

## RAPID COMMUNICATION

## A Retinoid-Resistant Acute Promyelocytic Leukemia Subclone Expresses a Dominant Negative PML-RAR $\alpha$ Mutation

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The unique t(15;17) of acute promyelocytic leukemia (APL) fuses the PML gene with the retinoic acid receptor  $\alpha$  (RAR $\alpha$ ) gene. Although retinoic acid (RA) inhibits cell growth and induces differentiation in human APL cells, resistance to RA develops both in vitro and in patients. We have developed RA-resistant subclones of the human APL cell line, NB4, whose nuclear extracts display altered RA binding. In the RA-resistant subclone, R4, we find an absence of ligand binding of PML-RAR $\alpha$  associated with a point mutation changing a leucine to proline in the ligand-binding domain of the fusion PML-RAR $\alpha$  protein. In contrast to mutations in RAR $\alpha$  found in retinoid-resistant HL60 cells, in this NB4 subclone, the coexpressed RAR $\alpha$  remains wild-type. In vitro expression of a cloned PML-RAR $\alpha$  with the observed mutation in R4 confirms that this amino acid change causes the loss of ligand binding, but the mutant PML-RAR $\alpha$  protein retains the ability to heterodimerize with RXR $\alpha$  and thus to bind to

retinoid response elements (RAREs). This leads to a dominant negative block of transcription from RAREs that is dose-dependent and not relieved by RA. An unrearranged RAR $\alpha$  engineered with this mutation also lost ligand binding and inhibited transcription in a dominant negative manner. We then found that the mutant PML-RAR $\alpha$  selectively alters regulation of gene expression in the R4 cell line. R4 cells have lost retinoid-regulation of RXR $\alpha$  and RAR $\beta$  and the RA-induced loss of PML-RAR $\alpha$  protein seen in NB4 cells, but retain retinoid-induction of CD18 and CD38. Thus, the R4 cell line provides data supporting the presence of an RAR $\alpha$ -mediated pathway that is independent from gene expression induced or repressed by PML-RAR $\alpha$ . The high level of retinoid resistance in vitro and in vivo of cells from some relapsed APL patients suggests similar molecular changes may occur clinically.

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ACUTE promyelocytic leukemia (APL) is characterized by a reciprocal chromosomal translocation, t(15;17), that fuses the PML gene with the retinoic acid receptor  $\alpha$  (RAR $\alpha$ ) gene and generates a chimeric PML-RAR $\alpha$ .<sup>1-4</sup> The resulting PML-RAR $\alpha$  fusion protein is found in all APL patients and likely contributes to the pathogenesis of the disease. This concept is supported by recent studies showing that artificial expression of PML-RAR $\alpha$  fusion protein in myelocytic or erythrocytic leukemic cell lines blocks differentiation induced by vitamin D3 (VD3), VD3 and transforming growth factor  $\beta$ 1 (TGF  $\beta$ 1) in combination, or hemin.<sup>5,6</sup> Furthermore, the ability of PML-RAR $\alpha$  product to transform chicken hematopoietic progenitor cells in vitro and to induce acute leukemias shows its oncogenic potential.<sup>7</sup>

PML-RAR $\alpha$  also plays a role in the induction of cytodifferentiation and maturation of leukemic cells by retinoic acid (RA).<sup>8-10</sup> The fusion protein retains the functional domains

of RAR $\alpha$ , including its DNA- and ligand-binding domains, and the ability to respond to retinoids. PML-RAR $\alpha$  was shown to be a ligand-dependent transcriptional activator of retinoid response elements in a cell-specific and promoter-specific manner.<sup>2-4</sup> Immunohistochemical studies of PML and PML-RAR $\alpha$  showed that they colocalize in an APL-specific microparticulate structure, whereas in normal cells, PML displays a punctate pattern (POD).<sup>11</sup> Treatment with RA reconstitutes the normal POD pattern in APL cells, suggesting that deranged PML function may also play a role in the pathophysiology of APL. These data show that retinoids induce APL cells to maturation by reversing the oncogenic properties of PML-RAR $\alpha$ . We recently reported evidence that the mechanism of this reversal involves retinoid-induced degradation of PML-RAR $\alpha$ .<sup>12</sup>

Despite an excellent initial response, APL cells develop resistance to RA and relapse occurs in APL patients treated with RA alone.<sup>13-15</sup> Proposed explanations for this resistance include progressive reduction of RA plasma concentration seen with repeated oral RA dosing,<sup>16,17</sup> which might be explained by increased levels of cytosolic retinoid binding protein (CRABP).<sup>18</sup> However, cells from a number of RA-resistant patients have been shown to be completely refractory in vitro to high concentrations of retinoids, including compounds that do not bind CRABP well.<sup>19</sup> This suggests additional genetic mechanisms of retinoid-resistance, such as mutations in nuclear retinoid receptors, as previously found in other models of RA resistance.<sup>20-22</sup> In vitro studies on APL cells are provided by a cell line, NB4, derived from an APL patient.<sup>23</sup> RA-resistant NB4 subclones have been developed to study cellular or molecular mechanisms that mediate retinoid response or resistance.<sup>24-26</sup> We have reported RA-resistant subclones that are highly resistant to retinoid-induced cytodifferentiation and maturation.<sup>26</sup> They express RAR $\alpha$  and fusion PML-RAR $\alpha$  transcripts and proteins, but have altered retinoid-binding high performance liquid chromatography (HPLC) profiles, and reduced transactivation of retinoid response elements (RARE) upon RA treatment. We

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analyzed both the unrearranged RAR $\alpha$  and fusion receptors of these clones for mutations that might explain their resistance to RA.

We report here a point mutation found in the ligand-binding domain of the fusion PML-RAR $\alpha$  in the RA-resistant subclone, R4. R4 expresses PML-RAR $\alpha$  protein detected by Western blot and the fusion protein binds RARE, but R4 nuclear extracts show ligand binding only by RAR $\alpha$ . To determine that the mutation accounts for the observed loss of binding and transcriptional response to retinoids in R4 cells, we expressed *in vitro* PML-RAR $\alpha$  or RAR $\alpha$  proteins with this point mutation. The mutant PML-RAR $\alpha$  and RAR $\alpha$  proteins do not bind ligand, but retain their ability to bind RARE and block the transcription of RA-responsive genes in a dominant-negative fashion.

## MATERIALS AND METHODS

**Cell culture.** The parental NB4 promyelocytic leukemia cell line, three RA-resistant subclones, and the myeloid leukemia HL-60 cells were grown in RPMI medium (GIBCO BRL, Burlington, Ontario, Canada) plus 10% fetal bovine serum (FBS; Upstate Biotechnology Inc, Lake Placid, NY). Cos-1 cells were grown in Dulbecco's modified Eagle's medium (DMEM; Wisent Inc, St-Bruno, Quebec, Canada) supplemented with 10% FBS. All cell cultures were incubated at 5% pCO<sub>2</sub> at 37°C in humidified air.

**DNA sequencing analysis.** Total RNA was extracted from cells with guanidine isothiocyanate and prepared as previously described.<sup>27</sup> Oligonucleotide primers were designed flanking the entire PML-RAR $\alpha$  coding region and synthesized by BRI (Montreal, Quebec, Canada). Different domains of PML-RAR $\alpha$  were synthesized by reverse transcription polymerase chain reaction (RT-PCR) and used as a template for dsDNA cycle sequencing. The components and the methods were provided by the reaction kit (GIBCO BRL). For each domain, sequencing was performed using 1 pmol of internal primer end-labeled with 1  $\mu$ L of [ $\gamma$ -<sup>32</sup>P] ATP (10  $\mu$ Ci/mL; Dupont-NEN, Boston, MA) by T4 polynucleotide kinase. PCR reactions were run on thermal cycler (GeneAmp PCR System 9600; Perkin-Elmer Cetus, Norwalk, CT) and analyzed by denaturing polyacrylamide gel electrophoresis.

**Plasmid constructs.** Two primers shown in Fig 1A were used for RT-PCR to amplify the RAR $\alpha$  portion of the fusion PML-RAR $\alpha$  (PR $\alpha$   $\Delta$ A) in R4. The amplified cDNA was subcloned to pBS/KS<sup>+</sup> vector. Both pBS-PR $\alpha$   $\Delta$ A (R4) and pSG5-RAR $\alpha$  or pSG5-PML-RAR $\alpha$  were digested with *Bsa*BI and *Bst*EII restriction enzymes. The 800-bp fragment produced from pBS-PR $\alpha$   $\Delta$ A (R4) digestion containing the point mutation was ligated to the 5.1-kb fragment produced from pSG5-RAR $\alpha$  or the 7.5-kb fragment from pSG5-PML-RAR $\alpha$  digestion, generating a pSG5-RAR $\alpha$  m4 or a pSG5-PML-RAR $\alpha$  m4 construct. Both constructs were verified by sequencing analysis.

**Assay for ligand binding activity.** Cos-1 cells were transiently transfected by electroporation with pSG5 expression vectors containing either wild-type or mutant RAR $\alpha$  and PML-RAR $\alpha$ . Nuclear extracts were prepared from 1 to 5  $\times$  10<sup>8</sup> cells and incubated for 18 hours at 4°C with 10 nmol/L [<sup>3</sup>H]t-RA (50.7 Ci/mmol; DuPont-NEN), as previously described.<sup>28,29</sup> The extracts were subsequently fractionated at 4°C by HPLC using a superose 6 HR 10/30 size exclusion column (Pharmacia, Uppsala, Sweden). The flow rate was 0.4 mL/min, fractions of 0.4 mL were collected, and radioactivity was determined using a liquid scintillation counter. The HPLC system was calibrated using a series of molecular weight (MW) markers, including the following: blue dextran, MW 2,000,000; thyroglobulin,

MW 669,000;  $\beta$ -amylase, MW 200,000, bovine serum albumin, MW 66,000; and ovalbumin, MW 45,000.

**Transcriptional activation assays.** Cos-1 cells were grown in DMEM with 10% FBS and were seeded 1 day before transfection. Cells were rinsed with Opti-MEM (GIBCO BRL) and transfected by the lipofectamine method (GIBCO BRL) with 0.7  $\mu$ g of receptor plasmid, 1  $\mu$ g of reporter CAT plasmid, and 0.3  $\mu$ g of pCMV- $\beta$ Gal as an internal control for transfection efficiency. Amounts of pSG5-RAR $\alpha$  and pSG5-PML-RAR $\alpha$  m4 were varied to have a total of 0.7  $\mu$ g of plasmid. Cells were transfected for 4 hours and were replenished with 2 mL of DMEM with 10% FBS and were then incubated for 2 days with or without 10<sup>-6</sup> mol/L tRA (Sigma, St Louis, MO). The chloramphenicol acetyltransferase (CAT) activity was measured using a modified protocol of the organic diffusion method.<sup>30</sup> Fifty microliters of cell extracts was incubated for 2 hours at 37°C with 200  $\mu$ L of 1.25 mmol/L cold chloramphenicol (ICN, Costa Mesa, CA) dissolved in 100 mmol/L Tris-Cl, pH 7.8, and 0.25  $\mu$ Ci of <sup>3</sup>H-labeled acetyl coenzyme A (NEN, Streetsville, Ontario, Canada). The reaction was extracted with Ready Organic Scintillation Cocktail (Beckman, Mississauga, Ontario, Canada), and 750  $\mu$ L of the organic phase was counted on a scintillation counter. The CAT counts were normalized with  $\beta$ -Gal activity to obtain relative CAT activity.

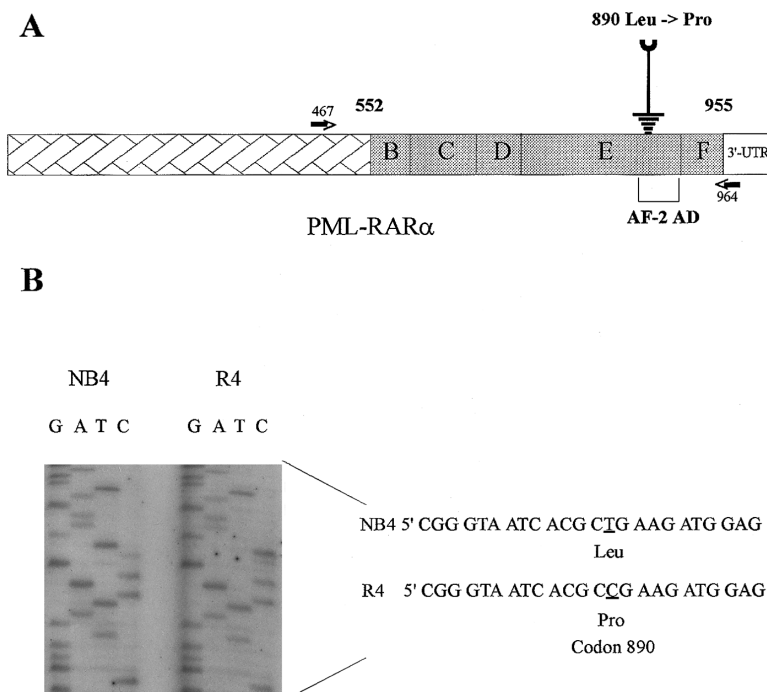
**Ribonuclease protection assay.** Total cytoplasmic RNA was isolated and RNase protection analysis was performed as described previously.<sup>27,31,32</sup> Hybridization of cRNA probes was performed at 45°C overnight, followed by the addition of 300  $\mu$ L of RNase digestion buffer containing 40  $\mu$ g/mL of RNase A and 700 U/mL of RNase T1. RNase digestion was performed at 25°C for 1 hour. The RNase-resistant fragments were resolved by electrophoresis on 6% urea-polyacrylamide sequencing gels. A GAPDH probe (Ambion Inc, Austin, TX) was included in all samples as a control for RNA loading. As approximate size markers, [<sup>32</sup>P]-labeled *Msp* I-digested fragments of pBR322 were run on all gels.

**Northern analysis.** Total RNA was electrophoresed on a 1% formaldehyde agarose gel and blotted onto Zeta probe (BioRad, Mississauga, Ontario, Canada) transfer membranes. The filters were hybridized to a DNA probe labeled by random priming (Pharmacia Biotech, Baie d'Urfe, Quebec, Canada). Hybridization and autoradiography were performed as previously described.<sup>26</sup> The CD18 probe was isolated by RT-PCR. Two primers used for PCR correspond to CD18 sequences 931-950 and 1487-1506, respectively, and amplified a 576-bp CD18 cDNA fragment.<sup>33</sup>

**Western analysis.** Nuclear extracts were diluted 1:1 with 2  $\times$  sodium dodecyl sulfate (SDS) sample buffer. Proteins were then fractionated by electrophoresis on a 8% SDS polyacrylamide gel and electroblotted onto a nitrocellulose membrane (Hybond C Super; Amersham, Milan, Italy). Proteins that reacted with the anti-RAR $\alpha$  RP $\alpha$ (F) antibody<sup>34</sup> (used at a 1:1,000 dilution) were detected using the ECL Western blotting detection kit (Amersham).

## RESULTS

**RA-resistant subclone R4 has a point mutation in the ligand-binding domain of PML-RAR $\alpha$ .** We have developed several RA-resistant subclones of NB4 by selection in RA-containing media without treatment with mutagens.<sup>26</sup> Unlike RA-resistant subclones reported by Dermime et al.,<sup>25</sup> both Northern and Western analyses on these RA-resistant subclones showed expression of unrearranged RAR $\alpha$  and the fusion PML-RAR $\alpha$  transcripts and proteins. Three resistant subclones (MR2, MR6, and R4) were subjected to DNA sequencing analysis using a dsDNA cycle sequencing system. Two primers depicted in Fig 1A were used in RT-PCR to specifically amplify the RAR $\alpha$  part of PML-RAR $\alpha$  (PR $\alpha$



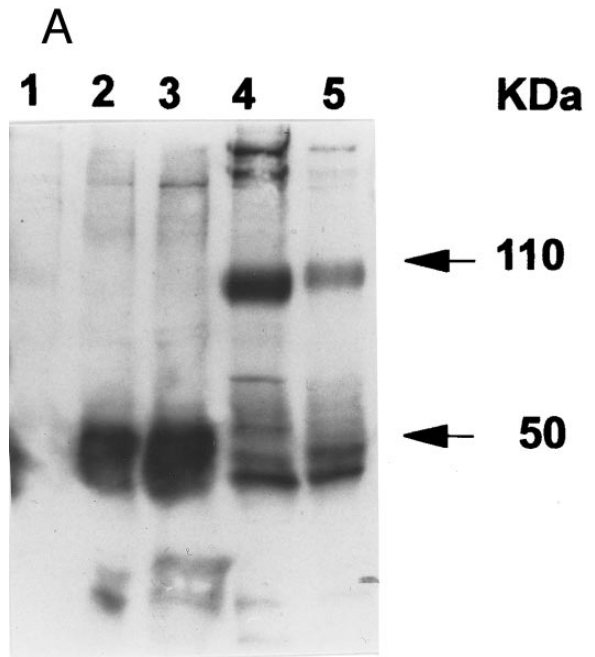
**Fig 1. A point mutation at codon 890 in the ligand-binding domain of PML-RAR $\alpha$ .** (A) Schematic structure of PML-RAR $\alpha$ , showing the approximate positions of two primers used in RT-PCR to amplify the RAR $\alpha$  part of PML-RAR $\alpha$  (PR $\alpha$   $\Delta$ A). (B) Sequencing autoradiogram shows the T  $\rightarrow$  C conversion at PML-RAR $\alpha$  codon 890, which results in the exchange of leucine to proline.

$\Delta$ A). A primer specific to the first exon of RAR $\alpha$  was combined with the 3' UTR primer shown (Fig 1A) to amplify the coexpressed unrearranged RAR $\alpha$ . In one resistant subclone, R4, a point mutation was found in PML-RAR $\alpha$  codon 890, showing a T  $\rightarrow$  C conversion leading an amino acid change of leucine to proline (Fig 1B). Sequencing both strands of cDNA confirmed the point mutation. This point mutation is localized in the ligand-binding domain of PML-RAR $\alpha$  within the autonomous activating domain (AF-2 AD) and 10 amino acids upstream to the AF-2 core domain. No other mutations or deletions were detected in PR $\alpha$   $\Delta$ A of R4 or the MR2- and MR6-resistant lines. The sequence of unrearranged RAR $\alpha$  was normal in all three cell lines.

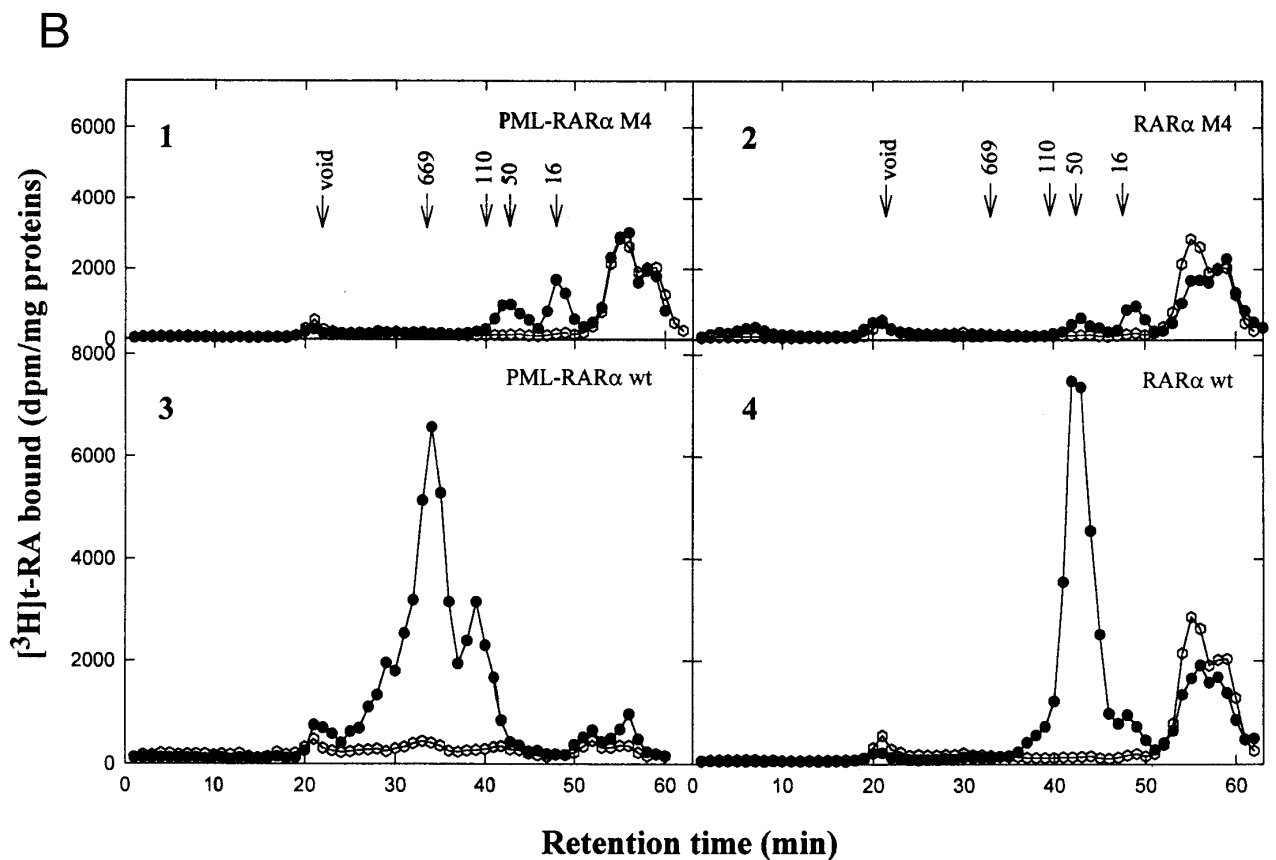
**Loss of retinoid binding activity of the R4 mutant PML-RAR $\alpha$ .** The mutant codon 890 is within the helix 11-helix 12 region of the RAR $\alpha$  ligand-binding domain.<sup>35</sup> Proline is a small cyclic amino acid that typically disrupts helical structures, causing an altered conformation of the protein. To confirm that the point mutation we found alters the conformation of the ligand-binding domain to block RA binding, we examined the retinoid binding activity of the R4 mutant PML-RAR $\alpha$  protein. The T  $\rightarrow$  C mutation was introduced into a wild-type PML-RAR $\alpha$  cDNA, which was subcloned in an expression vector and transiently transfected into Cos-1 cells. Western analysis (Fig 2A) showed that transfected cells expressed mutant PML-RAR $\alpha$  protein. The binding of [<sup>3</sup>H]-tRA was analyzed in nuclear extracts prepared from transfected Cos-1 cells (Fig 2B). The size exclusion HPLC profile of extracts from cells expressing mutated form of PML-RAR $\alpha$  (PML-RAR $\alpha$  m4) shows no specific peaks corresponding to either PML-RAR $\alpha$  monomer or high molecular weight complex binding to labeled RA.<sup>4,29,36</sup> The only two specific all-*trans* retinoic acid (tRA) binding components

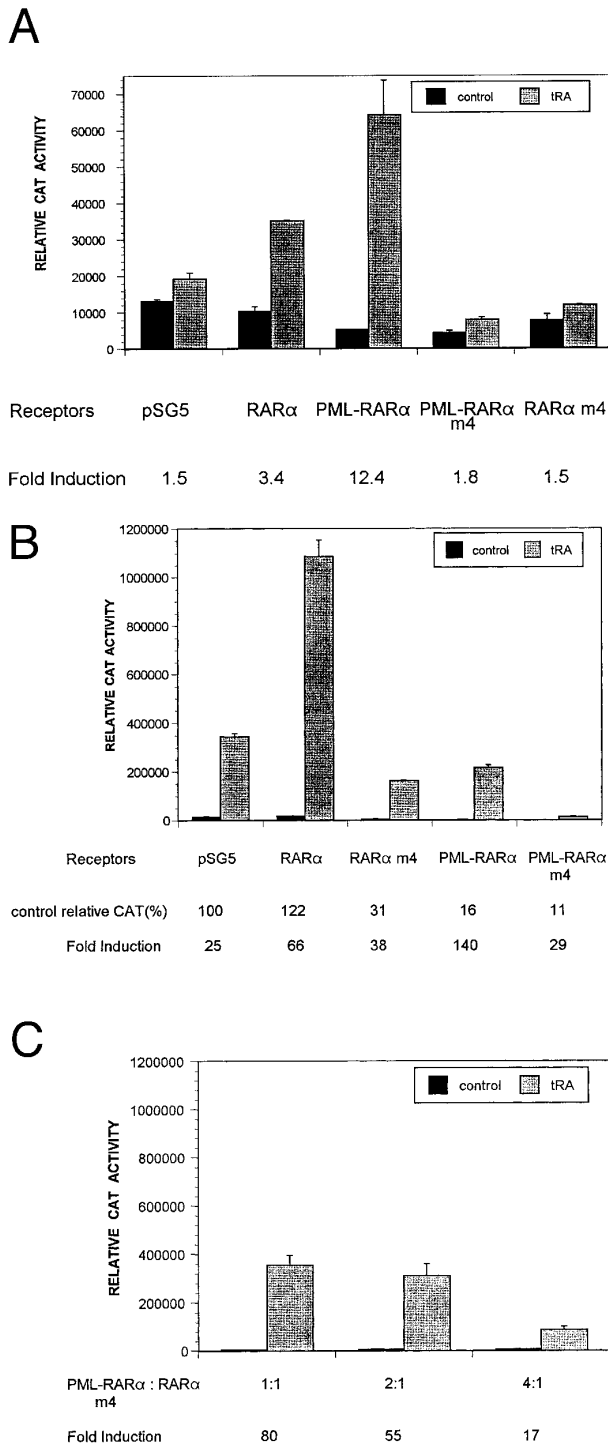
correspond to molecular weights of 50,000 and 16,000, probably representing the endogenous RARs and CRABPs present in Cos-1 cells. To determine whether the effects of this point mutation were dependent on the structure of the PML-RAR $\alpha$  protein or would also be seen in the unrearranged RAR $\alpha$ , we constructed a vector expressing RAR $\alpha$  in which codon 890 was replaced by Pro (RAR $\alpha$  m4). The binding of [<sup>3</sup>H]-tRA was analyzed as described above; no peaks at 50,000 corresponding to RAR $\alpha$ -tRA specific binding were observed.<sup>29</sup> Thus, this Leu  $\rightarrow$  Pro mutation in the ligand-binding domain abolishes the ability of both RAR $\alpha$  and PML-RAR $\alpha$  to bind their ligand.

**Transcriptional inhibition by *in vitro* expressed mutant proteins.** The conformational change induced by the R4 mutation might also be expected to alter protein-protein interactions that are required for RARE binding and activation. Doré and Momparler<sup>22</sup> found a point mutation in the LBD of RAR $\alpha$  in RA-resistant HL60 cells that caused a significant reduction in the formation of RXR-RAR heterodimers on an RARE. In gel-shift experiments, we find that nuclear extracts from R4 bind an RARE as well as extracts from NB4 (Rosenauer et al<sup>26</sup> and data not shown), suggesting no loss of DNA binding by the mutant PML-RAR $\alpha$ . Thus, we compared the transcriptional activity of mutant PML-RAR $\alpha$  with that of wild-type RAR $\alpha$  and PML-RAR $\alpha$  on two retinoid-responsive elements. Receptor plasmids were cotransfected into Cos-1 cells with a tk-CAT reporter driven by either a palindromic thyroid response element (TRE) or the RARE of the RAR $\beta$  ( $\beta$ RE)<sup>37,38</sup> (Fig 3). Expression of wild-type RAR $\alpha$  allowed transactivation of a TRE by 10<sup>-6</sup> mol/L tRA (Fig 3A). PML-RAR $\alpha$  stimulated the RA-induced transcription of a TRE more efficiently than RAR $\alpha$ , whereas the mutated form, PML-RAR $\alpha$  m4, has lost the ligand-dependent tran-



**Fig 2. (A)** Wild-type RAR $\alpha$  and PML-RAR $\alpha$  as well as mutant PML-RAR $\alpha$  and RAR $\alpha$  were transiently transfected into Cos-1 cells. Nuclear extracts of transfected cells were subjected to Western analysis to examine the expression of exogenous proteins. Lane 1, Cos-1 cells transfected with mock; lane 2, transfected with RAR $\alpha$  wt; lane 3, transfected with mutant RAR $\alpha$  (RAR $\alpha$  m4); lane 4, transfected with PML-RAR $\alpha$  wt; lane 5, transfected with mutant PML-RAR $\alpha$  (PML-RAR $\alpha$  m4). **(B)** Specific nuclear tRA binding activity in Cos-1 cells transfected with (1) PML-RAR $\alpha$  m4 or (2) RAR $\alpha$  m4 in comparison to those transfected with wild-type receptors (3) and (4). Nuclear extracts were incubated with [ $^3$ H]-tRA alone (●) or with [ $^3$ H]-tRA in the presence of 200-fold excess of unlabeled tRA (○).





**Fig 3. Transcriptional activity of wild-type and mutant receptors.** (A) TRE-tk-CAT reporter or (B)  $\beta$ RE-tk-CAT reporter was cotransfected with the indicated receptors. pSG5 is the vector alone. Relative CAT activity with (■) or without (▨)  $10^{-6}$  mol/L tRA treatment is shown with calculated fold induction below. Each data point represents results from three independent transfections. (C) The  $\beta$ RE-tk-CAT reporter was cotransfected with PML-RAR $\alpha$  m4 and RAR $\alpha$  wt expression vectors. The ratio of PML-RAR $\alpha$  m4 to RAR $\alpha$  was varied, and the total amount of receptor plasmids was kept at 0.7  $\mu$ g for each transfection.

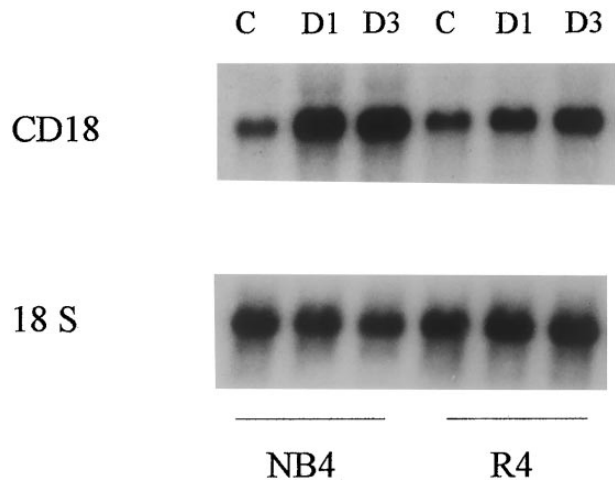
**Table 1. Expression of Retinoid Receptor Isoforms and CD38 With or Without  $10^{-6}$  mol/L tRA Treatment (Expression Level Is Represented by Arbitrary Units)**

Receptor	NB4, Control	NB4, tRA (d3)	R4, Control	R4, tRA (d3)
RAR $\alpha$	12.7	13.2	15.6	17.0
RAR $\beta$	0	6.7	0	0
RXR $\alpha$	4.3	1.9	7.2	6.6
RXR $\beta$	5.6	6.9	9.3	9.7
CD38	2.0	8.5	4.5	14.6

Expression of six retinoid receptor isoforms and CD38 in NB4 and R4 cells with or without  $10^{-6}$  mol/L of tRA treatment. The bands appeared on RNase-protection blot were scanned by phosphoimager to quantify the data and are presented in the table as arbitrary units.

scriptional activity of the wild-type (Fig 3A). Similarly, RAR $\alpha$  m4 does not activate transcription in response to RA. Figure 3B shows that RA induced transcription of the  $\beta$ RE-tk-CAT reporter without cotransfected receptors, and the fold induction was increased by cotransfecting either RAR $\alpha$  or PML-RAR $\alpha$ . Whereas RAR $\alpha$  transfection also slightly increased transcription in the absence of ligand (122% of control relative CAT in Fig 3B), PML-RAR $\alpha$  acted as a dominant negative inhibitor of the control transcriptional activity, reducing baseline CAT to 16%. This dominant negative inhibition of PML-RAR $\alpha$  is maintained in the mutant PML-RAR $\alpha$ , as shown by a low baseline CAT of 11%. The constructed mutant RAR $\alpha$  also shows a baseline inhibition, yielding only 31% of control relative CAT (26% of the basal transcription of cotransfected wild-type RAR $\alpha$ ). Although the inhibition of wild-type PML-RAR $\alpha$  was released by RA, the mutant PML-RAR $\alpha$  preserved this transcriptional inhibition in the presence of ligand. In the presence of RA, the relative CAT activity of PML-RAR $\alpha$  m4 was 13.6% of wild-type PML-RAR $\alpha$  and 4% of wild-type RAR $\alpha$ . We then tested whether the mutant PML-RAR $\alpha$  would repress a cotransfected wild-type RAR $\alpha$  in a dominant negative manner. Wild-type RAR $\alpha$  and PML-RAR $\alpha$  m4 were cotransfected into Cos-1 cells along with  $\beta$ RE-tk-CAT reporter in the presence or absence of  $10^{-6}$  mol/L tRA (Fig 3C). PML-RAR $\alpha$  m4 is seen to block the transactivation of normal RAR $\alpha$  in a dose-dependent manner.

**Retinoid receptor and RA-induced gene expression in R4 cells.** To determine whether the expression of this mutant PML-RAR $\alpha$  in R4 directly affected retinoid receptor levels, we compared R4 with NB4 in their expression of the six retinoid receptor isoforms in a ribonuclease protection assay. The hybridizing bands specific for each receptor were scanned by phosphoimager and are presented in arbitrary units in Table 1. Neither RAR $\gamma$  nor RXR $\gamma$  expression is detected in NB4 or R4 cells. RAR $\alpha$ , RXR $\alpha$ , and RXR $\beta$  are constitutively expressed in both NB4 and R4 cells. As previously reported,<sup>26</sup> a small decrease in RXR $\alpha$  expression is observed in NB4 cells treated with tRA. Interestingly, a significant increase in RAR $\beta$  expression is seen in NB4 cells. Both the induction of RAR $\beta$  and decrease of RXR $\alpha$  expression upon RA are lost in R4 cells. However, we have found that one retinoid-regulated gene, myeloblastin, retains its response to RA in R4 cells.<sup>26</sup> We therefore examined the



**Fig 4.** Northern analysis for CD18 mRNA expression in NB4 and R4 cells. Total RNA was prepared from untreated cells (control) or cells cultured for 1 and 3 days with  $10^{-6}$  mol/L of tRA as indicated. 18s was used as control for RNA loading.

expression of two other RA-induced genes, CD38 and CD18 (leukocyte adherence  $\beta$  subunit), that have been shown to be transcriptionally upregulated during RA-induced differentiation in myeloid leukemia HL-60 cells.<sup>39-41</sup> RA-dependent upregulation of both genes was observed in both parental NB4 cells and resistant subclone R4 cells. The RA-induced CD38 expression is presented in Table 1 by the RNase-protection method. Northern analysis was performed to examine the expression of CD18 (Fig 4). NB4.306, an RA-resistant NB4 subclone that does not express detected PML-RAR $\alpha$  protein,<sup>25</sup> was reported to have RA-dependent induction of CD18 expression in a manner similar to NB4 cells.<sup>41</sup> In the two PML-RAR $\alpha$  expressing cell lines we examined, CD18 expression is induced by RA. Thus, a significant subset of RA-regulated genes continue to be regulated in a variety of cells that are resistant to RA-induced differentiation.

#### DISCUSSION

Studies of retinoid-resistant subclones have led to insights into the molecular mechanisms of response to retinoids in RA-inducible embryonal carcinoma and leukemic cell lines.<sup>20,21</sup> We applied the same strategy to study APL using the in vitro model provided by the NB4 cell line. We developed several RA-resistant NB4 subclones to investigate the molecular basis of RA-resistance in APL.<sup>26</sup> We report here a point mutation found in the ligand-binding domain of the PML-RAR $\alpha$  in an RA-resistant subclone, R4. The point mutation is localized at codon 890, changing leucine to proline. It is within the helix 11-helix 12 region of the RAR $\alpha$  ligand-binding domain, 10 amino acids upstream to the AF-2 AD core domain. Renaud et al.<sup>35</sup> compared the crystal structure of the retinoid receptor ligand-binding domain free of ligand with that of ligand-bound. They proposed a mouse trap mechanism: after the retinoid is attracted to the ligand-binding cavity by electrostatic forces, H11 is repositioned, caus-

ing H12 to swing to its final position. In this position, H12 serves as a lid for the ligand-binding cavity and stabilizes ligand binding to the pocket. This mechanism could be disrupted by the substitution of the cyclic amino acid proline, preventing interaction of the receptor with its ligand. By expressing in vitro PML-RAR $\alpha$  engineered to contain the point mutation, we confirmed that this single mutation abrogates the ligand-binding ability of PML-RAR $\alpha$ .

Two additional RA-resistant subclones, MR2 and MR6, were also subjected to DNA sequence analysis. No mutations or deletions were found in their PR $\alpha$   $\Delta$ A region. However, both cell lines show altered binding of high MW PML-RAR $\alpha$  complex to ligand, suggesting that the protein-protein interaction mediated by PML domain of the fusion molecule may be altered. A recent report by Altabef et al<sup>7</sup> showed that PML-RAR $\alpha$  with two mutations in the PML domain transformed chicken hematopoietic progenitor cells and the mutant protein localization did not return to the normal pattern with RA treatment. We are currently sequencing the PML domain of these two resistant cell lines as well as exploring molecular mechanisms other than the alteration of the PML-RAR $\alpha$  or the unrearranged RAR $\alpha$  that may play a role in causing RA-resistance in these two cell lines.

RA-resistant HL60 subclones have been reported with mutations in the ligand-binding domain of RAR $\alpha$ .<sup>21,22,42</sup> Li et al<sup>42</sup> and Robertson et al<sup>21</sup> both found the same point mutation that leads to a truncated protein in their independently derived resistant subclones. The mutant RAR $\alpha$  gene is either homozygous<sup>42</sup> or coexpressed with the wild-type RAR $\alpha$  and displays a dominant negative activity.<sup>21</sup> Doré and Momparler<sup>22</sup> reported a point mutation resulting in a protein that is unable to form RXR-RAR heterodimers, does not bind to retinoid response elements, and thus might not inhibit transcription as a dominant negative factor. However, in the R4 APL subclone, RA-resistance is caused by a point mutation found in the PML-RAR $\alpha$  oncoprotein, not in RAR $\alpha$ . This mutation mediates a retinoid-independent dominant negative inhibition of the coexpressed wild-type RAR $\alpha$ .

As shown by gel retardation assays, the mutant PML-RAR $\alpha$  in R4 cells retains the ability to interact with RXR $\alpha$  and bind to the DNA  $\beta$ RE. In Cos-1 cells, both intact and mutant PML-RAR $\alpha$  proteins inhibit transcriptional activity in the absence of ligand, again suggesting preservation of protein-protein or protein-DNA interaction. Although the intact PML-RAR $\alpha$  becomes a transcriptional activator in response to RA, the mutant receptors continue to inhibit transcription in the presence of RA. PML-RAR $\alpha$  plays a dual role in the APL phenotype: it mediates the block of differentiation but retains sensitivity to RA.<sup>5,6</sup> Pharmacologic levels of RA release the differentiation block, perhaps by inducing proteolysis of PML-RAR $\alpha$  protein.<sup>12,43</sup> The RA-induced degradation of PML-RAR $\alpha$  seen in NB4 is lost in R4, perhaps because PML-RAR $\alpha$ -induced transcription is required to express or activate a protease, or, alternatively, the conformational change in the mutant PML-RAR $\alpha$  confers resistance to proteolysis.

Studies suggested that the ratio of expression of PML-RAR $\alpha$  to that of the unrearranged RAR $\alpha$  is important in maintaining the dominant negative block of myelocytic dif-

ferentiation.<sup>44</sup> PML-RAR $\alpha$  forms large multimeric complexes with itself, PML, RXR, and possibly a group of ligand-dependent transcription factors.<sup>29,36,45</sup> Thus, PML-RAR $\alpha$  and RAR $\alpha$  may compete for common coactivators. PML-RAR $\alpha$  has previously been shown to suppress transcription of RAREs in the absence of ligand,<sup>2-4</sup> possibly by the sequestration of either PML, RXR, or other proteins. RA induces specific degradation of PML-RAR $\alpha$  and so releases the block to transcription. However, because mutant PML-RAR $\alpha$  is not degraded by RA, we were able to test its dominant negative function in the presence of ligand, and we find that it blocks the transcriptional activity of cotransfected wild-type RAR $\alpha$  in a dose-dependent manner.

There is evidence that we can differentiate transcription mediated by PML-RAR $\alpha$  from that by RAR $\alpha$ . We compared the expression and retinoid regulation of six retinoid receptor isoforms in either PML-RAR $\alpha$  or mutant PML-RAR $\alpha$  expressing cell lines. The RA-dependent regulation of RXR $\alpha$  and RAR $\beta$  observed in NB4 cells is lost in R4 cells, whereas myeloblastin, CD18, and CD38 continue to be regulated. The loss of RA-inducible regulation in some but not all genes in R4 cells indicates that the mutant PML-RAR $\alpha$  selectively blocks RAR $\alpha$ -regulated signaling. RAR $\alpha$  may mediate induction of certain genes even in the presence of dominant negative PML-RAR $\alpha$ .

APL is a unique example in oncology of a molecular translocation that can be treated by therapy targeted directly towards the defect, the chimeric PML-RAR $\alpha$  gene. However, an aberrant form of PML-RAR $\alpha$  found in R4 cells prevents it from responding to RA normally and results in the resistance of cells to RA treatment. The high level of retinoid resistance in vitro and in vivo of cells from some relapsed APL patients suggests that similar molecular changes may occur clinically.

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