Allogeneic Bone Marrow Transplantation for Low-Grade Lymphoma

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Advanced low-grade lymphomas are usually incurable with conventional-dose chemotherapy. It is uncertain whether cures are possible with high-dose therapy and bone marrow transplant from a human leukocyte antigen (HLA)-identical sibling. We sought to determine the outcome of HLAidentical sibling bone marrow transplants in advanced lowgrade lymphoma in an observational study of 113 patients conducted at 50 centers participating in the International Bone Marrow Transplant Registry (IBMTR). The median patient age was 38 years (range, 15 to 61). Eighty percent had stage IV disease at the time of transplantation. The median number of prior chemotherapy regimens was two (range, 0 to 5). Thirty-eight percent had refractory disease and 29% a Karnofsky performance score (KPS) less than 80%. All patients underwent allogeneic bone marrow transplantation from a HLA-identical sibling donor. The conditioning

A DVANCED-GRADE LYMPHOMAS are relatively indolent, but are incurable with conventional treatments.¹⁻⁴ The median survival duration from diagnosis is 7 to 9 years. High-dose therapy and a blood cell or bone marrow autotransplant reportedly results in sustained remission in some patients.⁵⁻⁹ Recurrences are common and there is concern about posttransplant myelodysplastic syndromes.^{5,10-12} Prolonged remissions have been reported in small numbers of patients treated with allogeneic bone marrow transplantation.¹³⁻¹⁹ We studied 113 patients with advanced low-grade lymphoma who received a bone marrow transplant from a human leukocyte antigen (HLA)-identical sibling.

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Submitted March 10, 1998; accepted May 1, 1998.

See Appendix for support data.

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regimen included total-body irradiation (TBI) in 82% of patients; cyclosporine was used for graft-versus-host disease prophylaxis in 74%. Survival, disease-free survival, recurrence rate, treatment-related mortality, and causes of death were determined. Three-year probabilities of recurrence, survival, and disease-free survival were 16% (95% confidence interval [CI], 9% to 27%), 49% (95% CI, 39% to 60%), and 49% (95% CI, 39% to 59%), respectively. Higher survival was associated with pretransplant KPS \geq 90%, chemotherapy-sensitive disease, use of a TBI-containing conditioning regimen, and age less than 40 years. We conclude that high-dose therapy followed by transplantation from a HLA-identical sibling leads to prolonged survival in some patients with advanced low-grade lymphoma. Most mortality is treatment-related, and recurrences are rare. © 1998 by The American Society of Hematology.

PATIENTS AND METHODS

Patients. We reviewed all HLA-identical sibling transplants for low-grade lymphoma performed between 1984 and 1995 and reported to the International Bone Marrow Transplant Registry (IBMTR) by 50 centers worldwide. The study included 113 patients with a diagnosis of low-grade lymphoma at diagnosis and at the time of transplant. This included patients with diffuse well-differentiated lymphocytic lymphoma (Working Formulation group A), follicular small cleaved-cell lymphoma (Working Formulation group B), and follicular mixed-cell lymphoma (Working Formulation group C).²⁰ Patients initially diagnosed with low-grade lymphoma, but whose disease transformed to intermediate-grade or high-grade lymphoma before transplantation, were excluded.

Pathology reports confirming the diagnosis of low-grade lymphoma were reviewed by Koen van Besien. Discrepancies in nomenclature among centers were resolved using the recent publication on the Revised European-American Lymphoma (REAL) classification.²¹

IBMTR. The IBMTR is a voluntary working group of more than 300 transplant teams worldwide that contribute detailed data on their allogeneic bone marrow transplants to the Statistical Center at the Medical College of Wisconsin. Participants are required to report all consecutive transplants; compliance is monitored by on-site audits. Approximately two thirds of all active transplant centers report their data to the IBMTR. The IBMTR database includes 40% to 45% of all allogeneic transplant recipients since 1970. Patients are monitored longitudinally. Computerized error checks, physician review of submitted data, and on-site audits of participating centers ensure data quality.

Statistical methods. Primary outcomes were survival, disease-free survival (survival without lymphoma posttransplant), recurrence, and treatment-related mortality (nonrelapse death). For treatment-related mortality, patients were considered treatment failures at the time of death from any cause in the first 28 days posttransplant or at time of death in continuous remission for those surviving more than 28 days posttransplant; patients with recurrent lymphoma were censored at the time of relapse and those alive in remission were censored at the last follow-up evaluation. For disease-free survival, patients were considered treatment failures at the time of relapse or death from any cause; patients alive in continuous remission were censored at the last follow-up evaluation. Patients who never achieved remission were analyzed as having recurrent lymphoma on day 28.

Table 1. Patient-, Disease-, and Transplant-Related Characteristics of 113 Recipients of HLA-Identical Sibling Bone Marrow Transplants for Low-Grade Non-Hodgkin's Lymphoma Reported to the IBMTR by 50 Centers Worldwide

	No	Patients	
Variable	Assessable	No.	%
Patient characteristics			
Male gender	113	66	58
Age at transplant (yr)	113		
Median		38	
Range		15-6	1
<40		63	56
≥40		50	44
$KPS \le 80\%$	113	33	29
Disease characteristics at diagnosis			
Histology	113		
Small lymphocytic		20	18
Follicular small cleaved		52	46
Follicular mixed	110	41	36
Disease stage	113	2	2
I		2	2
11		9 10	0
111 IV		92	7 81
Extranodal involvement	110	72	01
None	110	19	17
Bone marrow		66	60
Bone marrow $+$ other*		13	12
Other*		12	11
Disease characteristics at transplant			
Disease stage	113		
Complete remission		16	14
I .		2	2
II		7	6
111		7	6
IV		80	71
Unknown		1	1
Extranodal involvement	110		
None		30	27
Bone marrow		64	58
Bone marrow + other†		11	10
Other†		5	5
Response to chemotherapy	105		
Sensitive		66	63
Resistant	110	39	37
No. of prior chemotherapy regimens	110		n
Bango		1	2
Ralige Prior complete remission	112	1-	0 /1
Disease duration (mo)	112	40	41
Median	115	24	
Range		5-13	0
Transplant characteristics		0.10	0
Year of transplant	113		
1984-1987		3	3
1988-1989		15	13
1990-1991		23	20
1992-1993		35	31
1994-1995		37	33
Donor-recipient sex match	113		
Male-male		35	31
Male-female		29	26
Female-male		30	27
Female-female		19	16
(Continued)			

Table 1. Patient-, Disease-, and Transplant-Related Characteristics of 113 Recipients of HLA-Identical Sibling Bone Marrow Transplants for Low-Grade Non-Hodgkin's Lymphoma Reported to the IBMTR by 50 Centers Worldwide (Cont'd)

	No.	Pati	atients	
Variable	Assessable	No.	%	
Donor-recipient CMV status	109			
+/+		36	33	
-/+		19	17	
Donor-recipient CMV status (Cont'd)				
+/-		21	19	
-/-		33	30	
Conditioning regimen	113			
TBI + Cy		32	28	
$TBI + Cy + VP16 \pm other$		43	38	
TBI + Cy + other (not VP16)		11	10	
TBI \pm other		7	6	
LFR + Cy + Bu		1	1	
Cy + BCNU + VP16		1	1	
Cy + Bu		15	13	
Other		3	3	
GVHD prophylaxis	113			
$MTX + CsA \pm other$		68	60	
MTX \pm other		2	2	
$CsA \pm other$		17	15	
T-cell depletion \pm other		25	22	
FK506 + corticosteroids		1	1	

Abbreviations: KPS, Karnovsky performance score; CMV, cytomegalovirus; TBI, total-body irradiation; Cy, cyclophosphamide; VP16, etoposide; LFR, limited-field radiation; Bu, busulfan; BCNU, nitrosurea; MTX, methotrexate; CsA, cyclosporine.

*Other = pleura, liver, bone, skin, lung, kidney, epidural space.

†Other = pleura, liver, kidney, bone, lung, brain.

(GVHD), chronic GVHD, and survival. Acute GVHD was defined as moderate to severe (grade II to IV) disease using established criteria; patients surviving more than 21 days with evidence of engraftment were considered at risk.²² Chronic GVHD was determined by clinical criteria in patients surviving more than 90 days with evidence of engraftment.²³

Probabilities of outcomes were calculated using the Kaplan-Meier product-limit estimate and expressed as probabilities with a 95% confidence interval (CI) computed using the arcsine-square root transformation. Patient-, disease-, and transplant-related variables were studied for associations with survival. Univariate comparisons used the logrank test. Multivariate analyses used Cox proportional hazards regression with stepwise forward variable selection. As disease-free survival was nearly identical to survival, multivariate analyses were performed

Table 2. Probabilities (±95% CI) of Transplant Outcomes
(at 3 years unless otherwise stated)

	3-Year Probability (%)	No. Assessable
Graft failure	1 (0-5)	101
Relapse*	16 (9-27)	93
Treatment-related mortality	40 (30-50)	113
Acute GVHD (at 100 days)†	27 (19-37)	99
Chronic GVHD‡	66 (53-77)	67
Survival	49 (39-60)	113
Disease-free survival	49 (39-59)	113

*Among patients surviving \geq 28 days posttransplant.

†Among patients surviving \geq 21 days with evidence of engraftment. ‡Among patients surviving \geq 90 days with evidence of engraftment.



only for survival. The incidence of lymphoma relapse was too low to allow a multivariate analysis of this parameter.

RESULTS

Patient characteristics. Patient-, disease-, and transplantrelated characteristics are listed in Table 1. Fifty-eight percent of patients were male. The median age was 38 years (range, 15 to 61). Twenty-nine percent had a Karnofsky performance score (KPS) less than 90%.

Eighteen percent of patients had small lymphocytic lymphoma, 46% had follicular small cleaved-cell lymphoma, and 36% had follicular mixed-cell lymphoma. Eighty-one percent were diagnosed with stage IV disease, most commonly due to bone marrow involvement. Only 14% were in complete remission at transplant. Seventy-one percent had stage IV disease at transplant, despite a median of two prior chemotherapy regimens. Diverse chemotherapy regimens were used pretransplant; 37% of patients were felt to have chemotherapy-resistant lymphoma (ie, they had achieved less than a partial remission to the last chemotherapy regimen administered before transplant).

Eighty-four percent of the transplants were performed after 1990. The median interval from diagnosis to transplant was 24 months (range, 5 to 130). The pretransplant conditioning regimen included total-body irradiation (TBI) in 82% of cases. Among the 20 patients (18%) not receiving TBI for conditioning regimens, only three had received prior radiation. Twenty-two percent of patients received T-cell–depleted transplants.

Outcomes. Outcomes are summarized in Table 2. The median follow-up duration of surviving patients was 25 months

Fig 1. Probability of relapse and treatmentrelated mortality after HLA-identical sibling bone marrow transplant for low-grade non-Hodgkin's lymphoma.

(range, 4 to 95). Three-year probabilities of recurrence and treatment-related mortality were 16% (95% CI, 9% to 27%) and 40% (95% CI, 30% to 50%), respectively (Fig 1). Three-year probabilities of survival and disease-free survival were both 49% (95% CI, 39% to 59%) (Fig 2). Among 33 patients monitored for more than 2 years after transplantation, only one relapse was documented.

In multivariate analysis, KPS, chemotherapy-resistance, conditioning regimen, and age significantly predicted survival (Table 3).

Fifty-one patients died; causes of death are summarized in Table 4. Pulmonary complications were most common, including interstitial pneumonitis (n = 7), acute respiratory distress syndrome (n = 5), and pulmonary hemorrhage (n = 1). Two patients died of acute GVHD and three of chronic GVHD.

DISCUSSION

This report evaluates the outcome of HLA-identical sibling bone marrow transplants for advanced low-grade lymphomas among centers reporting consecutive patients to the IBMTR. Not surprisingly, the data indicate that transplants are mainly offered to younger patients with advanced disease. Most patients in this study received extensive prior therapy. Many had chemotherapy-resistant disease and low performance scores. Most were not candidates for autotransplants because of extensive bone marrow involvement. Characteristics of these patients and their outcomes are consistent with those reported in three smaller single-institution series.^{13,18,19} Only 22 of the patients in this study were included in those series. Given the



Fig 2. Probability of survival and disease-free survival after HLA-identical sibling bone marrow transplant for low-grade non-Hodgkin's lymphoma.

Table 3. Factors Significantly Associated With Survival in Multivariate Analysis of 113 Recipients of HLA-Identical Sibling Bone Marrow Transplants for Low-Grade Non-Hodgkin's Lymphoma Reported to the IBMTR by 50 Centers Worldwide

Covariate	No.	RR of Death	95% CI	P Value*
KPS pretransplant				
<90%	33	1.00		_
≥90%	80	0.42	(0.23-0.77)	.005
Conditioning regimen				
Chemotherapy alone	20	1.00		_
TBI + chemotherapy	93	0.47	(0.24-0.93)	.03
Sensitivity to chemotherapy				
Resistant	66	1.00		_
Sensitive	39	0.50	(0.27-0.91)	.02*
Unknown	8	1.02	(0.35-2.99)	.97*
Age at transplant (yr)				
<40	63	1.00		_
≥40	50	1.85	(1.05-3.24)	.03*

Abbreviation: RR, relative risk.

**P* value for pairwise comparison of specific category with the reference (baseline) group.

unfavorable characteristics of this population, the observed lymphoma-free and overall survival rates of 49% and the recurrence rate of only 16% are encouraging.

Interestingly, there was only one recurrence among 33 patients monitored for more than 2 years. This seems lower than has been reported for autotransplants and is consistent with other recent reports.^{24,25} The low recurrence rate, if true, may be due to graft-versus-lymphoma effects as suggested by some,²⁶⁻²⁸ or, alternatively, to lack of tumor contamination of the allogeneic graft.^{29,30} Our results should be interpreted cautiously. Although we tried to obtain current information on all patients, follow-up methods and accuracy of restaging varies considerably among reporting centers; failure to detect early recurrences may at least partially explain the results.

Multivariate analyses identified poor KPS and chemotherapyresistance as adverse prognostic factors. Better patient selection and earlier transplants could improve outcome. Use of TBI for pretransplant conditioning was also associated with better survival. Radiation is effective in low-grade lymphoma and is

Table 4.	Causes of Death of 51 Recipients of HLA-Identical Sibling	
Bone Marrow Transplants		

for Low-Grade Non-Hodgkin's Lymphoma

	Patients	
Cause of Death	No.	%
Persistant or recurrent lymphoma	11	22
Interstitial pneumonia	10	20
VOD	7	14
GVHD (chronic or acute)	6	12
Adult respiratory distress syndrome	5	10
Sepsis	4	8
Organ failure (not VOD)	2	4
Hemorrhage	2	4
Unknown	2	4
Pulmonary embolism	1	2
Stroke	1	2

Abbreviation: VOD, venoocclusive disease.

frequently used in autotransplant conditioning regimens. However, in a recent analysis of autotransplants for low-grade lymphoma, there was a trend (P = .09 in multivariate analysis) for poorer survival among patients receiving TBI.³¹ Only 18% of the patients in this series received non-TBI regimens and it is possible that such patients differed for unknown but important (latent) covariates.

The 3-year probability of treatment-related mortality was 40% (95% CI, 30% to 50%). Most treatment-related deaths were from pulmonary complications, similar to observations in allogeneic transplants for Hodgkin's disease.³² This high incidence of pulmonary complications may be related to the use of busulfan or TBI. Chronic GVHD, though common, was a rare cause of death.

In conclusion, this analysis establishes the potential of allogeneic transplantation to achieve survival in patients with advanced low-grade lymphoma. Our data provide a rationale for prospective studies of allogeneic transplants earlier in the course of the disease.

ACKNOWLEDGMENT

The authors thank the coordinators and physicians of the participating teams who provided us with additional data on these patients.

APPENDIX

Supported by Public Health Service Grant No. P01-CA-40053 from the National Cancer Institute, the National Institute of Allergy and Infectious Diseases, and the National Heart, Lung and Blood Institute, of the US Department of Health and Human Services; and grants from Alpha Therapeutic Corporation; Amgen, Inc; Anonymous; Astra Pharmaceutical; Baxter Healthcare Corp; Bayer Corp; Biogen; Blue Cross and Blue Shield Association; Lynde and Harry Bradley Foundation; Bristol-Myers Squibb Co; Frank G. Brotz Family Foundation; CellPro, Inc; Centeon; Center for Advanced Studies in Leukemia; Chimeric Therapies; Chiron Therapeutics; Charles E. Culpeper Foundation; Eleanor Naylor Dana Charitable Trust; Eppley Foundation for Research; Genentech, Inc; Glaxo Wellcome Co; Hoechst Marion Roussel, Inc; ICN Pharmaceuticals; Immunex Corp; Janssen Pharmaceutica; Kettering Family Foundation; Kirin Brewery Co; Robert J. Kleberg, Jr and Helen C. Kleberg Foundation; Herbert H. Kohl Charities, Inc; Eli Lilly Co Foundation; Nada and Herbert P. Mahler Charities; MDS Nordian; Milstein Family Foundation; Milwaukee Foundation/Elsa Schoeneich Research Fund; Samuel Roberts Noble Foundation; Novartis Pharmaceuticals; Ortho Biotech Corp; John Oster Family Foundation; Elsa U. Pardee Foundation; Jane and Lloyd Pettit Foundation; Alirio Pfiffer Bone Marrow Transplant Support Association; Pfizer, Inc; Pharmacia and Upjohn; Principal Mutual Life Insurance Co; RGK Foundation; Rockwell-Automation Allen-Bradley Co; Schering-Plough International; Walter Schroeder Foundation; Searle; Stackner Family Foundation; Starr Foundation; Joan and Jack Stein Charities; and Wyeth-Ayerst Laboratories.

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