# Alternative donor transplantation for myelodysplastic syndromes: haploidentical relative and matched unrelated donors

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## **Key Points**

- MDS patients undergoing transplantation with haploidentical relative and matched unrelated donors had similar OS rates.
- Relapse was more common in patients with haploidentical donors; chronic GVHD occurred more often in those with matched unrelated donors.

We compared outcomes in 603 patients with myelodysplastic syndrome (MDS) after HLAhaploidentical relative (n = 176) and HLA-matched unrelated (n = 427) donor hematopoietic cell transplantation (HCT) from 2012 to 2017, using the Center for International Blood and Marrow Transplant Research database. All transplantations used reduced-intensity conditioning regimens. Total-body irradiation plus cyclophosphamide and fludarabine was the predominant regimen for HLA-haploidentical relative donor HCT, and graft-versus-host disease (GVHD) prophylaxis was uniformly posttransplantation cyclophosphamide, calcineurin inhibitor, and mycophenolate. Fludarabine with busulfan or melphalan was the predominant regimen for HLA-matched unrelated donor HCT, and GVHD prophylaxis was calcineurin inhibitor with mycophenolate or methotrexate. Results of multivariate analysis revealed higher relapse (hazard ratio [HR], 1.56; P = .0055; 2-year relapse rate, 48% vs 33%) and lower disease-free survival (DFS) rates after HLA-haploidentical relative donor HCT (HR, 1.29; P = .042; 2-year DFS, 29% vs 36%). However, overall survival (OS) rates did not differ between donor type (HR, 0.94; P = .65; 2-year OS, 46% for HLA-haploidentical and 44% for HLA-matched unrelated donor HCT) because of mortality associated with chronic GVHD. Acute grade 2 to 4 GVHD (HR, 0.44; *P* < .0001) and chronic GVHD (HR, 0.36; *P* < .0001) were lower after HLA-haploidentical relative donor HCT. By 2 years, probability of death resulting from chronic GVHD was lower after HLA-haploidentical relative compared with HLAmatched unrelated donor HCT (6% vs 21%), negating any potential survival advantage from better relapse control. Both donor types extend access to transplantation for patients with MDS; strategies for better relapse control are desirable for HLAhaploidentical relative donor HCT, and effective GVHD prophylaxis regimens are needed for unrelated donor HCT.

Submitted 20 October 2020; accepted 7 January 2021; published online 12 February 2021. DOI 10.1182/bloodadvances.2020003654.

Requests for data sharing should be e-mailed to the corresponding author, Michael R. Grunwald (michael.grunwald@atriumhealth.org).

# Introduction

Allogeneic hematopoietic cell transplantation (HCT) has been a successful strategy to treat myelodysplastic syndrome (MDS). Although prospective trials comparing HCT with non-HCT approaches for MDS are lacking, outcomes after HCT are promising. In a study that compared survival of patients with MDS who were candidates for HCT, based on donor availability, the 4-year overall survival (OS) rate was 37% for patients who underwent HLA-matched sibling donor HCT (n = 112) compared with 15% for patients who did not receive HCT because of lack of donor (n = 50).<sup>1</sup> The observed survival advantage after HLA-matched sibling donor HCT was evident beyond the second year after HCT. Large registry studies of reduced-intensity conditioning (RIC) HCT for older patients with MDS have reported long-term survival rates ranging from 30% to 45%.<sup>2,3</sup> Although the best donor for allogeneic HCT is generally considered to be an HLA-matched sibling, only  $\sim$ 30% of patients have a suitable sibling.<sup>4</sup> HLAmatched unrelated donor HCT has been used for decades for MDS, with reports documenting comparable long-term survival after HLA-matched sibling and HLA-matched unrelated donor HCT but lower survival rates after 1-locus HLA-mismatched unrelated donor HCT.<sup>5</sup> Although most White patients are able to identify a suitable unrelated donor, this is not the case for non-Whites, and transplantation of grafts from HLA-mismatched unrelated donors is associated with higher mortality.4,5 Recent years have witnessed increasing use of HLA-haploidentical relatives as donors using posttransplantation cyclophosphamide (PTCY) for graft-versushost disease (GVHD) prophylaxis for hematologic malignancies, including MDS.<sup>6-10</sup> In these reports,<sup>6-9</sup> acute myeloid leukemia (AML) was the predominant malignancy, and these studies are limited by their modest sample sizes. A recent study evaluated 228 MDS patients undergoing HLA-haploidentical donor HCT that included both myeloablative conditioning (MAC) and RIC regimens and GVHD prophylaxis using PTCY and other approaches.<sup>10</sup> This report concluded that approximately one-third of patients were alive and disease free 3 years after HLA-haploidentical relative donor HCT. Collectively, existing reports confirm HLA-haploidentical relatives extend the donor pool for MDS and other myeloid malignancies.<sup>6-10</sup> Because most patients are expected to have an HLA-haploidentical relative, the primary objective of the current analysis was to compare outcomes after commonly used strategies for HLA-haploidentical and HLA-matched unrelated donor HCT for MDS.

# Methods

## Patients

Data were obtained from the Center for International Blood and Marrow Transplant Research, a working group of transplantation centers that submit data on standardized reporting forms; patients are followed longitudinally. Forty-nine centers contributed patients to the HLA-haploidentical and 70 centers to the HLA-matched unrelated donor HCT groups. Patients underwent transplantation in the United States from 2012 to 2017. Patients age 50 to 79 years with de novo or therapy-related MDS were included. HLAhaploidentical relative donor transplantations were mismatched at  $\geq$ 2 HLA loci, and unrelated donor transplantations were matched at HLA-A, -B, -C, and -DRB1 at the allele level. All patients received RIC regimens as previously defined,<sup>11</sup> and all patients received T cell–replete bone marrow or peripheral blood. Excluded were patients with an MDS that transformed to AML, patients who had undergone prior allogeneic HCT, and those receiving in vivo T-cell depletion or ex vivo graft manipulation. Patients provided written informed consent. The Institutional Review Board of the National Marrow Donor Program approved the study.

# Outcomes

OS was the primary end point. Other end points included hematopoietic recovery, acute and chronic GVHD, relapse, nonrelapse mortality (NRM), and disease-free survival (DFS). Neutrophil recovery was defined as achieving an absolute neutrophil count (ANC)  $\geq 0.5 \times 10^{9}$ /L for 3 consecutive days, and platelet recovery was defined as achieving a platelet count  $\geq 20 \times 10^9$ /L without transfusion for 7 consecutive days. Graft failure was defined as failure to achieve ANC  $\geq$  0.5  $\times$  10<sup>9</sup>/L, decline in ANC to <0.5  $\times$  $10^{9}$ /L without recovery after having achieved ANC  $\geq 0.5 \times 10^{9}$ /L, myeloid donor chimerism <5%, or second transplantation or donor leukocyte infusion.<sup>12</sup> Disease relapse, progression, and death were treated as events. NRM was defined as time to death without relapse or progression. Relapse was defined as molecular, cytogenetic, or morphologic recurrence of MDS. DFS was defined as survival without relapse or progression. Grade 2 to 4 acute and chronic GVHD were graded using previously described criteria.<sup>13,14</sup>

# Statistical analysis

The incidences of neutrophil and platelet recovery and graft failure were calculated using the cumulative incidence estimator.<sup>15</sup> Propensity scores were generated for each patient using logistic regression based on age, Karnofsky performance score (KPS), use of hypomethylating agents (HMAs) pretransplantation, and Revised International Prognostic Scoring System (IPSS-R) score at transplantation. The median propensity score for HLA-haploidentical relative donor HCT was 0.41 (range, 0.01-0.92); for HLA-matched unrelated donor HCT, it was 0.22 (range, 0.01-0.83). Multivariate analyses were performed using Cox proportional hazards models for acute and chronic GVHD, relapse, NRM, relapse, DFS, and OS to examine the effect of donor type with adjustment for propensity score.<sup>16</sup> A stepwise model-building approach was adopted, testing the following variables: sex, HCT comorbidity index (HCT-CI), recipient cytomegalovirus (CMV) serostatus, MDS type (de novo or therapy related), World Health Organization classification, interval between diagnosis and transplantation, and transplantation period (Table 1). Variables that attained a P value  $\leq$  .05 were retained in the final model. The final model included the variable for donor type regardless of level of significance and was adjusted for propensity score. The incidences of acute and chronic GVHD and the probabilities of DFS and OS, relapse, and NRM were calculated from the final Cox model.<sup>17,18</sup> Transplantation center effect on survival was tested using the frailty model.<sup>19</sup> All P values are 2 sided, and analyses were performed using SAS (version 9.4; Cary, NC).

# **Results**

## Patient, disease, and transplantation characteristics

Patient, disease, and transplantation characteristics are listed in Table 1. In both treatment groups, most transplantations were performed for de novo MDS. In addition, most patients received HMAs before transplantation and had HCT-CI scores of  $\geq$ 3. World

## Table 1. Patient, disease, and transplantation characteristics

	n (%)		
Characteristic	HLA-haploidentical donor (N = 176)	HLA-matched unrelated donor ( $N = 427$ )	Р
Age, y			<.001
50-59	38 (22)	41 (10)	
60-69	103 (59)	250 (59)	
70-79	35 (20)	136 (32)	
Sex			.24
Male	123 (70)	277 (65)	
Female	53 (30)	150 (35)	
Race			<.001
White	141 (80)	405 (95)	
Non-White	32 (18)	14 (3)	
Not reported	3 (2)	8 (2)	
нст-сі			.30
0-2	76 (43)	165 (39)	
3+	100 (57)	262 (61)	
KPS			.004
90-100	87 (49)	177 (42)	
<90	82 (47)	246 (57)	
Not reported	7 (4)	4 (< 1)	
CMV serostatus			.22
Negative	58 (33)	173 (41)	
Positive	117 (66)	252 (59)	
Not reported	1 (<1)	2 (<1)	
Disease type			.44
De novo MDS	152 (86)	358 (84)	
Therapy-related MDS	24 (14)	69 (16)	
WHO classification			.02
RA/RARS/RCMD	39 (22)	134 (31)	
RAEB-1/RAEB-2	97 (55)	229 (54)	
MDS NOS	40 (23)	64 (15)	
Systemic therapy before transplantation			<.001
HMAs	139 (79)	323 (75)	
No HMAs	15 (9)	87 (21)	
Not reported	22 (12)	17 (4)	
Cytogenetic risk			<.001
Monosomal karyotype	33 (19)	63 (15)	
Good	83 (47)	224 (52)	
Intermediate	34 (19)	80 (19)	
Poor/very poor	20 (11)	54 (12)	
Not reported	6 (3)	6 (1)	
Bone marrow blasts before transplantation, %			<.001
<2	88 (50)	212 (50)	
2-5	35 (20)	84 (20)	
5-10	26 (15)	97 (23)	
>10	9 (5)	25 (6)	
Not reported	18 (10)	9 (2)	

NA, not applicable (confounded with donor type); NOS, not otherwise specified; RA, refractory anemia; RAEB, refractory anemia with excess blasts; RARS, refractory anemia with ringed sideroblasts; RCMD, refractory cytopenia with multilineage dysplasia; WHO, World Health Organization.

\*TBI dose  $\leq$ 500 cGy.

#### Table 1. (continued)

	n (%)		
Characteristic	HLA-haploidentical donor (N = $176$ )	HLA-matched unrelated donor (N = 427)	P
IPSS-R score before transplantation			<.001
Very low	3 (2)	35 (8)	
Low	25 (14)	123 (29)	
Intermediate	44 (25)	138 (32)	
High	43 (24)	93 (22)	
Very high	43 (24)	30 (7)	
Not reported	18 (10)	8 (2)	
Disease risk index			.08
Intermediate	59 (34)	128 (30)	
High	114 (65)	298 (70)	
Not reported	3 (1)	1 (<1)	
Interval from diagnosis to transplantation, mo			<.04
<6	37 (21)	132 (31)	
6-12	67 (38)	131 (31)	
>12	72 (41)	164 (38)	
Conditioning regimen*			NA
TBI + cyclophosphamide + fludarabine	143 (81)	6 (1)	
TBI + fludarabine	11 (6)	73 (17)	
Busulfan + fludarabine	5 (3)	187 (44)	
Fludarabine + melphalan	10 (6)	147 (35)	
TBI + busulfan + fludarabine	7 (4)	14 (3)	
Transplantation period			<.001
2012-2014	41 (23)	179 (42)	
2015-2017	135 (77)	248 (58)	

NA, not applicable (confounded with donor type); NOS, not otherwise specified; RA, refractory anemia; RAEB, refractory anemia with excess blasts; RARS, refractory anemia with ringed sideroblasts; RCMD, refractory cytopenia with multilineage dysplasia; WHO, World Health Organization.
\*TBI dose ≤500 cGv.

"IBI dose ≤500 cGy.

Health Organization classification and cytogenetic risk differed by treatment group. Recipients of HLA-haploidentical donor transplantations were more likely to have very high IPSS-R scores at transplantation. There were other differences between the treatment groups. Recipients of HLA-haploidentical donor transplantations were more likely to be younger and non-White, have KPS of 90 or 100, receive bone marrow (although peripheral blood was the predominant graft for both donor types), undergo low-intensity totalbody irradiation (TBI)-containing regimens (TBI at 200 cGy, cyclophosphamide, and fludarabine), and have a longer interval between diagnosis and transplantation. The median age of recipients of HLA-haploidentical donor transplantations was 65 years (range, 50-78 years); for recipients of HLA-matched unrelated donor transplantations, it was 68 years (range, 51-79 years). Recipients of HLA-haploidentical donor transplantations received uniform GVHD prophylaxis consisting of PTCY with calcineurin inhibitor and mycophenolate. Most recipients of HLAmatched unrelated donor transplantations received an alkylating agent (busulfan or melphalan) with fludarabine as their transplantation conditioning regimen, and all received GVHD prophylaxis that included calcineurin inhibitor with methotrexate (n = 296; 69%) or mycophenolate (n = 131; 31%). Neither treatment group received antithymocyte globulin or alemtuzumab. Peripheral blood was the predominant graft for both donor types. Sixty-two percent (110 of 176) of HLA-haploidentical relative donor transplantations were peripheral blood grafts, and the remaining 38% (66 of 176) were bone marrow grafts. Peripheral blood grafts accounted for 92% of HLA-matched unrelated donor transplantations (391 of 427). Only 36 (8%) HLA-matched unrelated donor transplantations were performed using bone marrow grafts. The median follow-up of survivors was 24 and 36 months for those undergoing HLA-haploidentical and HLA-matched unrelated donor transplantations, respectively.

### Hematopoietic recovery

Hematopoietic recovery was slower after HLA-haploidentical compared with HLA-matched unrelated donor transplantation. The day-28 incidence of neutrophil recovery after HLA-haploidentical donor HCT was 88% (95% confidence interval [CI], 83%-93%) compared with 97% (95% CI, 95%-98%) for HLA-matched unrelated donor HCT (P < .0001). The corresponding day-100 incidences of platelet recovery were 83% (95% CI, 77%-89%) and 95% (95% CI, 93%-97%; P < .0001). The 1-year incidence of graft failure was higher after HLA-haploidentical compared with HLA-matched unrelated donor transplantation; graft failure rates were 15% (95% CI, 8%-24%) and 8% (95% CI, 5%-

12%; P = .020), respectively. Thirteen recipients of HLA-haploidentical donor transplantations (bone marrow, n = 5; peripheral blood, n = 8) had graft failure, and 10 of these patients died. Six patients received a second transplantation after graft failure, and 1 patient underwent donor leukocyte infusion; only 3 of these patients were alive at the time of this analysis. Twenty-five recipients of HLA-matched unrelated donor transplantations (bone marrow, n = 2; peripheral blood, n = 23) had graft failure, and 23 of these patients died. Two patients received a second transplantation and were alive at the time of this analysis.

In a subset analysis that examined for an effect of graft type for recipients of HLA-haploidentical donor transplantations, the day-28 incidence of neutrophil recovery did not differ after transplantation of bone marrow (85%; 95% Cl, 75%-93%) vs peripheral blood (91%; 95% Cl, 84%-95%; P = .11). The day-100 incidence of platelet recovery was lower after transplantation of bone marrow (78%; 95% Cl, 67%-88%) compared with peripheral blood (86%; 95% Cl, 79%-92%; P = .04). When the analysis was limited to recipients of peripheral blood, consistent with the main analysis, neutrophil (97%; 95% Cl, 96%-99%) and platelet (96%; 95% Cl, 94%-98%) recovery rates were higher after HLA-matched unrelated donor HCT compared with HLA-haploidentical donor HCT (P < .001).

## GVHD

The risk of grade 2 to 4 and 3 to 4 acute GVHD was lower after haploidentical relative compared with HLA-matched unrelated donor HCT (Table 2). The day-100 incidence of grade 2 to 4 acute GVHD was 24% (95% Cl, 18%-31%) after HLA-haploidentical and 44% (95% CI, 40%-49%) after HLA-matched unrelated donor HCT. No other factors were associated with grade 2 to 4 or 3 to 4 acute GVHD. Similarly, the risk of chronic GVHD was lower after HLA-haploidentical relative compared with HLA-matched unrelated donor HCT (Table 2). No other factors were associated with chronic GVHD. The 2-year incidences of chronic GVHD were 22% (95% Cl, 16%-29%) and 56% (95% Cl, 51%-61%) after HLA-haploidentical relative and HLA-matched unrelated donor HCT, respectively. Among recipients of HLA-haploidentical donor HCT, the day-100 incidences of grade 2 to 4 acute GVHD were 27% (95% Cl, 17%-38%) and 23% (95% Cl, 16%-32%) after transplantation of bone marrow and peripheral blood, respectively (P = .64). The corresponding 2-year incidences of chronic GVHD were 15% (95% Cl, 7%-25%) and 25% (95% Cl, 17%-34%; P = .19). When the analysis was limited to recipients of peripheral blood, consistent with the main analysis, grade 2 to 4 acute GVHD (44%; 95% Cl, 39%-49%) and chronic GVHD (58%; 95% Cl, 52%-63%) were observed more frequently after HLA-matched unrelated compared with HLA-haploidentical donor HCT (P < .001).

### NRM and relapse

The 2-year incidences of NRM were 24% (95% CI, 18%-31%) and 34% (95% CI, 30%-39%) after HLA-haploidentical relative and HLA-matched unrelated donor HCT, respectively (Figure 1A). The corresponding incidences of relapse were 48% (95% CI, 39%-56%) and 33% (95% CI, 28%-37%), respectively (Figure 1B). The results of multivariate analysis are summarized in Table 2. Overall, NRM did not differ by treatment group after adjusting for recipient CMV serostatus. NRM was higher for CMV-seropositive recipients (hazard ratio [HR], 1.56; 95% CI, 1.12-2.16; P = .008); CMV seropositivity was the only characteristic associated with NRM after

# Table 2. Results of multivariate analysis adjusted for propensity score

Outcome	HR (95% CI)	Р
Grade 2-4 acute GVHD		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	0.44 (0.31-0.62)	<.0001
Grade 3-4 acute GVHD		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	0.26 (0.14-0.48)	<.0001
Chronic GVHD		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	0.36 (0.25-0.53)	<.0001
NRM*		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	0.90 (0.62-1.31)	.57
Relapse <sup>†</sup>		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	1.56 (1.14-2.14)	.0055
DFS‡		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	1.29 (1.01-1.65)	.042
OS§		
HLA-matched unrelated	1.00	
HLA-haploidentical relative	0.94 (0.71-1.24)	.65

Each patient was assigned a propensity score based on age, KPS, HMAs before transplantation and IPSS-R score at transplantation.

HR, hazard ratio.

\*Adjusted for recipient CMV serostatus.

tAdjusted for recipient sex.

#Adjusted for donor-recipient sex match.

§Adjusted for HCT-CI score.

adjusting for propensity score. Relapse risk was higher after HLA-haploidentical relative compared with HLA-matched unrelated donor HCT (HR, 1.56; P = .0055; Table 2). The relapse rate was also higher in women than men (HR, 1.48; 95% Cl, 1.11-1.97; P = .007). Interval from diagnosis to transplantation was not associated with relapse (HR, 0.97; 95% Cl, 0.69-1.37; P = .88). Chronic GVHD had a significant effect on lowering relapse risk (HR, 0.53; 95% Cl, 0.35-0.81; P = .003), but the effect of chronic GVHD on relapse did not differ by treatment group (P = .60). Grade 2 to 4 acute GVHD did not affect relapse risk (HR, 1.12; 95% Cl, 0.83-1.50; P = .45).

## **DFS and OS**

The 2-year probabilities of DFS after HLA-haploidentical and HLA-matched unrelated donor transplantation were 29% (95% Cl, 21%-37%) and 36% (95% Cl, 31%-41%), respectively (Figure 2A). The corresponding probabilities of OS were 46% (95% Cl, 37%-54%) and 44% (95% Cl, 39%-48%; Figure 2B). The results of multivariate analysis are summarized in Table 2. DFS was lower after HLA-haploidentical relative compared with HLA-matched unrelated donor HCT (HR, 1.29; P = .042). OS did not differ by donor type (HR, 0.94; P = .65). Survival was lower with HCT-Cl score of  $\geq$ 3 (HR, 1.30; 95% Cl, 1.03-1.65; P = .026). Interval from diagnosis to HCT was not associated with DFS (HR, 0.95; 95% Cl, 1.03-1.65).



Figure 1. NRM and relapse incidence rates. (A) The 2-year incidences of NRM were 24% (95% Cl, 18%-31%) and 34% (95% Cl, 30%-39%) after HLA-haploidentical relative and HLA-matched unrelated donor transplantations, respectively. (B) The 2-year incidences of relapse were 48% (95% Cl, 39%-56%) and 33% (95% Cl, 28%-37%) after HLA-haploidentical relative and HLA-matched unrelated donor transplantations, respectively.

0.74-1.23; P = .71) or OS (HR, 1.00; 95% Cl, 0.76-1.33; P = .98). The 2-year probabilities of GVHD-free, relapse-free survival were 23% (95% Cl, 16%-30%) and 16% (95% Cl, 13%-20%) after HLA-haploidentical relative and HLA-matched unrelated donor HCT, respectively (P = .14). The relative contributions of GVHD. relapse, and death to outcomes by donor type are shown in Figure 3. We examined for an effect of disease risk index (DRI) on OS and did not observe any difference by donor type (test for interaction P = .09). The 2-year probabilities of survival for intermediate DRI were 64% (95% CI. 50%-77%) and 57% (95% CI, 48%-65%) after HLA-haploidentical compared with HLAmatched unrelated donor HCT (P = .78). The corresponding 2year survival rates for patients with high DRI were 34% (95% Cl, 25%-45%) and 39% (95% Cl, 33%-45%; P = .35). Recurrent MDS was the predominant cause of death in both treatment groups, but the proportion of patients whose death was attributed to recurrent MDS was higher after HLA-haploidentical compared with HLA-matched unrelated donor HCT (44% vs 33%; P < .001). The proportion of deaths attributed to GVHD was lower after HLAhaploidentical compared with HLA-matched unrelated donor HCT (7% vs 26%; P < .001). Further exploration confirmed that chronic GVHD was associated with higher likelihood of death. The 2-year probability of death resulting from chronic GVHD in recipients of unrelated donor HCT was 21% compared with 6% in recipients of HLA-haploidentical donor HCT. The day-100 probability of death resulting from acute GVHD in recipients of unrelated donor HCT was 17% compared with 12% in recipients of HLAhaploidentical donor HCT. Other causes of death did not differ by treatment group.

# Discussion

Increasing use of allogeneic HCT for older patients and for those with donors other than HLA-matched siblings have resulted in increasing numbers of transplantations for hematologic malignancies.<sup>20</sup> Furthermore, in recent years, there has been an increase in use of HLA-haploidentical relatives as donors for MDS, a group of diseases for which the median age at diagnosis is ~70 years.<sup>21,22</sup> Therefore, the primary objectives of the current analyses were: 1) to report outcomes after HLA-haploidentical relative donor HCT with PTCY for MDS and 2) to compare these outcomes with outcomes after HLA-matched unrelated donor HCT during the same period. We observed a higher relapse risk after HLA-haploidentical relative compared with HLA-matched unrelated donor HCT that resulted in

Figure 2. DFS and OS probabilities. (A) The 2year probabilities of DFS were 29% (95% Cl, 21%-37%) and 36% (95% Cl, 31%-41%) after HLAhaploidentical relative and HLA-matched unrelated donor transplantations, respectively. (B) The 2-year probabilities of OS were 46% (95% Cl, 37%-54%) and 44% (95% Cl, 39%-48%) after HLAhaploidentical relative and HLA-matched unrelated donor transplantations, respectively.





Figure 3. GVHD and relapse-free survival. The relative contribution of grade 3 to 4 acute GVHD (aGVHD34), chronic GVHD (cGVHD), relapse, and death to outcomes by donor type. Haplo, haploidentical donor; MUD, matched unrelated donor.

lower DFS after HLA-haploidentical relative donor HCT. The absence of a difference in OS between donor types is explained by higher chronic GVHD (with an adverse effect on survival) after HLA-matched unrelated donor HCT. Death attributed to chronic GVHD was 3.5 times higher after HLA-matched unrelated compared with HLA-haploidentical relative donor HCT. This negated any survival advantage that may have resulted from fewer relapses after HLA-matched unrelated donor HCT. It is plausible that a survival advantage attributed to lower relapse risk would require longer follow-up. In the setting of HLA-haploidentical donor HCT, acute and chronic GVHD rates did not differ by graft type. We hypothesize that the incorporation of PTCY into GVHD prophylaxis mitigated the GVHD risk. Choosing a suitable donor for HCT is complex and requires consideration of many factors, including donor availability, timing and cost of graft acquisition, and transplantation center expertise. With regard to MDS patients undergoing RIC HCT, the present analysis does not show a survival advantage for HLA-haploidentical relative or HLA-matched unrelated donor HCT. When considering an RIC regimen for HCT in MDS, HLAhaploidentical relative and HLA-matched unrelated donors both extend access to HCT. The outcomes after HLA-haploidentical relative donor HCT are encouraging for non-Whites, who are less likely to identify an HLA-matched unrelated donor.<sup>4</sup> Although in the present analysis adjusted NRM did not differ by treatment group, NRM was higher for CMV-seropositive recipients. It is noteworthy that for non-White patients, finding unrelated CMV-matched donors may present a particular challenge.

Relapse risk after HLA-haploidentical relative donor HCT was higher than after HLA-matched unrelated donor HCT. Although all transplantations in the current analysis used RIC, the intensity of the regimen varied by donor type. Recipients of HLA-haploidentical donor transplantations primarily received what is regarded as a lowintensity regimen that included TBI at 200 cGy, fludarabine, and cyclophosphamide. In contrast, most recipients of HLA-matched unrelated donor transplantations received fludarabine with busulfan or melphalan, regimens considered higher intensity than the lowdose TBI regimen. A more appropriate comparison of donor sources would have been limited to patients who received fludarabine with busulfan or melphalan. Such a comparison could not be undertaken in the current analysis, because only 9% of HLA-haploidentical relative donor transplantations in this study used these regimens. In addition, studies aimed at comparing donor types that use PTCY-containing GVHD prophylaxis regimens for both donor types may further inform donor choices in the future.

A report that studied the effect of regimen intensity for HLAhaploidentical relative donor HCT for myeloid malignancies failed to show a difference in relapse risk between the low-dose TBI regimen and the more intensive regimen consisting of busulfan or melphalan with fludarabine.<sup>23</sup> However, relapse risks are higher with RIC compared with MAC regimens.<sup>24,25</sup> An effect of graft type cannot be examined in the current analysis, because graft type is correlated with donor type. In another study of HLA-haploidentical relative donor HCT for hematologic malignancies, relapse was higher after transplantation of bone marrow compared with peripheral blood but without a difference in DFS or OS.<sup>26</sup>

There are 2 recent reports on HLA-haploidentical donor HCT for MDS.<sup>10,27</sup> The outcomes reported in the current analysis are inferior to those reported by Wang et al.<sup>27</sup> However, the study populations differ markedly insofar as the current analysis includes a substantially older population. Additionally, all HLA-haploidentical donor transplantations in the current analysis were T cell replete and performed with PTCY for GVHD prophylaxis. Our findings are more in keeping with the report by Robin et al,<sup>10</sup> in which 102 of 228 patients received PTCY for GVHD prophylaxis. In their analysis, the use of MAC regimens and use of PTCY for GVHD prophylaxis were associated with better outcomes.<sup>10</sup> However, NRM was higher in the Robin et al study and may be attributed to a higher-risk population that included MDS transformed to AML and use of MAC regimens.<sup>10</sup>

The current analysis comparing 2 donor types has several limitations. There were several patient and disease characteristics that differed between the treatment groups. We assigned a propensity score for each patient based on age, KPS, hypomethylating agent use before transplantation, and IPSS-R score to accommodate for more homogeneous treatment cohorts. Additionally, the conditioning regimen and GVHD prophylaxis were packages associated with the type of donor chosen for transplantation, and as such, it was impossible to dissociate the donor type and the transplantation package. Consequently, any interpretation must consider the donor type as well as the regimen(s) offered for that donor type. Because haploidentical transplantations were more common after 2014, the median follow-up was 24 months compared with 36 months for HLA-matched unrelated donor HCT. Therefore, outcomes were censored at 2 years. Longer follow-up will allow for studying the effect of relapse in haploidentical donor transplantation recipients and chronic GVHD in unrelated donor transplantation recipients. Although we performed a carefully controlled analysis for patient and disease characteristics, we are not able to adjust for transplantation characteristics (eg, graft type, GVHD prophylaxis) that were confounded by donor type. We acknowledge that the timing of transplantation and donor selection practices vary among transplantation centers, and although we did not identify an effect of transplantation center on survival, there are unknown and unmeasured factors that may have influenced timing and donor choice. A delay in proceeding with haploidentical donor HCT may in part be explained by a preference for a matched unrelated donor and delays incurred with the search process. In addition, universal assessment of myeloid neoplasms using next-generation sequencing

(which was not available for most patients in the present study) is likely to offer a more precise assessment of disease risk. Our report also identified 2 major obstacles to a successful outcome after HCT. The results of a recent phase 3 randomized trial suggest lower relapse and higher survival with MAC regimens.<sup>24</sup> Therefore, an MAC regimen may be preferred for patients who are able to tolerate such conditions, with less intense regimens reserved for those unable to tolerate myeloablation. Acute and chronic GVHD were high after unrelated donor HCT, and novel approaches to GVHD prophylaxis must be considered. Results of a recently completed phase 3 trial demonstrate lower risk of grade 2 to 4 acute GVHD but not chronic GVHD with the addition of sirolimus to calcineurin inhibitor and mycophenolate GVHD prophylaxis.<sup>28</sup> The results of another recent trial show higher GVHD-free, relapse-free survival with tacrolimus. mycophenolate, and PTCY compared with tacrolimus and methotrexate.<sup>29</sup> Despite the limitations, the current analysis confirms that HLA-haploidentical donor HCT for MDS in an older population extends access to transplantation with survival and GVHD-free survival comparable to those after HLA-matched unrelated donor HCT. Strategies for better relapse control posttransplantation and reduction in GVHD, especially chronic GVHD, are needed to extend survival for MDS patients.

# Acknowledgments

The Center for International Blood and Marrow Transplant Research is supported primarily by public health service grants/cooperative agreements U24-CA076518 from the National Cancer Institute, National Heart, Lung and Blood Institute, and National Institute of Allergy and Infectious Diseases, National Institutes of Health; HHSH250201200016C from the Health Resources and Services Administration; and N00014-15-1-0848 and N00014-16-1-2020 from the Office of Naval Research. The views expressed in this article do not reflect the official policy or position of the National Institutes of Health, Department of the Navy, Department of Defense, Health Resources and Services Administration, or any other agency of the US Government.

# Authorship

Contribution: M.R.G., M.-J.Z., H.E., V.G., T.N., and M.E. designed the research; M.H.J., A.S.M., and M.-J.Z. analyzed the data; and all authors wrote the paper.

Conflict-of-interest disclosure: M.R.G. receives personal fees from AbbVie, Agios, Amgen, Cardinal Health, Bristol-Myers Squibb/Celgene, Daiichi Sankyo, Gilead, Incyte, Karius, Merck, Pfizer, Premier, and Trovagene and research funding from Forma Therapeutics, Genentech/Roche, Incyte, and Janssen. R.N. receives personal fees from Viracor, Magenta, and Kadmon. T.N. receives research support from Novartis and Karyopharm. V.G. receives personal fees from Novartis, Sierra Oncology, Pfizer, and Celgene and research grants from Novartis and Incyte. D.J.W. receives personal fees from FATE Therapeutics and a research grant from Incyte. The remaining authors declare no competing financial interests.

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