CD34<sup>+</sup> hematopoietic stem and progenitor cells and umbilical cord blood-derived megakaryocytes (Figure 4C). In our cohort, 2 of the *NFE2* mutation carriers had already developed premonoclonal gammopathy of undetermined significance and myeloma, respectively. Our data suggest that somatic *NFE2* mutations might be related to FPDMM disease progression.



**Figure 4. *NFE2* somatic mutations in FPDMM and hematologic malignancies.** (A) Lollipop plot of *NFE2* somatic mutations in NIH FPDMM cohort. Based on ACMG criteria, both nonsense mutations are LP (p.Leu57ArgfsTer27: PVS1 and PM2; p.Gln324Ter: PVS1 and PM2). The missense mutation, p.Asn276His, is considered as a VUS (PP3, PM2, and BP1) based on the PhyloP100way score but pathogenic according to in silico prediction (P/LP: 21/27; uncertain: 6/27). (B) Somatic alteration frequency of *NFE2* gene in AACR GENIE data set and cBioportal myeloid neoplasm data set. (C) ChIP-seq data on RUNX1 binding in *NFE2* locus in CD34<sup>+</sup> cells from 4 publicly available data sets.<sup>37-39</sup> Binding profile data were downloaded from CODEX database.<sup>40</sup> AACR GENIE, American Association for Cancer Research Genomics Evidence Neoplasia Information Exchange; HSPCs, hematopoietic stem and progenitor cells; UCB, umbilical cord blood.





**Figure 5. CHIP gene mutations in the NIH FPDMM cohort.** (A) Bar graph showing the numbers of somatic mutations detected in 74 CHIP genes listed in the TOPMed study.<sup>27</sup> Only those with VAF>5% are included. (B) Mutation signatures<sup>43</sup> in patients with or without somatic mutations in the CL gene list. (C) Clinical phenotypes with significant difference between patient groups with or without somatic mutations in the CL gene list.

### Increased frequency of CH in patients with FPDMM

It has been reported that patients with FPDMM could develop detectable CH with a cumulative risk of >80% by 50 years of age,<sup>16</sup> which is far younger than the population average,<sup>41</sup> and that detection of these clones may help inform risk of developing hematologic malignancy.<sup>42</sup> We set out to determine the frequency of CH in our cohort by comparing our data with those of the population cohort reported by the Trans-Omics for Precision Medicine (TOPMed) research program.<sup>27</sup> To meet the criteria applied to the TOPMed study, only somatic mutations detected in the previously reported 74 CHIP genes<sup>27</sup> with VAF>5% were included for the comparison (Figure 5A; supplemental Figure 6). Fourteen of 51 (27.5%) patients without hematologic malignancy in the FPDMM cohort have mutations in 11 CHIP genes at VAF > 5%. This frequency is significantly higher (2-tailed z-score test,  $z = 8.138$ ;  $P < .00001$ ) than that of the general population (4.3%).<sup>27</sup> Moreover, 13 of the 14 (92.9%) patients without any hematologic malignancy with CHIP gene mutations were aged <65 years, with a median age of 42 years, and the youngest patient was aged only 13 years. In the general population, only 10% of people aged >65 years and 1% <50 years were reported to carry CHIP gene mutations. In our cohort, CHIP gene mutations were detected in 1

of 3 patients aged >65 years, 9 of 46 patients (19.6%) <50 years, and 1 of 20 (5%) <20 years.

We determined whether there are differences in mutation signatures<sup>43</sup> between patients with CL gene mutations and those without the mutations (Figure 5B; supplemental Figure 5B-C). In both CL<sup>+</sup> and CL<sup>-</sup> groups, at least half of the mutations belonged to single-base substitution (SBS) signatures SBS1 and SBS5, which are both “clock-like” mutations<sup>44</sup> that accumulate with time. Interestingly, in the CL<sup>+</sup> group, 35% of the mutations were assigned to SBS6, which is associated with defective DNA-mismatch repair<sup>44,45</sup>; in CL<sup>-</sup> group, 21.4% of the mutations were related to this but classified as SBS15, a signature that also belongs to the defective DNA-mismatch repair category.

We also compared phenotype data between CL<sup>+</sup> and CL<sup>-</sup> groups (Figure 5C). Patients in the CL<sup>+</sup> group had significantly lower platelet counts ( $P = .007$ ) and higher the International Society on Thrombosis and Haemostasis Bleeding Assessment Tool scores ( $P = .045$ ) than patients in the CL<sup>-</sup> group. Patients in the CL<sup>+</sup> group had lower numbers of CD34<sup>+</sup> cells in the BM ( $P = .012$ ), but a higher proportion of these cells also expressed CD123, which is overexpressed in many hematologic malignancies, including 80%

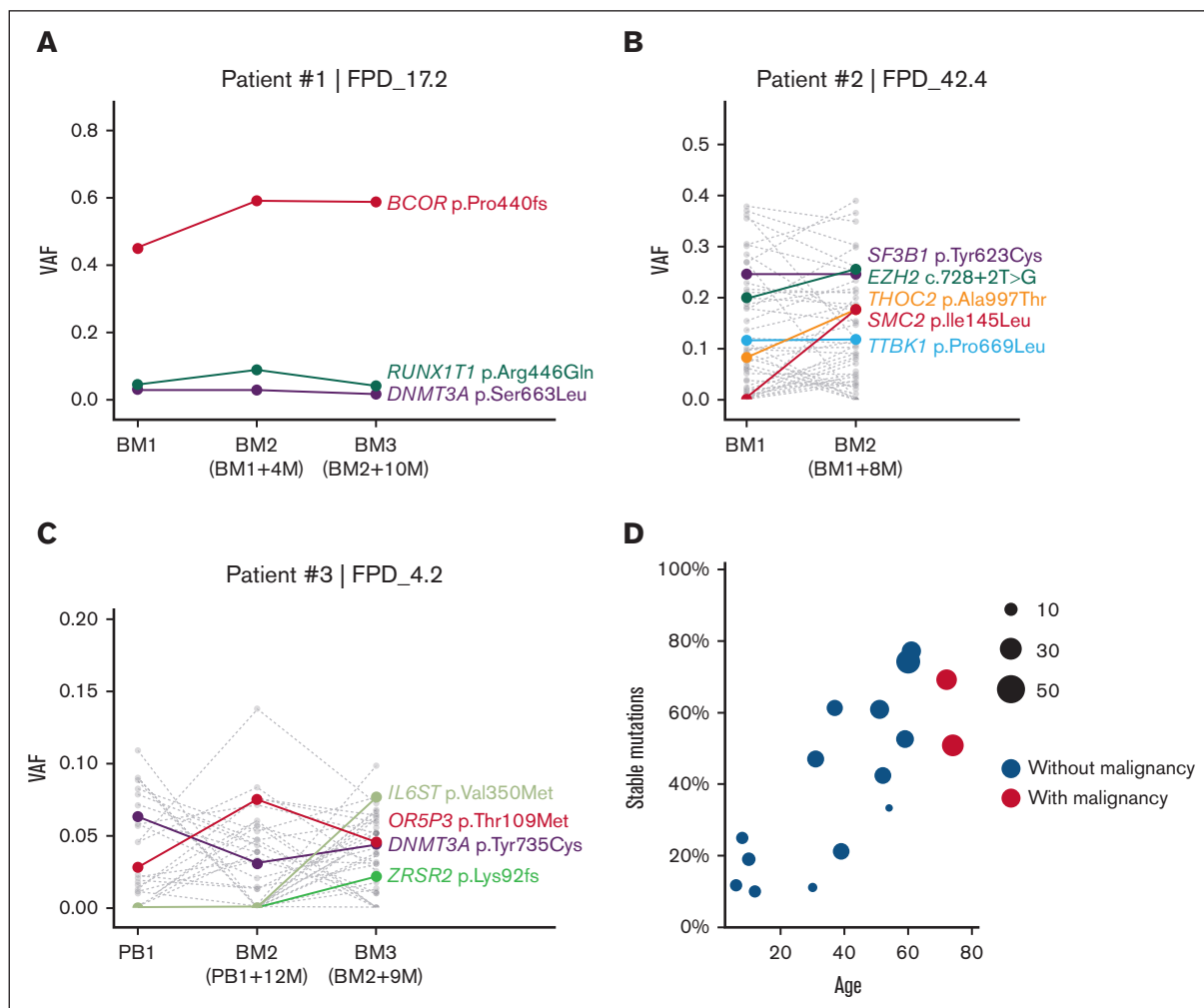
of AML and B-cell ALL.<sup>46</sup> Moreover, patients in the CL<sup>+</sup> group had significantly higher blood immunoglobulin A level ( $P < .001$ ), which may lead to increased proinflammatory cytokine production through the activation of Fc fragment of IgA receptor-expressing immune cells.<sup>47,48</sup> Interestingly, patients in the CL<sup>+</sup> group were significantly older than those in the CL<sup>-</sup> group (average age of 40 years and 22 years, respectively;  $P < .01$ ). On the other hand, both groups had balanced male-to-female ratio, and no significant difference was observed in *RUNX1* mutation types.

### Dynamic changes of somatic mutations over time

Multiple patients in our cohort had completed their second or third annual visits, and we sequenced their samples to monitor the dynamic changes in their somatic mutations. We observed a patient (patient 1) with a stable dominant clone (clones detected in  $\geq 2$  consecutive time points with relatively stable VAFs) characterized by a high VAF *BCOR* mutation (Figure 6A). Additional mutations in *DNMT3A* and *RUNX1T1* also remained stable at low VAF. Patient 2, who already developed MDS with ring sideroblasts, had a splicing factor *SF3B1*

mutation, which was stable at 25% VAF (Figure 6B). Mutations in *SF3B1* were reported in multiple patients with chronic lymphocytic leukemia and MDS.<sup>49</sup> However, VAFs of *THOC2* and *SMC2* mutations increased significantly at the second visit for patient 2 (Figure 6B). *THOC2* is a member of the transcription-export complex, which is indispensable for messenger RNA export<sup>50</sup>; *SMC2* is vital for the structural maintenance of chromosomes.<sup>51</sup> The combined annotation-dependent depletion Phred-score of *THOC2* and *SMC2* mutations are 32 and 29.2, respectively, both predicting a highly deleterious risk. Similarly, we observed rising clones with potential risk in patient 3 (Figure 6C). In the third yearly BM sample, we detected a new frameshift mutation in *ZRSR2*, which may cause dysregulated RNA splicing,<sup>52</sup> and a new somatic mutation in *IL6ST*, which encodes a signal transducer shared by multiple cytokines, including IL-6 and leukemia inhibitory factor.<sup>53</sup> Sequential data on more patients are shown in supplemental Figure 7.

Although comparing multi-timepoint mutations in our cohort, we observed a pattern that younger patients usually have fewer stable clones over time (fewer somatic mutations that could be detected



**Figure 6. Somatic mutation VAF changes over time and age.** (A-C) Somatic mutation VAF changes across samples collected at different time points. Colored dots and lines show mutations in CL and leukemia genes; gray dots and lines represent other somatic mutations. (D) Correlation between patient's age and percentage of stable somatic mutations (present in at least 2 time points with VAF > 0.01) in all somatic mutations. Dot size indicates the total somatic mutation number in this patient. BM, bone marrow; PB, peripheral blood.

in multiple time point samples). As shown in Figure 6D and supplemental Figure 8A, fewer mutations were stable (present in at least 2 time points at VAF > 0.01) in patients aged <30 years. In contrast, the fraction of stable mutations increased with age, suggesting the presence of more stable clones in older patients.

### Additional genomic risk factors in FPDMM

Besides somatic mutations identified by ES, we also investigated other genomic alterations as potential risk factors cooperating with *RUNX1* mutations for malignant transformation.

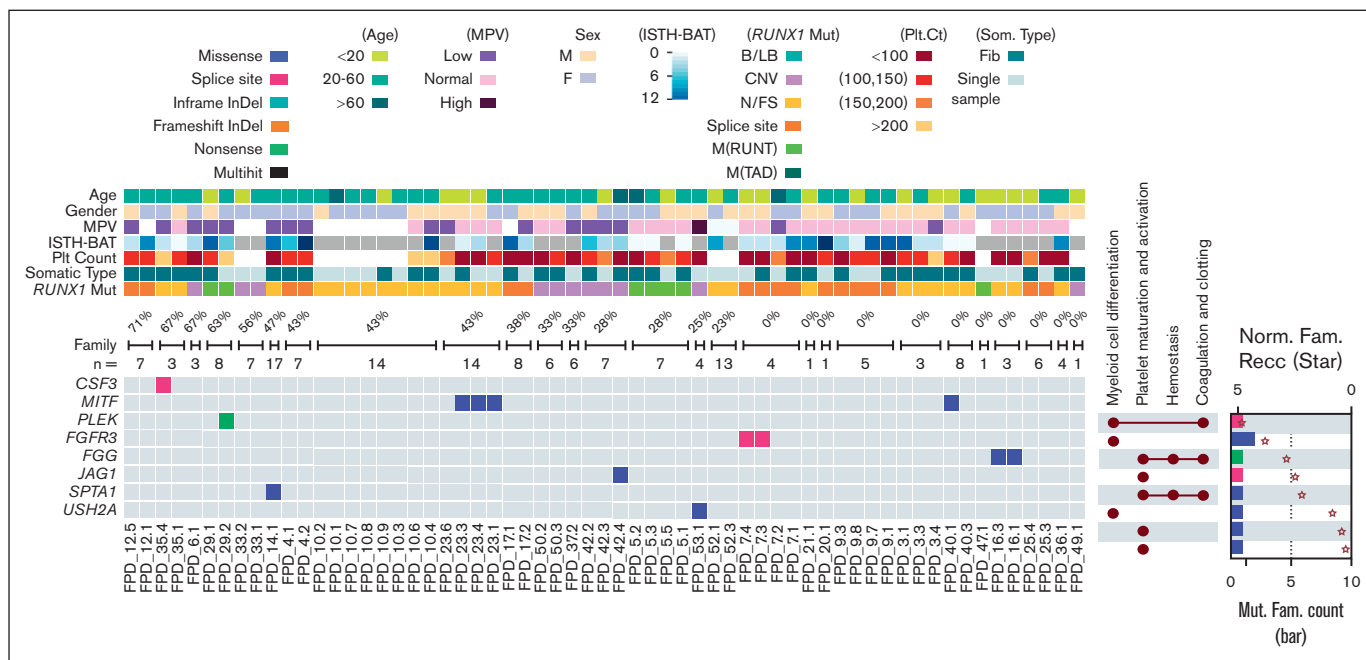
With SNP-array-based CNV analysis, we detected increased frequency of CNVs in patients with FPDMM. Specifically, we identified CNVs in 10 of 25 (40%) patients without hematologic malignancies (supplemental Table 4), whereas we detected a CNV in only 1 of 8 family controls (12.5%). For most patients with CNVs, there were no more than 2 CNVs, and only limited genomic regions were affected. By cytogenetics analysis, only 1 of the 51 analyzed patients without a hematologic malignancy had an abnormal karyotype (a marker chromosome in the BM cells; supplemental Table 4). In addition, fusion-gene analysis did not reveal any in-frame fusion events in the 21 patients for whom we had RNA-seq data.

We have also cataloged and analyzed germline variants in the enrolled families in an attempt to identify modifiers of disease. We focused on genes related to myeloid cell differentiation and hemostasis functions. In 9 families, we detected germline variant either classified as P/LP by Clinvar or classified as P/LP following

ACMG criteria. Affected genes included *CSF3*, *MITF*, *PLEK*, *FGFR3*, *FGG*, *JAG1*, *SPTA1*, and *USH2A* (Figure 7). We also included the percentage of patients in each family who developed hematologic malignancies to highlight genes enriched in these high penetrance families.

Because disease modifiers are not essentially P/LP mutations, we also demonstrated the distribution of variants predicted to be deleterious by in silico modeling, although these were not necessarily considered pathogenic based on the ACMG criteria with respect for monogenic disease<sup>17</sup> (supplemental Figure 9A). For example, we found *TNFSF9* variants in 3 families; the protein encoded by this gene belongs to the tumor necrosis factor ligand family, and it correlated with platelet phenotypes in genome-wide association studies.<sup>54</sup> We found germline variants in genes closely related to myeloid malignancies, such as *GATA2*, *FGFR3*, and *ITGB2*, and in genes involved in biological functions related to the phenotype of FPDMM, such as *SERPINA10*, *SRF*, and *VWF*.

In our cohort, we identified predicted-deleterious germline variants in Fanconi anemia genes. Among the 7 families with these variants, 4 showed a high rate of hematologic malignancies within their family (supplemental Figure 9B; supplemental Table 5). Genes in the RTK-RAS-PI3K pathway also showed germline alterations (supplemental Figure 9C; supplemental Table 5). Our current cohort size is still limited to the power of discovering the influence of germline variants, and the exact significance of these germline variants is unknown; however, it is possible that stronger associations will be detected in the future with more participants enrolled through our longitudinal study.



**Figure 7. Heatmap of P/LP germline variants in genes related to myeloid cell development and hemostasis.** Demographic and clinical data are depicted (top), including percentages of affected family members who developed hematologic malignancy in each family (“n” denotes the total numbers of family members). The UpSet plots on the right of the heat map show the related functional annotations for each gene. The dots indicate that the gene in that row is involved in the functional categories listed at the top. The bar plots on the far-right show numbers of families (Mut. Fam. Count) that carry germline mutations in each gene (scale labeled at the bottom of the plot). Protein size-normalized family number (Norm. Fam. Recc.) are shown with star sign, to provide the affected frequency in a normalized level (scale labeled at the top of the plot). The color codes for mutation types (both the heat map and the bar plot) and for the clinical and demographic data are listed below the heat map. B/LB, benign/likely benign; CNV, copy number variation; F, female; Fib, Fibroblast; M(RUNT), mutation in RUNT domain; M, male; M(TAD), mutation in transactivation domain; N/FS, nonsense/frame shift.



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## Authorship

Contribution: K.Y. and P.P.L. designed the analysis strategy and wrote the manuscript; K.Y., M.M., E.B., and J. Diemer performed the experiments; K.Y. and R.S. analyzed the data; N.D. provided genetic counseling; J. Davis, N.D., M.M., and L.C. enrolled patients; M.M. and L.C. provided clinical care; L.C. served as a medical

director; P.P.L. served as a protocol principal investigator; and all authors discussed and revised the manuscript.

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