

Prognostic significance of cytogenetic heterogeneity in patients with newly diagnosed multiple myeloma

Maximilian Merz,¹ Anna Jauch,² Thomas Hielscher,³ Tilmann Bochtler,¹ Stefan Olaf Schönland,¹ Anja Seckinger,¹ Dirk Hose,¹ Uta Bertsch,^{1,4} Kai Neben,¹ Marc Steffen Raab,^{1,5} Jens Hillengass,¹ Hans Salwender,⁶ Igor Wolfgang Blau,⁷ Hans-Walter Lindemann,⁸ Ingo G. H. Schmidt-Wolf,⁹ Christof Scheid,¹⁰ Mathias Haenel,¹¹ Katja C. Weisel,¹² and Hartmut Goldschmidt^{1,4}

¹Medizinische Klinik V and ²Institute of Human Genetics, University Hospital of Heidelberg, Heidelberg, Germany; ³Division of Biostatistics, German Cancer Research Center (DKFZ), Heidelberg, Germany; ⁴National Center for Tumor Diseases, Heidelberg, Germany; ⁵Max-Eder Research Group Experimental Therapies for Hematologic Malignancies, DKFZ, Heidelberg, Germany; ⁶Asklepios Klinik Altona, Hamburg, Germany; ⁷Department of Internal Medicine III, Charité Campus Benjamin Franklin, Berlin, Germany; ⁸Hämatologie/Onkologie, Katholisches Krankenhaus Hagen gemeinnützige GmbH–St.-Marien-Hospital, Hagen, Germany; ⁹Center for Integrated Oncology, Medizinische Klinik und Poliklinik III, University of Bonn, Bonn, Germany; ¹⁰Department of Internal Medicine I, University of Cologne, Cologne, Germany; ¹¹Klinikum Chemnitz gGmbH, Chemnitz, Germany; and ¹²University Hospital of Tuebingen, Tuebingen, Germany

Key Points

- Clonal heterogeneity detected by iFISH is common in newly diagnosed MM.
- Treatment with bortezomib overcomes the negative impact of high-risk cytogenetic abnormalities if no further subclones are detected.

We investigated subclonal cytogenetic aberrations (CA) detected by interphase fluorescence in situ hybridization (iFISH) in patients with newly diagnosed multiple myeloma (MM) enrolled in the Haemato Oncology Foundation for Adults in the Netherlands (HOVON)–65/ German-Speaking MM Group (GMMG)–HD4 phase 3 trial. Patients were either treated with 3 cycles of vincristine, Adriamycin, and dexamethasone or bortezomib, Adriamycin, and dexamethasone and then thalidomide or bortezomib maintenance after tandem autologous transplantation. Subclones were defined either by presence of different copy numbers of the same chromosome loci and/or CA present in at least 30% less and maximally 2/3 of cells compared with the main clone CA. Patients with subclones harbored more frequently high risk (31.0%) or hyperdiploid main clone aberrations (24.8%) than patients with t(11;14) in the main clone (10.1%). Gains and deletions of c-MYC were the only CA that occurred more frequently as subclone (8.1%/20.5%) than main clone (6.2%/3.9%, respectively). Treatment with bortezomib completely overcame the negative prognosis of high-risk CA in patients without subclones, but not in patients with additional subclonal CA. High-risk patients treated without bortezomib showed dismal outcome whether subclones were present or not. Cytogenetic heterogeneity defined by subclonal CA is of major prognostic significance in newly diagnosed MM patients treated with bortezomib within the HOVON-65/GMMG-HD4 trial.

Introduction

Clonal heterogeneity is a hallmark of solid tumors and hematological malignancies. In patients with acute myeloid leukemia, the presence of different cytogenetically defined subclones in the same patient has been associated with adverse outcome.¹ Recent studies demonstrated that multiple myeloma (MM) is a genetically complex and heterogeneous disease.² It remains to be clarified whether genetic complexity of MM might be the reason for treatment resistance and, ultimately, refractoriness. Second- and third-generation novel agents improved outcome in patients with MM.^{3–5} However, treatment might select refractory clones, present only in a subset of cells at primary diagnosis. Furthermore, different genetically defined subclones spatially and temporarily evolve during the course of the disease and might result in disease progression.^{6–8} So far, there are only limited data available on the occurrence and prognostic

Table 1. Frequencies of subclonal aberrations in the analyzed cohort

Main clone	No subclone		1 subclone		>1 subclone	
	n	%	n	%	n	%
High risk	52	43.7	30	34.5	40	31.0
Hyperdiploid	27	22.7	31	35.6	32	24.8
t(11;14)	21	17.6	16	18.4	13	10.1
Other	19	16.0	10	11.5	44	34.1
All	119		87		129	
<i>P</i>			.0007			

significance of clonal heterogeneity in newly diagnosed MM patients treated with upfront autologous stem cell transplantation (ASCT).

Cytogenetic aberrations (CA) detected by interphase fluorescence in situ hybridization (iFISH) are of major prognostic significance in newly diagnosed and relapsed MM.⁹ Because iFISH is a single-cell analysis, it offers the opportunity to identify CA present only in a subset of cells. With the current study, we intended to characterize subclonal CA detected by iFISH in patients with newly diagnosed MM. Our goals were (1) to investigate distribution of subclonal CA among patients with cytogenetically defined standard- and high-risk main clones; (2) to analyze which CA occurs predominantly on subclonal or main clonal level; and (3) to determine prognostic significance in patients treated either with or without bortezomib and tandem ASCT within the German part of the prospective Haemato Oncology Foundation for Adults in the Netherlands (HOVON)-65/German-Speaking Myeloma Multicenter Group (GMMG)-HD4 trial.¹⁰

Methods

Patients and treatment

We analyzed 354 patients enrolled in the prospective, randomized HOVON-65/GMMG-HD4 trial (EudraCT no. 2004-000944-26) with complete iFISH datasets. The primary end points and subgroup analyses of the HOVON-65/GMMG-HD4 trial were reported previously.¹⁰⁻¹² In brief, after written informed consent, GMMG patients were randomly assigned to either 3 cycles of vincristine, Adriamycin, and dexamethasone followed by tandem ASCT and thalidomide maintenance therapy for 2 years (arm A) or 3 cycles of bortezomib, Adriamycin, dexamethasone (PAD) followed by tandem ASCT and bortezomib maintenance therapy for 2 years (arm B; supplemental Figure 1). The study was conducted in accordance with the Declaration of Helsinki and the protocol was approved by local ethics committees of all participating institutions. Last follow-up of the GMMG-HD4 trial was performed in November 2015. The trial met its primary end point and showed superiority of arm B with regard to prolonged progression-free survival (PFS) compared with arm A.¹⁰

Two-color iFISH was performed on CD138-purified plasma cell samples, as described previously.¹² Probes for the following loci were used: 1q21, 5p15, 5q35, 6q21, 8p21, 9q34, 11q13, 11q23, 13q14, 15q22, 17p13, 19q13, 22q11, translocations t(4;14)(p16;q32), t(11;14)(q13;q32), t(14;16)(q32;q23), the c-MYC locus localized on chromosome 8q24, and an immunoglobulin H (IgH) break-apart probe. Threshold for all CA was 10%. At least 100 nuclei per patient were analyzed. Patients were grouped according

Table 2. Comparison of patient characteristics based on presence of subclones

Variable	Level	Subclone						<i>P</i>
		No		Yes		All		
		n	%	n	%	n	%	
ISS	I	44	44.0	87	38.0	131	39.8	.60
	II	33	33.0	82	35.8	115	35.0	
	III	23	23.0	60	26.2	83	25.2	
Elevated LDH	No	91	86.7	184	78.6	275	81.1	.10
	Yes	14	13.3	50	21.4	64	18.9	
Elevated creatinine	No	97	89.8	221	89.8	318	89.8	1.00
	Yes	11	10.2	25	10.2	36	10.2	
Anemia	No	95	88.0	227	92.3	322	91.0	.23
	Yes	13	12.0	19	7.7	32	9.0	
Hypercalcemia	No	100	92.6	229	93.1	329	92.9	.83
	Yes	8	7.4	17	6.9	25	7.1	
Age, y	>60	84	77.8	175	71.1	259	73.2	.24
	18-60	24	22.2	71	28.9	95	26.8	
Osteolyses	None	22	21.8	61	25.6	83	24.5	.39
	1	10	9.9	14	5.9	24	7.1	
	2	6	5.9	9	3.8	15	4.4	
	>2	63	62.4	154	64.7	217	64.0	
≥VGPR after induction	No	78	72.2	197	80.1	275	77.7	.13
	Yes	30	27.8	49	19.9	79	22.3	
≥VGPR after ASCT	No	57	52.8	145	58.9	202	57.1	.30
	Yes	51	47.2	101	41.1	152	42.9	

to the main clone defined by the largest CA into high risk and standard risk. Presence of deletion 17p13, gain 1q21, and translocation t(4;14) were considered high-risk CA. The group with standard-risk CA was subdivided into patients with a hyperdiploid karyotype (HD) or t(11;14) in the main clone. The score by Wuilleme et al was used to define hyperdiploidy.¹³

Patients were considered to harbor subclones, either if they had different aberrant copy numbers of a single chromosome loci or if they had additional CA present in at least 30% less and maximally 2/3 of cells compared with the largest detected CA. Hybridization accuracy ranges from 10% to 15% based on our experience from more than 5500 FISH analyses in patients with different stages of plasma cell diseases. Therefore, we chose 30% as threshold for subclonal cytogenetic aberrations to rule out an overestimation of subclonal aberrations.

Statistical analysis

Differences between the groups were analyzed with Fisher exact test for categorical variables and Mann-Whitney Wilcoxon test for continuous variables. Overall survival (OS) was defined by time from randomization to death, PFS by the occurrence of serological or symptomatic progressive disease, or death, whichever occurred first, but was censored in case of allogeneic transplantation. Patients alive or without progression at last follow-up were censored. Kaplan-Meier method was used to estimate distribution of survival times and compared using log-rank test. *P* values <.05

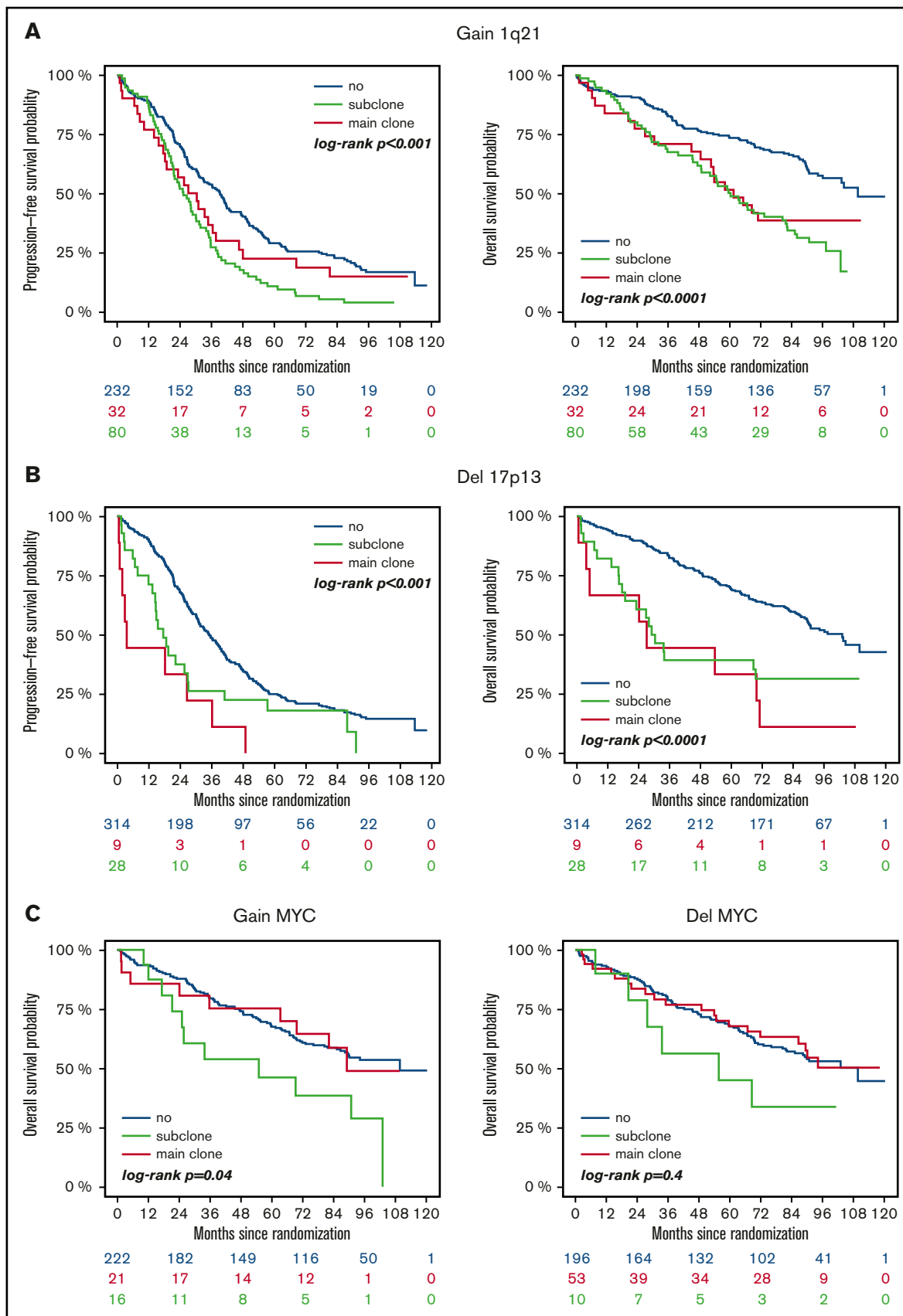


Figure 1.

were considered statistically significant. Multivariable Cox regression analysis was performed accounting for International Staging System (ISS) stage, age, elevated lactate dehydrogenase (LDH), and high-risk main clone CA to assess the effect of subclones on survival. Analyses were performed with statistical software R 3.3.

Results

A total of 833 patients were enrolled in the prospective HOVON-65/GMMG-HD4 trial. Bone marrow aspirated from 354 of 395 (89.6%) eligible GMMG patients was centrally analyzed in Heidelberg. Purity (median, 90%; interquartile range, 77%-95%) was confirmed by CD38⁺/CD138⁺ phenotypes in flow cytometry analyses.

Occurrence of subclones and correlation with baseline characteristics and treatment response

Patients with subclones had more frequently a hyperdiploid (24.8%) or high-risk main clone (31.0%) than patients with a t(11;14) in the main clone (10.1%; Table 1). Patients with high-risk main clone ($n = 122$) more frequently harbored subclones confined to neutral ($n = 31$) than other high-risk chromosome loci ($n = 8$).

Gains/deletions of the MYC locus were the only aberrations that occurred more frequently as subclone (8.1%/20.5%) than main clone (6.2%/3.9%, respectively). Main clonal gains of MYC were especially observed in patients with other high-risk CA in the main clone (14.1%) compared with patients with an HD or t(11;14) main clone ($<3\%$; $P = .0023$). The second most frequently found aberrations on subclonal level besides MYC gains/deletions were gains of odd-numbered chromosomes, such as 5, 9, and 15, associated with a hyperdiploid karyotype (9.1% to 15.4% of patients).

Defined IgH translocations (t(4;14), t(11;14), t(14;16)) were almost exclusively observed as main clone aberrations and only in 1% of patients on subclonal level. High-risk CA other than t(4;14) also occurred predominantly as main clone (gain 1q21: 23.3%/del17p: 8.0%) than subclone (gain 1q21: 9.3%/del17p: 2.6%). Supplemental Table 1 summarizes frequencies of subclone and main clone aberrations in the analyzed cohort.

When comparing clinical baseline characteristics of patients with and without subclones, we did not observe any significant differences. Rates of very good partial remission (VGPR) or better after induction therapy and ASCT were higher in patients without subclones (27.8% and 47.2%) compared with patients with subclones (19.9% and 41.1%, respectively) without reaching statistical significance. Comparison of patient characteristics is depicted in Table 2.

Prognostic significance

High-risk CA were associated with adverse outcome, regardless of whether they were present as main or subclone (Figure 1A-B). Gains and deletions of MYC resulted in shorter OS only if they were present as main clone (Figure 1C). Analysis of the entire cohort revealed shorter survival for patients with subclonal CA (Figure 2A). This was due to shorter PFS and OS in patients with a high-risk

main clone (Figure 2C), whereas no subclone effect was observed in patients with a standard-risk main clone (Figure 2B).

Subgroup analysis revealed that treatment with bortezomib completely overcame the negative prognostic impact of a high-risk main clone if no subclonal CA were detected (Figure 3; supplemental Figure 4). The positive effect of bortezomib on patients with a high-risk main clone was abrogated when patients harbored additional subclonal CA (Figure 3; supplemental Figure 4). Importantly, these negative effects were observed regardless of whether subclones were confined to high-risk or neutral chromosomes (supplemental Figure 2). Because high-risk patients more frequently harbored neutral subclones, as stated previously, the observed effects were caused by the presence of subclones and not by the mere accumulation of further high-risk CA. Figure 4 summarizes results from univariate analysis.

Multivariable analysis

Multivariable Cox regression analysis accounting for ISS, age, elevated LDH, and high-risk main clone CA revealed that the presence of subclones is an independent factor for negative outcome in patients treated with bortezomib. This effect was more pronounced in patients with a high-risk main clone. Table 3 summarizes the results from multivariable analyses.

Discussion

With the current analysis, we demonstrate for the first time occurrence and prognostic significance of subclonal CA in a large cohort of newly diagnosed MM patients treated within a prospective phase 3 trial. We show that cytogenetically defined heterogeneity is a frequent phenomenon.

An explanation for this finding might be that gain 1q21 and deletion 17p13 are especially associated with defective DNA damage repair mechanisms and chromosomal instability (CIN).¹⁴ Furthermore, proliferation of aneuploid cells is limited by TP53.¹⁵ Loss of function from deletion 17p might cause accumulation of additional CA during disease progression on a subclonal level. As in other human cancers, CIN in MM might facilitate tumorigenesis and drug resistance during the course of the disease. Therefore, subclones in high-risk MM patients might be a surrogate for CIN and lead ultimately to adverse outcome.

The same study that established the relationship between gain 1q21 as well as deletion 17p and CIN found no association between HD and CIN.¹⁴ Additionally, subclonal CA were not associated with adverse outcome in HD MM in our current study. Therefore, the mechanisms leading to subclones in patients with a HD karyotype might be different from high-risk patients. The presence of subclonal CA in patients with a HD karyotype is most likely because gains of odd-numbered chromosomes are highly associated with each other (supplemental Figure 3).¹⁶ The events leading to trisomies in MM are not fully understood. Preclinical studies in Wilms tumor cells demonstrated that bipolar mitosis with missegregation of chromosomes during anaphase causes trisomies. Another missegregation of the same chromosome in a

Figure 1. Outcome of patients with or without high-risk aberrations. (A) +1q21: blue, no +1q21; red, subclone +1q21; green, main clone +1q21. (B) -17p: blue, no -17p; red, subclone -17p; green, main clone -17p. (C) \pm MYC: blue, no \pm MYC; red, subclone \pm MYC; green, main clone \pm MYC.

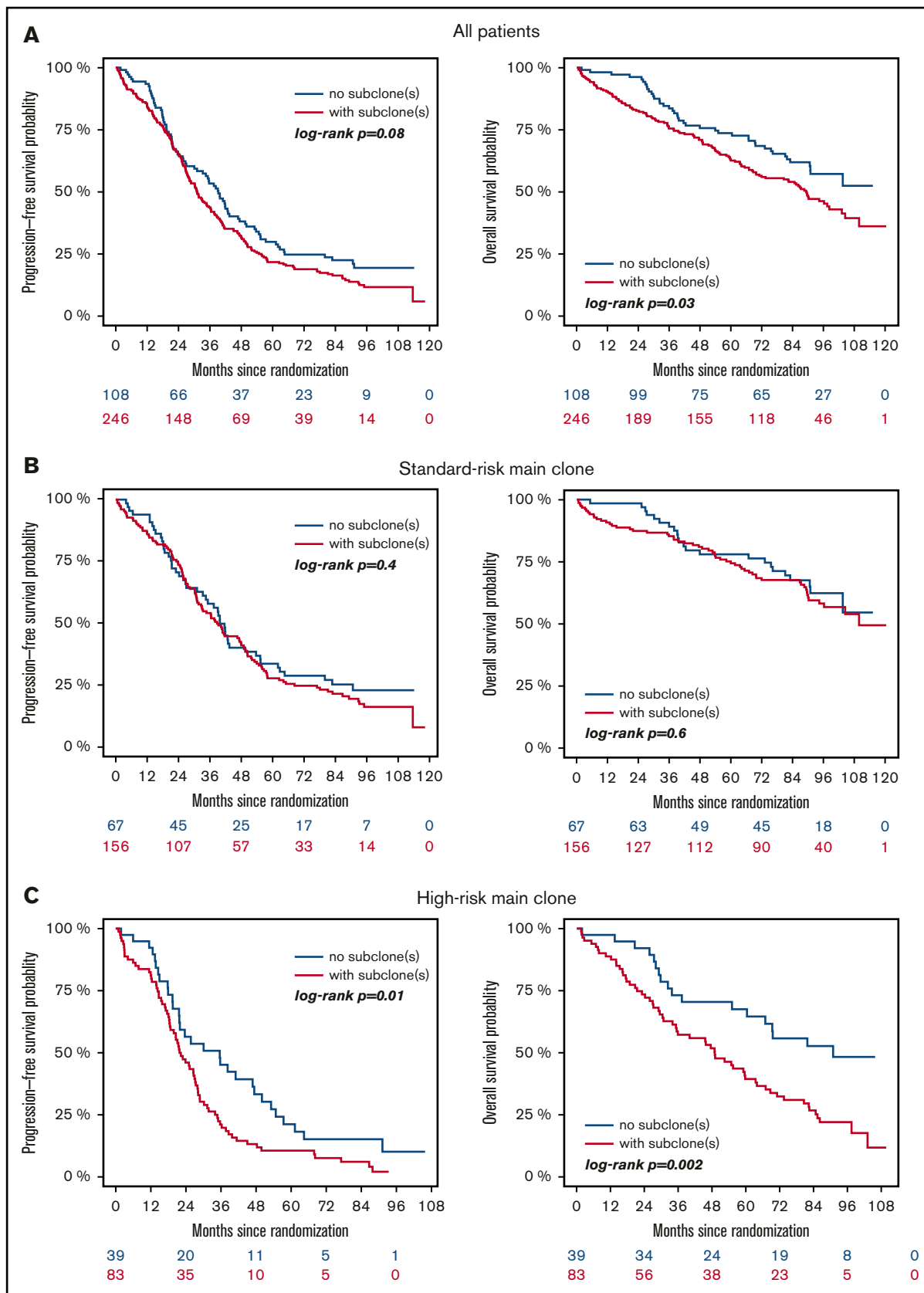


Figure 2. Outcome of patients with (red) or without (blue) subclones. (A) Entire cohort, (B) patients with standard-risk, and (C) high-risk main clone aberration.

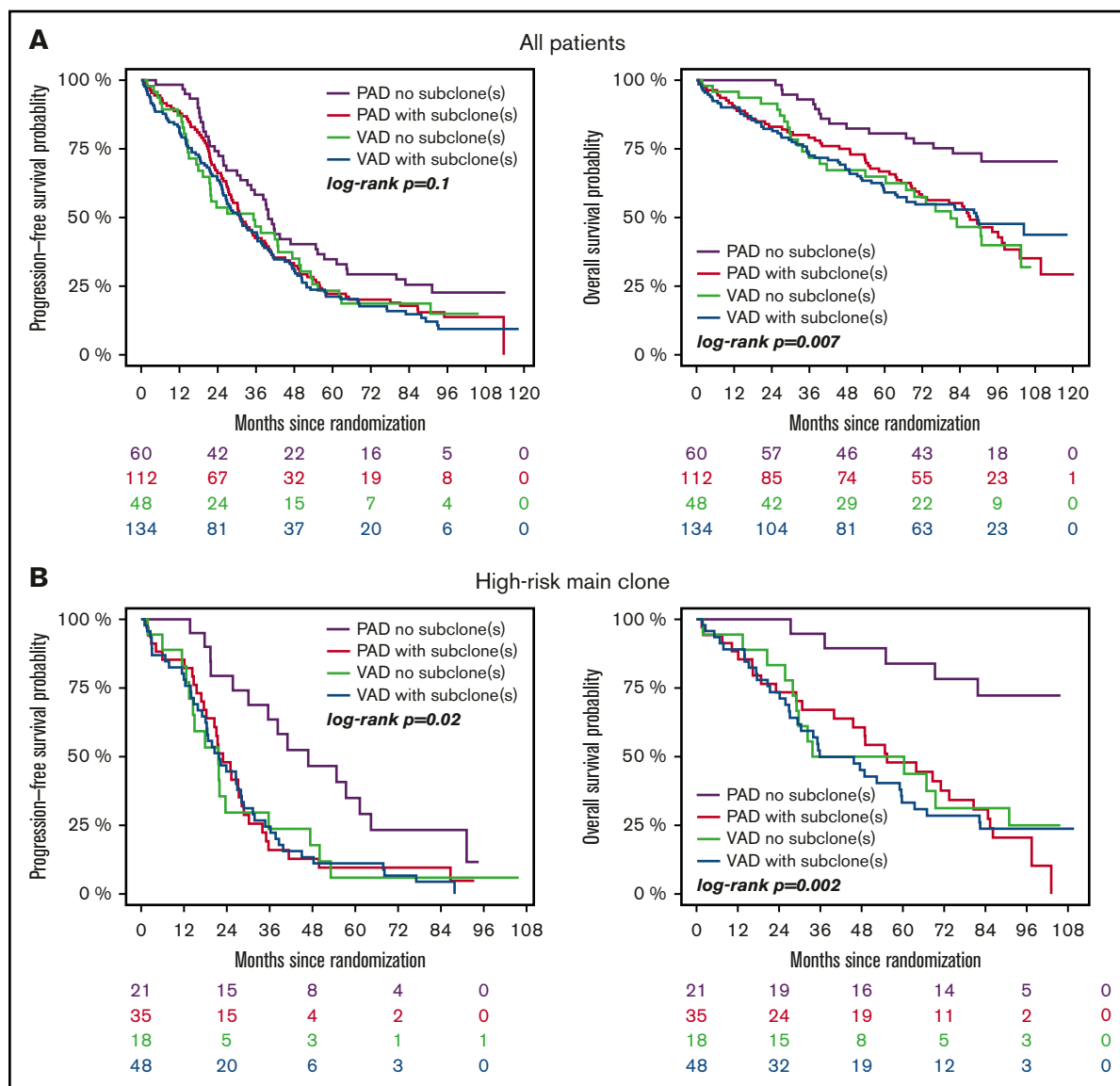


Figure 3. Outcome of high-risk patients. (A) Standard- and (B) high-risk patients. Bortezomib arm: purple, no subclone; red, with subclone. Thalidomide arm: green, no subclone; blue, with subclone. Colored numbers below the plots represent uncensored patients at the respective time point.

trisomic cell leads to 1 tetrasomic and 1 disomic population. If the same event affects another chromosome, 2 daughter cells with different trisomies arise. This mechanism might explain the presence of subclones especially in patients with a HD karyotype beyond CIN.¹⁷

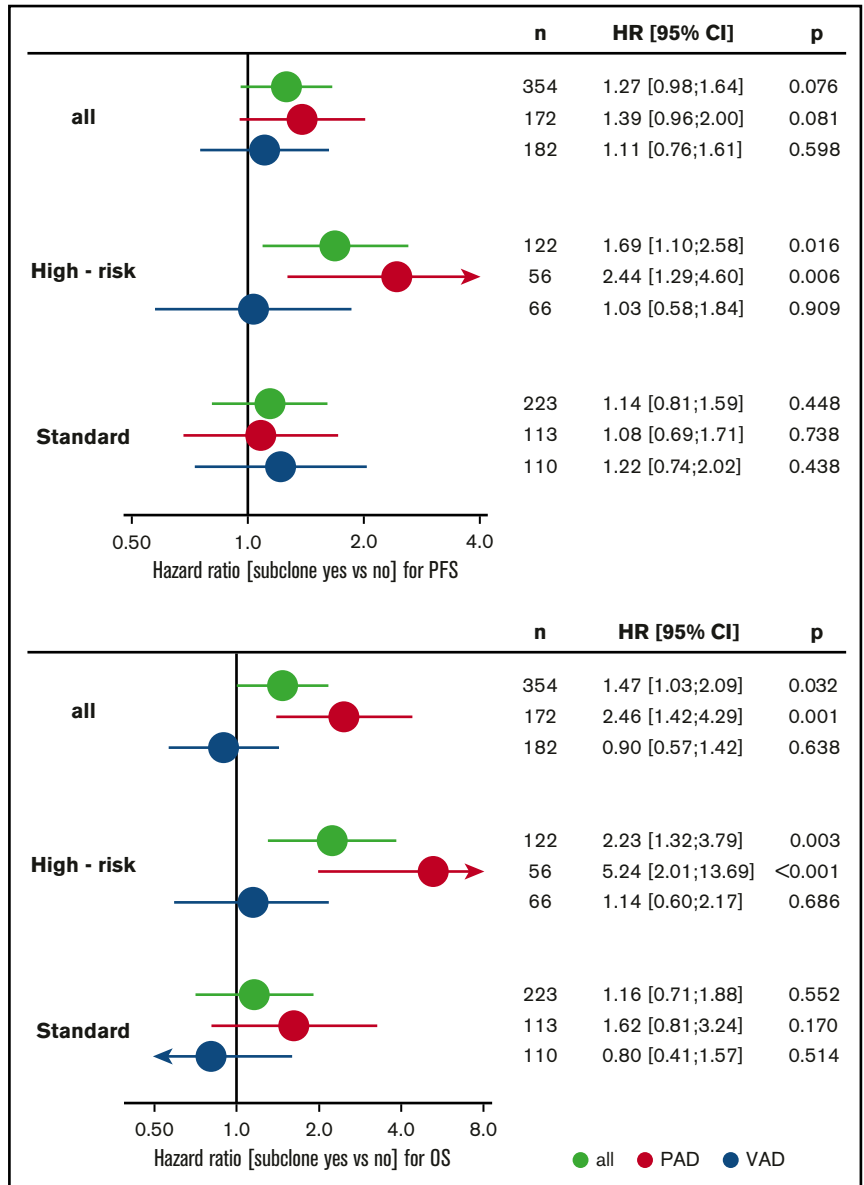
In contrast to patients with an HD or high-risk main clone, defined IgH translocations, especially t(11;14), occurred almost exclusively on a main clonal level and patients with the respective translocation less frequently harbored subclonal CA. This is in line with the current understanding that translocations are early events in myelomagenesis and MM cells with t(11;14) exhibit a rather stable karyotype.

Gains and deletions of MYC were the only CA that occurred more often on a subclonal level. This confirms the hypothesis that MYC aberrations are secondary events and linked to progression from monoclonal gammopathy of undetermined significance to symptomatic MM as well as adverse outcome.^{2,18} However, gains and deletions of MYC were only associated with adverse outcome if

they were present as main clone. An explanation for this finding might be that main clonal gains of MYC were predominantly observed in patients with other high-risk CA in the main clone. However, the analysis of MYC abnormalities is hampered by the fact that they often involve small rearrangements around the MYC locus that are underestimated when using FISH.¹⁹

When investigating the prognostic significance of subclone or main clonal high-risk CA, we observed adverse outcomes in both groups compared with patients without the respective CA. This underlines the hypothesis that high-risk clones might outgrow initially predominant standard-risk, therapy-sensitive clones under the selection pressure of chemotherapy. During the course of the disease, the different clones might gain dominance over each other, resulting in clonal tiding.⁷ That our results were actually more pronounced when looking at OS data compared with PFS data supports this theory. Additionally, recent studies in patients with deletion 17p

Figure 4. Forest plots from univariate analysis. PFS (upper panel) and OS (lower panel) for the entire cohort and standard- and high-risk patients. Green, all patients; red, PAD arm; blue, VAD arm. CI, confidence interval; HR, hazard ratio; VAD, vincristine, Adriamycin, and dexamethasone.



demonstrated that higher cutoffs for the aberration (eg, 60% instead of 10% as in our routine practice) resulted in significantly shorter PFS, whereas results for OS were less significant.^{20,21} In

that context, longitudinal iFISH analyses might help identify distinct clones that are susceptible to certain agents.²² In line with that hypothesis, we show that bortezomib might overcome the poor

Table 3. Results from multivariable Cox regression analysis in patients treated with PAD

	All patients				High-risk patients			
	PFS		OS		PFS		OS	
	HR (95% CI)	P	HR (95% CI)	P	HR (95% CI)	P	HR (95% CI)	P
Subclone	1.28 (0.85-1.92)	.233	2.07 (1.15-3.73)	.016	2.11 (1.01-4.43)	.048	3.24 (1.19-8.83)	.022
ISS II	1.20 (0.77-1.88)	.414	1.85 (1.01-3.38)	.046	0.60 (0.26-1.37)	.227	0.93 (0.34-2.55)	.890
ISS III	1.41 (0.86-2.32)	.176	1.63 (0.82-3.26)	.167	0.78 (0.29-2.09)	.625	0.76 (0.23-2.53)	.654
Age	1.01 (0.98-1.03)	.653	1.04 (1.00-1.08)	.034	0.99 (0.94-1.03)	.553	1.00 (0.94-1.06)	.963
High LDH	2.28 (1.38-3.76)	.001	3.29 (1.79-6.06)	.0001	2.19 (0.73-6.55)	.160	4.68 (1.52-14.4)	.007
HR main	1.61 (1.06-2.46)	.025	2.06 (1.23-3.46)	.006	—	—	—	—

Bold type indicates significant P values.

prognostic impact of gain 1q21 and deletion 17p if there are no additional subclones. Furthermore, multivariable analysis confirmed that the presence of subclones is a negative prognostic factor in patients treated with bortezomib, independent from age, ISS, or LDH levels. It has been shown in a subanalysis of the HD4 trial that bortezomib partially abrogates the negative prognostic impact of deletion 17p.¹² Our current analysis provides the first evidence for clonal heterogeneity being associated with poor outcome and a possible explanation for the beneficial effect of bortezomib in patients with deletion 17p.

One of the main criticisms of the current study is the lack of whole-genome data, which might lead to an underestimation of subclones. However, iFISH is a widely available and comparably cheap method to detect subclones compared with whole-genome approaches. Future studies investigating subclones on, for example, the mutational level, to clarify the mechanisms leading to the observed effects will follow.

Taken together, we show for the first time that clonal heterogeneity detected by iFISH is a common phenomenon in MM. High-risk patients without subclones benefit from continuous bortezomib treatment.

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Authorship

Contribution: M.M., A.J., and T.H. planned and designed the study; H.G. is the principal investigator of the study; T.H. performed the statistical analysis; A.J. performed iFISH analysis; A.S. and D.H. prepared bone marrow samples for iFISH analysis; M.S.R., T.B., S.O.S., U.B., K.N., M.S.R., H.S., I.W.B., H.-W.L., I.G.H.S.-W., C.S., M.H., K.C.W., J.H., and H.G. provided clinical data; M.M., T.H., and A.J. interpreted the data; and all authors read, wrote, reviewed, and approved the final manuscript.

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Correspondence: Maximilian Merz, Medizinische Klinik V, Universitätsklinikum Heidelberg, Im Neuenheimer Feld 410, 69120 Heidelberg, Germany; e-mail: maximilian.merz@med.uni-heidelberg.de.

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