MYELOID NEOPLASIA

Germ line predisposition variants occur in myelodysplastic syndrome patients of all ages

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KEY POINTS

- Deleterious germ line predisposition variants are shared in patients with MDS and their related donors and occur at all ages.
- Based on the significant frequency of germ line variants in MDS, genetic testing is recommended for all patients.

The frequency of pathogenic/likely pathogenic (P/LP) germ line variants in patients with myelodysplastic syndrome (MDS) diagnosed at age 40 years or less is 15% to 20%. However, there are no comprehensive studies assessing the frequency of such variants across the age spectrum. We performed augmented whole-exome sequencing of peripheral blood samples from 404 patients with MDS and their related donors before allogeneic hematopoietic stem cell transplantation. Single-nucleotide and copy number variants in 233 genes were analyzed and interpreted. Germ line status was established by the presence of a variant in the patient and related donor or for those seen previously only as germ line alleles. We identified P/LP germ line variants in 28 of 404 patients with MDS (7%), present within all age deciles. Patients with P/LP variants were more likely to develop higher-grade MDS than those without (43% vs 25%; P = .04). There was no statistically significant difference in outcome parameters between patients with and without a germ line variant, but the analysis was underpowered. P/LP variants in bone

marrow failure syndrome genes were found in 5 patients aged less than 40 years, whereas variants in *DDX41* (n = 4), telomere biology disorder genes (n = 2), and general tumor predisposition genes (n = 17) were found in patients aged more than 40 years. If presumed germ line variants were included, the yield of P/LP variants would increase to 11%, and by adding suspicious variants of unknown significance, it would rise further to 12%. The high frequency of P/LP germ line variants in our study supports comprehensive germ line genetic testing for all patients with MDS regardless of their age at diagnosis.

Introduction

Pathogenic/likely pathogenic (P/LP) germ line variants are recognized increasingly as driving hematopoietic malignancies,¹ and testing for germ line susceptibility to myeloid malignancies is now included within the 2016 revision of the World Health Organization (WHO) classification of myeloid malignancies² as well as in clinical guidelines such as those provided by the National Comprehensive Cancer Network and the European LeukemiaNet.^{3,4} Numerous clinical criteria are used to prioritize individuals for germ line predisposition testing, including a positive personal and family history, and diagnosis of aplastic anemia (AA), bone marrow failure (BMF), or myelodysplastic syndrome (MDS) at age 40 years or less.⁵⁻⁸

Studies examining the germ line predisposition alleles present in individuals diagnosed with AA/MDS at a particular age suggest that the age at presentation is a surrogate for the molecular pathway driving the disease. Testing of children and adolescents with MDS diagnosed at less than age 18 years indicated that 17% of cases were associated with deleterious variants in SAMD9 and SAMD9L, and 7% were being caused by variants in GATA2.9-11 Among 179 children and young adults with a likely inherited BMF based on physical abnormalities, family history, and/or consanguinity, or presentation at less than 2 years of age, 48% had a detectable germ line predisposition allele, most often in genes associated with BMF, telomere biology disorder (TBD), or DNA repair.⁸ Of patients with hereditary MDS and acute leukemia, 18% to 37% carry causative germ line variants.¹²⁻¹⁴ Children and young adults up to age 45 years undergoing hematopoietic stem cell transplantation (HSCT) and patients with AA/MDS diagnosed between ages 18 and 40 years carry a disease-causing germ line variant in 13% to 19% of all MDS cases and 5% to 13% of all AA cases, mostly in genes involved in DNA repair and TBD,⁵ a finding that was independently verified by the largest case series of families with hereditary MDS/acute myeloid leukemia (AML).¹⁵ Contrary to the widely held notion that individuals with germ line predisposition variants always present at a young age, germ line variants in DDX41 are associated with a median age at onset of 65 to 69 years,¹⁶⁻¹⁸ which is similar to the age at onset seen in sporadic MDS cases. These prior studies highlight the fact that germ line predisposition to AA/MDS is much more common than previously thought. However, prior work focused specifically on younger age ranges or on subsets of patients with a positive family or personal medical history.

Thus, the frequency of germ line predisposition to MDS across all ages remains unknown. Moreover, HSCT is currently the only potential cure for MDS and is routinely performed in younger patients, with a preference for related donors. Identification of an underlying germ line predisposition syndrome is paramount before considering related donors. There are no comprehensive studies addressing the presence of deleterious germ line variants in donors, or the risk and consequences of reintroduction of the causative variant through HSCT. Outcomes of such transplants are reported to date only through case reports and suggest failure or delay in engraftment,¹⁹⁻²¹ poor immune function post-HSCT,¹⁹⁻²¹ early relapse,²¹ donor-derived leuke-mias in recipients²²⁻²⁷ as well as poor HSC mobilization by the donor,^{19,26,28} and development of leukemia in the donor after stem cell mobilization using granulocyte colony-stimulating factor.^{23,27} To address the gap in knowledge regarding the frequency of deleterious germ line variants in patients of all ages with MDS, we used data provided by a large cohort from the Center for International Blood and Marrow Transplant

Research (CIBMTR) comprising 404 patients with MDS who spanned the age spectrum and who received allogeneic HSCs from their related donors.

Methods

CIBMTR cohort

Our CIBMTR cohort was assembled from 364 patients with MDS, 39 with chronic myelomonocytic leukemia, and 1 with juvenile myelomonocytic leukemia who underwent a first related HSCT between 2008 and 2016 and for whom peripheral blood samples were available from them and their donors, for a total of 808 participants. The patients/donors were selected solely on the basis of (1) diagnosis, (2) matched-related HSCT, and (3) the availability of samples from the MDS/HSCT recipient and his/her related donor. All participants had given informed consent to contribute to the CIBMTR database and sample repository. Peripheral blood samples were collected from patients with MDS before conditioning and from their donors at the time of HSC collection.

Augmented whole-exome sequencing

Details regarding DNA sequencing are available in the supplemental Methods on the Blood website, and the overall workflow is illustrated in Figure 1. DNA was extracted from peripheral blood samples stored at -80°C, using a QIAcube robotic workstation (Qiagen, Hilden, Germany). Whole-exome sequencing (WES) was augmented with custom-designed spike-in probes covering noncoding regions known to contain inherited risk alleles, including the following: the 5' untranslated region (UTR) of ANKRD26 (NM_014915), the 5' UTR of DKC1 (NM_001363), intron 31 of FANCI (NM_001113378), intron 4 of GATA2 (NM_032638), and the entire RNA gene TERC (NR_001566). Sequencing was performed at the Yale Center for Genome Analysis at 40× coverage. Variant calling and annotation were performed with an in-house custom pipeline (https://github.com/LucyGodley/Pipeline/tree/main/Variant_ Calling/WES/hg).

Variants in 233 genes associated with inherited hematopoietic malignancies, BMF syndromes, TBD, DNA repair deficiency, immunodeficiency, RASopathies, cancer predisposition syndromes, and congenital cytopenias were analyzed (supplemental Table 1). DNA from 9 of the patients was unavailable for

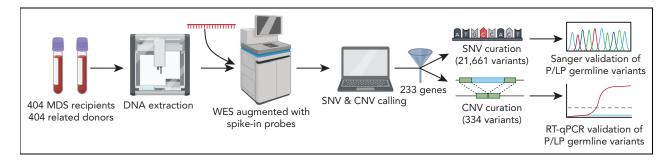


Figure 1. Work flow diagram for the identification of P/LP germ line variants. DNA was extracted from thawed peripheral blood from 404 patients with MDS and was sequenced using an augmented whole-exome sequencing platform. SNVs and CNVs were called in 233 genes, using custom bioinformatic pipelines. A total of 21,661 SNVs and 334 CNVs were manually curated according to the ACMG/AMP and ClinGen SVI-WG guidelines. P/LP germ line variants were validated by Sanger sequencing, subcloning, and/or RT-qPCR. ACMG, American College of Medical Genetics; AMP, Association for Molecular Pathology; ClinGen SVI-WG, Clinical Genome Sequence Variant Interpretation Working Group; CNV, copy number variant; LP, likely pathogenic; MDS, myelodysplastic syndrome; P, pathogenic; RT-qPCR, quantitative real-time PCR; SNV, single-nucleotide variant; WES, whole-exome sequencing.

augmented WES; however, sequencing data from a nextgeneration sequencing panel including 77 of these 233 genes (supplemental Table 1) were available for our use.²⁹ Somatic variants in all 404 recipients and 25 donors sharing a germ line variant were assessed in an additional 167 genes known to be somatically mutated in MDS based on large MDS data sets from cBioPortal³⁰ and the Catalogue of Somatic Mutations in Cancer³¹ (supplemental Table 2).

Variant interpretation

Details regarding variant calling, interpretation, validation, and the multiple-criteria decision analysis are available in the supplemental Methods. Briefly, germ line single-nucleotide variants (SNVs) and copy number variants (CNVs) were analyzed manually according to the American College of Medical Genetics and Genomics/Association for Molecular Pathology (ACMG/AMP), and Clinical Genome Sequence Variant Interpretation Working Group guidelines.³²⁻⁴⁸ P/LP germ line variants were validated by custom-designed polymerase chain reaction (PCR) amplification and Sanger sequencing. For diseases with recessive inheritance, subcloning of PCR amplicons/sequencing was done to confirm the variants were *in trans*. Validation of germ line CNVs was performed by quantitative real-time PCR.

Germ line status was determined by the presence of the identical P/LP variant within both the patients with MDS and their related donors, and/or variants with a variant allele frequency (VAF) of 40% to 60% seen previously only as germ line alleles,⁴⁹ including *DDX41* (NM_016222.4) p.Asp140Glyfs*2, and p.Arg53Alafs*16 (Figure 2). Presumed germ line status was determined for P/LP variants with a VAF within germ line range (0.4-0.6 or 1.0) that were not shared in the donor sample, with no other potential P/LP variants within germ line range in the same recipient, and a combination of the following criteria based on a multiple-criteria decision analysis: (1) presence and frequency of somatic variants in the gene of question; (2) previous report of the identical variant in the somatic setting; (3) additional somatic variants and their VAF; (4) reported predisposing condition; (5) MDS subtype with higher bone marrow blast count (>5%) or advanced disease; and (6) high pretest probability as has been described for genes, such as *BRCA1/2*, *DDX41*, *GATA2*, *RUNX1*, and others (supplemental Table 3).⁴⁹⁻⁵² The interpretation of somatic variants was conducted using the ACMG/AMP and American Society of Clinical Oncology guidelines.⁵³

Statistical analysis

Details regarding statistical analysis are given in the supplemental Methods. Briefly, baseline characteristics, including existence of a predisposing condition, age at diagnosis and HSCT, race, disease classification according to WHO criteria and revised International Prognostic Scoring System (IPSS-R),⁵⁴ cytogenetic abnormalities, bone marrow cellularity, disease status at the time of HSCT, conditioning regimen, donor type, and mobilization parameters, were evaluated using row percentage, Fisher exact, and Kruskal-Wallis tests. HSCT outcomes analyzed included time to neutrophil engraftment and platelet recovery, primary graft failure, nonrelapse mortality, relapse, disease-free and overall survival, and grade of acute and chronic graft-versus-host disease (GVHD). Univariate outcomes were calculated by the Kaplan-Meier method, and cumulative incidence estimates were used to accommodate for competing risks. Univariable analyses for patient, disease, and transplant-related characteristics by recipient P/LP germ line variant status and donor status (affected, healthy, or heterozygotes) (Figure 2) were performed using the Pearson χ^2 test or Fisher exact test for categorical variables. For continuous variables, the Kruskal-Wallis test was performed. Comparison of somatic patterns across different groups was carried out by odds ratio analysis.

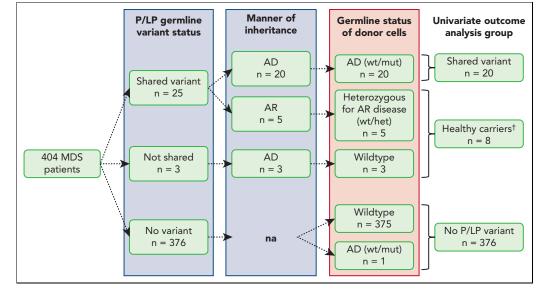


Figure 2. Variant subgroups based on donor status. This schematic identifies subgroups of patients with MDS/HSCT recipients based on the presence or absence of a predisposing variant, the manner of inheritance, whether the variant is shared by the donor and would be disease-causing, as well as subgroups chosen for statistical analyses of outcome parameters. ¹Healthy carriers refer to recipients with a P/LP variant who received donor stem cells lacking an AD P/LP variant or cells with a heterozygous P/LP allele with an AR mode of inheritance. AD, autosomal dominant; AR, autosomal recessive; het, heterozygous; HSCT, hematopoietic stem cell transplantation; LP, likely pathogenic; MDS, myelodysplastic syndrome; mut, mutated; P, pathogenic; wt, wild-type.

Results

Patient series and baseline characteristics

The CIBMTR patients with MDS included 404 individuals diagnosed at a median age of 59 years (range, 11-75 years), and a predominantly male sex distribution (n = 248; 61%) (Table 1; supplemental Tables 4 and 5). Most patients were White (n = 357; 88%). MDS subtypes were classified according to the revised 2008 WHO classifications.⁵⁵

Yield and variant spectrum of confirmed P/LP germ line variants

We identified P/LP germ line variants in 28 of 404 patients with MDS (7%), present in all age deciles from ages 11 to 71 years (Table 2; supplemental Tables 6 and 7). The yield was highest in the 11- to 20-year age group at 33%, followed by 8% in the age deciles 21 to 30, 31 to 40, and 71 to 80 years; 7% in the age decile 51 to 60 years; and 6% in the age deciles 41 to 50 and 61 to 70 years (Figure 3). Twenty-three of the patients were identified with a germ line predisposition syndrome with autosomal dominant (AD) inheritance, and of those, variants were shared with the related donor in 20 cases (Figure 2; Table 2). Three patients with MDS with truncating DDX41 variants (p.Asp140Glyfs*2 [Nos. 12R and 13R] and p.Arg53Alafs*16 [No. 15R]) did not share the variant with their donors (Table 2). However, these variants were considered germ line based on the VAF within germ line range (0.4-0.6) and the historical absence of truncating DDX41 variants in tumor tissue (Figure 2).49 In the 5 patients with autosomal recessive (AR) conditions, 3 were homozygous for a P/LP variant in ERCC6L2 (No. 16R), FANCA (No. 17R), and FANCG (No. 18R), respectively, and 2 individuals (Nos. 24R and 25R) were confirmed to have 2 different SBDS variants in the compound heterozygous state (Table 2). The related donors of these 5 patients with AR disease were heterozygotes for 1 of the P/LP variants (Figure 2). One patient with MDS (No. 3R) had a shared LP BLM variant as well as a second LP BLM variant with a VAF in germ line range that was not shared (Table 2), but the phase of these alleles could not be determined due to technical limitations. If both variants were present in the compound heterozygous state, the patient would be diagnosed with AR Bloom syndrome.

Among the 28 P/LP variants, 27 were SNVs. One CNV, a PALB2 deletion including exons 1 to 12, was found. The vast majority of variants were truncating variants (n = 26), followed by 1 small in-frame deletion and 1 missense variant (Table 2). P/LP variants affected genes involved in BMF syndromes [ERCC6L2 (n = 1), FANCA (n = 1), FANCG (n = 1), SBDS (n = 2)], TBD [TERT (n = 2)], general tumor predisposition syndromes [BARD1 (n = 1), BLM (n = 2), BRCA2 (n = 3), BRIP1 (n = 3), CHEK2 (n = 2), MRE11 (n = 1), MSH6 (n = 1), NBN (n = 1), PALB2 (n = 1), PMS2 (n = 1)], and DDX41 (n = 4). One P/LP variant was found in an immunodeficiency-related gene, TNFRSF13B (Figure 4A-B).⁵⁶ The subgroup of patients with BMF syndromes was younger at the time of diagnosis (median age, 20 years) compared with patients with TBD and general tumor predisposition syndromes, who were diagnosed at a median age of 58 and 59 years, respectively. Patients with DDX41 variants presented with the oldest median age at disease onset, 65 years (Figure 4C), consistent with prior literature.¹⁶⁻¹⁸ This pattern is also upheld when comparing the age of confirmed and presumed germ line variant carriers in our cohort and other larger cross-sectional studies on germ line variants in patients with MDS using either panel-based or WES covering most genes of interest. Deleterious variants in *DDX41* were associated with an age at onset greater than 40 years, whereas those in *GATA2* were associated with an age at onset less than 40 years (Figure 4D).^{5-8,12,15,57}

In total, 20 of the 28 donors (71%) shared the P/LP AD germ line variant with the recipients. Five of the 28 donors were heterozygous carriers for a P/LP germ line variant with AR inheritance (Nos. 16D, 17D, 18D, 24D, and 25D) (Table 2; supplemental Table 7A). One donor was found to have a LP germ line *DDX41* variant (NM_016222.4: c.3G>A, p.?) that was found only in the donor and not the patient with MDS, although it was confirmed that these 2 individuals were related based on the presence of shared variants (No. 29D) (supplemental Tables 7A and 8). This donor was aged 54 years, which is lower than the average age at onset for *DDX41*-related malignancies (65-69 years), for which the penetrance is known to be incomplete.¹⁶⁻¹⁸ Of the 404 related donors, 21 (5%) were heterozygous for a LP/P variant in a gene with AD inheritance with a VAF within the germ line range (supplemental Figure 1; supplemental Table 9).

Patients with MDS with P/LP germ line variants were more likely to have a reported predisposing condition, compared with those patients without such disorders (11% vs 4%, respectively; P < .04) (supplemental Table 5). Moreover, patients with MDS with P/LP germ line variants were more likely to have highergrade MDS than those without such variants (43% vs 25%, respectively; P = .04) (Table 1). There was no difference in the age at onset, sex, ethnicity, cytogenetic abnormalities, IPSS-R classification, bone marrow cellularity, graft source, or donor type between patients with or without a P/LP germ line variant (Table 1; supplemental Table 5). The power to detect differences in cytogenetic abnormalities or IPSS-R classification was limited because of missing data.

Germ line variants of unknown significance (VUSs) were detected frequently in this study. Fifty-six shared germ line VUSs were found, and among these, 6 (10%) were deemed highly suspicious by calculating posterior probabilities in a Bayesian framework³⁸ based on ACMG/AMP criteria (posterior probabilities between 67.5% and 90%) (supplemental Table 10). These highly suspicious VUSs were identified in *MLH1* (n = 2), *SRC* (n = 1), and *TERT* (n = 3) (supplemental Tables 7B and 10). The median age of the patients with MDS with these variants was 53 years (range, 45-69 years). If these highly suspicious VUSs are considered P/LP, it would raise the total yield of germ line variants to 12%.

Yield and variant spectrum of presumed P/LP germ line variants

Two hundred fifty-one other P/LP variants were identified among the 404 patients with MDS, but could not be confirmed as germ line variants because they were not shared between the patient and the related donor (supplemental Table 7A). By performing a multiple-criteria decision analysis, we determined that 16 variants were most likely germ line, including 5 *GATA2* variants, 3 *RUNX1* variants, 2 *DDX41* variants, 2 *TP53* variants, and single variants in *ACD*, *CSF3R*, *NBN*, and *TUBB1*, respectively (supplemental Figure 2; supplemental Tables 3 and 11). The penetrance of recognized phenotypes, such as the

Table 1. Characteristics of patients with MDS undergoing related HSCT with and without P/LP germ line variants

Characteristic	No P/LP variant	P/LP variant	<i>P</i> value
Patients, No.	376	28	
Median age at diagnosis, y (range)	59 (1-75)	57 (12-72)	.44*
Age at diagnosis, No. (%†)			
0-10	7 (100)	0 (0)	
11-20	6 (67)	3 (33)	
21-30	11 (92)	1 (8)	
31-40	11 (92)	1 (8)	
41-50	47 (94)	3 (6)	
51-60	127 (93)	9 (7)	
61-70	156 (94)	10 (6)	
71-80	11 (92)	1 (8)	
Sex, No. (%)			.69‡
Male	232 (62)	16 (57)	
Female	144 (38)	12 (43)	
Recipient race, No. (%)			.94§
White	330 (88)	27 (96)	
Black or African American	21 (6)	1 (4)	
Asian	14 (4)	0 (0)	
Native Hawaiian or other Pacific Islander	1 (0)	0 (0)	
American Indian or Alaska Native	3 (1)	0 (0)	
Unknown	7 (2)	O (O)	
Disease classification at diagnosis, No. (%)			.04§
RA	20 (5)	2 (7)	
RARS	16 (4)	0 (0)	
RAEB-1	79 (21)	2 (7)	
RAEB-2	93 (25)	12 (43)	
RCMD	68 (18)	3 (11)	
RCMD-RS	4 (1)	0 (0)	
5q– syndrome	1 (0)	2 (7)	
CMML	37 (10)	2 (7)	
JMML	1 (0)	O (O)	
MDS, unclassifiable	57 (15)	5 (18)	
Bone marrow cellularity			.86§,
Decreased (hypocellular)	30 (8)	3 (11)	
Normal	51 (13)	7 (25)	
Increased (hypercellular)	127 (34)	14 (50)	
Unknown	168 (45)	4 (14)	

CMML, chronic myelomonocytic leukemia; HLA, human leukocyte antigen; HSCT, hematopoietic stem cell transplantation; IPSS-R, revised International Prognostic Scoring System; JMML, juvenile myelomonocytic leukemia; MAC, myeloablative conditioning; MDS, myelodysplastic syndrome; NMA, nonmyeloablative conditioning; RA, refractory anemia; RAEB-1, RAEB-2, refractory anemia with excess blasts types 1 and 2; RARS, refractory anemia with ring sideroblasts; RCMD, refractory cytopenia with multilineage dysplasia; RCMD-RS, refractory cytopenia with multilineage dysplasia and ring sideroblasts; RIC, reduced intensity conditioning.

*Kruskal-Wallis test.

†Row percentage.

‡Fisher exact test.

§Fisher exact test via Monte Carlo simulation.

 $\| \boldsymbol{P} \text{ values based on data excluding missing categories.}$

¶Early: RA, RARS, RCMD, RCMD-RS, 5q- syndrome. Advanced: RAEB-1, RAEB-2, CMML.

Table 1 (continued)

Characteristic	No P/LP variant	P/LP variant	P value
Disease status before HSCT¶, No. (%)			.83§,
Early	88 (23)	9 (32)	
Advanced	187 (50)	17 (61)	
Unknown	101 (27)	2 (7)	
Cytogenetics, No. (%)			.57§,
Favorable	134 (36)	15 (54)	
Intermediate	17 (5)	1 (4)	
Poor	28 (7)	5 (18)	
Unknown	197 (52)	7 (25)	
IPSS-R, No. (%)			.61§,
Very low	8 (2)	2 (7)	
Low	46 (12)	4 (14)	
Intermediate	59 (16)	9 (32)	
High	46 (12)	4 (14)	
Very high	13 (3)	2 (7)	
Unknown	204 (54)	7 (25)	
Predisposing condition, No. (%)			.044§,
No predisposing condition	252 (67)	23 (82)	
Fanconi anemia	O (O)	1 (4)	
Aplastic anemia	6 (2)	0 (0)	
Other	8 (2)	2 (7)	
Unknown	110 (29)	2 (8)	
Conditioning regimen intensity, No. (%)			.93§
MAC	203 (54)	15 (54)	
RIC/NMA	171 (45)	13 (46)	
Unknown	2 (1)	O (O)	
Graft source, No. (%)			.75‡
Bone marrow	48 (13)	3 (11)	
Peripheral blood	328 (87)	25 (89)	
Donor type, No. (%)			.99§
HLA-identical sibling	315 (84)	24 (86)	
Twin	2 (1)	0 (0)	
Haploidentical	46 (12)	3 (10)	
Other related: Not haploidentical	13 (3)	1 (4)	

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*Kruskal-Wallis test.

†Row percentage.

‡Fisher exact test.

§Fisher exact test via Monte Carlo simulation.

||P values based on data excluding missing categories.

¶Early: RA, RARS, RCMD, RCMD-RS, 5q- syndrome. Advanced: RAEB-1, RAEB-2, CMML.

presence of cytopenias, in patients with P/LP germ line GATA2 or RUNX1 variants, would be expected to result in rejection of related donors who share the germ line variant in most cases, explaining why these variants were not identified as shared in our study.

Somatic variants

Because our methodology used sequencing of peripheral blood samples from patients with MDS, we were able to use the data to determine the somatic variants in the malignant cells and to test whether particular somatic variants were associated

Table 2. Overview of all P/LP confirmed germ line variants detected in this cohort of patients with MDS

ID	Shared	Gene	Inheri- tance	Variant type	cDNA	Protein	Transcript	VAF	ACMG/ AMP classifi- cation	Age at diagnosis (y)	MDS WHO 2008 classification	Somatic driver variants	Outcome
1R*	Yes	BARD1	AD	Frameshift	c.1935_1954dup	p.Glu652Valfs*69	NM_000465.4	0.61	LP	64	RAEB-2	—	Alive
2R*	Yes	BLM	AD	Frameshift	c.2506_2507del	p.Arg836Glyfs*18	NM_000057.4	0.52	LP	71	CMML	MPL p.Ser204Pro TET2 p.Arg544Ter TET2 p.Gln1053Ter	Relapse, death from infection
3R*	Yes	BLM	AD	Nonsense	c.1642C>T	p.Gln548*	NM_000057.4	0.43	LP	57	MDS, unclassifiable†	—	Alive
4R*	Yes	BRCA2	AD	Frameshift	c.5946delT	p.Ser1982Argfs*22	NM_000059.4	0.51	Ρ	52	RAEB-1†	RAD50 p.Asn934Lysfs TP53 p.Lys132Glu	Relapse, death from primary disease
5R*	Yes	BRCA2	AD	Frameshift	c.5946delT	p.Ser1982Argfs*22	NM_000059.4	0.58	Ρ	59	RCMD†	_	Relapse, death from primary disease
6R*	Yes	BRCA2	AD	Nonsense	c.5217_5223del	p.Tyr1739*	NM_000059.4	0.61	Ρ	56	RAEB-2	TP53 c.783-1G>A PPM1D p.Val465Aspfs SMC1A p.Lys752Argfs	Relapse, death from primary disease
7R*	Yes	BRIP1	AD	Frameshift	c.1236delA	p.Val413Phefs*10	NM_032043.3	0.53	LP	63	RAEB-2	_	Relapse, death from infection
8R*	Yes	BRIP1	AD	Frameshift	c.3196delT	p.Ser1066Hisfs*12	NM_032043.3	0.45	LP	64	MDS, unclassifiable†	CBL p.Cys404Tyr DNMT3A p.Arg882His NRAS p.Gly12Asp SETBP1 p.Asp868Asn ASXL1 p.Tyr591Ter	Relapse, death from primary disease
9R*	Yes	BRIP1	AD	Frameshift	c.2392C>T	p.Arg798*	NM_032043.3	0.69	LP	60	RAEB-2	NF1 c.3198-1G>T	Relapse, death from primary disease
10R*	Yes	CHEK2	AD	Frameshift	c.1229del	p.Thr410Metfs*15	NM_001005735.2	0.39	Ρ	49	MDS, unclassifiable	_	Relapse, alive

ACMG, American College of Medical Genetics and Genomics; AD, autosomal dominant; AMP, Association for Molecular Pathology; AR, autosomal recessive; GVHD, graft-versus-host disease; indel, insertion/deletion; na, not applicable; VAF, variant allele frequency; WHO, World Health Organization. Other abbreviations are explained in Table 1.

*Donor shares AD germ line variant.

†Therapy related.

‡NC_000016.10:g.(?_23607316)_(23641590_?)del.

Donor only shares 1 of the variants in the heterozygous state.

Table 2 (continued)

ID	Shared	Gene	Inheri- tance	Variant type	cDNA	Protein	Transcript	VAF	ACMG/ AMP classifi- cation	Age at diagnosis (y)	MDS WHO 2008 classification	Somatic driver variants	Outcome
11R*	Yes	CHEK2	AD	Frameshift	c.1392delT	p.Ser465Valfs*15	NM_001005735.2	0.53	LP	54	MDS associated with isolated del(5q)	NFE2 p.Arg323Alafs CSNK1A1 p.Asp140Ala	Alive
12R	No	DDX41	AD	Nonsense	c.415_418dup	p.Asp140Glyfs*2	NM_016222.4	0.43	Ρ	66	MDS, unclassifiable	ASXL1 p.Gly646Trpfs	Alive
13R	No	DDX41	AD	Nonsense	c.415_418dup	p.Asp140Glyfs*2	NM_016222.4	0.49	Р	57	RAEB-2	—	Alive
14R*	Yes	DDX41	AD	Nonsense	c.415_418dup	p.Asp140Glyfs*2	NM_016222.4	0.42	Р	49	RAEB-2	—	Alive
15R	No	DDX41	AD	Frameshift	c.155dupA	p.Arg53Alafs*16	NM_016222.4	0.49	Ρ	69	RAEB-2	_	Relapse, death from primary disease
16R	Yes	ERCC6L2	AR	Nonsense	c.19C>T	p.Gln7*	NM_001010895.4	1	LP	34	RAEB-1	SF3B1 p.Arg238Cys TP53 p.Arg175His	Relapse, death from primary disease
17R	Yes	FANCA	AR	Indel	c.3791_3793del	p.Ser1264del	NM_000135.4	1	Ρ	11	RAEB-2	—	Nonrelapse mortality, cause of death not specified
18R	Yes	FANCG	AR	Frameshift	c.907_908dup	p.Glu304Trpfs*4	NM_004629.2	0.93	LP	27	RA	ASXL1 p.Arg693Ter	Alive
19R*	Yes	MRE11	AD	Nonsense	c.1516G>T	p.Glu506*	NM_005591.4	0.54	LP	54	RCMD†	—	Death from infection
20R*	Yes	MSH6	AD	Frameshift	c.1634_1635del	p.Lys545Argfs*17	NM_000179.3	0.46	Ρ	49	RA†	_	Nonrelapse mortality, death from secondary malignancy
21R*	Yes	NBN	AD	Splicing	c.2071-1G>A	na	NM_002485.5	0.62	Ρ	55	CMML	TET2 p.His667llefs GATA2 p.Met388_ Lys389del RUNX1 p.Leu161_ Arg162insSer CBL p.Leu380Pro SRSF2 p.Pro95Leu TET2 p.Lys875Ter	Primary graft failure/ rejection, alive

ACMG, American College of Medical Genetics and Genomics; AD, autosomal dominant; AMP, Association for Molecular Pathology; AR, autosomal recessive; GVHD, graft-versus-host disease; indel, insertion/deletion; na, not applicable; VAF, variant allele frequency; WHO, World Health Organization. Other abbreviations are explained in Table 1.

*Donor shares AD germ line variant.

†Therapy related.

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<u>a</u>

‡NC_000016.10:g.(?_23607316)_(23641590_?)del.

§Donor only shares 1 of the variants in the heterozygous state.

Table 2 (continued)

ID	Shared	Gene	Inheri- tance	Variant type	cDNA	Protein	Transcript	VAF	ACMG/ AMP classifi- cation	Age at diagnosis (y)	MDS WHO 2008 classification	Somatic driver variants	Outcome
22R*	Yes	PALB2	AD	Copy number variant	na‡	na	NC_000016.10	0.52	LP	65	RAEB-2	_	Relapse, death from primary disease
23R*	Yes	PMS2	AD	Nonsense	c.11C>G	p.Ser4*	NM_001322015.2	0.41	LP	60	RCMD	—	Nonrelapse mortality, death from GVHD
24R	Yes/no§	SBDS	AR	Nonsense/ splicing	c.184A>T/ c.258+2T>C	p.Lys62*/na	NM_016038.4	0.38/ 0.43	P/P	18	RAEB-2	_	Relapse, death from primary disease
25R	Yes/no§	SBDS	AR	Splicing/splicing	c.258+1G>C/ c.258+2T>C	na/na	NM_016038.4	0.7/ 0.26	P/P	19	MDS associated with isolated del(5q)	TP53 c.559+1G>A	Alive
26R*	Yes	TERT	AD	Nonsense	c.951G>A	p.Trp317*	NM_198253.3	0.43	LP	51	RAEB-2	—	Alive
27R*	Yes	TERT	AD	Nonsynonymous	c.193C>G	p.Pro65Ala	NM_198253.3	0.63	LP	64	RAEB-2	TP53 p.Arg248Gln TP53 p.Thr125Thr	Relapse, death from primary disease
28R*	Yes	TNFRSF13B	AD	Frameshift	c.204dupA	p.Leu69Thrfs*12	NM_012452.3	0.46	Ρ	66	MDS, unclassifiable	_	Relapse, alive

ACMG, American College of Medical Genetics and Genomics; AD, autosomal dominant; AMP, Association for Molecular Pathology; AR, autosomal recessive; GVHD, graft-versus-host disease; indel, insertion/deletion; na, not applicable; VAF, variant allele frequency; WHO, World Health Organization. Other abbreviations are explained in Table 1.

*Donor shares AD germ line variant.

†Therapy related.

‡NC_000016.10:g.(?_23607316)_(23641590_?)del.

Donor only shares 1 of the variants in the heterozygous state.

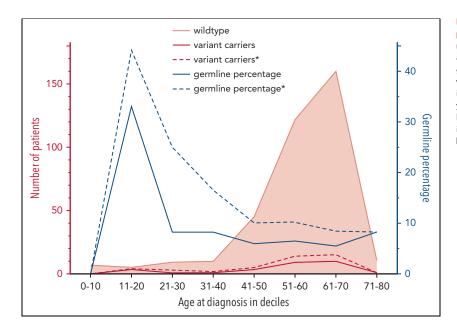


Figure 3. Age distribution of pathogenic known and presumed germ line variants in patients with MDS. The number of patients with MDS (left y-axis, red) with a confirmed germ line variant (red, solid line), a presumed germ line variant (red, dashed line), or wild-type (ie, without a germ line variant; peach, solid line with shading) is plotted per age decile (x-axis). The percentage (right y-axis, blue) of confirmed germ line variants (blue, dashed line) is plotted per age decile. *Presumed germ line variants based on a multiple-criteria decision analysis.

with specific predisposition disorders. However, sequencing was performed at an average depth of 40× for germ line variant calling, giving us the ability to identify relatively large somatic clones with a VAF greater than 10%. Smaller clone sizes were rarely detected. Variants were only considered somatic variants when: (1) they occurred below a population frequency of 0.5% in the general Genome Aggregation Database population or continental subpopulations; (2) they were not shared between donor and recipient; and (3) they were not presumed germ line based on the multiple-criteria decision analysis.

Among all patients with MDS and 167 genes analyzed, we identified 652 driver variants. Forty-seven of those 167 genes were also analyzed for germ line variants (supplemental Table 2), with 58 presumed somatic variants detected within a germ line VAF range (8.9%). The top 10 genes most commonly somatically mutated were as follows: ASXL1 (19.1%), TP53 (11.9%), DNMT3A (11.6%), TET2 (10.4%), SRSF2 (9.7%), RUNX1 (9.2%), U2AF1 (7.2%), SF3B1 (5.7%), SETBP1 (4.7%), and STAG2 (3.7%) (Figure 5A). Variants were found mostly in genes affecting DNA methylation (27.7%), chromatin modification (25.5%), splicing (24.5%), transcription (24%), checkpoint/cell cycle regulation (13.1%), and Ras signaling (11.1%) (Figure 5B; supplemental Table 12A). The somatic variants in individuals with confirmed/presumed germ line variants were grouped by broad gene ontology and biological pathways and are shown in Figure 5C, together with the age at diagnosis, MDS subgroup, cytogenetics, and outcomes. We found no differences in the pattern of somatic variants in the group with confirmed/ presumed germ line variants (n = 44) against the group with no germ line predisposition (n = 360), either gene-wise or by affected gene groups, suggesting that the pathways leading to MDS were similar (supplemental Table 13). Two of 25 donors sharing a germ line variant had clonal hematopoiesis of indeterminate potential, with 1 variant in ARID1A (No. 20D at a VAF of 23%) and another variant in U2AF1 (No. 26D at a VAF of 19%) (supplemental Table 12B).

Patient outcomes

There were no differences in clinical measurements of HSCT outcomes, including neutrophil engraftment, platelet recovery, primary graft failure, overall- and disease-free survival, nonrelapse mortality and relapse, new malignancies, or cause of death between patients with MDS with a shared P/LP variant (n = 20), patients with MDS whose donor was a healthy carrier (donors lacking an AD P/LP variant or with a single P/LP allele with an AR mode of inheritance), and patients with MDS without a P/LP germ line variant (Figures 2 and 5; Table 2; supplemental Tables 8, 13, 14, and 15). Among the 20 patients whose donor shared an AD P/LP variant, 11 patients experienced a relapse (55%). Of those, 7 died of primary disease (35%) and 2 of infections post-HSCT (10%). Non-relapse-related mortality (therapy-related secondary malignancy and GVHD, respectively) was the cause of death in 2 patients (10%), and another 2 patients died of infections (10%). One patient experienced early graft failure/rejection, but is still alive (Table 2; supplemental Tables 8, 14, and 15; Figure 5C). Based on the available data, donor-derived malignancies could not be distinguished from a relapse of primary disease. Compared with patients with no germ line variants or patients who have received a transplant from a donor with an AR germ line variant in the heterozygous state, no statistically significant differences in clinical measurements of HSCT outcomes were detected. Acute GVHD, grades II through IV, was more common in patients with MDS who received a transplant from healthy carriers compared to MDS patients with no P/LP variant and MDS patients with a shared P/LP variant (P = .009; supplemental Table 14), although levels of grade III-IV acute GVHD and chronic GVHD were similar.

Discussion

This is the first study to examine the frequency of P/LP germ line variants leading to MDS across the entire age spectrum, regardless of other criteria, such as a positive personal or family history. Using conservative manual variant analysis, we identified confirmed P/LP germ line variants in 7% of all patients with

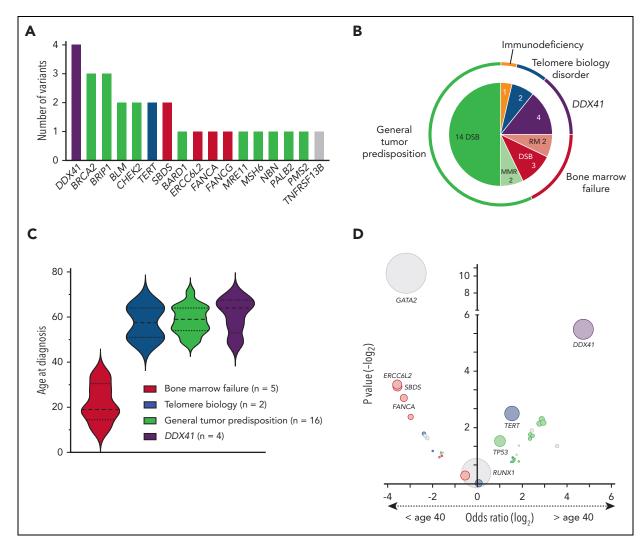


Figure 4. Spectrum and distribution of deleterious germ line variants among subgroups and association with age at diagnosis. (A) Bar chart depicting the number of confirmed P/LP germ line variants per gene identified in 28 patients with MDS. Compound heterozygous variants in the AR gene *SBDS* were counted as 1 variant per patient. Color schematic: purple, *DDX41*; green, general tumor predisposition genes; blue, telomere biology disorders; red, bone marrow failure genes; gray, others. (B) Nested pie chart showing the distribution of gene subgroups as well as biological functions. (C) Violin plots of the age at diagnosis of the 4 most common groups of predisposition syndromes identified in this study. (D) Association between the affected gene in the germ line and the age at MDS diagnosis. The data are derived from our study (confirmed/ presumed germ line, n = 44) and other larger cross-sectional studies on germ line variants in patients with MDS using either panel-based or WES covering most genes of interest.^{54,12,15,57} Each circle represents a gene, and the circle size corresponds to the frequency of variants within this gene in the total cohort. Genes in the upper left quadrant were associated with an age at diagnosis of less than 40 years, whereas genes in the upper right quadrant were associated with an age at diagnosis greater than 40 years, based on calculated odds ratio. Color schematic: purple, *DDX41*; green, general tumor predisposition genes; plue, telomere biology disorders; red, bone marrow failure genes; gray, others. DSB, double-strand break repair; RM, ribosome maturation.

MDS. When divided into age deciles, we observed P/LP germ line variants in 6% or more of patients with MDS in every decile, establishing for the first time that germ line predisposition to MDS occurs frequently in patients of all ages. The age group from 11 to 20 years had the highest yield of P/LP germ line variants, at 33%.

Prior studies examined the frequency of germ line predisposition in patients with MDS diagnosed at an age less than 45 years.^{5,7} Taken together with these studies, we see that the age at which MDS is diagnosed is merely a surrogate for the biological pathway affected (Figure 4). MDS develops in the youngest children, aged less than 5 years, most commonly because of germ line variants in SAMD9/SAMD9L and in teenagers and young adults because of variants in GATA2, especially those with loss of chromosome 7.⁹⁻¹¹ Based on our study and others, ^{5,7} adults aged less than 40 years often carry P/ LP variants in genes involved in DNA repair, TBD, and BMF, such as *CTC1*, *DKC1*, *ERCC6L2*, Fanconi genes, *PARN*, *RTEL1*, *SBDS*, *TERC*, *TERT*, and *TINF2*. Patients with MDS diagnosed between ages 40 and 70 years are often identified with P/LP germ line variants in genes associated with TBD and general tumor predisposition genes, such as *BARD1*, *BLM*, *BRCA2*, *BRIP1*, *CHEK2*, *MRE11*, *MSH6*, *NBN*, *PALB2*, *PMS2*, and *TERT*. Most elderly patients have P/LP *DDX41* germ line variants. The fact that presumably healthy related donors who shared the P/LP germ line variant were used for HSCT highlights the incomplete penetrance of these disorders and the potential older age at disease onset that is inherent to some of the well-known germ line syndromes.^{16-18,58,59}

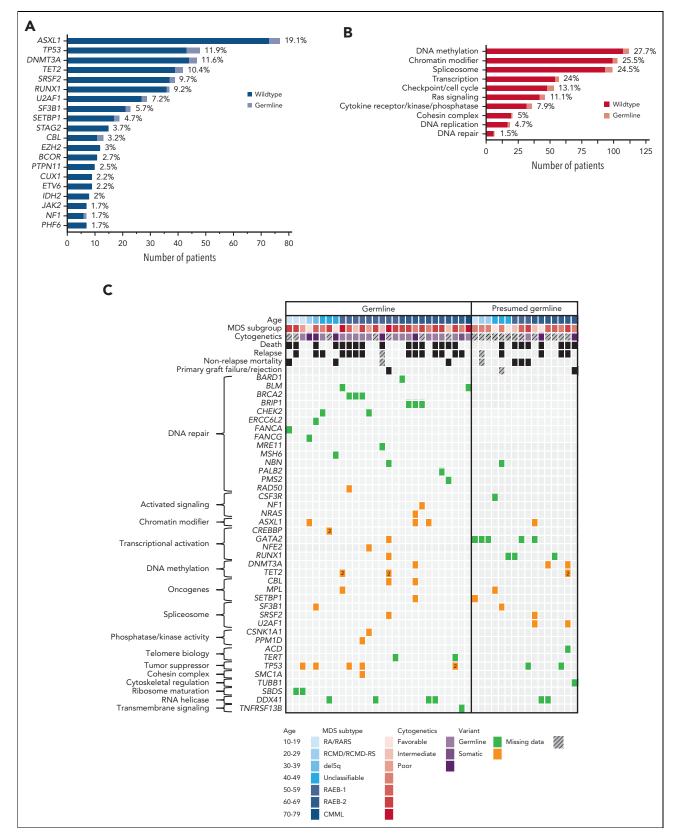


Figure 5. Spectrum and distribution of germ line variants, co-occurring somatic variants, and clinical data. (A) Bar chart displaying the top 20 most commonly affected genes for somatic variants (dark blue, wild-type; light blue, confirmed or presumed germ line variant). Percentages are given at the end of each bar. (B) Bar chart showing the top 10 most commonly affected gene groups (by broad gene ontology and biological pathways) (dark red, wild-type; light red, confirmed or presumed germ line variant). Percentages are given at the end of each bar. (C) Mutational grid with all 28 patients with MDS with confirmed germ line P/LP variants (*left*) and the 16 patients with MDS with presumed germ line variants. (*right*). Patients are grouped by age at diagnosis in both panels. (*Top*) Clinical data such as age, MDS subtype, cytogenetic analysis, and outcome parameters, including death, relapse and nonrelapse mortality, and primary graft failure/rejection as well as co-occurring somatic variants (grouped by broad gene ontology)

Although this is the largest study to date of patients with MDS and their related donors, we nonetheless lacked statistical power to detect differences between the outcomes of patients with and without a P/LP germ line variant. This analysis may also have been confounded by differences in disease status and/or treatments received before HSCT between the 2 groups. Advanced MDS was more common in patients with germ line predisposition and the median follow-up was 62 months, thereby potentially missing later-onset relapse, which is inherently more common in advanced MDS post-HSCT.^{29,60} In addition, we would anticipate different effects on the outcomes depending on the genes/pathways affected, but the genetic landscape of genes with P/LP variants in our study was too diverse and the numbers too small to distinguish effects from single-gene variants. The study population was also predominantly composed of White patients (88%), and therefore, additional studies of patients from more diverse backgrounds are needed.

Our identification of germ line predisposition alleles in 7% of all patients with MDS is an underestimate for several reasons. Given the design of our study and lack of availability of true germ line tissue (eg, cultured skin fibroblasts), we determined the germ line nature of a variant based on its presence in the peripheral blood of both the patient with MDS and the related donor. We recognize that hematopoietic tissues, including blood, undergo somatic reversion easily, especially for genes associated with BMF syndromes, immunodeficiency, and SAMD9/SAMD9L,^{49,61-64} and hence, it is possible that germ line variants in these genes went undetected. In addition, based on the independent segregation of chromosomes into germ cells and the AD inheritance that characterize most of these variants, we would expect that about one-half of potential related donors would not have inherited the P/LP germ line variant. Moreover, it is likely that some fraction of relatives with P/LP germ line variants were excluded as HSC donors based on physical findings, abnormal peripheral blood cell counts, and/or bone marrow biopsies. The presence of multiple patients with MDS with GATA2 and RUNX1 presumed P/LP germ line variants and the absence of the variant in the related donors may reflect exclusion of those donors based on phenotypes apparent at the time of donor selection. Unfortunately, the CIBMTR does not collect information about related donors who were excluded as HSC donors. Patients with MDS with a positive family history may also have been more likely to receive HSCs from an unrelated donor. Finally, we used conservative variant calling based strictly on ACMG/AMP criteria, but suspect that some of the VUSs identified are actually deleterious in nature and may be upgraded to P/LP in the future, when more evidence becomes available. Thus, with time, we anticipate that additional studies using germ line material from patients with MDS will allow a more precise estimate of the frequency of germ line predisposition alleles, well above 7%. By performing a multiple-criteria decision analysis based on somatic variants affecting the gene in question, somatic variants in other genes and their VAF, a high pretest probability, reported predisposing condition, and expected number of circulating blasts, we were able to show that the overall yield would increase to 11% including presumed germ line variants. With the addition of suspicious VUSs, the yield would further rise to 12%. Given that one-half of potential related donors will not share the variant in AD disease, a yield of 20% to 25% is a more realistic estimate.

Another bias of the study was the fact that only patients who underwent HSCT were included and their median age at diagnosis (59 years) was less than the median age at MDS diagnosis in the United States (71 years),⁶⁵ because high rates of nonrelapse mortality and morbidity often prevent older patients from being eligible for HSCT. This may lead to slightly lower rates of germ line predisposition in patients diagnosed in their 80s or 90s, although we have shown a germ line percentage of 8% in patients between 71 and 80 years, and *DDX41* variants are highly prevalent in this age group.¹⁶⁻¹⁸

The overall pattern of somatic variants identified in all 404 patients with MDS was similar to other large MDS data sets consisting of high-risk patients with MDS.^{29,66} Compared with an unselected cohort, variants affecting DNA methylation and splicing, in particular variants in TET2 and SF3B1, were clearly underrepresented in our study, which is based on the association of these variants with a more favorable outcome.⁶⁷ Our overall yield is slightly lower than the average of 2 driver variants per patient with MDS,^{68,69} which is likely due to our germ line sequencing depth of 40× and sample collections at any point before HSCT, which may include patients who were in remission. There was no difference in the pattern of somatic variants between the groups of patients with confirmed/presumed germ line variants and no germ line predisposition. However, the sample size for the germ line group was small, and its heterogeneity may confound potential effects by variants in single genes.

This study establishes that at least 7% of all patients with MDS across the age spectrum have a P/LP germ line variant. The National Comprehensive Cancer Network recommends germ line screening in the setting of hereditary breast, ovarian, and pancreatic cancer when the pretest probability is >5%,⁷⁰ and the ACMG list of 59 medically actionable genes for reporting of secondary findings from clinical exome and genome sequencing⁷¹ has a pretest probability of 3.1% to 5.2%.⁷² Our confirmed germ line frequency of 7%, and likely germ line frequency of 11% to 12% and higher, is similar to that seen in hereditary breast and colon cancers, where germ line predisposition variants are found in approximately 5% to 10% of unselected patients and up to 20% in high-risk groups.⁷³⁻⁷⁶

Therefore, with this study, we surpass this minimal threshold and recommend that germ line genetic testing become standard clinical practice for all patients with MDS undergoing HSCT regardless of their age at diagnosis or other features, such as a positive personal or family history. Identification of germ line predisposition to hematopoietic malignancies is critical in the clinical setting for several reasons: (1) There may be relevant implications of the choice of treatment, conditioning, and intensity.^{18,77-79} (2) Related donors may share the P/LP

Figure 5 (continued) and biological pathways) are included. (Bottom) The legend indicates the colors used for age deciles, MDS subgroups, cytogenetic analysis, variant status, and missing data. CMML, chronic myelomonocytic leukemia; MDS, myelodysplastic syndrome; RA, refractory anemia; RAEB, refractory anemia with excess blasts; RARS, refractory anemia with ring sideroblasts; RCMD, refractory cytopenia with multilineage dysplasia; RCMD-RS, refractory cytopenia with multilineage dysplasia.

germ line variant, as we show in this study, and their use should be avoided.^{19,21-27,80} (3) The presence of a germ line syndrome can co-occur with increased risk for other malignancies or other nonhematopoietic manifestations requiring surveillance and can be associated with excessive treatment-related toxicities from standard treatments used for AA/MDS.^{78,79,81} We hope that there is rapid uptake in the hematology community of our recommendation for universal germ line testing for all patients with MDS undergoing HSCT.

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Authorship

Contribution: S.F., A.M.T., L.A.G., and W.S. designed and coordinated the study; S.F., A.M.T., K.S., and M.P. contributed to DNA extraction, sample preparation, and augmented WES; S.F. and A.M.T. analyzed and interpreted SNVs; S.F. performed variant validations by Sanger sequencing and subcloning and analyzed, interpreted, and validated CNVs; N.E.-M. and S.K. conducted statistical analyses and contributed to data collection; S.F., A.M.T., M.P., K.M., M.W.D., B.R., and A.L.K. were in charge of bioinformatic pipelines for variant filtering and calling and downstream bioinformatic analyses; R.C.L. provided sequencing data; B.L.S., B.O., T.N., V.A., A.S., R.N., Z.H., R.S., S.S., and W.S. contributed to patient recruitment and data collection and provided conceptional input; S.F., A.M.T., and L.A.G. wrote the manuscript; and all authors approved the final version.

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Footnotes

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The online version of this article contains a data supplement.

There is a Blood Commentary on this article in this issue.

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