

Distribution and pattern of *BCL*-6 mutations throughout the spectrum of B-cell neoplasia

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BCL-6 mutations are accumulated during B-cell transit through the germinal center (GC) and provide a histogenetic marker for B-cell tumors. On the basis of a comprehensive analysis of 308 B-cell neoplasms, we (1) expand the spectrum of tumors associated with *BCL*-6 mutations; (2) corroborate the notion that mutations cluster with GC and post-GC B-cell neoplasms; and (3) identify heterogeneous mutation frequency among B-lineage diffuse large cell lymphoma (B-DLCL) subsets. Mutations are virtually absent in acute lymphoblastic leukemia (P < .001) and mantle cell lymphoma (P < .05), whereas they occur frequently in GC or post-GC neoplasms, including lymphoplasmacytoid lymphoma, follicular lymphoma, MALT lymphomas, B-DLCL and Burkitt lymphoma. Among B-DLCL, mutations occur frequently in systemic nodal B-DLCL, primary extranodal B-DLCL, CD5⁺ B-DLCL, CD30⁺ B-DLCL, and primary splenic B-DLCL, suggesting a similar histogenesis of these B-DLCL subsets. Conversely, mutations are rare in primary mediastinal B-DLCL with sclerosis (10.0%; P < .01), supporting a distinct histogenesis for this lymphoma. Longitudinal follow-up of B-DLCL transformed from follicular lym-

phoma shows that they *BCL*-6 mutations may accumulate during histologic progression. Mutations also occur in some B-cell chronic lymphocytic leukemias, small lymphocytic lymphomas, and hairy cell leukemias, consistent with the hypothesis that a fraction of these lymphoproliferations are related to GC-like cells. Finally, the molecular pattern of 193 mutational events reinforces the hypothesis that mutations of *BCL*-6 and immunoglobulin genes are caused by similar mechanisms. (Blood. 2000;95:651-659)

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Introduction

The *BCL*-6 proto-oncogene has been originally identified because of its involvement in chromosomal translocations affecting band 3q27 in B-lineage diffuse large cell lymphoma (B-DLCL).¹⁻³ The *BCL*-6 protein is a POZ/zinc finger trascriptional repressor which, in the B-cell lineage, is expressed selectively by germinal center (GC) B cells, but not by immature B-cell precursors or differentiated plasma cells.⁴ Experimental animal models have demonstrated that expression of *BCL*-6 is an absolute requirement for GC formation and function.^{5,6}

The *BCL*-6 gene may be affected by 2 types of molecular alterations. The first type of *BCL*-6 alteration is represented by chromosomal translocations that lead to substitution of the gene promoter with heterologous sequences derived from the partner chromosome.^{1-3,7} Gross rearrangements of *BCL*-6 are virtually restricted to 30% B-DLCL.⁸⁻¹¹ The second type of genetic alteration affecting *BCL*-6 is represented by point mutations of the 5' noncoding region of the gene.¹² These mutations are somatic in nature, are often multiple in the same tumor, may be biallelic, and

occur independent of cytogenetic alterations of band 3q27. The sequences affected by these mutations lie in the proximity of the *BCL-6* promoter and overlap with the major cluster of chromosomal breakpoints. Mutations of *BCL-6* are regarded as a marker of B-cell transit through the GC because, in normal lymphoid tissues, they occur in approximately 30% to 50% of GC and memory B cells, whereas are absent in pre-GC, virgin B cells.¹³⁻¹⁵ On this basis, *BCL-6* mutations have been proposed as a genetic marker for defining the histogenesis of B-cell lymphoproliferations.

The distribution of *BCL*-6 mutations in B-cell neoplasia has been investigated to a certain extent and, on the basis of available data, it has been suggested that these genetic lesions predominate in tumors displaying a GC or a post-GC phenotype.^{12,13,15} However, a number of issues remain to be defined. For example, several histologic types of B-cell lymphomas recognized by the Revised European-American Lymphoma (REAL) classification have not been tested for *BCL*-6 mutations.^{12,13,15,16} Also, although *BCL*-6 mutations occur frequently in B-DLCL,^{12,13,15} knowledge of their

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exact distribution among different subsets of the disease is lacking and may provide a clue to clarify the marked heterogeneity of this lymphoma.¹⁶

The aim of this study was a comprehensive analysis of *BCL*-6 mutations throughout the spectrum of B-cell neoplasia recognized by the REAL classification and, in particular, throughout distinct subsets of B-DLCL. Overall, our results (1) expand the spectrum of B-cell disorders associated with *BCL*-6 mutations; (2) corroborate the notion that mutations cluster with neoplasms of GC and post-GC B cells; and (3) identify heterogeneity of mutation frequency in different subsets of B-DLCL, suggesting heterogeneity in the histogenesis of this lymphoma. In addition, extensive analysis of the *BCL*-6 mutation pattern in B-cell neoplasia reinforces the hypothesis that *BCL*-6 mutations may be caused by a mechanism similar to somatic hypermutation of immunoglobulin (Ig) genes.

Materials and methods

Tumor samples and DNA extraction

This study was based on 308 tumor samples representative of the spectrum of B-cell neoplasia recognized by the REAL classification.¹⁶ Tumor samples were derived from lymph nodes, bone marrow, or other involved organs obtained during routine diagnostic procedures. In all instances, with the exception of B-DLCL transformed from a follicular phase, the specimens were collected at diagnosis before specific therapy. Diagnosis was based on morphology and immunophenotypic analysis of cell surface markers and was complemented by immunogenotypic analysis of antigen receptor gene rearrangement.¹¹ In most cases, the fraction of malignant cells was \geq 70% and in all cases \geq 30%.

On the basis of the REAL classification,16 samples were classified as precursor B-cell acute lymphoblastic leukemia (precursor B-cell ALL; n = 46), B-cell chronic lymphocytic leukemia (B-CLL; n = 30), small lymphocytic lymphoma (SLL; n = 9), lymphoplasmacytoid lymphoma (LPL; n = 5), mantle cell lymphoma (MCL; n = 20), follicular lymphoma (FL; n = 15), mucosa associated lymphoid tissue (MALT) lymphoma (n = 15), hairy cell leukemia (HCL; n = 8), B-DLCL (n = 125), and Burkitt lymphoma (BL; n = 35). Precursor B-cell ALL was representative of different molecular variants of the disease and included cases associated with hyperdiploidy (n = 5), rearrangement of *BCR/ABL* (n = 13), rearrangement of MLL (n = 9), rearrangement of TEL/AML-1 (n = 6), or no known genetic lesion (n = 13). MALT lymphomas originated in the gastrointestinal tract (n = 13) or in the thyroid (n = 2). B-DLCL samples were further subdivided into distinct subsets of the disease, which were either representative of specific B-DLCL categories formally recognized by the REAL classification¹⁶ or were arbitrarily identified on the basis of the phenotypic, clinical, or biologic peculiarities of the lymphoma. On these grounds, B-DLCL were subdivided into systemic B-DLCL arising de novo without clinical evidence of previous lymphoma (systemic de novo B-DLCL; n = 66), systemic B-DLCL transformed from a previous follicular lymphoma (transformed B-DLCL; n = 5), primary mediastinal B-DLCL with sclerosis (n = 10), CD5⁺ B-DLCL (n = 9), primary splenic B-DLCL (n = 15), primary extranodal B-DLCL (n = 12), CD30⁺ anaplastic B-DLCL (n = 5), and primary central nervous system B-DLCL (n = 3). Primary extranodal B-DLCL originated in the gastrointestinal tract (n = 8), thyroid (n = 2), testis (n = 1), or lung (n = 1) and included only cases without evidence of accompanying nodal involvement at diagnosis. A fraction of B-DLCL (n = 72), predominantly represented by systemic de novo B-DLCL, primary splenic B-DLCL, and primary extranodal B-DLCL, had been classified also according to the Working Formulation.17 Genomic DNA was purified by cell lysis followed by digestion with proteinase K, "salting out" extraction, and precipitation by ethanol.11

Oligonucleotides

All the oligonucleotides used in this study were synthesized by the solid phase triester method. The sequence of oligonucleotides used as primers for the mutational analysis of *BCL*-6 was as follows: E1.21B, 5'-CTCTTGC-CAAATGCTTTG-3' and E1.24, 5'-TAATTCCCCTCCTTCCTC-3' (for fragment 1.10); E1.23, 5'-AGGAAGGAGGGGAATTAG-3' and IP1.6, 5'-AAGCAGTTTGCAAGCGAG-3' (for fragment 1.11); IP1.7, 5'-TTCTCGCTTGCAAACTGC-3' and E1.26, 5'-CACGATACTTCATCT-CATC-3' (for fragment 1.12).¹² Overall, these oligonucleotides amplify 3 partially overlapping PCR products spanning a 739 base pair (bp) region located downstream the first noncoding exon of *BCL*-6. The oligonucleotides used as primers for the mutational analysis of *p53* exons 5 through 8 have been previously reported.¹¹ Primers used for analysis of Ig heavy chain variable (IgV_H) genes included sense V_H family-specific and 3' antisense J_H primers and have been reported previously.^{18,19}

Polymerase chain reaction-single strand conformation polymorphism (PCR-SSCP)

PCR-SSCP was performed as previously reported.^{11,12} Briefly, 100 ng of genomic DNA, 10 pmol of each primer, 2.5 μmol dNTPs, 1 μCi α-32P]dCTP (Amersham Life Sciences, Amersham, UK; specific activity, 3.000 Ci/ mmol; 1 Ci = 37 Gbq), 10 mmol/L Tris-HCl (pH 8.8), 50 mmol/L KCl, 1 mmol/L MgCl₂, 0.01% gelatin, and 0.5 U AmpliTaq polymerase (Taq gold, Perkin-Elmer, Norwalk, CT) were mixed in a final volume of 10 µL. Thirty-five cycles of denaturation (94°C), annealing (annealing temperatures were optimized for each pair of primers), and extension (72°C) were performed in a temperature controller (DNA Thermal Cycler Cetus; Perkin-Elmer, Norwalk, CT). Samples were heated at 95°C for 5 minutes, chilled on ice, and immediately loaded (3 µL) onto a 6% acrylamide/Trisborate-EDTA (TBE) gel containing 10% glycerol. Gels were run at 8 W for 16 to 18 hours at room temperature, air dried, and analyzed by autoradiography using an intensifying screen (Quanta III; Dupont-NEN, Boston, MA) for 6 to 48 hours. By using the conditions described previously, reconstruction experiments have shown that the sensitivity of the PCR-SSCP method allows the detection of mutations present in 10% of the cell populations tested (sensitivity limit = 10%).

Sequencing procedures of BCL-6 gene

For DNA sequencing of *BCL-6* 5' noncoding regions, a unique PCR product encompassing fragments E1.10, E1.11, and E1.12 (nucleotides +404 to +1142) was amplified by primers E1.21B and E1.26. For all samples subjected to *BCL-6* DNA sequencing, the amplified PCR fragment was directly sequenced with appropriate primers using a commercially available kit (ThermoSequenase, Amersham Life Sciences). α -³³P]-labeled terminator dideoxynucleotides (Amersham Life Science) were included in the sequencing mixture. For each DNA fragment analyzed, sequencing of both strands was performed on independent PCR reactions. In selected cases, PCR products showing mutations by direct sequencing were also sequenced after subcloning into the TA plasmid vector (Invitrogen, Leek, The Netherlands). DNA sequencing analysis of *p53* exons 5-8 was performed as previously reported.¹¹

Southern blot analysis and DNA probes

For Southern Blot analysis,¹¹ 6 to 10 µg of genomic DNA were digested with the appropriate restriction endonuclease, electrophoresed in a 0.8% agarose gel, denaturated, neutralized, transferred to Hybond C⁺ filters (Amersham Life Science), and hybridized to probes that had been α -³²P]-labeled by the random priming extension method using a commercially available kit (Amersham Life Sciences). Filters were washed in 0.2 × NaCl-Na Citrate (SSC)/0.5% sodium dodecyl sulfate (SDS) for 1 hour at 60°C and then autoradiographed using intensifying screens. Ig gene rearrangement analysis was performed using a J_H probe on *Bam*HI, *Eco*RI, and *Hind*III digests and a J_K probe on *Bam*HI digests.¹¹ The organization of the *BCL*-6, c-*MYC*, and *BCL*-2 loci was investigated as previously reported.¹¹

Statistical analysis of the distribution and pattern of *BCL*-6 mutations

Mutation data were handled in a spreadsheet format using Excel (Microsoft Corp, Redmond, WA). The SPSS software (version 6.0 for Windows) was used for statistical elaboration. Statistical analysis was based on nonparametric methods; significant differences were considered when P-values < .05. The Fisher Exact test was used to compare the number of cases harboring BCL-6 mutations among the different categories of B-cell neoplasia. The relation between BCL-6 rearrangements and BCL-6 mutations was evaluated with χ^2 test. Differences among all groups were evaluated with the Kruskal Wallis test (nonparametric 1-way ANOVA); differences between pairs of groups were evaluated with the Mann-Whitney test with Bonferroni adjustment for multiple comparison (significative level P < .05). Mutation frequencies were normalized on the basis of the base composition of the sequence analyzed. The normalized mutation frequencies of each individual nucleotide were calculated by multiplying the mutation frequency of each single nucleotide by 0.25/f, where f is the frequency of occurrence of the specific nucleotide in the region sequenced.

To estimate the occurrence of mutations within specific nucleotide triplets, the number of mutations in each triplet was normalized for the frequency of the triplet in the sequence analyzed and compared with the expected mutation frequency by the goodness-of-fit χ^2 test. The expected mutation frequency for any triplet was obtained by multiplying the overall mononucleotide mutation frequency by 3. The expected frequency of mutations falling within RGYW (A/G G C/T A/T) motifs or the inverse sequence WRCY was obtained by multiplying the overall mononucleotide mutation frequency by 4, assuming no target preferences.

Sequencing analysis of IgV_H genes

 $IgV_{\rm H}$ gene rearrangements were amplified with a set of 6 $V_{\rm H}$ gene family-specific primers and a J_H primer mix in separate reactions for each V_H primer, as described.¹⁸ The V_H primers used in this study hybridize to sequences in the framework region I of the respective V_H families. PCR was performed for 38 cycles as described previously. The PCR buffer was represented by the Expand HF buffer (Boehringer-Mannheim, Monza, Italy). Annealing temperatures were $61^{\circ}C$ for V_H1, V_H2, V_H5, and V_H6 reactions and 65°C for V_H3 and V_H4 reactions. PCR products were directly sequenced according to the sequencing procedures described previously. Because of the lack of an amplification product in some cases, the V_H framework region I primers were replaced by $V_{\rm H}$ leader specific primers, as previously reported.¹⁹ Sequences were compared with the V BASE sequence directory (MRC Centre for Protein Engineering, Cambridge, UK) using MacVector 6.0.1 software (Oxford Molecular Group PLC, Oxford, UK) for comparison of the rearranged IgV genes to the most homologous germline sequences.

Analysis of overall survival in B-DLCL with and without *BCL*-6 mutations

Analysis of overall survival (OS) in B-DLCL with and without BCL-6 mutations was performed in 72 patients with previously untreated de novo B-DLCL (either systemic de novo B-DLCL or primary extranodal B-DLCL), for whom complete clinical data were available. Patients had been diagnosed and treated at 3 Italian institutions from 1990 to 1995 and monitored through March 1999 or until death. The median follow-up duration from initiation of treatment for censored patients was 68 months. All patients were treated with an antracycline-containing regimen. Ten patients with localized stage of disease without adverse prognostic features were treated with 3 courses of either ACOPB (adriamycin, cyclophosphamide, vincristine, prednisone, bleomycin) or CHOP (cyclophosphamide, adriamycin, vincristine, prednisone), followed by locoregional radiotherapy. Twenty-one patients with localized stage and adverse prognostic features or advanced stage disease were treated with CHOP or a thirdgeneration chemotherapy scheme such as MACOPB (methotrexate, adriamycin, cyclophosphamide, vincristine, prednisone, bleomycin) or VACOPB (etoposide, adriamycin, cyclophosphamide, vincristine, prednisone, bleomycin). Twenty-six elderly patients, over 65 years of age, received PVEBEC (prednisone, vinblastine, epirubicin, bleomycin, etoposide, cyclophosphamide) or VMP (etoposide, mitoxantrone, prednimustine) chemotherapy. Fifteen patients with advanced stage and adverse prognostic features were treated with MACOPB per 8 weeks plus MAD (mitoxantrone, high dose ara-C, dexamethasone) plus autologous stem cell transplantation with myeloablative chemotherapy BEAM (carmustine, etoposide, ara-C, melphalan) as preparative regimen. Assessment of response was performed as reported previously.²⁰ All the patients who began treatment were considered assessable. OS includes all patients and was measured from the beginning of treatment to the date of death or last follow-up visit. OS curves were plotted according to the method of Kaplan-Meier and differences between curves were evaluated by the log-rank test.²¹ All calculations were made by applying the BMDP program (1985) developed at the Health Science Computing facility, UCLA (NIH) Special Research Resources.

Results

Characterization of the tumor panel

All cases of B-cell neoplasia displayed a major monoclonal B-cell population based on immunophenotypic and/or immunogenotypic analysis.

Distribution of *BCL-6* mutations throughout the clinico-pathologic spectrum of B-cell neoplasia

All 308 samples of B-cell neoplasia were subjected to PCR-SSCP analysis of 3 partially overlapping fragments encompassing a 739 bp region within *BCL-6* intron 1 (fragments E1.10, E1.11, E1.12). The selection of these PCR fragments was based on the observation that these sequences represent mutational hotspots of the gene, harboring > 90% mutations reported in B-cell neoplasia and that these sequences are consistently mutated in all cases carrying *BCL-6* mutations.¹² Cases of B-cell neoplasia were scored positive for mutation when 1 or more PCR-SSCP fragments displayed a variant pattern that could not be attributed to a population polymorphism. Results are summarized in Table 1 and Table 2 and representatively shown in Figure 1 and Figure 2.

On these bases, mutations of 5' noncoding regions of *BCL-6* were consistently absent among precursor B-cell neoplasms (n = 46; P < .001), independent of the molecular variant of the disease

Table 1. Distribution of *BCL*-6 mutations throughout the spectrum of B-cell neoplasia

| Histology | BCL-6 Mutations (positive/tested)* |
|--|------------------------------------|
| Precursor B-cell neoplasms | |
| Acute lymphoblastic leukemia† | 0/46 (0%) (<i>P</i> < .001) |
| Peripheral B-cell neoplasms | |
| B-cell chronic lymphocytic leukemia | 9/30 (30.0%) |
| Small lymphocytic lymphoma | 2/9 (22.2%) |
| Lymphoplasmacytoid lymphoma | 2/5 (40.0%) |
| Mantle cell lymphoma | 2/20 (10.0%) (P < .05) |
| Follicular lymphoma | 9/15 (60.0%) |
| MALT lymphoma | 5/15 (33.3%) |
| Hairy cell leukemia | 2/8 (25.0%) |
| B-lineage diffuse large cell lymphoma‡ | 61/125 (48.8%) |
| Burkitt lymphoma | 13/35 (37.1%) |
| | |

*Statistically significant differences in *BCL*-6 mutation frequency are indicated by the corresponding P-value.

 \dagger Cases of precursor B-cell acute lymphoblastic leukemia were representative of different molecular variants of the disease and included cases associated with hyperdiploidy (n = 5), rearrangement of BCR/ABL (n = 13), rearrangement of MLL (n = 9), rearrangement of TEL/AML-1 (n = 6), or no known genetic lesion (n = 13).

‡The representation of the different subsets of B-lineage diffuse large cell lymphoma included in this study is reported in Table 2.

| Table 2. | Distribution | of BCL- | 6 mutation | s in differen | t subsets | of B-lineage |
|------------|----------------|----------|------------|---------------|-----------|--------------|
| diffuse la | arge cell lymp | ohoma (I | B-DLCL) | | | |

| B-DLCL Subset | BCL-6 Mutations (positive/tested)* |
|---|------------------------------------|
| Systemic de novo B-DLCL | 33/66 (50.0%) |
| Transformed B-DLCL† | 3/5 (60.0%) |
| Primary mediastinal B-DLCL with sclerosis | 1/10 (10.0%) (<i>P</i> < .01) |
| CD5 ⁺ B-DLCL | 4/9 (44.4%) |
| Primary splenic B-DLCL | 9/15 (60.0%) |
| Primary extranodal B-DLCL‡ | 7/12 (58.3%) |
| CD30 ⁺ anaplastic B-DLCL | 2/5 (40.0%) |
| Primary central nervous system B-DLCL | 2/3 (66.6%) |

The criteria adopted for subdividing B-DLCL into distinct subsets are specified in "Materials and Methods."

*Statistically significant differences in BCL-6 mutation frequency are indicated by the corresponding P-value.

+B-DLCL derived from histologic transformation of a previous follicular lymphoma.

‡B-DLCL presenting in extranodal sites without evidence of nodal involvement at diagnosis.

(Table 1). Among mature B-cell neoplasia, mutations were detected in 105/262 (40.0%) cases. In particular, mutations occurred in LPL (2/5; 40.0%), FL (9/15; 60.0%), B-DLCL (61/125; 48.8%), BL (13/35; 37.1%), MALT lymphomas (5/15; 33.3%) as well as in a subset of B-CLL (9/30; 30.0%), SLL (2/9; 22.2%), and HCL (2/8; 25.0%) (Table 1). Conversely, mutations in MCL (2/20; 10.0%)



Figure 1. PCR-SSCP analysis of *BCL*-6 mutations in representative B-cell neoplasms. Representative results obtained for PCR-SSCP fragments E1.10 and E1.12 are shown. Samples of B-cell neoplasms are indicated at the top of each lane by a numbered code. A positive control (POS), represented by a tumor sample known to harbor *BCL*-6 mutations, as well as a normal (N) sample, represented by a lymphoblastoid cell line, are also included for each PCR-SSCP fragment shown. Samples were scored positive when their migration pattern differed from the normal control (N) and the migration abnormalities could not be ascribed to population polymorphisms. Among the samples shown in the figure, cases scored as positive included cases 1063 (primary splenic B-DLCL), 166 (primary extranodal B-DLCL), 1955 (primary central nervous system B-DLCL) for PCR product E1.10, and cases 998 (systemic de novo B-DLCL), 374 (systemic de novo B-DLCL), 1053 (primary splenic B-DLCL), or PCR product E1.12.



Figure 2. Nucleotide sequencing analyses of *BCL*-6 mutations in representative B-cell neoplasms. The sequence of each case shown in the figure is matched to the sequence of a normal control (N) displaying germline *BCL*-6 alleles or to the sequence of a tumor sample harboring mutations at a different site. The position of mutations is indicated by the nucleotide number of the corresponding *BCL*-6 germline sequence (the first nucleotide of the *BCL*-6 cDNA was arbitrarily chosen as position +1). Cases included in the figure include systemic de novo B-DLCL (1176, 1198, 998), primary central nervous system B-DLCL (1955), and primary splenic B-DLCL (1063, 1569).

were significantly less frequent than in other mature B-cell neoplasms (P < .05) (Table 1).

In the case of B-DLCL, BCL-6 mutations occurred at different frequencies in different subsets of the disease (Table 2). In particular, BCL-6 mutations were virtually absent in primary mediastinal B-DLCL with sclerosis (1/10; 10%; P < .01), although occurred at a substantially similar frequency in all other B-DLCL variants, including systemic de novo B-DLCL (33/66; 50.0%), transformed B-DLCL (3/5; 60.0%), CD5⁺ B-DLCL (4/9; 44.4%), primary splenic B-DLCL (9/15; 60.0%), primary extranodal B-DLCL (7/12; 58.3%), CD30⁺ anaplastic B-DLCL (2/5; 40.0%), and primary central nervous system B-DLCL (2/3; 66.6%). In B-DLCL cases that had been also classified according to the Working Formulation (n = 72; predominantly represented by systemic de novo B-DLCL, primary splenic B-DLCL, and primary extranodal B-DLCL), mutations of BCL-6 distributed equally between Working Formulation category G (19/38; 50.0%), and category H (17/34; 50.0%).

Longitudinal follow-up of BCL-6 mutations

In an attempt to clarify the timing of *BCL-6* mutation acquisition in transformed B-DLCL, we studied 5 B-DLCL transformed from a previous follicular phase before and after histologic progression. Mutations occurred in 1/5 follicular phases and in 3/5 transformed samples. In particular, 2 patients (cases 1931 and 1935 in Figure 3) displayed *BCL-6* mutations in the transformed, but not in the follicular phase, suggesting that mutations had been accumulated at the time of histologic progression. One patient displayed identical *BCL-6* mutations in both phases of the disease.

Characterization of BCL-6 mutations

The detailed characterization of the *BCL-6* mutations in B-cell neoplasia was investigated by studying 193 mutational events observed in 46 samples representative of the clinico-pathologic spectrum of these disorders. Representative sequences are shown in Figure 2, whereas the characteristics of *BCL-6* mutations are



Figure 3. Characterization of *BCL*-6 mutations in 46 representative B-cell neoplasms. (A) Schematic representation of the *BCL*-6 gene. Coding and non-coding exons are indicated by filled and empty boxes, respectively. The PCR fragment amplified for mutational analysis is approximately positioned below the *BCL*-6 gene map and expanded in panel B to show the distribution of mutations. (B) Each line of rectangles represent the *BCL*-6 sequence of a different B-cell neoplasm. Each case is indicated by a numbered code, together with the corresponding diagnosis (on the left; B-CLL, B-cell chronic lymphocytic leukemia; LPL, lymphoplasmacytoid lymphoma; FL, follicular lymphoma; B-DLCL, B-lineage diffuse large cell lymphoma). Each rectangle represents a 20 bp interval of the *BCL*-6 sequence; nucleotide positions are indicated in the top line. The first nucleotide of the *BCL*-6 cDNA was arbitrarily chosen as +1. Filled rectangles indicate the presence of mutation(s) in the corresponding 20 bp of the *BCL*-6 sequence. The characteristics of individual mutations are detailed on the right (eg, G549C, G \rightarrow C at nucleotide position 549).

graphically represented in Figure 3. The overwhelming majority of mutations included single base-pair substitutions (n = 185), whereas single point deletions (n = 3), single point insertions (n = 1), and deletions/insertions of a short DNA stretch (n = 4) were observed only rarely. The frequency of mutation ranged from 0.0675 to 1.9×10^{-2} /bp. Among cases harboring mutations, the mean frequency of mutation was overall similar in patients belonging to different categories of B-cell neoplasia.

Of a total of 185 single base-pair substitutions observed, 83 were transitions and 102 were transversions. The observed transition/ transversion ratio was 0.81 (expected 0.5; P < .005). The frequency of each type of nucleotide substitution is shown in Table 3. The distribution of base substitutions was calculated using the coding strand and data were normalized for the nucleotide representation in the BCL-6 sequence analyzed. Analysis of the nature of the nucleotide substitutions indicated that T was mutated most frequently (29.4% of all mutations), followed by C (28.7%) and G (27.5%) (Table 3). Conversely, A was mutated less frequently than expected by chance alone (14.4%; P < .01) (Table 3). Pyrimidine transitions were more frequent than expected (23.5% of all mutations; P < .02), whereas purine transversions were less frequent than expected (20.8%; P < .001). Preference for G \rightarrow A transitions (P < .001), T \rightarrow C transitions (P < .05), and C \rightarrow G transversions (P < .01) was also present (Table 3). Conversely, nucleotide transversions $C \rightarrow A (P < .05), A \rightarrow T (P < .05), A \rightarrow C$

Table 3. Normalized frequency of *BCL*-6 mutations according to the type of single nucleotide substitution

| Type of Nucleotide Substitution | Number of Mutations Observed | % of Total Mutations Observed | P*† |
|------------------------------------|---------------------------------|----------------------------------|------|
| Transitions and transversions | | | |
| $T \to N$ | 68 | 29.4 | n.s. |
| $C \to N$ | 49 | 28.7 | n.s. |
| $G \to N$ | 51 | 27.5 | n.s. |
| $A \to N$ | 17 | 14.4 | <.01 |
| Transitions | | | |
| $G \to A$ | 28 | 15.1 | <.00 |
| $A \to G$ | 7 | 5.90 | n.s. |
| $T \to C$ | 30 | 13.0 | <.05 |
| $C \to T$ | 18 | 10.5 | n.s. |
| Transversions | | | |
| $C \to G$ | 24 | 14.0 | <.01 |
| $G\toC$ | 20 | 10.8 | n.s. |
| $T \to G$ | 20 | 8.70 | n.s. |
| $T\toA$ | 18 | 7.80 | n.s. |
| $C \to A$ | 7 | 4.10 | <.05 |
| $A \to T$ | 5 | 4.20 | <.05 |
| $A \to C$ | 5 | 4.20 | <.05 |
| $G \rightarrow T$ | 3 | 1.60 | <.00 |

*Calculated with χ² test; n.s., not significant.

†The following nucleotide changes occurred more frequently than expected: $G \rightarrow A, T \rightarrow C$, and $C \rightarrow G$. The following nucleotide changes occurred less frequently than expected: $C \rightarrow A, A \rightarrow T, A \rightarrow C$, and $G \rightarrow T$.

| Table 4. | Frequency of BCL-6 mutations in specific nucleotide triplets |
|----------|--|
| and RGY | /W/WRCY motifs |

| Nucleotide Sequence | Occurrence* | Number of Mutations† | Frequency of Mutation | <i>P</i> ‡ |
|--------------------------------------|-------------|-------------------------|--------------------------|------------|
| Triplets mutated at | | | | |
| higher than | | | | |
| expected frequency | | | | |
| AGC | 368 | 14 | 0.038 | <.0001 |
| GCT | 2208 | 44 | 0.020 | <.0001 |
| TAC | 92 | 4 | 0.043 | <.001 |
| CTA | 368 | 10 | 0.027 | <.001 |
| GTT | 1104 | 21 | 0.019 | <.001 |
| CTG | 1472 | 26 | 0.018 | <.001 |
| TTA | 1012 | 15 | 0.015 | <.025 |
| TGC | 1472 | 21 | 0.014 | <.025 |
| Triplets mutated at lower | | | | |
| than expected fre- | | | | |
| quency | | | | |
| CCC | 1564 | 3 | 0.0019 | <.01 |
| CTC | 2116 | 8 | 0.0038 | <.025 |
| GGG | 1748 | 7 | 0.0040 | <.05 |
| TCC | 1840 | 7 | 0.0038 | <.05 |
| CCT | 1656 | 6 | 0.0036 | <.05 |
| GGA | 1656 | 6 | 0.0036 | <.05 |
| GCC | 1288 | 4 | 0.0031 | <.05 |
| AAA | 1288 | 4 | 0.0031 | <.05 |
| ATG | 736 | 1 | 0.0014 | <.05 |
| AAG | 736 | 1 | 0.0014 | <.05 |
| RGYW/WRCY mutated | | | | |
| at higher than expected frequency | | | | |
| AGCT | 92 | 10 | 0.109 | <.0001 |
| AGCA | 92 | 3 | 0.033 | <.05 |
| TGCT | 552 | 20 | 0.036 | <.0001 |
| | | | | |

The table includes only those nucleotide triplets and RGYW/WRCY motifs for which a statistically significant P-value was observed.

*Occurrence refers to the total number of times that each nucleotide triplet or RGYW/WRCY motif appeared in the total *BCL*-6 sequence analyzed, ie, the number of nucleotides of the *BCL*-6 sequence analyzed in each single case multiplied by the number of samples investigated.

†Number of mutations in each individual nucleotide triplet or RGYW/WRCY motif occurring in the total *BCL*-6 sequence analyzed.

 T_0 determine P values, the number of mutations observed in each nucleotide triplet or RGYW/WRCY motif was compared with the probability of mutation based on random chance using the goodness-of-fit χ^2 test.

(P < .05), and G \rightarrow T (P < .005) occurred less frequently than expected (Table 3).

Clustering of BCL-6 mutations within specific DNA motifs

To determine whether the pattern of BCL-6 mutations exhibited sequence-specific preferences, we determined the mutation frequency in each of the 64 combinations of nucleotide triplets (Table 4). The observed frequency of mutations in specific triplets was found to differ significantly from that expected based on chance alone (χ^2 goodness-of-fit test). In particular, triplets AGC, GCT, TAC, CTA, GTT, CTG, TTA, and TGC were mutated at a frequency higher than expected (Table 4), whereas triplets CCC, CTC, GGG, TCC, CCT, GGA, GCC, AAA, ATG, and AAG were mutated at a frequency lower than expected (Table 4).

A variety of studies have suggested that the quadruplet motif RGYW (A/G G C/T T/A) and the inverse repeat WRCY are a target for increased mutational activity in Ig genes.²²⁻²⁴ To test whether such motifs are also preferentially targeted by the *BCL-6* mutation mechanism, we analyzed the presence of mutations occurring in the RGYW quadruplet and in the inverse repeat WRCY. Together, RGYW/WRCY motifs represented the 17.7% of the total sequence. Mutations of RGYW/WRCY accounted for 31.0% of all nucleotide substitutions and occurred at a frequency higher than expected (P < .05). In particular, *BCL-6* mutations preferentially targeted specific RGYW/WRCY motifs, including the AGCT, AGCA and TGCT nucleotide quadruplets (Table 4).

Comparative analysis of mutations of BCL-6 and IgV_H genes

The occurrence of mutations of *BCL*-6 and IgV_H genes was compared in a selected panel of samples, including FL, MALT lymphomas, and HCL. Results are summarized in Table 5. Mutations of IgV_H genes were detected in 15/15 (100%) FL, 15/15 (100%) MALT lymphomas, and 6/8 (75.0%) HCL. Comparison of *BCL*-6 and IgV_H mutations in these cases revealed that all *BCL*-6 mutated samples harbored mutations of IgV_H genes (Table 5). Conversely, only a fraction of IgV_H mutated cases harbored mutations of *BCL*-6, including 9/15 FL (60.0%), 5/15 (33.3%) MALT lymphomas, and 2/8 (25.0%) HCL (Table 5). In all samples investigated, the frequency of IgV_H mutations was approximately 10-fold higher than the frequency of *BCL*-6 mutations (Table 5).

Relationship between *BCL*-6 mutations, *BCL*-6 rearrangements and other genetic lesions occurring in B-DLCL

Because B-DLCL is molecularly heterogeneous,^{10,11,16} we compared the distribution of *BCL*-6 mutations with that of several other genetic lesions of this lymphoma, including rearrangements of *BCL*-6, *BCL*-2, and c-*MYC*, as well as mutations of *p53*. Rearragements of *BCL*-6 were detected in 29/115 (25.2%) B-DLCL, including 20/66 (30.3%) systemic de novo B-DLCL, 2/15 (13.3%) primary splenic B-DLCL, 6/12 (50.0%) primary extranodal B-DLCL, and 1/4 (25.0%) CD30⁺ anaplastic B-DLCL (Table 6). Notably, rearrangements of *BCL*-6 were absent in primary mediastinal B-DLCL with sclerosis (n = 8), transformed B-DLCL (n = 5), and primary central nervous system B-DLCL (n = 3) (Table 6). Comparison of the distribution of *BCL*-6 rearrangements and mutations confirmed that mutations can occur independent of the

| Table 5. Comparative analysis of BCL-6 and Igv _H mutations in selected B-cell malignanci | Table 5. | Comparative analys | is of BCL-6 and Ig | V _H mutations in s | selected B-cell | malignancies |
|---|----------|--------------------|--------------------|-------------------------------|-----------------|--------------|
|---|----------|--------------------|--------------------|-------------------------------|-----------------|--------------|

| | | | | Mean Frequency of Mutation (range) | |
|-------------------------------|--|--|--|------------------------------------|------------------------------|
| Histology | BCL-6 ^M /IgV ^M * | BCL-6 ^G /IgV ^M * | BCL-6 ^G /IgV ^G * | BCL-6 | IgV _H |
| Follicular lymphoma (n = 15) | 9/15 (60.0%) | 6/15 (40.0%) | 0/15 | $0.83	imes10^{-2}\mathrm{bp}$ | $9.8	imes10^{-2}\mathrm{bp}$ |
| | | | | (0.27-1.1) | (5.4-18.2) |
| MALT lymphoma (n = 15) | 5/15 (33.3%) | 10/15 (66.7%) | 0/15 | $0.75	imes10^{-2}\mathrm{bp}$ | $8.1	imes10^{-2}\mathrm{bp}$ |
| | | | | (0.27-1.9) | (3.2-15.5) |
| Hairy cell leukemia (n $=$ 8) | 2/8 (25.0%) | 4/8 (50.0%) | 2/8 (25.0%) | $0.27	imes10^{-2}\mathrm{bp}$ | $6.9	imes10^{-2}\mathrm{bp}$ |
| | | | | (0.13-0.40) | (3.3-10.8) |

*BCL-6^M/IgV^M, cases harboring mutated BCL-6 and IgV_H genes; BCL-6^G/IgV^G, cases harboring germline BCL-6 genes and mutated IgV_H genes; BCL-6^G/IgV^G, cases harboring germline BCL-6 and IgV_H genes. For each category, the number of positive/tested cases is indicated.

Table 6. Distribution of genetic lesions in different subsets of B-lineage diffuse large cell lymphoma (B-DLCL) with and without BCL-6 mutations

| B-DLCL Subset | <i>BCL</i> -6 R*† | c- <i>MYC</i> R*† | <i>BCL-</i> 2 R*† | <i>р53</i> М*† | EBV |
|---|----------------------|----------------------|----------------------|-------------------|------|
| Systemic de novo B-DLCL | | | | | |
| with BCL-6 mutations | 11/33 | 0/32 | 3/33 | 2/32 | 0/31 |
| without BCL-6 mutations | 9/33 | 1/32 | 9/33 | 4/31 | 0/31 |
| Transformed B-DLCL | | | | | |
| with BCL-6 mutations | 0/3 | 0/3 | 3/3 | 3/3 | 0/3 |
| without BCL-6 mutations | 0/2 | 0/2 | 2/2 | 2/2 | 0/2 |
| Primary mediastinal B-DLCL with sclerosis | | | | | |
| with BCL-6 mutations | n.d.§ | n.d. | 0/1 | 1/1 | 1/1 |
| without BCL-6 mutations | 0/8 | n.d. | 0/9 | 2/9 | 0/9 |
| CD5 ⁺ B-DLCL | | | | | |
| with BCL-6 mutations | 0/1 | 0/1 | 0/1 | 0/1 | 0/1 |
| without BCL-6 mutations | 0/1 | 0/1 | 0/1 | 0/1 | 0/1 |
| Primary splenic B-DLCL | | | | | |
| with BCL-6 mutations | 1/9 | 0/9 | 0/9 | 1/9 | 0/9 |
| without BCL-6 mutations | 1/6 | 1/6 | 1/6 | 1/6 | 0/6 |
| Primary extranodal B-DLCL | | | | | |
| with BCL-6 mutations | 5/7 | 0/7 | 0/7 | 1/7 | 0/7 |
| without BCL-6 mutations | 1/5 | 0/5 | 0/5 | 1/5 | 0/5 |
| CD30 ⁺ anaplastic B-DLCL | | | | | |
| with BCL-6 mutations | 1/2 | 1/2 | 0/2 | 0/2 | 0/2 |
| without BCL-6 mutations | 0/2 | 0/2 | 0/2 | 0/2 | 0/2 |
| Primary central nervous system B-DLCL | | | | | |
| with BCL-6 mutations | 0/2 | 0/2 | 0/2 | 0/2 | 0/2 |
| without BCL-6 mutations | 0/1 | 0/1 | 0/1 | 0/1 | 0/1 |
| | | | | | |

*Positive/tested; †R, rearrangement; M, mutation; ‡B-DLCL derived from histologic transformation of a previous follicular lymphoma; ‡n.d., not done; ||B-DLCL presenting in extranodal sites without evidence of nodal involvement at diagnosis.

concomitant presence of rearrangements in all tested categories of B-DLCL (Table 6). Comparison of the distribution of *BCL*-6 mutations with that of alterations of *c-MYC*, *BCL*-2, and *p53* showed that *BCL*-6 mutations occurred in B-DLCL cases both positive and negative for these genetic alterations (Table 6).

Overall survival in de novo B-DLCL with and without BCL-6 mutations

The OS according to *BCL*-6 mutations was assessed in 72 de novo B-DLCL for whom complete clinical data were available. At a median follow-up of 68 months, OS rate was 58% in cases harboring *BCL*-6 mutations and 44% in cases devoid of *BCL*-6 mutations (Figure 4), with no significant difference between the 2 groups.

Discussion

The aim of this study was a comprehensive investigation of the distribution and pattern of *BCL*-6 mutations throughout the spectrum of B-cell neoplasia. On the basis of the analysis of 300 B-cell tumors, we report that *BCL*-6 mutations cluster with B-cell neoplasms thought to originate from GC and post-GC B cells. In the case of B-DLCL, *BCL*-6 mutations associate with most, though not all, subsets of the disease, suggesting heterogeneity in the histogenesis of this lymphoma. Mutational analysis performed on almost 200 events indicates that *BCL*-6 mutations may be due to a molecular mechanism similar to somatic hypermutation of Ig genes.

Analysis of BCL-6 mutations throughout the spectrum of B-cell

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neoplasia confirms that mutations are virtually absent in B-cell tumors deriving from precursor and virgin B-cells, namely, precursor B-cell ALL and MCL. This observation is consistent with the fact that BCL-6 mutations arise at the time of B-cell transit through the GC.¹³⁻¹⁵ Conversely, mutations occur at sustained frequency in LPL, FL, MALT lymphomas, B-DLCL, and BL for which a derivation from GC-related B cells has been either proven or postulated.^{18,25-30} Also, mutations are found in a fraction of HCL, which, in most cases, are thought to derive from post-GC B cells.31,32 The occurrence of BCL-6 mutations in a fraction of B-CLL and SLL is noteworthy, because these disorders have been traditionally viewed as proliferations of virgin B cells.¹⁶ In addition to BCL-6 mutations, however, other features of GC-related B cells have been recently reported in a subset of B-CLL.^{33,34} suggesting that the histogenesis of the disease may be more heterogeneous than previously thought.

According to the REAL classification,¹⁶ the term *B-DLCL* is thought to include more than 1 disease entity. The heterogeneity of B-DLCL reflects heterogeneity in the phenotype, clinical history, and primary site of the disease.¹⁶ With the exception of primary mediastinal B-DLCL with sclerosis, BCL-6 mutations distribute uniformly throughout most B-DLCL variants, including systemic de novo B-DLCL, systemic B-DLCL transformed from FL, CD5+ B-DLCL, primary splenic B-DLCL, primary extranodal B-DLCL, and primary central nervous system B-DLCL. Because BCL-6 mutations are a histogenetic marker of B-cell transit through the GC,13-15 it is conceivable that all B-DLCL subsets harboring BCL-6 mutations share a common origin from GC-related B cells. This notion is substantiated by data of somatic Ig gene mutation analysis.18,30,35 Remarkably, the association of CD5+ B-DLCL with both BCL-6 and somatic Ig gene mutations (this report and ref. 35) indicates that the histogenesis of this lymphoma differs from that of other malignancies of the CD5⁺ B-cell lineage, namely, MCL, B-CLL, and SLL which, in the majority of cases, display features of virgin B cells.¹⁶ In contrast to other B-DLCL subsets, the absence of BCL-6 mutations in primary mediastinal B-DLCL with sclerosis points to a different histogenetic pathway for this lymphoma, possibly related to a noncirculating B cell normally residing in the thymic medulla.^{16,36,37} The molecular and histogenetic peculiarities of primary mediastinal B-DLCL with sclerosis are also reinforced by the virtual absence of BCL-6 rearrangements (ref. 38 and this report) and by the observation that these lymphomas fail to express the BCL-6 protein (A.Carbone et al, unpublished observation, 1999), a marker of GC-like phenotype that is commonly expressed by other B-DLCL variants.⁴

The finding of BCL-6 mutations in transformed B-DLCL, but



Figure 4. Overall survival (OS) according to the presence or absence of *BCL*-6 mutation. OS rates at 68 months 58% (*BCL*-6 mutated, solid line) versus 44% (*BCL*-6 nonmutated, dotted line).

not in the FL biopsy, which precedes histologic progression, is noteworthy. Despite the limited number of cases investigated, these results suggest that accumulation of *BCL-6* mutations might be involved in the molecular mechanisms of FL transformation and mandate functional studies aimed at defining the precise biologic relevance of *BCL-6* mutations in FL histologic progression.

Our analysis of 193 mutational events corroborates and expands the characteristics of the mutational pattern of BCL-6. First, single nucleotide substitutions predominate, whereas insertions/deletions are rare. Second, nucleotide transitions occur more frequently than expected. Third, mutations cluster at high frequency in specific nucleotide triplets and RGYW/WRCY motifs. Finally, the pyrimidine T is more frequently mutated than the purine A, suggesting a mutational mechanism exhibiting strand polarity. Collectively, these features reinforce the hypothesis that BCL-6 mutations may result from a mechanism similar to that causing somatic hypermutation of Ig genes.^{13-15,39-41} Infact, both BCL-6 mutations and somatic Ig gene hypermutation display a net preference for single nucleotide substitutions, an excess of nucleotide transitions over transversions and a high degree of clustering in similar hotspots, including specific RGYW/WRCY motifs and selected nucleotide triplets (13-15,39-41 and this report). The hypothesis that the BCL-6 locus may be recognized by the somatic Ig gene hypermutation mechanism is consistent with results obtained in animal models showing that non-Ig sequences may be targeted by somatic Ig gene hypermutation.42,43

Although previous studies yielded controversial results regarding the strand polarity of *BCL*-6 mutations,^{13,14} the asimmetry of A and T mutations observed in the present report suggests that the *BCL*-6 mutation mechanism preferentially targets 1 DNA strand. At variance with strand polarity associated with somatic Ig hypermutation, which preferentially targets A over T,^{39,44} the mutation mechanism of *BCL*-6 appears to preferentially target T over A. This discrepancy in strand polarity between Ig and *BCL*-6 mutations may be due to specific features of the gene sequences, as also suggested by experiments performed on other genes that have been artificially exposed to the somatic Ig hypermutation mechanism.⁴²

Comparative analysis of BCL-6 and IgV_H mutations in several B-cell malignancies deriving from GC or post-GC B cells has revealed that the proportion of cases harboring IgV_H mutations is higher than the proportion of cases harboring BCL-6 mutations (this study and ref. 13). Also, the frequency of BCL-6 mutations in a given tumor sample is generally lower than that of IgV_H mutations (this study and ref. 13). Notably, mutations of BCL-6 never occurred in the absence of IgV_H mutations in B-cell malignancies analyzed to date for both mutations, including B-DLCL),13 FL, MALT lymphoma, HCL, and B-CLL. Absolute coincidence of BCL-6 and IgV_H mutations should not be expected in view of the lower frequency of BCL-6 mutations, compared with IgV_H mutations, in normal GC and post-GC B cells.¹³⁻¹⁵ In fact, BCL-6 mutations are found in approximately 30% to 50% normal GC and post-GC B cells, whereas IgV_H mutations occur in virtually all such cells. Also, the mutation frequency of BCL-6 in normal GC and post-GC B cells is approximately 10-fold lower than that of IgV_H genes. The precise reasons for the different incidence and frequency of BCL-6 versus IgV_H mutations are not currently known. It is possible that the mutational mechanism targets BCL-6 with a lower efficiency compared with IgV_H genes or that BCL-6 mutations occur relatively later than IgV_H mutations in the progression of the cell transfer through the germinal center.

Currently, detection of *BCL*-6 mutations provides information on the B-cell compartment from which a given B-cell tumor originates and therefore bears implications for the disease histogenesis. Although a pathogenetic role for *BCL*-6 mutations has not been formally established, indirect observations suggest that mutations may carry functional consequences. In particular, it is remarkable that *BCL*-6 mutations cluster in highly conserved genomic regions, suggesting that some mutations may affect regulatory domains of *BCL*-6 and thus deregulate the physiologic expression of the gene.¹² To define the precise pathogenicity of these genetic alterations, however, further studies are needed to test the ability of tumor-derived *BCL*-6 alleles to deregulate the expression of *BCL*-6.

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