

Interactions of STAT5b-RAR α , a novel acute promyelocytic leukemia fusion protein, with retinoic acid receptor and STAT3 signaling pathways

Shuo Dong and David J. Tweardy

Signal transducer and activator of transcription (STAT) 5b-retinoic acid receptor (RAR) α is the fifth fusion protein identified in acute promyelocytic leukemia (APL). Initially described in a patient with all-*trans* retinoic acid (ATRA)-unresponsive disease, STAT5b-RAR α resulted from an interstitial deletion on chromosome 17. To determine the molecular mechanisms of myeloid leukemogenesis and maturation arrest in STAT5b-RAR α ⁺ APL and its unresponsiveness to ATRA, we examined the effect of STAT5b-RAR α on the activity of myeloid transcription factors including RAR α /retinoid X receptor (RXR) α , STAT3, and STAT5 as well as its molecular interactions with the nuclear receptor corepressor, SMRT, and nuclear

receptor coactivator, TRAM-1. STAT5b-RAR α bound to retinoic acid response elements (RAREs) both as a homodimer and as a heterodimer with RXR α and inhibited wild-type RAR α /RXR α transactivation. Although STAT5b-RAR α had no effect on ligand-induced STAT5b activation, it enhanced interleukin 6-induced STAT3-dependent reporter activity, an effect shared by other APL fusion proteins including promyelocytic leukemia-RAR α and promyelocytic leukemia zinc finger (PLZF)-RAR α . SMRT was released from STAT5b-RAR α /SMRT complexes by ATRA at 10⁻⁶ M, whereas TRAM-1 became associated with STAT5b-RAR α at 10⁻⁷ M. The coiled-coil domain of STAT5b was required for formation of STAT5b-RAR α ho-

modimers, for the inhibition of RAR α /RXR α transcriptional activity, and for stability of the STAT5b-RAR α /SMRT complex. Thus, STAT5b-RAR α contributes to myeloid maturation arrest by binding to RARE as either a homodimer or as a heterodimer with RXR α resulting in the recruitment of SMRT and inhibition of RAR α /RXR α transcriptional activity. In addition, STAT5b-RAR α and other APL fusion proteins may contribute to leukemogenesis by interaction with the STAT3 oncogene pathway. (Blood. 2002;99:2637-2646)

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Introduction

Nonrandom chromosomal translocations play a critical role in the pathogenesis of human blood malignancies.¹ Five different chromosomal translocations have been reported and characterized so far in acute promyelocytic leukemia (APL), a disease effectively treated by agents that target the resultant chimeric transcription factor.²⁻⁴ In the great majority of patients, there is a specific chromosomal translocation t(15;17)(q22;q21), which involves the *PML* (promyelocytic leukemia) gene located on chromosome 15 and the *RARA* (retinoic acid receptor α) gene located on chromosome 17.^{5,6} The wild-type *PML* is a component of a nuclear structure referred to as *PML* nuclear body or *POD* (*PML* oncogenic domain). Almost all patients with t(15;17) APL respond well to differentiation therapy with all-*trans* retinoic acid (ATRA).⁴ Several variant chromosomal translocations occur in a small subset of APLs. Two variant translocations that result in ATRA-responsive APL are t(5;17)(q35;q21) and t(11;17)(q13;q21), which involve the *RAR* α locus and a known gene *NPM* (nucleophosmin) on chromosome 5⁷ and *NuMA* (nuclear mitotic apparatus protein) on chromosome 11,⁸ respectively. One additional variant translocation t(11;17)(q23;q21) has been found that fuses the *RAR* α locus with the *PLZF* (promyelocytic leukemia zinc finger) gene on chromosome 11q23.⁹ In contrast to patients with APL with t(15;17), t(5;17), and t(11;17), those with t(11;17)(q23;q21) have a poor response to ATRA.³ Very

recently, a fifth fusion gene *STAT5b-RARA* has been identified in one patient with ATRA-unresponsive APL.¹⁰ This fusion gene occurred as a result of an interstitial deletion within chromosome 17 and represents the first stable chromosomal abnormality described in a malignancy that involves a member of the *STAT* protein family.

Seven signal transducer and activator of transcription (*STAT*) proteins have been identified in mammalian cells, *STAT*1, 2, 3, 4, 5a, 5b, and 6; each is tyrosine-phosphorylated by *JAK*s following the binding of cytokine to its receptor.¹¹ Thus far, more than 40 different polypeptide ligands have been shown to cause *STAT* protein activation.^{11,12} On tyrosine phosphorylation, *STAT* proteins form homodimers or heterodimers through reciprocal intermolecular interactions involving the SH2 domain of one *STAT* protein binding to the phosphorylated tyrosine of its partner. Dimerization is followed by rapid translocation to the nucleus, binding to target DNA, and induction of gene expression. *STAT*5 was originally identified in sheep as a prolactin-induced mammary gland transcription factor.¹³ *STAT*3 was originally termed acute-phase response factor (*APRF*) because it was first identified as a transcription factor that bound to interleukin 6 (*IL*-6)-responsive elements within the promoters of various acute-phase protein genes.¹⁴ *STAT*5a and *STAT*5b are encoded by 2 highly homologous genes

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located in close proximity to each other and to STAT3 on mouse chromosome 11 and human chromosome 17.¹⁵⁻¹⁷ STAT protein activation, especially STAT5 and STAT3, has been implicated in cell transformation and carcinogenesis. In addition to their constitutive activation in solid tumors, aberrant activation of STAT5 and STAT3 has been reported in a variety of hematopoietic cancers including acute and chronic myelogenous and lymphocytic leukemias and lymphomas.¹⁸

Retinoic acid receptor α is a member of a superfamily of nuclear hormone receptors, which affects many physiologic processes including differentiation and growth arrest of various cell types including hematopoietic cells.¹⁹ In normal myeloid cells, RAR α dimerizes with retinoid X receptor α (RXR α); the dimers bind to retinoic acid response elements (RAREs) located in promoter/enhancer regions of specific genes. Recent models suggest that in the absence of ligand (retinoic acid, RA), RAR α /RXR α heterodimers associate at a 1:1 ratio with nuclear receptor transcriptional repressor complex corepressor (CoR) composed of SMRT/NCOR, Sin3, and histone deacetylase (HDAC) resulting in repression of basal transcription.^{20,21} Physiologic levels of RA induce dissociation of the CoR complex followed by recruitment of the transcriptional activation complex coactivator (CoA), consisting of CBP/p300, P/CAF, SRC-1, TIF2 (GRIP1/SRC-2), and p/CIP (TRAM-1/ACTR/AIB1/RAC3/SRC-3). Binding of the CoA complex results in the activation of gene expression and normal differentiation.²²⁻²⁴ In ATRA-responsive APL with t(15;17), the PML-RAR α fusion protein binds RARE as a homodimer and recruits 2 CoR complexes with higher affinity than wild-type RAR α .^{25,26} Complete dissociation of CoR from PML-RAR α does not occur at physiologic levels of RA, but rather requires higher levels of ligand achieved during treatment with ATRA.²⁵ Several hypotheses have been proposed to explain ATRA unresponsiveness in some variant APL including altered stoichiometry and stability of the CoR-fusion protein interaction^{26,27} and resistance of the APL-fusion gene products to ATRA-induced proteolysis.²⁸

The molecular bases for leukemogenesis, arrested differentiation, and ATRA unresponsiveness in STAT5b-RAR α^+ APL are unknown. To address these issues, we examined the effect of STAT5b-RAR α on myeloid leukemogenic and differentiation pathways involving RAR α /RXR α , STAT3 and STAT5 as well as the interaction between STAT5b-RAR α and the nuclear receptor coregulators, CoR and CoA. We determined that STAT5b-RAR α binds RARE as either a homodimer or as a heterodimer with RXR α and modulates the transcriptional activities of RAR α /RXR α and STAT3 but not STAT5. STAT5b-RAR α was insensitive to ATRA-induced proteolysis in transient expression COS-7 and HeLa cells. Finally, the ability of STAT5b-RAR α to enhance STAT3 activity is shared by other APL fusion proteins including PML-RAR α and PLZF-RAR α and may therefore represent an important new pathway contributing to leukemogenesis in APL.

Materials and methods

Cell lines and reagents

COS-7, 293T, HepG2, and HeLa cells were cultured in Dulbecco modified Eagle medium (DMEM; Life Technologies, Grand Island, NY) with 10% fetal bovine serum, penicillin (100 U/mL), and streptomycin (100 U/mL). Human IL-2 and IL-6 were purchased from Roche Molecular Biochemicals (Indianapolis, IN) and R & D Systems (Minneapolis, MN), respectively. ATRA was obtained from Sigma (St Louis, MO) and the ovine prolactin (NIDDK-oPRL-20) was obtained from National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK; Bethesda, MD).

Plasmids

The PML-RAR α , PLZF-RAR α , PLZF, RAR α , and RXR α expression vectors were described previously.²⁹⁻³¹ The (RARE)₃-tk-luciferase reporter⁵ and the APRE-luciferase reporter construct,³² which has 4 copies of acute phase response elements (APREs) were kindly provided by Dr A. Dejean (Paris, France) and Dr I. Matsumura (Osaka, Japan), respectively. The β -casein luciferase reporter gene is from β -casein gene promoter region (-2300 to +490; a gift from Dr J. Rosen, Houston, TX).³³ The human expression vectors for STAT5b, IL-2-receptor (IL-2R) β chain and γ common chain were provided by Dr W. J. Leonard (National Institutes of Health [NIH], Bethesda, MD).^{16,34-36} The human JAK3 and the Nb2 PRL-R expression vector are from Dr O'Shea (NIH) and Dr L. Yu-Lee (Houston, TX), respectively. GST-TRAM-1 (residues 577-821) in pGEX-5X-2 and GST-SMRT (residues 983-1172) in pGEX-5X-1 both containing the receptor interaction domain were produced by polymerase chain reaction (PCR) with pfu DNA polymerase (Stratagene, La Jolla, CA) using TRAM-1 expression vector (kindly provided by Dr W. W. Chin, Boston, MA)²⁴ and SMRT expression vector²⁰ as template, respectively. The STAT5b-RAR α (in pSG5 vector) (Stratagene) expression vectors was constructed by fusing human STAT5b¹⁶ and RAR α using fusion-PCR technology³⁷ based on the published sequence.¹⁰ A similar PCR approach also is applied to construct a series of mutant STAT5b-RAR α s, STAT5b-RAR α (Δ N), STAT5b-RAR α (Δ CC), and STAT5b-RAR α (Δ DBD). All plasmid constructs were confirmed by DNA sequencing and by *in vitro* translation and immunoblotting.

Cell transfections

For transient transfections, COS-7 and 293T cells were grown in 6-well (35-mm diameter) tissue culture plates to 50% to 80% confluence. Twelve hours later, the cells were transiently transfected with the indicated expression vectors and reporter genes by standard calcium phosphate coprecipitation method.³⁸ The amounts of plasmid DNA used per well were 1 μ g reporter vector, 1 to 4 μ g expression vector, and 1 μ g β -galactosidase expression vector (Promega, Madison, WI) as transfection control. For HepG2 and HeLa cell, the GeneJuice transfection reagent (Novagen, Madison, WI) was used according to the manufacturer's instruction. Luciferase activity was measured in a luminometer (Luminoskan Ascent, Labsystems, Franklin, MA), expressed in arbitrary units and normalized according to the internal control. Each point is the mean of at least 3 independent experiments.

In vitro translation

The TNT-coupled rabbit reticulocyte lysate (Promega) system was used for *in vitro* translated proteins according to the manufacturer's instructions. The relative quantity of *in vitro* translated proteins was estimated as described.^{30,31} Briefly, parallel translation reactions were performed in the presence of [α -³⁵S] methionine (NEN, Boston, MA) and the proteins were visualized by autoradiography after separation on a 10% sodium dodecyl sulfate-polyacrylamide gel.

Gel-shift DNA-binding assays

The 293T, COS-7 and HepG2 cell lines were transiently transfected in 6-well plates using 2 to 4 μ g plasmids. Forty-eight hours later, cells were either not treated or treated for 30 minutes with cytokines, IL-2 (50 ng/mL), prolactin (500 ng/mL), IL-6 (25 ng/mL), and the whole cell extracts (WCEs) were prepared as reported previously.³⁹ About 20 μ g WCE was incubated with duplex oligonucleotides in binding buffer (13 mM Hepes, pH 8.0, 65 mM NaCl, 1 mM DTT, 0.14 mM EDTA, 8% glycerol) and separated on 5% polyacrylamide gels. Duplex oligonucleotide probes included the high-affinity serum-inducible element (hSIE), prolactin response element (PRE) contained within the β -casein promoter,¹³ and the APRE, an IL-6 response element within the rat α_2 -macroglobulin promoter.³² For supershift, 1 μ g antibody was included in this reaction system when needed. Gel shift assays using *in vitro* protein were performed as described previously.³⁰ Briefly, *in vitro* translated proteins were preincubated for 15 minutes at room temperature in the following buffer: 20 mM Hepes, pH 7.4, 50 mM KCl, 1 mM 2-mercaptoethanol, 10% glycerol, 1 μ g poly (dI-dC) (Pharmacia), and 100 μ g bovine serum albumin (BSA).

[γ -³²P] adenosine triphosphate (ATP; NEN) end-labeled duplex oligonucleotide probe was added and samples incubated at room temperature for 30 minutes and at 4°C for 30 minutes. Protein-DNA complexes were separated on 6% polyacrylamide gels equilibrated in 0.25 times tris-borate-EDTA buffer (TBE). Gels were dried, exposed to PhosphorImager plates and images developed and quantitated using a PhosphorImager (Molecular Dynamics, Sunnyvale, CA) and ImageQuant software.

In vitro protein-binding assays

The SMRT protein was expressed in *Escherichia coli* DH5 α as a GST fusion product and purified by standard methodology. Twelve microliters of α -³⁵S] methionine-labeled in vitro translated proteins (STAT5b-RAR α or STAT5b-RAR α [Δ CC]) was incubated with 1 μ g GST-SMRT fusion protein conjugated to glutathione Sepharose (Amersham-Pharmacia Biotech, Piscataway, NJ) in binding buffer (20 mM Tris, pH 8.0, 150 mM KCl, 1 mM EDTA, 4 mM MgCl₂, 0.2% NP-40, 10% glycerol) at 4°C for 2 hours without or with ATRA (Sigma). Bound proteins were washed 3 times with binding buffer, eluted by boiling in sample buffer, and resolved by 10% SDS-polyacrylamide gel electrophoresis (PAGE). Gels were dried, exposed, and analyzed by PhosphorImager. For in vitro coimmunoprecipitation, in vitro translated SMRT protein was incubated with ³⁵S-labeled proteins, PLZF, RAR α , and STAT5b, respectively, in binding buffer for 1 hour at 4°C. Immune complexes were isolated by further incubation with SMRT antibody presorbed on protein A/G (Santa Cruz Biotechnology, Santa Cruz, CA), washed 3 times in binding buffer and analyzed by SDS-PAGE.

Immunoblotting

Whole cell lysates were prepared in lysis buffer (20 mM Hepes, pH 7.9, 420 mM NaCl, 20 mM NaF, 1 mM Na₃VO₄, 1 mM Na₄P₂O₇, 1 mM EDTA, 1 mM EGTA, 1 mM dithiothreitol [DTT], 20% glycerol, 0.5 mM phenylmethylsulfonyl fluoride [PMSF]). Equivalent amounts of total cellular protein were electrophoresed on 7.5% SDS-polyacrylamide gel and transferred to polyvinylidene difluoride (PVDF) membrane (Millipore). Probing of PVDF membranes with primary antibodies and detection of horseradish peroxidase-conjugated secondary antibodies by enhanced chemiluminescence as directed (Amersham-Pharmacia Biotech). Antibodies used in this study are as follows: antihuman-RAR α (C-20) antihuman-STAT5b (N-20), antihuman-STAT5b (C-17), and antihuman-SMRT (N-20), all from Santa Cruz Biotechnology, and antihuman- β -actin (monoclonal; Sigma).

Results

STAT5b-RAR α binds RARE as a homodimer and preferentially as a heterodimer with RXR α

The APL fusion proteins previously identified and characterized contribute to leukemogenesis by binding to RAREs either as homodimers or heterodimers with RXR α , thereby repressing gene transcription essential for myeloid differentiation.³ To determine if STAT5b-RAR α is capable of binding to RAREs, in vitro translated STAT5b-RAR α protein was examined by gel-shift assay using a series of RARE duplex oligonucleotides. As shown in Figure 1A, STAT5b-RAR α alone bound to all RAREs tested and this binding could be competitively inhibited by 100-fold excess unlabeled RARE (Figure 1E). The shifted band corresponded to a homodimer of STAT5b-RAR α with migration characteristics similar to PML-RAR α and PLZF-RAR α using RAR α /RXR α as a size reference (Figure 2A). The STAT5b-RAR α homodimer binding preferences overall are similar to PML-RAR α and PLZF-RAR α (Figure 1A-C).^{30,31,40} However, a few slight differences in binding preferences were observed: STAT5b-RAR α and PLZF-RAR α bound to RARE-p21-WAF less efficiently than PML-RAR α , and STAT5b-

RAR α bound to CRBPII and HOXA1 less efficiently than either PLZF-RAR α or PML-RAR α .

Very intriguingly, gel-shift assays containing both STAT5b-RAR α and RXR α resulted in the appearance of an additional prominent band (STAT5b-RAR α /RXR α heterodimer) with mobility characteristics intermediate between RAR α /RXR α heterodimer and STAT5b-RAR α homodimer (Figure 2A). Increasing the amount of RXR α while keeping the amount of STAT5b-RAR α constant (Figure 2B) resulted in the disappearance of STAT5b-RAR α homodimer band and increased the prominence of the STAT5b-RAR α /RXR α heterodimer band suggesting that STAT5b-RAR α prefers to bind RARE as a heterodimer with RXR α (one molecule of STAT5b-RAR α plus one molecule of RXR α) rather than as a homodimer. We and others have demonstrated previously^{30,31,40} and confirmed in this study (Figure 2A and data not shown) that when PML-RAR α or PLZF-RAR α binds RARE in combination with RXR α , each does so as a single heterodimer as well as a higher order multimeric complex. In contrast, STAT5b-RAR α and RXR α bind RAREs virtually exclusively as a single heterodimer over a wide range of ratios and irrespective of the RARE (Figure 2C).

The STAT5b coiled-coil domain is responsible for STAT5b-RAR α homodimer formation and inhibition of RAR α /RXR α -mediated transcriptional activity

STAT5b-RAR α retains 3 complete domains of STAT5b, the N-terminal oligomerization domain, the coiled-coil domain, and the DNA-binding domain, in addition to a truncated SH2 domain (Figure 3A). The N-terminal and coiled-coil domains each have been demonstrated to mediate protein-protein interactions.^{11,41} To investigate whether or not either of these 2 domains or the DNA binding domain is important for the oncogenic functions of STAT5b-RAR α , we compared the activities and functions of wild-type STAT5b-RAR α with mutants of STAT5b-RAR α in which the N-terminal, coiled-coil, or DNA-binding domain was deleted (Figure 3A). In gel-shift assays, STAT5b-RAR α (Δ N), STAT5b-RAR α (Δ DBD), and STAT5b-RAR α (Δ linker and part of SH2) each bound RARE alone as a homodimer or as a heterodimer with RXR α (Figure 3B and data not shown). In contrast, STAT5b-RAR α (Δ CC) could not bind RARE as a homodimer, but rather it bound RARE only as a heterodimer with RXR α . These findings indicate that the coiled-coil domain of STAT5b, and not the N-terminal or DNA-binding domains, is important for STAT5b-RAR α homodimer formation. These results are reminiscent of those for PML-RAR α in which the coiled-coil domain of PML was found to be responsible for the formation of PML-RAR α homodimers.^{40,42,43}

Previously, PML-RAR α and PLZF-RAR α were demonstrated to have a dominant-negative effect on wild-type RAR α /RXR α transcriptional activity.^{3,5,29} To determine whether or not STAT5b-RAR α behaves similarly, we examined the effect of STAT5b-RAR α on RA-dependent, RAR α /RXR α -mediated transactivation of the RARE3-tk-luc reporter gene construct (Figure 3C). Transfection of the reporter construct alone or with RAR α resulted in RA-dependent transactivation. Transfection with STAT5b-RAR α alone or with RAR α inhibited RA-dependent transactivation. Transfection of STAT5b-RAR α (Δ CC) did not inhibit but rather augmented RA-dependent RAR α /RXR α -mediated transcriptional activity similar to RAR α , indicating that inhibition of RAR α /RXR α activity requires the coiled-coil domain of STAT5b-RAR α and that in the absence of its coiled-coil domain, STAT5b-RAR α functions like wild-type RAR α .

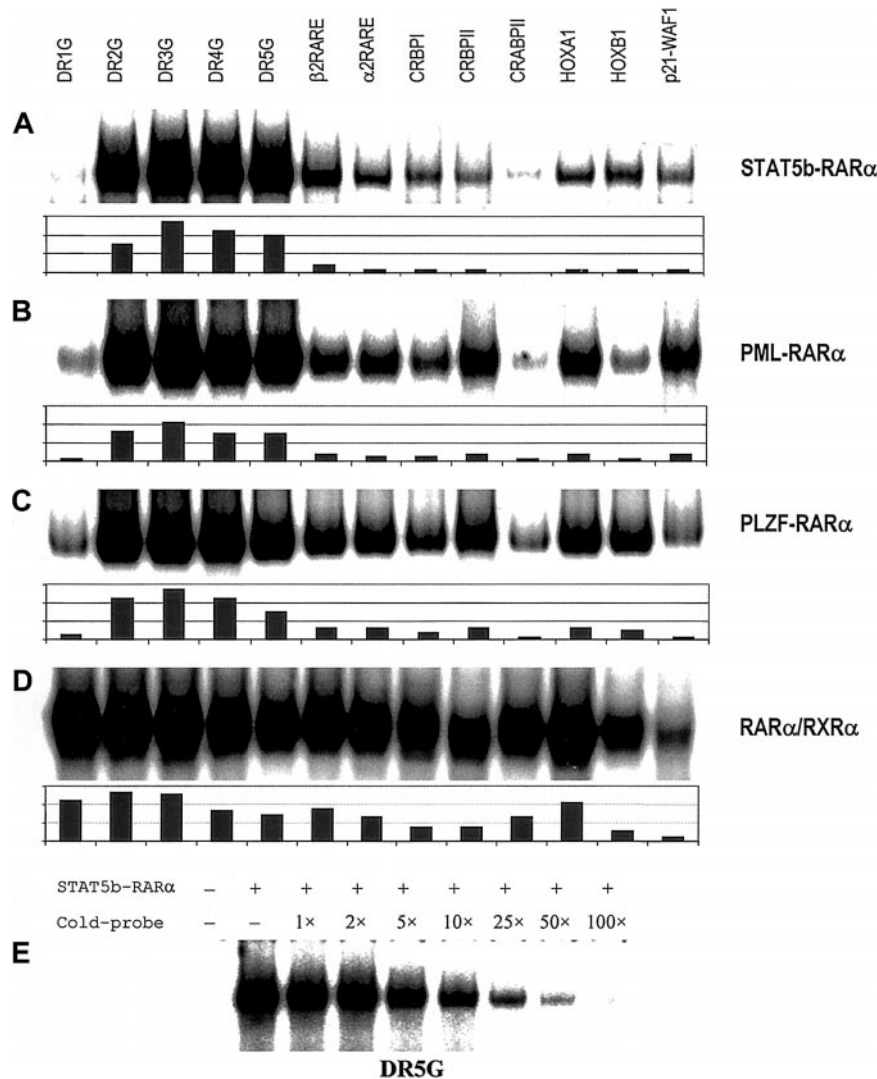


Figure 1. RARE binding by homodimers of APL fusion proteins. Binding of homodimers of STAT5b-RAR α (A), PML-RAR α (B), and PLZF-RAR α (C) and heterodimers of RAR α /RXR α (D) to a series of RAREs. Gel-shift assays were performed with in vitro translated proteins using the following radiolabeled probes: synthetic RARE, DR1, 2, 3, 4 and 5G,⁴⁰ β 2 RARE (DR5T) from the human RARB gene,³⁰ α 2 RARE from the human RARA gene,⁵⁹ the natural enhancer elements from the rat cellular retinal-binding protein type I gene (*CRBPI*),⁶⁰ the rat cellular retinal-binding protein type II gene (*CRBPII*),⁶¹ the murine cellular RA-binding protein gene (*CRABPII*),⁶² *HOXA1* gene,⁶³ *HOXB1* gene⁶⁴ and *p21-WAF1* gene.⁶⁵ Equivalent amounts of in vitro translated protein and labeled oligonucleotide were added to each binding reaction. The results of quantitative analysis using Image-Quant software are shown below each autoradiogram. In panel E, radiolabeled DR5G was incubated with in vitro translated STAT5b-RAR α and the indicated fold excess unlabeled DR5G followed by gel-shift assay.

Effects of ATRA on the interaction of STAT5b-RAR α with CoR SMRT and CoA TRAM-1 and on STAT5b-RAR α protein degradation

RAR α /RXR α and the APL fusion proteins PML-RAR α and PLZF-RAR α suppress transcription by associating with CoR and CoA depending on the concentration of ATRA.³ To determine the ATRA concentration dependence of the interactions of STAT5b-RAR α with CoR and CoA, we examined the composition of complexes containing STAT5b-RAR α , GST-SMRT, and GST-TRAM-1 in varying concentrations of ATRA (Figure 4A). SMRT dissociated from STAT5b-RAR α at pharmacologic concentrations of ATRA (10^{-6} M) similar to that required for its dissociation from PML-RAR α . This ATRA concentration is one log greater than that required to cause SMRT dissociation from RAR α /RXR α (10^{-7} M) and one log lower than that required for SMRT dissociation from PLZF-RAR α (10^{-5} M). Immunoprecipitation assays (Figure 4B) demonstrated that although SMRT can form a complex with PLZF and RAR α , as shown previously,²⁵ it does not bind STAT5b. Complete recruitment of the CoA TRAM-1 to STAT5b-RAR α occurred at 10^{-7} M ATRA similar to that for PLZF-RAR α (Figure 4A), but one log greater than that for PML-RAR α and RAR α /RXR α (10^{-8} M).

The coiled-coil domain of PML-RAR α APL fusion proteins contributes to the stability of the APL fusion protein/SMRT

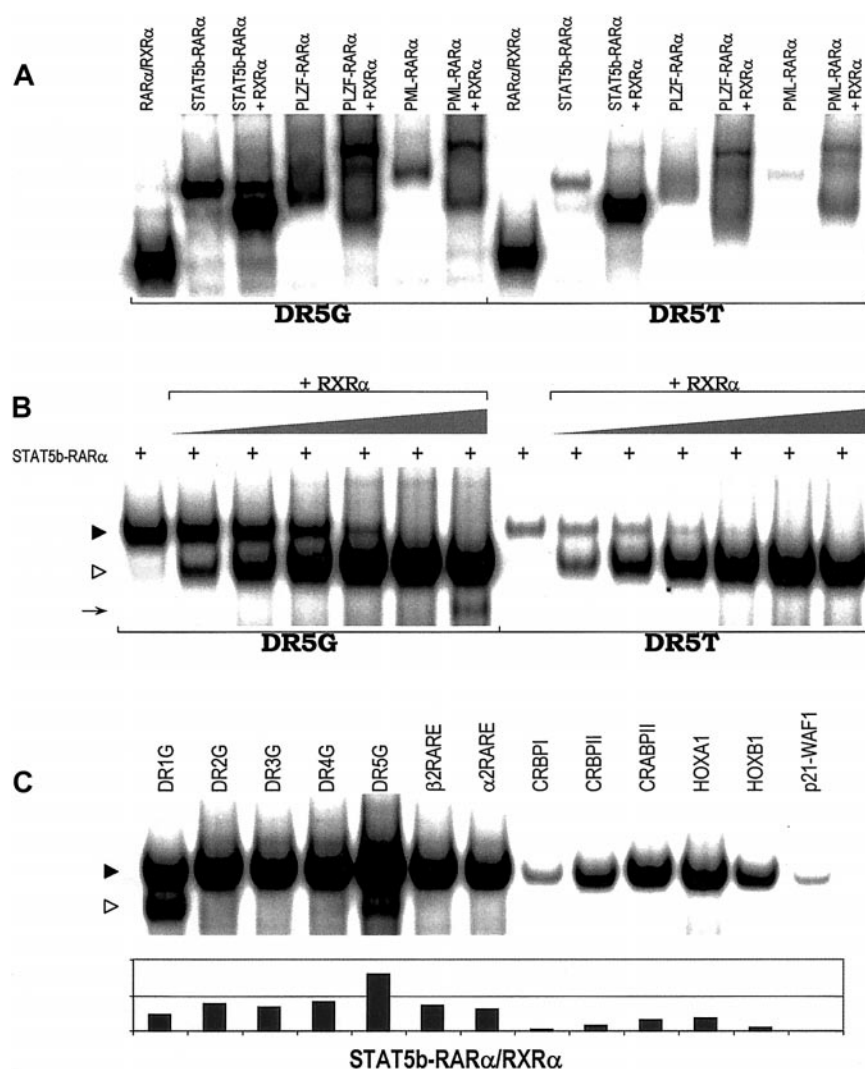
complex.²⁶ To determine if this is the case for STAT5b-RAR α , we examined the stability of the binding of in vitro translation proteins STAT5b-RAR α and STAT5b-RAR α (Δ CC) with GST-SMRT in a GST pull-down assay under varying concentrations of ATRA (Figure 5A,B). STAT5b-RAR α dissociated from GST-SMRT beginning at 10^{-6} M ATRA, whereas STAT5b-RAR α (Δ CC) dissociated from GST-SMRT beginning at 10^{-7} M ATRA, one log lower. These findings indicate that the coiled-coil domain plays an important role in the stability of STAT5b/SMRT-RAR α complexes.

Exposure to ATRA induces intracellular degradation of the PML-RAR α and PLZF-RAR α fusion proteins.^{44,45} We examined whether or not ATRA exposure similarly resulted in degradation of STAT5b-RAR α . COS-7 and HeLa cells were transiently transfected with expression constructs containing STAT5b-RAR α or PLZF-RAR α and the cells incubated in 10^{-6} M ATRA for 24 hours. As previously described,⁴⁴ PLZF-RAR α protein levels sharply decreased in cells incubated with ATRA (Figure 5C,D). In contrast, the level of STAT5b-RAR α protein in cells incubated with ATRA was virtually unchanged.

STAT5b-RAR α enhances STAT3 transcriptional activity but has no effect on STAT5 transcriptional activity

Aberrant STAT3 activation has been demonstrated to occur in human leukemias and lymphomas,¹⁸ to be critical for v-Src

Figure 2. RARE binding by heterodimers of APL fusion proteins. (A) Binding of heterodimers containing RXR α and STAT5b-RAR α , PLZF-RAR α , PML-RAR α , or wild-type RAR α to 2 RAREs, DR5G and DR5T. (B) STAT5b-RAR α /RXR α heterodimer formation and RARE binding with increasing concentration of RXR α . In vitro translated STAT5b-RAR α protein (2.0 μ L) was incubated without or with in vitro translated RXR α protein (0, 0.05, 0.1, 0.2, 0.5, 1.0, and 2.5 μ L). Gel-shift assays were performed using 2 RAREs, DR5G and DR5T. The location of the STAT5b-RAR α homodimer band is indicated by the filled triangle; the location of the STAT5b-RAR α /RXR α heterodimer composed of one molecule of STAT5b-RAR α plus one molecule of RXR α is indicated by the unfilled triangle. The arrow indicates the position of the RXR α homodimer binding to DR5G. (C) STAT5b-RAR α /RXR α heterodimer can bind a series of RAREs. STAT5b-RAR α /RXR α heterodimer is indicated by the filled triangle; RXR α homodimer is indicated by the unfilled triangle.



transformation,^{46,47} and alone to be able to transform mouse and rat fibroblasts.⁴¹ To examine whether or not STAT5b-RAR α modulated STAT3 activity, we used the HepG2 cell line in which the IL-6 receptor signaling pathway is intact together with an APRE-luciferase reporter gene construct.³² IL-6 exposure of HepG2 cells increased APRE-luciferase reporter gene activity 120-fold through the activation of endogenous STAT3 (Figure 6A and data not shown). This activation was inhibited 75% by cotransfection of HepG2 cells with STAT5b (Figure 6A, lane 2). Cotransfection of HepG2 cells with STAT5b-RAR α augmented IL-6-induced reporter-construct activity 8-fold (Figure 6A, lane 3). In contrast, cotransfection with RAR α had minimal effect. To assess whether or not the ability of STAT5b-RAR α to augment STAT3 transcriptional activity is limited to STAT5b-RAR α , we examined other APL fusion proteins in a similar fashion in HepG2 cells (Figure 6A, lanes 5 and 6). In addition to STAT5b-RAR α , both PML-RAR α and PLZF-RAR α enhanced IL-6-mediated STAT3 transcriptional activity 8- to 26-fold.

To begin to explore the mechanism of the enhanced STAT3 transcriptional activity, we assessed STAT3 DNA binding activity and Ser727 phosphorylation status in extracts of transfected versus nontransfected HepG2 cells. Cotransfection of STAT5b or STAT5b-RAR α did not affect IL-6-stimulated binding to APRE or hSIE nor

did it affect levels of Ser727 phosphorylation (Figure 6B and data not shown).

Gene deletion mouse models⁴⁸ and studies of dominant negative mutant constructs in cell lines⁴⁹ support an important role for STAT5b in myeloid cell development. Consequently, the leukemogenic effect of STAT5b-RAR α could also be mediated, in part, through its interference with normal STAT5b function. To investigate this possibility, we examined the effect of STAT5b-RAR α on STAT5 activity downstream of the prolactin and IL-2Rs following reconstitution of these signaling pathways in COS-7 and 293T cells (Figure 7A and 7C). Transactivation of a reporter construct containing a β -casein promoter was increased following transient transfection of prolactin receptor-reconstituted COS-7 and IL-2R-reconstituted 293T cells with STAT5b. Transient transfection of these cells with STAT5b-RAR α , however, did not increase reporter construct activation nor did it inhibit the augmentation seen with transient overexpression of STAT5b. Similar results were obtained in IL-2R-reconstituted COS-7 cells (data not shown). In addition, transient coexpression of the fusion protein did not affect STAT5 DNA binding activity induced by prolactin in prolactin receptor-reconstituted COS-7 cells (Figure 7B) or by IL-2 in IL-2R-reconstituted COS-7 cells (Figure 7D).

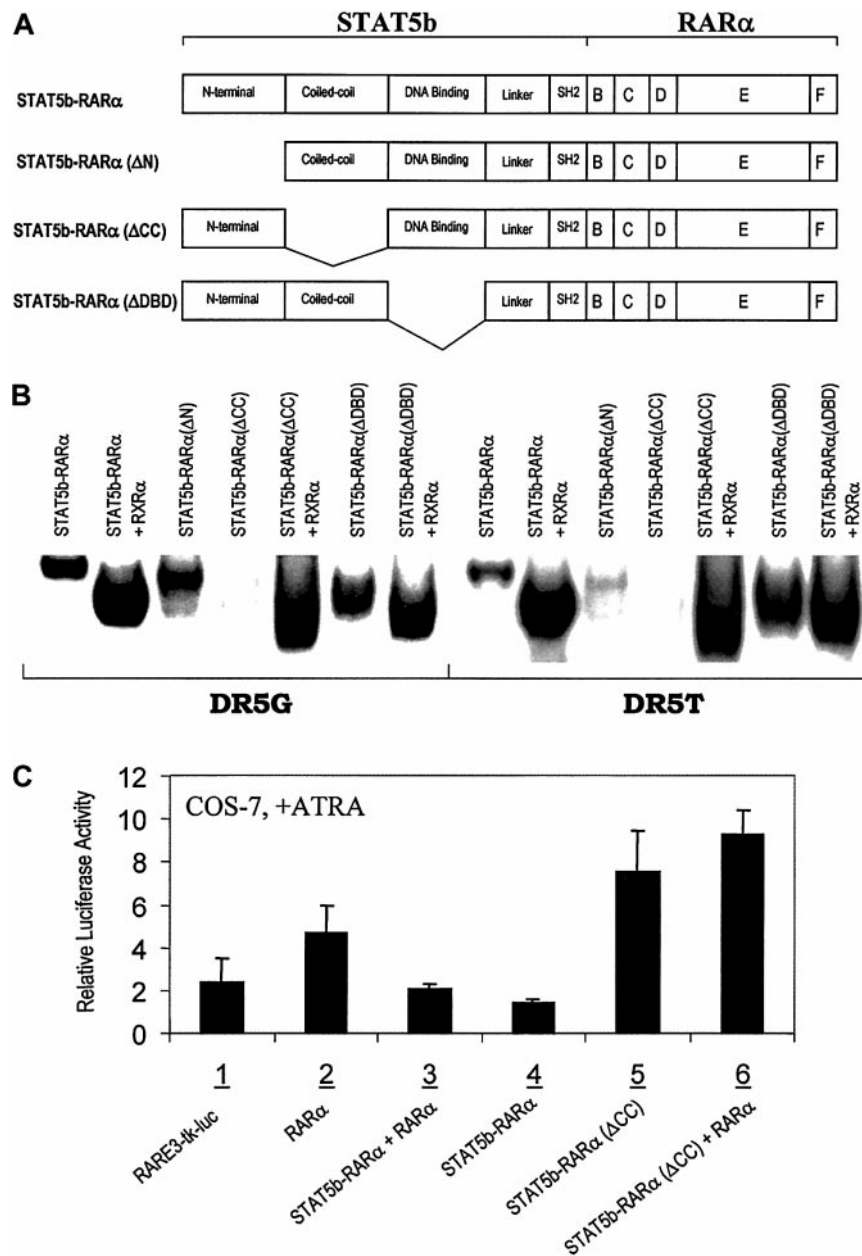


Figure 3. Requirement of the coiled-coil domain of STAT5b-RAR α for RARE binding and transcription activation. (A) Schematic illustration of wild-type and mutated STAT5b-RAR α constructs. (B) Gel-shift assays with wild-type and mutant STAT5b-RAR α using 2 RAREs, DR5G and DR5T. (C) Transactivational activities of wild-type and mutant STAT5b-RAR α in COS-7 cells. Following transfection with the indicated constructs, cells were cultured in medium with 10^{-6} M ATRA for 24 hours. Luciferase activity was normalized for transfection efficiency using a β -galactosidase reporter plasmid. The results presented are the mean \pm SD of triplicate wells and are representative of 3 separate experiments.

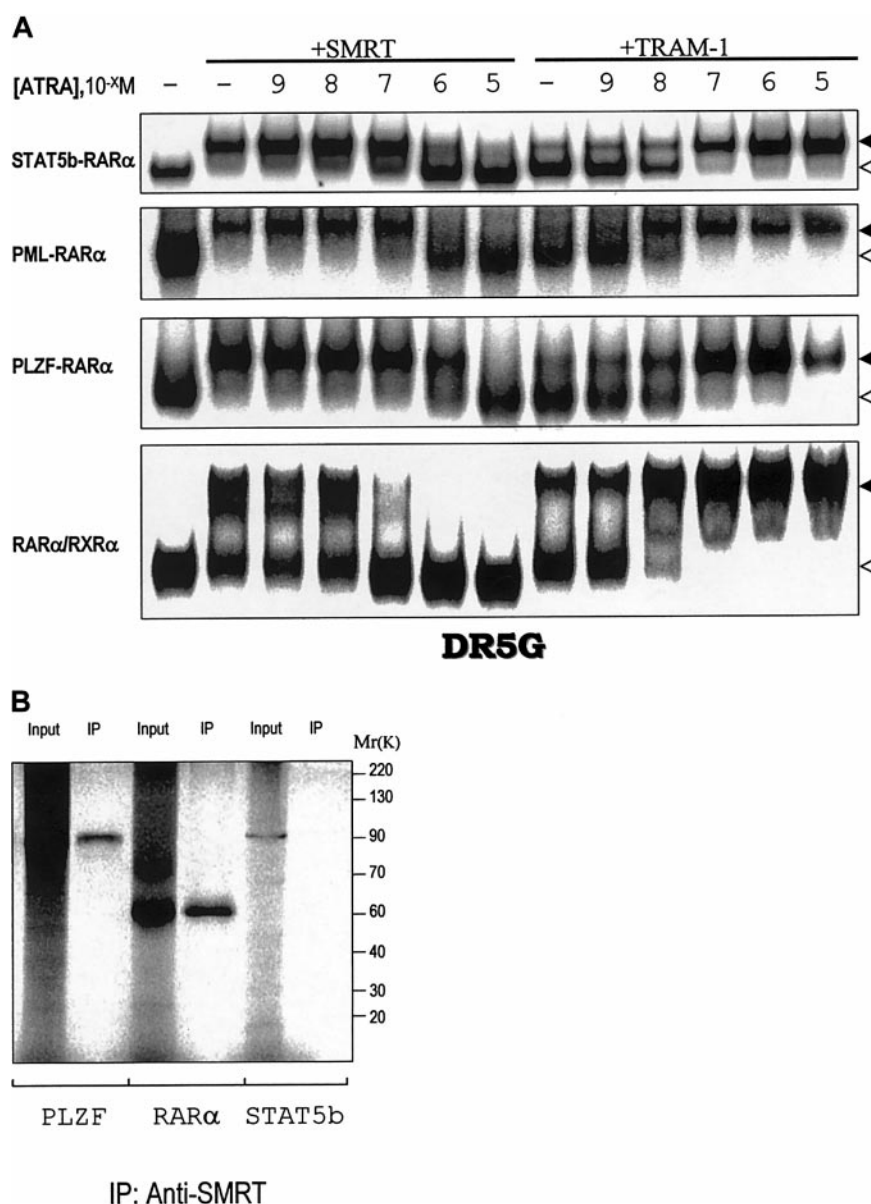
Discussion

To understand the contribution to the pathogenesis of APL of a chromosomal abnormality resulting in a new RAR α -containing fusion protein, it is necessary to evaluate several potential consequences of the abnormality including the effect of the resultant fusion protein on RAR α function, the effect of the fusion protein on the function of the normal allele of the fusion partner, and the effect of the reciprocal fusion protein.³

In the studies outlined in this report, we demonstrated that STAT5b-RAR α can bind RARE as a homodimer and can recruit SMRT. SMRT remained bound to STAT5b-RAR α at physiologic concentrations of ATRA. Because STAT5b by itself did not bind SMRT, these findings suggest that the STAT5b portion of the fusion protein confers an allosteric change in the RAR α portion of the fusion protein, similar to the non-RAR α portions of other APL fusion proteins, thereby increasing its affinity for SMRT.³

This alone or together with the potential for each homodimer of STAT5b-RAR α to bind 2 molecules of SMRT may result in superrepression of gene transcription by RAR/RXR.^{26,50} In addition to binding RARE as a homodimer, STAT5b-RAR α preferentially bound RARE as a heterodimer with RXR α . This feature is unique for STAT5b-RAR α among APL fusion proteins and may contribute to its oncogenic potential by sequestering RXR α and other essential transcription cofactors. The effect of the interstitial deletion and creation of the STAT5b-RAR α on one allele of STAT5b has the effect of reducing by one half the amount of STAT5b expression. This by itself, however, would not be expected to affect myeloid development because deletion of both alleles of STAT5b in mice did not affect the myeloid lineage⁵¹ presumably because of its functional redundancy in myelopoiesis shared with STAT5a. The STAT5b portion of the fusion protein contains a truncated SH2 that includes the phosphotyrosine binding pocket.³⁹ Consequently, STAT5b-RAR α might retain the ability to bind activated STAT5a/b and

Figure 4. Interactions of STAT5b-RAR α , PML-RAR α , and PLZF-RAR α and wild-type RAR α /RXR α with the CoR SMRT and CoA TRAM-1. (A) The in vitro translated proteins indicated on the left were incubated with or without SMRT or TRAM-1 as indicated and the radiolabeled RARE, DR5G. The location of the complex containing the chimeric or wild-type receptor plus SMRT/TRAM-1 is indicated by the solid triangle; the location of the chimeric or wild-type receptor alone is indicated by the open triangle. (B) SDS-PAGE and autoradiography were performed on in vitro translated and ³⁵S-methionine-labeled PLZF, RAR α , or STAT5b alone or following incubation with SMRT and immunoprecipitation with SMRT antibody as indicated.



act as a dominant negative. Our findings, however, that STAT5b-RAR α did not affect ligand-induced STAT5 transcriptional activity or DNA binding activity do not support this possibility. Finally, because STAT5b-RAR α was the result of an interstitial deletion, no reciprocal fusion protein was created.

Recent evidence suggests that dimerization of PML-RAR α is critically important for its oncogenic activity including inhibition of RA-mediated myeloid differentiation.^{26,42,43,50} Deletion of the coiled-coil domain within the PML portion of PML-RAR α abrogated dimerization and relieved the inhibitory effects of the fusion protein on RA-induced differentiation.^{26,40,43} Similarly, we have demonstrated that the coiled-coil domain within the STAT5b portion of STAT5b-RAR α is required for homodimerization in the presence of RARE, for its inhibitory effect on RAR α /RXR α transcriptional activity in COS-7 cells, and for its ability to stably interact with SMRT.

Our results demonstrated that STAT5b-RAR α augmented STAT3 transcriptional activity, whereas STAT5b inhibited it. Inhibition of STAT3 by STAT5b may be due to competition for binding to the APRE reporter construct coupled with the failure of STAT5b, relative to STAT3, to recruit the basal transcription machinery to

the reporter construct. Alternatively, although STAT5b does not interact with the CoR SMRT, its ability to bind the APRE site and yet reduce transcriptional activity suggests it may bind and recruit another unidentified CoR.

In addition to STAT5b-RAR α , we demonstrated that other APL fusion proteins including PML-RAR α and PLZF-RAR α also had this enhancing effect on STAT3 transcriptional activity. STAT protein activation, especially STAT3, has been implicated in cell models of transformation and carcinogenesis. STAT3 was shown to be constitutively activated in cells transformed by oncoproteins such as v-Src.^{52,53} In addition, use of dominant-negative STAT3 constructs has shown that STAT3 is essential for fibroblast transformation by v-Src.^{46,47} Overexpression of a constitutively active form of STAT3 in immortalized rat or mouse fibroblasts induced their transformation and conferred the ability to form tumors in nude mice indicating that STAT3 alone can function as an oncogene.⁵⁴ Aberrant activation of STAT3 also has been demonstrated in various human blood malignancies including leukemia and lymphoma.⁵⁵⁻⁵⁸ In each of these instances cited above, increased STAT3 activation was established in DNA binding assays.

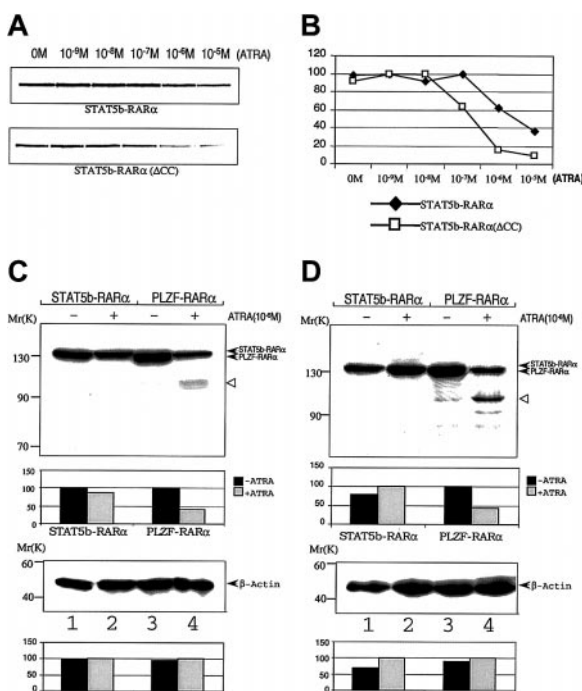


Figure 5. Effect of ATRA on interactions STAT5b-RAR α with GST-SMRT and STAT5b-RAR α stability. Radiolabeled wild-type or mutant STAT5b-RAR α was incubated with GST-SMRT in the presence of the indicated concentrations of ATRA. After absorption with glutathione Sepharose, the proteins were separated and analyzed by autoradiography (A) and PhosphorImager analysis (B). COS-7 cells (C) and HeLa cells (D) were transiently transfected with STAT5b-RAR α or PLZF-RAR α and incubated without (lanes 1 and 3) or with (lanes 2 and 4) 10^{-6} M ATRA for 24 hours. Cells were lysed; proteins were separated by SDS-PAGE and immunoblotted with RAR α antibody (upper panel) as well as β -actin antibody (bottom panel), which was used as loading control. Densitometry analysis is presented below each immunoblot. The results shown are representative of up to 3 separate experiments. The empty triangle to the right of each upper panel indicates presumed proteolytic fragments of PLZF-RAR α .

In our studies, however, the increased STAT3 transcriptional activity mediated by STAT5b-RAR α was not accompanied by either increased STAT3 DNA binding activity or increased Ser727 phosphorylation. This result suggests that STAT5b-RAR α and other APL fusion proteins participate in a novel mechanism of leukemogenesis involving enhanced STAT3 transcriptional activity independent of its DNA binding activity and Ser727 phosphorylation status.

Based on its response to RA treatment, APL can be divided into 2 syndromes, RA-responsive APL, in which the RAR α fusion gene partner is PML,⁵ NPM,⁷ or NuMA,⁸ and RA-resistant APL, in which the RAR α fusion gene partner is PLZF⁹ or STAT5.¹⁰ Although it may be premature to designate STAT5b-RAR α ⁺ disease as RA unresponsive judging from only a single case,¹⁰ the RA response of the initial case of each of the other 3 APL variants reported has accurately reflected the RA response of subsequent cases within the variant group.³

To gain a molecular understanding of the ATRA unresponsiveness of STAT5b-RAR α ⁺ APL, we performed a series of studies intended, in part, to identify differences between STAT5b-RAR α and PML-RAR α , the most common fusion protein within the ATRA-responsive group, and to highlight similarities between STAT5b-RAR α and PLZF-RAR α , the sole other protein representative of the ATRA-unresponsive group. RARE-binding studies revealed that although the DNA-binding preferences of STAT5b-RAR α homodimers resembled those of PML-RAR α homodimers

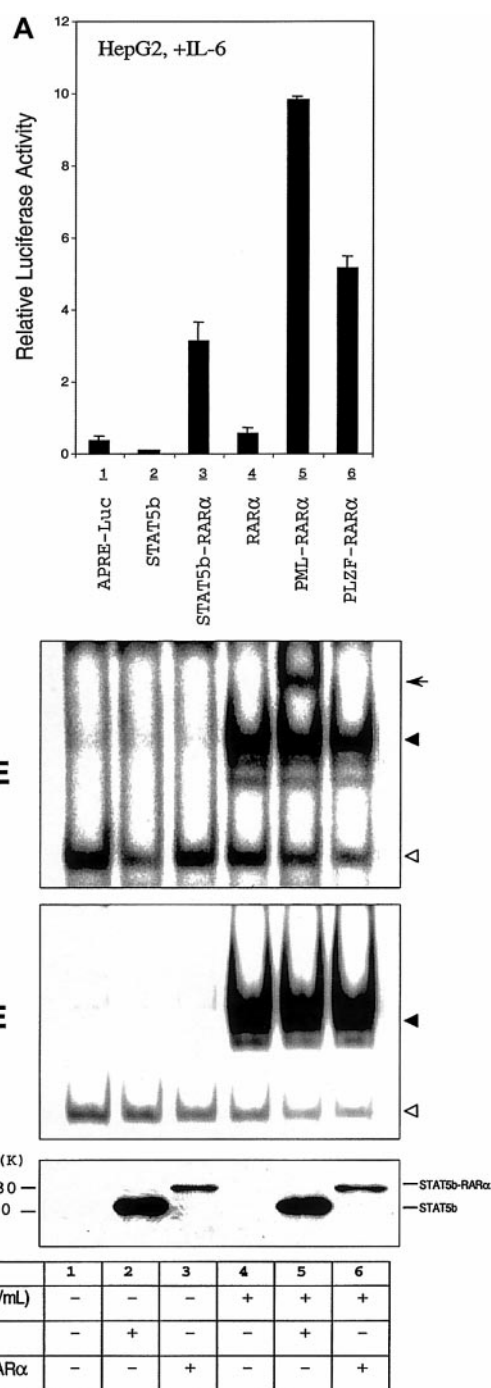
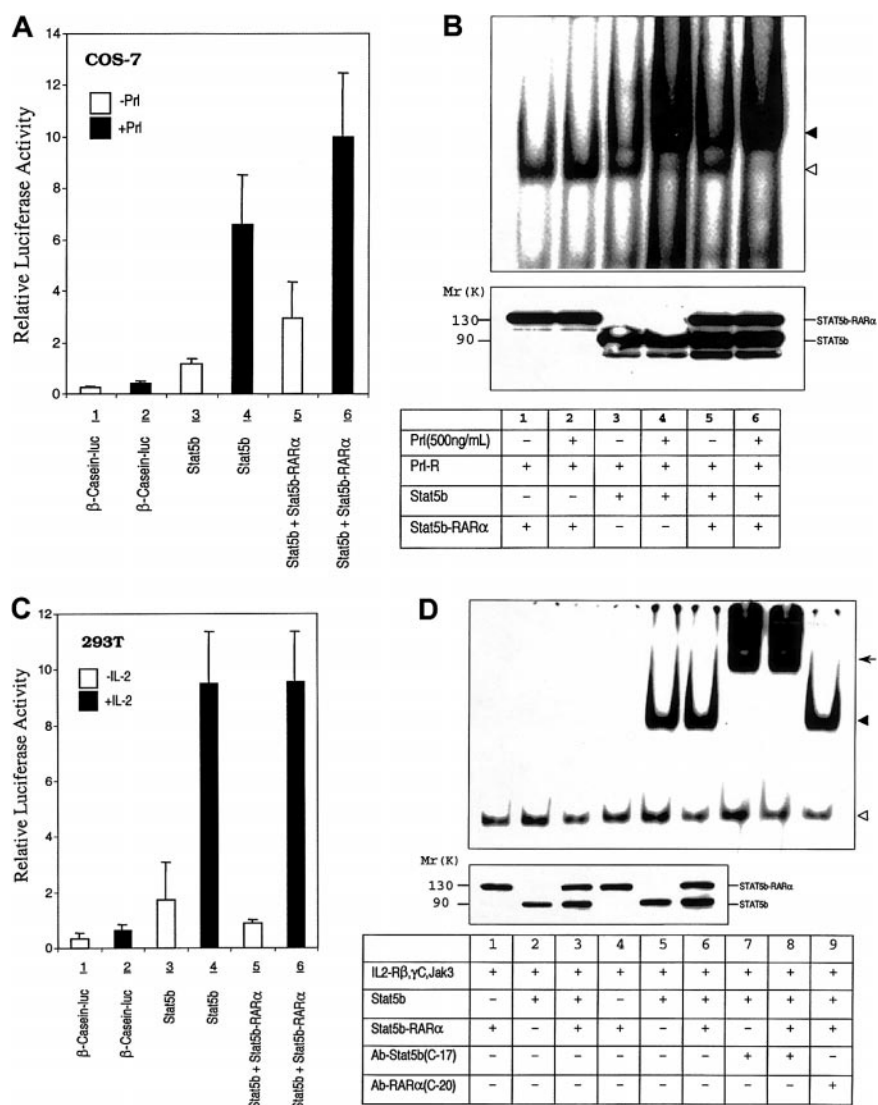


Figure 6. Effect of STAT5b-RAR α and other APL fusion proteins on the STAT3 transcriptional and DNA binding activity. (A) Transactivation activities of STAT5b, STAT5b-RAR α , RAR α , PML-RAR α , and PLZF-RAR α in HepG2 cells. HepG2 cells were transiently transfected with 500 ng of APRE-luciferase reporter gene, 500 ng of β -galactosidase expression vector, and 2.0 μ g STAT5b, STAT5b-RAR α , RAR α , PML-RAR α , and PLZF-RAR α . Transfected cells were stimulated with IL-6 (25 ng/mL) for 24 hours. Luciferase activity was measured and normalized for transfection efficiency using a β -galactosidase reporter construct. Data represent the mean \pm SD of 5 separate experiments. The luciferase activity shown in lane 1 is increased 120-fold over the activity of identical cells incubated without IL-6 (not shown). (B) Gel-shift assays with WCEs from HepG2 cells transiently transfected with STAT5b or STAT5b-RAR α . Transfected cells were incubated without or with IL-6 (25 ng/mL) for 30 minutes. The APRE (upper panel) and the hSIE (middle panel) were used as duplex oligonucleotide probes in this study. The location of the specific STAT3/DNA complex (upper panel and middle panel) and STAT5b/DNA (upper panel, lane 5) are indicated by the solid triangle and arrow, respectively; the empty triangle indicates the location of a nonspecific band. Levels of protein expression in the transiently transfected cells were determined by immunoblotting with the antibody against the N-terminal region of STAT5b (bottom panel).

Figure 7. Effect of STAT5b-RAR α on STAT5b transcriptional and DNA binding activity. (A) COS-7 cells were transiently transfected with the human prolactin receptor, a β -casein-luciferase reporter gene construct, and STAT5b with or without STAT5b-RAR α . Transfected cells were stimulated without or with ovine prolactin (500 ng/mL) for 24 hours. Luciferase activity was measured and normalized for transfection efficiency using a β -galactosidase reporter construct. Data presented represent the mean \pm SD of 3 separate experiments. (B) Gel-shift assays were performed using the PRE and WCEs of transiently transfected COS-7 cells that were incubated without or with prolactin (500 ng/mL) for 30 minutes. The location of the specific STAT5b/DNA complex is indicated with the solid triangle; a nonspecific band is indicated with the empty triangle. The level of protein expression, determined by immunoblotting with antibody against STAT5b, is shown in the bottom panel. (C) 293T cells were transiently transfected with the human β -casein-luciferase reporter gene construct and expression constructs for IL-2R β , γ C, Jak3, and STAT5b with or without STAT5b-RAR α . Transfected cells were incubated without or with IL-2 (50 ng/mL) for 24 hours. Luciferase activity was measured and normalized for transfection efficiency using a β -galactosidase reporter construct. Data presented represent the mean \pm SD of 4 separate experiments. (D) Gel-shift assays were performed using the PRE and WCEs from IL-2R reconstituted and transiently transfected COS-7 cells that were incubated without or with IL-2 (50 ng/mL) for 30 minutes. The location of the specific STAT5b/DNA complex is indicated with the solid triangle; a nonspecific band is indicated with the empty triangle. The arrow indicates the position of the super-shifted band. Levels of protein expression, determined by immunoblotting with antibody against STAT5b, are shown in the bottom panel for lanes 1 through 6.



overall, STAT5b-RAR α differed from PML-RAR α and resembled PLZF-RAR α in binding weakly to RARE-p21-WAF. Also, STAT5b-RAR α and PLZF-RAR α both required 10^{-7} M ATRA to fully recruit TRAM-1, whereas PML-RAR α recruited TRAM-1 in 10^{-8} M ATRA. Our studies also identified features of STAT5b-RAR α unique among APL fusion proteins. STAT5b-RAR α differed from both PML-RAR α and PLZF-RAR α in that it heterodimerized with RXR α almost exclusively as a single heterodimeric complex, whereas PML-RAR α and PLZF-RAR α heterodimerized with RXR α and formed both single and multimeric complexes.^{30,40}

Furthermore, STAT5b-RAR α was insensitive to degradation within cells exposed to ATRA; although the importance of this finding is uncertain because PLZF-RAR α is sensitive to ATRA-induced degradation yet results in ATRA-unresponsive disease. As outlined above, the finding that STAT5b can bind to and repress APRE reporter construct activity suggests that it may bind to a CoR distinct from SMRT. This raises the possibility that this CoR may remain bound to STAT5b-RAR α in the presence of pharmacologic levels of ATRA and contribute to ATRA unresponsiveness in STAT5b-RAR α ⁺ APL.

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