

LYMPHOID NEOPLASIA

Activation of hedgehog signaling associates with early disease progression in chronic lymphocytic leukemia

Emanuela M. Ghia,¹ Laura Z. Rassenti,¹ Donna S. Neuberg,² Alejandro Blanco,³ Fouad Yousif,⁴ Erin N. Smith,⁵ John D. McPherson,⁶ Thomas J. Hudson,⁴ the HALT Pan-Leukemia Gene Panel Consortium, Olivier Harismendy,^{1,7} Kelly A. Frazer,^{1,5} and Thomas J. Kipps¹

¹Moores Cancer Center, University of California San Diego, La Jolla, CA; ²Biostatistics and Computational Biology, Dana-Farber Cancer Institute, Boston, MA; ³Programa de Genética Humana, Universidad de Chile, Santiago, Chile; ⁴Ontario Institute for Cancer Research, Toronto, ON, Canada; ⁵Department of Pediatrics and Rady Children's Hospital, University of California San Diego, La Jolla, CA; ⁶Department of Biochemistry and Molecular Medicine, UC Davis School of Medicine, Sacramento, CA; and ⁷Bioinformatics and Systems Biology, University of California San Diego, La Jolla, CA

KEY POINTS

- A large proportion of patients have CLL cells with activation of Hh signaling leading to expression of GLI1.
- Activation of Hh signaling predicts for relatively short treatment-free survival and sensitivity to GLI1 inhibition.

Targeted sequencing of 103 leukemia-associated genes in leukemia cells from 841 treatment-naive patients with chronic lymphocytic leukemia (CLL) identified 89 (11%) patients as having CLL cells with mutations in genes encoding proteins that putatively are involved in hedgehog (Hh) signaling. Consistent with this finding, there was a significant association between the presence of these mutations and the expression of GLI1 (χ^2 test, $P < .0001$), reflecting activation of the Hh pathway. However, we discovered that 38% of cases without identified mutations also were GLI1⁺. Patients with GLI1⁺ CLL cells had a shorter median treatment-free survival than patients with CLL cells lacking expression of GLI1 independent of IGHV mutation status. We found that GANT61, a small molecule that can inhibit GLI1, was highly cytotoxic for GLI1⁺ CLL cells relative to that of CLL cells without GLI1. Collectively, this study shows that a large proportion of patients have CLL cells with activated Hh signaling, which is associated with early disease progression and enhanced sensitivity to inhibition of GLI1. (*Blood*. 2019;133(25):2651-2663)

Introduction

Whole-exome sequencing of chronic lymphocytic leukemia (CLL) cells has advanced our understanding of this disease.¹⁻⁶ Pathway enrichment analyses revealed that the genes found mutated in CLL encoded proteins involved in Notch signaling, inflammation, B-cell receptor signaling, Wnt signaling, chromatin modification, response to DNA damage, cell cycle control, or RNA processing.^{1,2,6,7} Finding frequent mutations in clusters of genes involved in these 7 signaling/metabolic pathways implies that they contribute to CLL pathogenesis.⁷

We examined for mutations in 103 genes of the HALT Pan-Leukemia Gene Panel in leukemia cells of 841 treatment-naive patients with CLL. The HALT Pan-Leukemia Gene Panel included genes found mutated in myeloid or lymphoid leukemias and leukemia stem cells.⁸ Some genes included in this panel are known to harbor mutations in myeloid leukemia but not in CLL. Reactome pathway enrichment analysis⁹ was performed on the genes found to have mutations, with attention focused on those that did not map to these 7 identified signaling/metabolic pathways in CLL.^{1,2,6,7}

We detected mutations in genes encoding proteins involved in activation of the Hh signaling pathway. The Hh signaling pathway is a highly conserved regulator of development, tissue

patterning, cell proliferation, and differentiation. In mammals, it is activated by the binding of 3 ligands, Sonic Hh (SHh), Desert Hh (DHH), or Indian Hh (IHH), to the transmembrane receptors Patched1 or Patched2 (PTCH1-2).

Loss-of-function mutations in negative regulators, such as *PTCH1-2* or *SUFU*, or gain-of-function mutations in positive regulators, such as *SMO*, can lead to Hh-pathway activation independent of ligand.¹⁰⁻¹⁴ As with ligand-dependent activation,¹⁵ such ligand-independent activation of the Hh pathway leads to overexpression of the main effector of Hh signaling, namely *GLI1*, which serves as a surrogate marker for Hh-pathway activation.^{16,17} Consistent with the notion that Hh-pathway activation factors in pathogenesis, overexpression of *GLI1* is an adverse prognostic indicator for patients with acute myeloid leukemia¹⁸ or carcinomas of the breast,¹⁹ ovary,²⁰ or lung.²¹ Moreover, overexpression of *GLI1* is observed in numerous cancer types, including cervical and breast cancers, chronic myeloid leukemia, multiple myeloma, and medulloblastoma.²²⁻²⁶ Although previous studies noted that CLL cells of some patients have activation of the Hh pathway,²⁷⁻³⁰ somatic mutations identified in studies on the genetics of CLL have not been implicated to affect activation of this pathway. We assessed for expression of *GLI1* in cases found to harbor mutations in genes that could influence Hh signaling and examined whether activation of this pathway was associated with early disease progression.

Materials and methods

Patient samples

This study was conducted in accordance with the Declaration of Helsinki for the protection of human subjects and the Institutional Review Board of the University of California San Diego (Institutional Review Board approval #110658). Blood samples were collected from 841 patients with CLL enrolled in the CLL Research Consortium upon receipt of written informed consent and who satisfied diagnostic and immunophenotypic criteria for CLL.³¹

Leukemia-associated genes for targeted sequencing

We performed targeted sequencing of the HALT Pan-Leukemia Gene Panel of 103 genes⁹ on 841 untreated CLL samples. Briefly, baits were designed to capture the coding sequence of 103 leukemia-associated genes. Illumina sequencing libraries were constructed, and target enrichment was performed by using an Agilent SureSelect kit (Agilent Technologies). The resulting amplified library was quantified and sequenced on the Illumina HiSeq 2000/2500 platform. Reads were aligned to the reference human genome build hg19 using NovoAlign (Novocraft Inc.), and on-target single nucleotide variants and indels were called by using the genome analysis tool kit (GATK). Sequencing data are available through dbGaP (phs000767).

Detection of CLL signaling pathways

Cytoscape software³² with the Reactome functional interaction (FI) plug-in were used to perform pathway and network-based data analyses³³ with the Reactome FI network,³⁴ which merges interactions extracted from human-curated pathways with interactions predicted by using a machine learning approach. This approach allowed us to construct an FI network based on sets of genes involved in each of the 7 identified CLL signaling/metabolic pathways.^{1,2} Pathway-based data analysis was performed by using Reactome FI and high-quality human-curated pathways in the Reactome database.³⁵ We also performed Reactome pathway enrichment analysis of the genes found mutated in our study that did not map onto these 7 signaling/metabolic pathways. Pathways with a false discovery rate < 0.05 were considered significantly enriched.

Functional prediction of *Hh*-pathway mutations

PolyPhen-2 software³⁶ is an automatic tool for prediction of the possible impact of an amino acid substitution on protein function. This prediction is based on a number of features comprising the sequence, phylogenetic, and structural features of the substitution. PolyPhen-2 predicts the functional significance of an amino acid substitution from its individual features by Naive Bayes classifier trained using supervised machine learning. PolyPhen-2 software was used to analyze the functional effect of missense mutations in genes involved in *Hh* signaling. PolyPhen-2 provides both a qualitative prediction of inactivation ("damaging" or "benign") and a score.

Description of clinical database, sample preparation, library preparation, bioinformatics processing, flow cytometry analyses, immunoblot analyses, viability assay, and statistical analyses are provided in the supplemental Methods (available on the *Blood* Web site).

Results

Targeted sequencing and Reactome enrichment pathway analysis expands the CLL core pathways list

We performed targeted sequencing of the genes included in the HALT Pan-Leukemia Gene Panel⁹ on the CLL cells of 841 treatment-naive patients (Table 1). Of the 103 genes in the HALT Pan-Leukemia Gene Panel, mutations were excluded in 4 genes (*TTN*, *PCLO*, *HYDIN*, and *CSMD3*) because they were false-positive findings according to the MutSig algorithm³⁷ and in 5 genes (*MUC2*, *MUC4*, *ASMTL*, *CRLF2*, and *IL3RA*) that had inadequate coverage criteria (supplemental Table 1). The final list included 94 genes (supplemental Table 2). In 3 of these genes (*CDKN2A*, *EED*, and *MLH1*), we did not detect mutations in any patient sample. In the remaining 91 genes of the HALT Pan-Leukemia Gene Panel,⁹ a total of 1134 variants were identified, 828 (73%) of which involved 84 genes and were considered deleterious (missense, frameshift insertion, frameshift deletion, nonsense, or splicing). A total of 520 of the 841 patients (62%) had one or more deleterious mutations involving one or more of these 84 genes (supplemental Tables 3 and 4). Conversely, 90 patients (11%) had only synonymous mutations, whereas 231 patients (27%) had no detectable mutations in the genes examined. The 5 most commonly mutated genes were *NOTCH1*, *ATM*, *TP53*, *MYD88*, and *SF3B1* (Figure 1; supplemental Figure 1A-C), as noted in previous studies.^{1-4,6,38}

Fifty-two (62%) of these 84 genes mapped to the 7 identified CLL signaling/metabolic pathways (supplemental Figure 2). Reactome pathway analysis on the other 32 genes identified significant enrichment of genes implicated in the *Hh* pathway (false discovery rate = 0.005). Using Cytoscape, we expanded this Reactome pathway analysis to include genes relevant to the *Hh* pathway from the 84 genes found to have deleterious mutations in our cohort of patients, defining these as *Hh*-pathway genes. These *Hh*-pathway genes included 3 oncogenes (*SMO*, *GLI1*, and *GLI2*) and 7 additional genes. These 7 additional genes comprised the following: *BCOR* and *BCORL1*, encoding BCL6 corepressor proteins; *CREBBP* and *EP300*, encoding proteins with intrinsic histone acetyl transferase activity; *EZH2*, encoding a protein with histone methyltransferase activity; *FBXW7*, encoding a protein subunit of E3-ubiquitin-protein ligase complex; and *MED12*, encoding mediator complex subunit 12 (Figure 2A-D). A schematic representation of the role of each of the *Hh*-pathway genes found mutated is provided in Figure 2C. The missense mutations found in *SMO* were the first *SMO* mutations identified in CLL, whereas mutations in each of the other 9 genes had been observed in previous studies.^{1,4,6,39} Collectively, we identified 102 deleterious mutations in these 10 *Hh*-pathway genes among 89 (11%) of 841 patients examined (Figure 2B).

Patients with CLL who had deleterious mutations in one or more of these 10 *Hh*-pathway genes had a shorter median treatment-free survival (TFS) than patients with CLL cells without *Hh*-pathway mutations (5.4 years vs 6.0 years; $P = .01$). Patients with CLL cells that expressed mutated IGHV (*IGHV_{MU}*) and had mutations in one or more 10 *Hh*-pathway genes had a significantly shorter median TFS than patients with *IGHV_{MU}* CLL that did not have identified *Hh*-pathway gene mutations (6.4 years vs 9.6 years; $P = .008$) (supplemental Figure 3). Although patients with CLL cells with *IGHV_{UM}* and mutations identified in

Table 1. Patient characteristics

No. of patients	841
Median age at diagnosis, y (range)	57.2 (30-91)
Sex	
Male	503 (60%)
Female	338 (40%)
Rai stage	
0-1	657 (78%)
2	126 (15%)
3-4	58 (7%)
Median time from diagnosis to Sc, y	3
Median TFS, y	4
No. of treated patients	533 (63%)
No. of patients with IGHV status	830 (98%)
IGHV _{UM}	376 (45%)
IGHV _{MU}	454 (55%)
No. of patients with ZAP-70 expression	838 (99%)
ZAP-70 positive	307 (37%)
ZAP-70 negative	531 (63%)
No. of patients with fluorescence in situ hybridization data	656 (78%)
Del(17p)	64 (10%)
Del(11q)	78 (12%)
Trisomy 12	103 (16%)
Del(13q)	263 (40%)
Normal karyotype	146 (22%)

Sc, sample collection.

Hh-pathway genes had a median TFS (3.3 years) that seemed shorter than that of patients with IGHV_{UM} CLL cells without identified *Hh*-pathway gene mutations (4.0 years), this difference did not reach statistical significance ($P = .1$, supplemental Figure 3).

GLI1 expression in CLL cells with or without *Hh*-pathway mutations

To evaluate whether mutations in these 10 *Hh*-pathway genes were associated with activation of the Hh pathway, we examined for GLI1 expression in CLL cells from 49 patients randomly selected from the 89 found to have *Hh*-pathway mutations; these selected 49 patients each had CLL cells with one ($n = 45$) or two ($n = 6$) deleterious mutations in the 10 *Hh*-pathway genes. Thirty-nine (68%) of the 57 deleterious mutations found among these 49 patient samples were missense mutations. Of these 39 missense mutations, 9 were in oncogenes (*SMO*, *GLI1*, or *GLI2*) and 30 in tumor suppressor genes (*BCOR*, *BCORL1*, *CREBBP*, *EP300*, *FBXW7*, or *MED12*). The PolyPhen-2 prediction software³⁶ was used to deduce whether any one of these 39 genes would be damaging (Table 2). PolyPhen-2 predicts the possible impact of a missense mutation on the stability and function of human proteins and estimates the probability of a missense mutation being damaging. The PolyPhen-2 score ranges from ≥ 0.5 to 1.0 for inactivating mutations (damaging) and from 0.0 to < 0.5 for not inactivating mutations (benign). According to this approach, 25 (64%) of the 39 identified missense mutations were damaging.

Moreover, we determined that the percentage of missense mutations in tumor suppressor genes that were damaging (62%) was significantly higher than that observed in oncogenes (3%; $P < .0001$) (supplemental Figure 4A-B).

Among these 49 CLL samples with mutations in *Hh*-pathway genes, 41 (84%) expressed GLI1 and hence were classified as GLI1⁺. Among the cases with missense mutations in *Hh*-pathway-associated oncogenes, all 9 were GLI1⁺ (Figure 3A), implying that such *Hh*-pathway gene mutations were not inactivating, as predicted according to PolyPhen-2. Consistent with this finding, transfection of CLL cells or U937 cells with either wild-type SMO (SMO_{WT}) or P26S mutant SMO (SMO_{MU}) increased GLI1 expression (Figure 3B). Among the cases with missense mutations in *Hh*-pathway oncogenes, only 1 had a missense mutation (*GLI2* S941R) that was predicted to be inactivating according to PolyPhen-2 (Table 2). GLI2 and GLI3 transcriptional activator capacity was positively regulated by Hh signaling and negatively regulated by cyclic adenosine monophosphate-dependent protein kinase A (PKA) through specific phosphorylation sites present on GLI2 and GLI3. The inhibition of the formation of full-length GLI2 and GLI3 transcriptional activators (GLI2,3Act) is dependent on all 6 (P1-6) conserved serine residues phosphorylated by PKA. The identified mutation *GLI2* S941R removes the fifth (P5) serine phosphorylation site of PKA.⁴⁰ This scenario suggests that this mutation is a gain-of-function mutation that changes GLI2 phosphorylation and consequently affects its ability to induce expression of GLI1. Among the mutations in *Hh*-pathway tumor suppressor genes, 67% were missense, whereas 33% were deleterious mutations other than missense. GLI1 was expressed in 72% of cases with missense mutations and in 94% of deleterious mutations other than missense. We also observed that GLI1 expression was increased when tumor suppressors such as *FBXW7*, *CREBBP*, or *BCOR* were silenced using small interfering RNA (siRNA) in CLL cells lacking GLI1 expression (Figure 3C). None of the 6 cases with benign missense mutations expressed GLI1, whereas all but 2 cases with damaging missense mutations in these tumor suppressor genes expressed GLI1. The 2 cases with CLL cells that carried damaging missense mutations, but lacked GLI1, each had a hotspot *FBXW7* mutation (R387C or R479Q) with an allelic fraction < 0.20 .

We also examined for expression of GLI1 in the CLL cells from 161 patients who randomly were selected from the 752 patients who did not have mutations in any one of the 10 *Hh*-pathway genes. Surprisingly, we found that 62 (38%) of 161 CLL samples without identified *Hh*-pathway gene mutations also expressed GLI1. Although the proportion of cases with identified mutations in the 10 *Hh*-pathway genes that expressed GLI1 (84%) was greater than that noted for cases without mutations in these genes (38%) (χ^2 test, $P < .0001$) (Figure 3D-E), these results reveal that a much larger number of patients in this subcohort ($n = 210$) have CLL cells with Hh pathway activation ($n = 103$) than have mutations identified in these 10 *Hh*-pathway genes ($n = 49$).

Upregulation of GLI1 downstream targets and in vitro response to inhibition of GLI1

We evaluated whether expression of GLI1 correlated with expression of downstream target genes of GLI1, namely *E2F1*, *AKT1*, and *PTCH1*. For this evaluation, CLL cells were randomly collected from 20 Hh_{MU} GLI1⁺, 8 Hh_{WT} GLI1⁺, 7 Hh_{MU} GLI1⁻, and 14 Hh_{WT} GLI1⁻ patients included in the 210 patients for whom

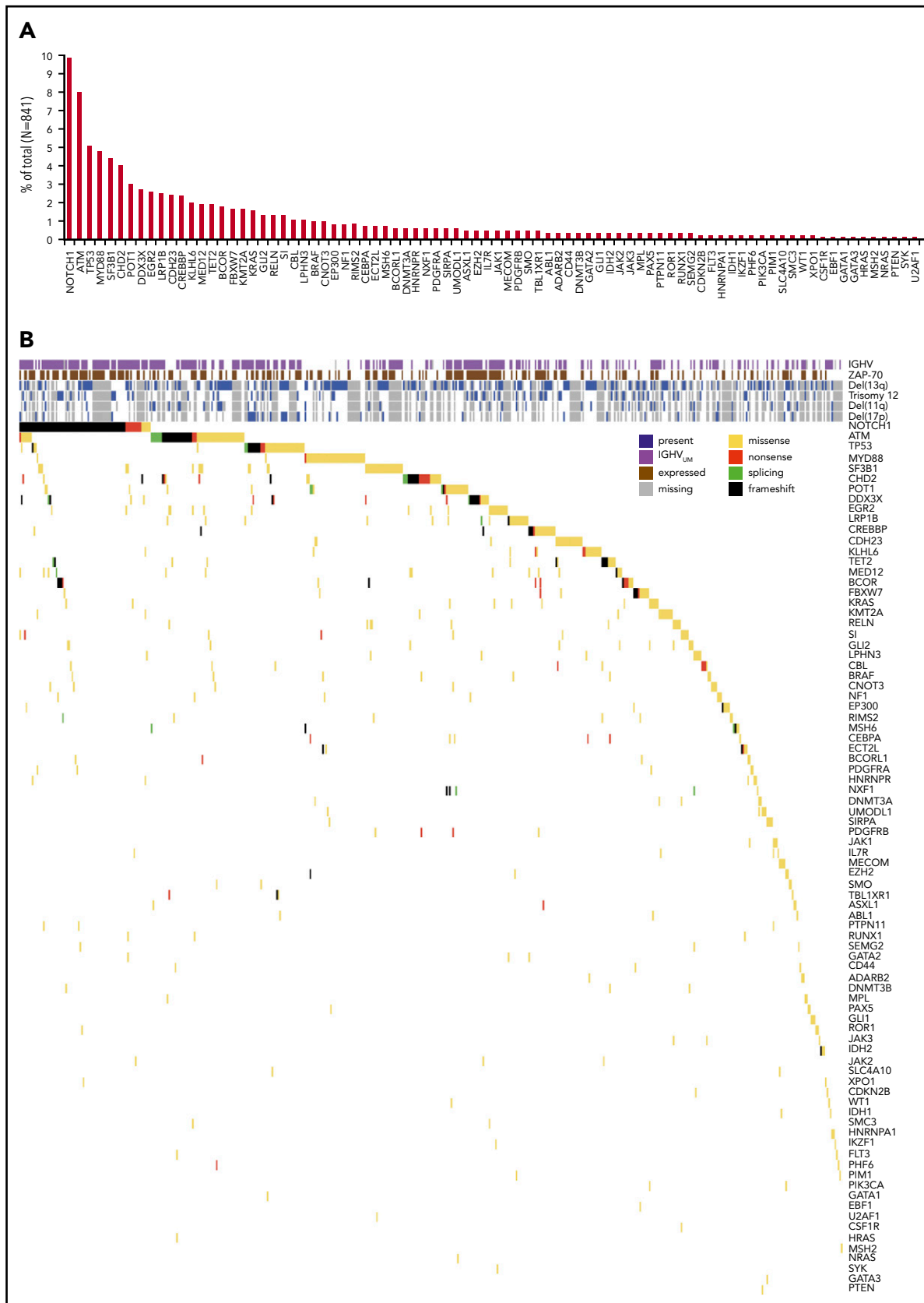


Figure 1. Repertoire of recurrent deleterious mutations observed in 841 patients with CLL. (A) The percentage of cases with mutations in each gene is represented by red bars. (B) Heat map of IGHV mutational status, ZAP-70 expression, cytogenetic aberrations, and deleterious mutations observed in this cohort. Purple boxes indicate which patients have CLL cells with IGHV_{UM}, brown boxes indicate which patients have CLL cells expressing ZAP-70, blue boxes indicate which patients have CLL cells carrying cytogenetic deletions (Del13q, Trisomy 12, Del11q, or Del17p), and gray boxes indicate missing data. Yellow boxes represent missense mutations, black frameshift mutations, green splicing mutations, and red nonsense mutations.

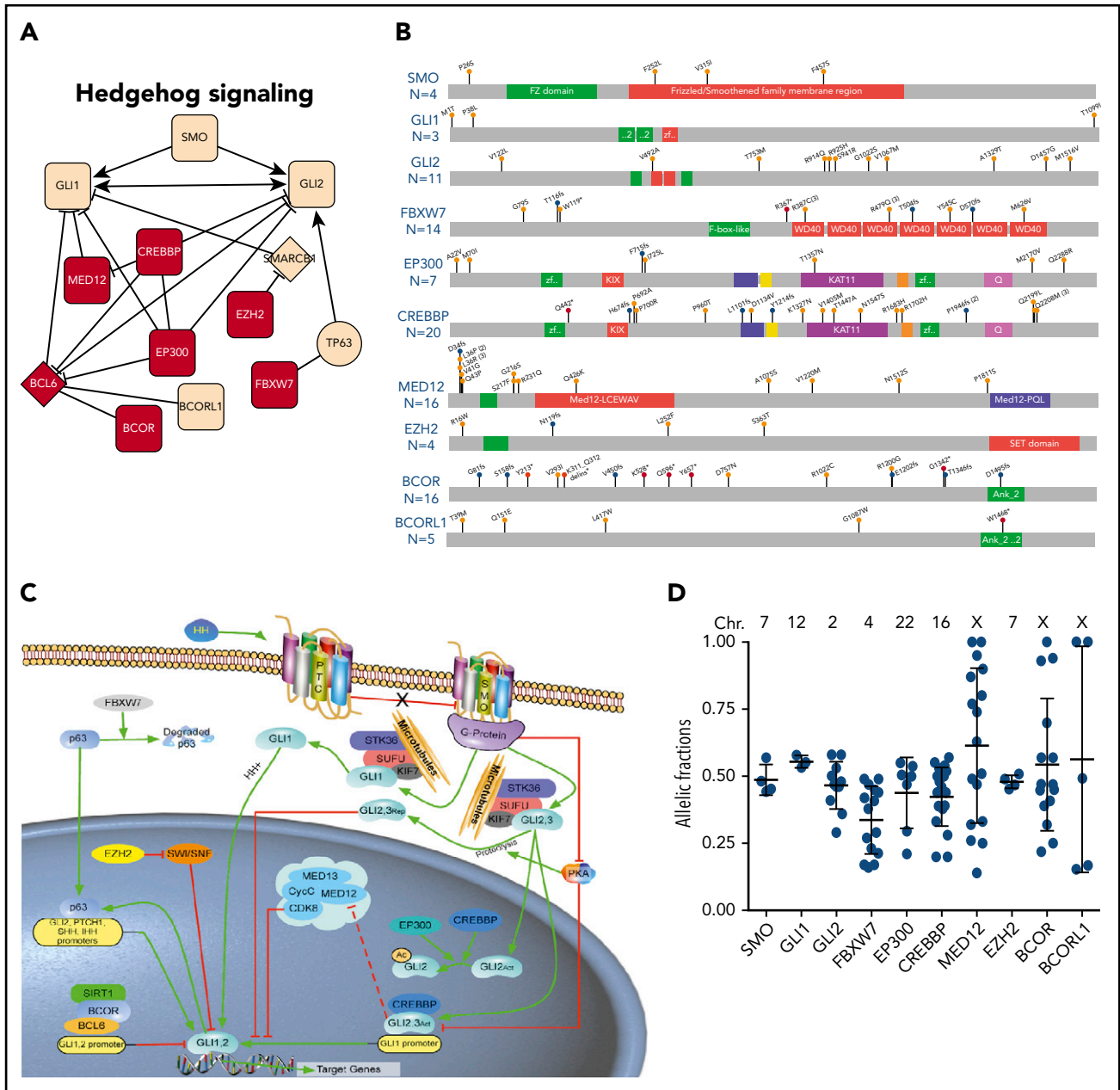


Figure 2. Hh-pathway genes and their deleterious mutations observed in 841 patients with CLL. (A) Reactome FI Network of Hh signaling pathway identified. Mutated genes (circle shape); genes involved in >1 pathway (red); and genes connected but not mutated (diamond shape); and genes found mutated in this study (square shape). Extracted FIs involved in activation, expression regulation, or catalysis are shown with an arrowhead on the end of the line; FIs involved in inhibition are shown with a 'T' bar. (B) Localization and type of 100 deleterious mutations in genes affecting Hh signaling pathway: missense mutations are represented by orange circles, and frameshift and nonsense mutations are represented by blue and red circles, respectively. Two splicing mutations in MED12 and FBXW7 are not included in this figure. Each circle represents a unique mutation; for mutations recurring in >1 individual, the number of individuals is indicated in parentheses. The number of mutations for each gene is indicated below each gene name. (C) Schematic representation of Hh-pathway genes found to be mutated in this cohort as well as in other hematologic diseases. Activation is indicated by green arrows and inhibition by red crossbars. Dashed red crossbar indicates that MED12 inhibition by GLI3 has been reported by Zhou et al⁶⁶ while MED12 inhibition by GLI2 is postulated by similarity. The image was modified from Qiagen's original copyrighted image by Emanuela M. Ghia. The original image may be found at <https://www.qiagen.com/us/shop/genes-and-pathways/pathway-details/?pwid=220>. Please see the Qiagen website for the full terms of use. (D) Allelic fractions of each deleterious mutation observed in Hh-pathway genes are indicated by blue dots. The allelic fraction mean \pm standard deviation about the mean, for each gene, are indicated in black. The chromosome location of each gene is indicated above the graph.

GLI1 expression in CLL cells was evaluated. We found that GLI1⁺ CLL cells had increased E2F1, AKT1, and PTCH1 transcript and protein levels over that of CLL cells lacking expression of GLI1 (Figure 4A-C).

Previous studies showed that BIM is upregulated via an E2F1-dependent mechanism that functions as a prosurvival protein

when phosphorylated by AKT at serine 87 (pBIM_{EL}^{S87}).^{41,42} We found that transcript and protein levels of BIM, as well as pBIM_{EL}^{S87}, were significantly upregulated in GLI1⁺ CLL cells compared with GLI1⁻ CLL cells (Figure 4A-C), showing that expression of GLI1 associates with the activation of downstream targets E2F1 and AKT1, which can upregulate BIM and pBIM_{EL}^{S87} to promote

Table 2. PolyPhen-2 prediction of all missense *Hh*-pathway mutations observed in 49 CLL cases studied for *GLI1* expression

Sample ID	Mutated genes	Allelic fraction	Type of mutation	Gene	Mutation	PolyPhen-2 prediction	PolyPhen-2 score
SA00604	<i>BCOR</i>	0.47	Missense	<i>BCOR</i>	p.R1200G	Benign	0.26
SA00768	<i>EP300</i>	0.54	Missense	<i>EP300</i>	p.M70I	Benign	0.02
SA00591	<i>FBXW7</i>	0.17	Missense	<i>FBXW7</i>	p.R387C	Damaging	1.00
SA00952	<i>FBXW7</i>	0.17	Missense	<i>FBXW7</i>	p.R479Q	Damaging	1.00
SA00499	<i>FBXW7, EP300</i>	0.38	Frameshift_deletion	<i>FBXW7</i>	p.T504fs		
		0.21	Missense	<i>EP300</i>	p.M2170V	Benign	<0.01
SA00732	<i>MED12, KMT2A</i>	0.47	Missense	<i>MED12</i>	p.Q426K	Benign	0.22
SA00925	<i>MED12, CHD2</i>	0.33	Missense	<i>MED12</i>	p.G216S	Benign	<0.01
SA01071	<i>MED12</i>	1.00	Missense	<i>MED12</i>	p.P1811S	Benign	<0.01
SA00455	<i>MED12, GLI2, CDH23</i>	0.95	Missense	<i>MED12</i>	p.L36R	Damaging	1.00
		0.46	Missense	<i>GLI2</i>	p.A1329T	Benign	<0.01
SA00315	<i>BCOR</i>	0.57	Nonsense	<i>BCOR</i>	p.G1342*		
SA00458	<i>BCOR, RIMS2, NOTCH1</i>	0.57	Nonsense	<i>BCOR</i>	p.Q596*		
SA00472	<i>CREBBP</i>	0.46	Missense	<i>CREBBP</i>	p.R1683H	Damaging	0.99
SA00509	<i>FBXW7, NOTCH1</i>	0.49	Missense	<i>FBXW7</i>	p.R479Q	Damaging	1.00
SA00517	<i>CREBBP</i>	0.33	Frameshift_insertion	<i>CREBBP</i>	p.P1946fs		
SA00520	<i>MED12, PTPN11, CHD2, NOTCH1</i>	0.51	Missense	<i>MED12</i>	p.V1220M	Damaging	1.00
SA00556	<i>CREBBP, ATM, SF3B1</i>	0.44	Frameshift_deletion	<i>CREBBP</i>	p.L1101fs		
SA00578	<i>CREBBP</i>	0.50	Missense	<i>CREBBP</i>	p.Q2208H	Damaging	0.65
SA00589	<i>BCORL1, SF3B1, ATM</i>	0.15	Nonsense	<i>BCORL1</i>	p.W1468*		
SA00614	<i>CREBBP, TP53, NOTCH1</i>	0.53	Missense	<i>CREBBP</i>	p.N1547S	Damaging	0.82
SA00623	<i>CREBBP, ASXL1</i>	0.54	Missense	<i>CREBBP</i>	p.K1327N	Damaging	0.52
SA00634	<i>BCOR, KRAS</i>	0.41	Nonsense	<i>BCOR</i>	p.Y213*		
SA00640	<i>CREBBP</i>	0.55	Missense	<i>CREBBP</i>	p.V1405M	Damaging	0.99
SA00656	<i>GLI2</i>	0.53	Missense	<i>GLI2</i>	p.S941R	Damaging	1.00
SA00665	<i>BCOR, CREBBP, KLHL6</i>	0.39	Missense	<i>CREBBP</i>	p.T1447A	Damaging	0.97
		0.94	Nonsense	<i>BCOR</i>	p.Y657*		
SA00695	<i>MED12, GLI2</i>	0.25	Missense	<i>MED12</i>	p.S217F	Damaging	0.76
		0.58	Missense	<i>GLI2</i>	p.D1457G	benign	0.01
SA00356	<i>GLI1</i>	0.58	Missense	<i>GLI1</i>	p.P38L	benign	<0.01
SA00784	<i>CREBBP, MED12, PDGFRB</i>	0.38	Missense	<i>CREBBP</i>	p.P960T	Damaging	1.00
		0.63	Missense	<i>MED12</i>	p.L36R	Damaging	1.00
SA00803	<i>BCOR, NOTCH1, NF1</i>	0.32	Frameshift_deletion	<i>BCOR</i>	p.G81fs		
SA00846	<i>EP300</i>	0.50	Missense	<i>EP300</i>	p.A22V	Damaging	0.94

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Table 2. (continued)

Sample ID	Mutated genes	Allelic fraction	Type of mutation	Gene	Mutation	PolyPhen-2 prediction	PolyPhen-2 score
SA00847	MED12, ATM, LRP1B	0.32	Missense	MED12	p.L36R	Damaging	1.00
		0.26	Missense	MED12	p.V41G	Damaging	0.97
SA00366	FBXW7	0.29	Missense	FBXW7	p.R387C	Damaging	1.00
SA00889	MED12, CHD2, ATM	0.90	Missense	MED12	p.Q43P	Damaging	0.93
SA00894	CREBBP	0.39	Missense	CREBBP	p.P700R	Damaging	0.73
SA00902	CREBBP	0.44	Missense	CREBBP	p.Q2208H	Damaging	0.65
SA00948	BCOR	0.47	Frameshift_deletion	BCOR	p.D1495fs		
SA00959	GLI2, NOTCH1	0.36	Missense	GLI2	p.R925H	Benign	<0.01
SA01000	FBXW7	0.27	Frameshift_deletion	FBXW7	p.T116fs		
SA01014	BCOR	1.00	Nonsense	BCOR	p.K528*		
SA01015	FBXW7, CD44	0.41	Frameshift_insertion	FBXW7	p.D570fs		
SA01042	GLI2, JAK3, RELN	0.47	Missense	GLI2	p.V122L	Benign	0.03
SA01043	SMO	0.48	Missense	SMO	p.P26S	Benign	0.02
SA01066	GLI2, DNMT3B	0.48	Missense	GLI2	p.M1516V	Benign	0.29
SA01089	GLI2, NOTCH1	0.29	Missense	GLI2	p.T753M	Benign	0.23
SA01094	BCOR, SF3B1	0.25	Frameshift_deletion	BCOR	p.E1202fs		
SA01129	FBXW7	0.45	Missense	FBXW7	p.R479Q	Damaging	1.00
SA01138	BCOR, NOTCH1	0.93	Frameshift_deletion	BCOR	p.V450fs		
SA00392	BCORL1, NOTCH1	1.00	Missense	BCORL1	p.T39M	Damaging	0.61
SA00308	FBXW7	0.42	Nonsense	FBXW7	p.W119*		
SA00313	MED12, CREBBP, GATA2	0.77	Missense	MED12	p.L36P	Damaging	1.00
		0.20	Frameshift_insertion	CREBBP	p.P1946fs		

cell survival (Figure 4D). Consistent with this finding, a significant reduction was observed in the viability of GLI1⁺ CLL cells when GLI1 was silenced by using GLI1-specific siRNA but not non-specific siRNA ($P < .0001$) (Figure 4E-F; supplemental Figure 5).

We evaluated the sensitivity of GLI1⁺ or GLI1⁻ CLL cells to GANT61, a small molecule inhibitor of GLI1. GLI1⁺ CLL cells were significantly more sensitive to treatment with GANT61 than GLI1⁻ CLL cells regardless of whether they had mutations identified in the 10 *Hh-pathway* genes ($P < .001$) (Figure 4G). In addition, GANT61 treatment reduced the protein level of BIM and the phosphorylation level of pBIM^{EL}^{S87} in GLI1⁺ CLL cells (Figure 4H).

GLI1 expression is associated with disease progression

The median TFS of patients with CLL cells that were GLI1⁺ (n = 103) was compared with that of patients who had CLL cells that were GLI1⁻ (n = 107). Cases with GLI1⁺ CLL cells had a significantly shorter median TFS compared with patients with

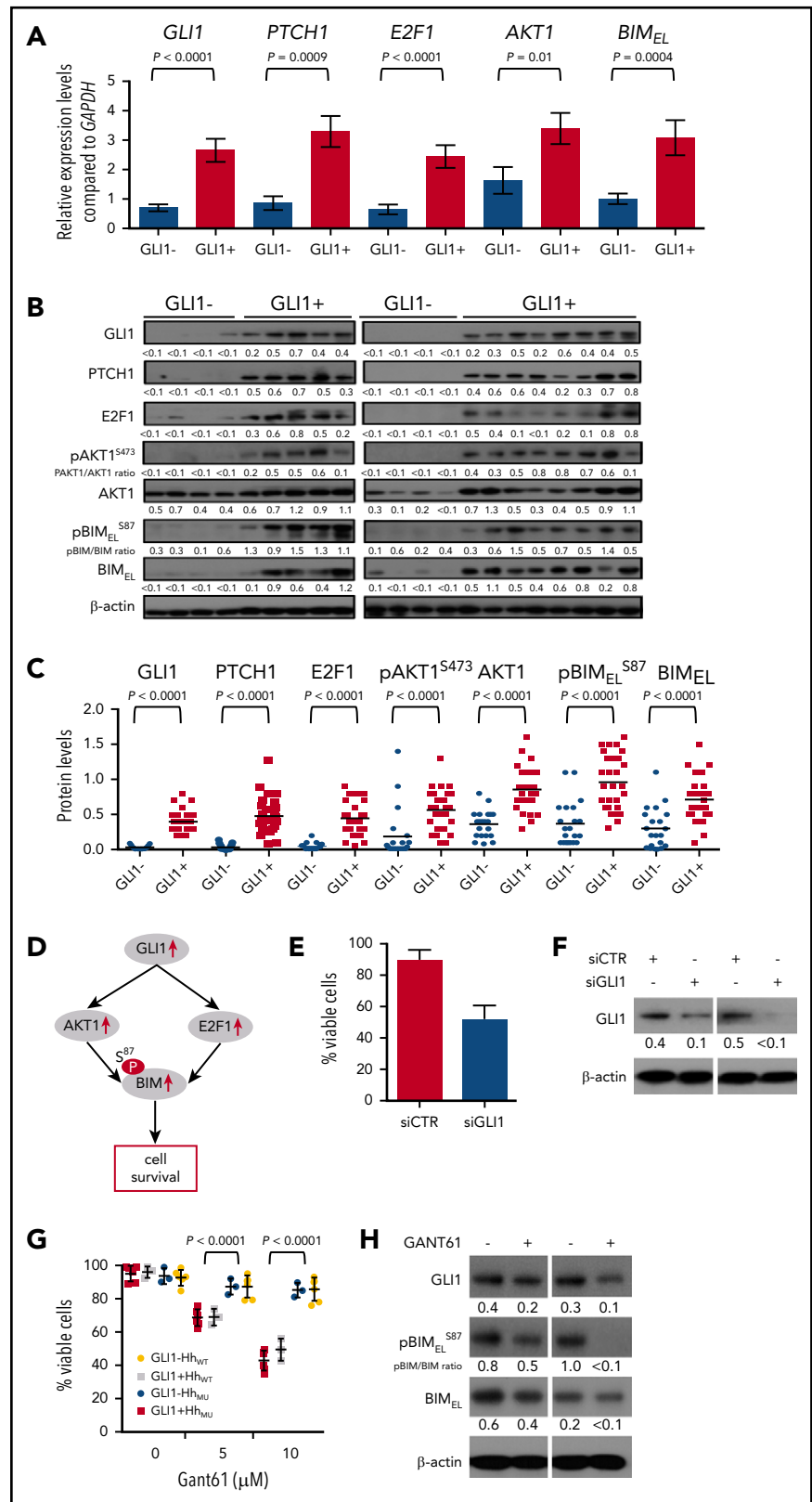
GLI1⁻ CLL (4.7 years vs 6.4 years; $P = .002$) (Figure 5A). Expression of GLI1 was associated with more rapid disease progression independent of identified mutations in the 10 *Hh-pathway* genes (supplemental Figure 6). Moreover, GLI1 expression was associated with significantly shorter median TFS, independent of IGHV mutational status (5.3 years vs 9.8 years [$P = .003$] for patients with CLL with IGHV_{MU} and 3.3 years vs 4.4 years [$P = .02$] for patients with CLL cells with IGHV_{UM}) (Figure 5B).

Discussion

The current study found that mutations in one or more genes involved in Hh signaling were not uncommon in CLL. This report is the first to indicate that CLL cells may harbor mutations in SMO, a Frizzled G protein-coupled receptor for Hh ligands. Moreover, we identified mutations in other genes encoding proteins that may govern activation of the Hh pathway, namely *GLI1*, *GLI2*, *BCOR*, *BCORL1*, *CREBBP*, *EP300*, *EZH2*, *FBXW7*, and *MED12*, which other studies had identified as harboring mutations in CLL but had not been collectively identified as

Figure 4. Relationship between GLI1 expression and GLI1 downstream targets.

(A) *GLI1*, *PTCH1*, *E2F1*, *AKT1*, and *BIM* transcript levels in CLL cells expressing or lacking *GLI1* (*GLI1*⁺, n = 28; *GLI1*⁻, n = 21). The Mann-Whitney *U* test was used to calculate the *P* value indicated at the top. (B) Representative immunoblot analyses of CLL cells with or without *GLI1* expression (*GLI1*⁺, n = 28; *GLI1*⁻, n = 21), as indicated at the top. Each lane represents a separate case. The membranes were probed with a monoclonal antibody specific for *GLI1*, *PTCH1*, *E2F1*, *AKT1*, *pBIM*, and *BIM* or β -actin as indicated on the left margin. The expression of β -actin was used to normalized *GLI1*, *PTCH1*, *E2F1*, *AKT1*, and *BIM* expression levels. The ratios of the band densities for each case are provided at the bottom of each blot and presented in the dot-plots in panel C. The ratios of the band densities for each case of *pBIM*_{EL}^{S87}/*BIM*_{EL} is indicated at the bottom of the *pBIM*_{EL}^{S87} blot and represented in the dot-plots in panel C. The same *GLI1*⁺ CLL protein lysate sample was used as positive control in all gels. (C) Densitometry analysis quantifying the protein expression levels of *GLI1* and its downstream targets in all 49 cases with CLL cells with or without *GLI1* expression. The horizontal bar provides the mean ratio observed in each group. The Mann-Whitney *U* test was used to calculate the *P* value indicated at the top. (D) Schematic representation of the consequences of *GLI1* upregulation on its downstream targets. (E) Relative viability of CLL cells treated with control siRNA (siCTR) or *GLI1*-specific siRNA (si*GLI1*) as indicated. (F) Immunoblot analyses for *GLI1* using lysates of CLL cells expressing *GLI1* treated with siCTR or si*GLI1*. Data from 2 representative patients are presented. (G) Relative viability of CLL cells expressing *GLI1* with (*GLI1*⁺ Hh_{MU}, n = 6; red squares) or without (*GLI1*⁺ Hh_{WT}, n = 3, gray squares) Hh mutations or lacking *GLI1* expression with (*GLI1*⁻ Hh_{MU}, n = 3; blue circles) or without (*GLI1*⁻ Hh_{WT}, n = 6; yellow circles) Hh mutations treated for 24 hours with 5 or 10 μ M of GANT61. Data are shown as mean \pm standard deviation. (H) Immunoblot analyses of CLL cells expressing *GLI1* and treated for 24 hours with 10 μ M of GANT61. The membranes were probed with a monoclonal antibody specific for *GLI1*, *pBIM*, and *BIM* or β -actin as indicated on the left margin. The expression of β -actin was used to normalized *GLI1* and *BIM* expression level. The ratios of the band densities for each case are provided at the bottom of each blot. The ratios of the band densities for each case of *pBIM*_{EL}^{S87}/*BIM*_{EL} are indicated at the bottom of the *pBIM*_{EL}^{S87} blot. Data from 2 representative patients are presented.



being involved in Hh signaling.^{1,4,6,39} We found that the leukemia cells of 89 (11%) patients among the 841 treatment-naive patients studied had nonsynonymous mutations in one or more of these *Hh*-pathway genes.

Some of the mutations identified in this study are directly involved in Hh signaling. The missense mutation (P26S) identified in *SMO* causes a proline→serine substitution, which was not predicted to inactivate its function but rather enhance its

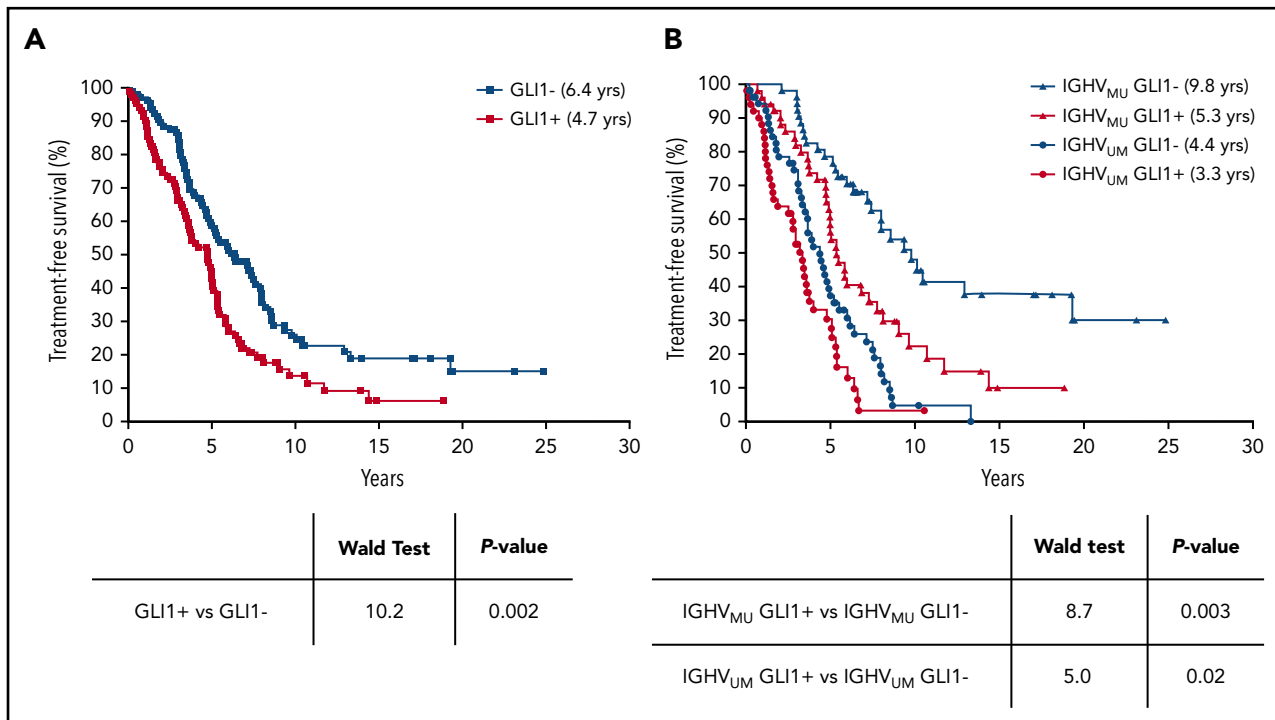


Figure 5. Relationship between GLI1 expression and TFS. (A) Kaplan-Meier curves depict the TFS probability over time for GLI1⁺ (red squares) or GLI1⁻ (blue squares) CLL (N = 210). (B) Kaplan-Meier curves depict the TFS probability over time for IGHV_{MU} GLI1⁺ (red circles), IGHV_{MU} GLI1⁻ (blue circles), IGHV_{UM} GLI1⁺ (red triangles), or IGHV_{UM} GLI1⁻ (blue triangles) subgroups of all patients with CLL and known IGHV status for whom GLI1 expression was evaluated (N = 206).

capacity to activate Hh signaling. Consistent with this finding, we observed that CLL cells or U937 cells transfected with either SMO_{WT} or SMO_{MU} (P26S) increased expression of GLI1, arguing that the identified mutant SMO encoded a functional protein. This scenario contrasts with other SMO mutants, which encode proteins that cannot undergo the serine phosphorylation and/or cell surface accumulation that are required to activate Hh signaling.⁴³⁻⁴⁵ Instead, the SMO mutation we identified in CLL seems similar to the missense SMO mutations found to be associated with Hh pathway activation in solid tumors,^{13,46,47} T-cell acute lymphoblastic leukemia (T-ALL),⁴⁸ or basal cell skin carcinomas.¹⁴ We also identified 1 case with CLL cells carrying a missense mutation in *GLI2*, causing a serine→arginine substitution at position 941, which previous studies showed affected *GLI2* phosphorylation and enhanced its capacity to induce GLI1.^{40,49} Consistent with this outcome, we found that CLL cells harboring this mutation expressed high levels of GLI1.

We also found that 14 of the 841 study patients had CLL cells harboring mutations in *FBXW7*. *FBXW7* encodes an F-box factor that targets selected proteins for ubiquitin-mediated degradation.⁵⁰ *FBXW7* is mutated in a wide spectrum of human cancers,⁵¹ where it acts as a tumor suppressor. Moreover, studies have identified numerous mutations in *FBXW7* and/or its substrates in cancer cells and found that loss of *FBXW7* function associates with chromosomal instability and tumorigenesis.^{52,53} The *FBXW7* mutations that we and others have identified in CLL, or ALL,^{1,54,55} result in substitutions within the WD40 domain required for targeting the destruction of selected proteins,^{51,56,57} such as p63,⁵⁸ which can induce activation of the Hh pathway.⁵⁹ In the current study, siRNA-mediated silencing of *FBXW7* in CLL cells expressing wild-type *FBXW7* enhanced the expression of GLI1,

indicating that *FBXW7* serves to suppress Hh pathway activation in this leukemia. Consistent with the notion that the deleterious mutations we identified in *FBXW7* can lead to activation of Hh signaling, we observed that 70% of cases with CLL cells harboring *FBXW7* mutations overexpressed GLI1. These *FBXW7* mutations associated with GLI1 expression almost invariably were present at allelic fractions of >20%, arguing that such mutations may be conducive to subclonal disease progression. Selective growth advantage for subclones with Hh activation due to deleterious mutations in *FBXW7* could contribute to outgrowth of subclones harboring mutations in *FBXW7* and *BTK* or *PLCG2* in leukemia cells of patients who develop resistance to inhibitors of Bruton tyrosine kinase such as ibrutinib.^{60,61}

Fifteen cases with CLL cells harboring mutations in *BCOR* were also identified. *BCOR* is a BCL6 transcriptional corepressor that can complex with BCL6 to recruit HDAC3-containing SMRT complexes that repress transcription of selected genes,⁶² such as *GLI1* and *GLI2*, which are critical for Hh pathway activation.⁶³ In the current study, down-modulation of *BCOR* using specific siRNA in primary CLL cells that expressed wild-type *BCOR* increased expression of GLI1, indicating that *BCOR* may serve to repress Hh pathway activation in this leukemia. Although non-synonymous mutations in *BCOR* can affect its ability to repress transcription,⁶⁴ we noted that 75% of the observed *BCOR* mutations were either nonsense or frameshift mutations, which would be expected to abrogate *BCOR* expression, thus impairing their capacity to repress Hh pathway activation. Consistent with this notion, high levels of GLI1 were expressed by all but one of the CLL samples with a mutation in *BCOR*; the one sample that did not express high levels of GLI1 had a benign missense mutation in *BCOR* that is not expected to affect function. As with

FBXW7, selective growth advantage for subclones with Hh activation due to deleterious mutations in *BCOR* could contribute to outgrowth of subclones harboring mutations in *BCOR* and *BTK* in leukemia cells of patients who develop resistance to ibrutinib.⁶⁰

We also identified 27 CLL samples in the 841 examined that had damaging mutations in *CREBBP* (n = 20) or *EP300* (n = 7) that would be expected to impair expression and/or function. EP300/*CREBBP* family members can acetylate GLI2 at lysine 757 and thereby inhibit its capacity to induce expression of *GLI1* and Hh target genes.⁶⁵ In the current study, down-modulation of *CREBBP* in primary CLL cells with specific siRNA increased expression of *GLI1*, indicating that *CREBBP* may serve to repress Hh pathway activation in this leukemia. Consistent with this notion, *GLI1* was reportedly overexpressed in all CLL cells harboring *CREBBP* mutations.

Finally, we identified 16 samples in the 841 samples examined that had mutations in *MED12*, encoding Mediator complex subunit 12 (MED12), which can be targeted by activated GLI3 to reverse suppression of Hh target gene transcription.⁶⁶ GLI3-dependent Hh signal transduction is negatively modulated by *MED12* by anchoring CDK8 in the Mediator of the preinitiation gene-transcriptional complex. A previous study by Kämpjärvi et al⁶⁷ screened >700 CLL samples for *MED12* mutations in exons 1 and 2 and found that 10% of all *MED12* mutations occurred within mutation hotspots (codons L36 and Q43). Mutations within exons 1 and 2 were previously reported to share similar gene expression profiles; functional analyses indicated that these mutations contributed to the decrease of Mediator-associated kinase activity.^{68,69} Of the 16 cases of *MED12* mutations identified in the current study, one half were located in exon 2 and 37% were in L36 or Q43 hotspots; such mutations would be anticipated to impair function. Consistent with this notion, we found that CLL cells harboring *MED12* mutations almost invariably had high-level expression of *GLI1*. Exceptions to this were noted in 3 cases that had *MED12* mutations outside exon 2 that were predicted to not affect function; none of these cases expressed *GLI1*.

Although we found that a significantly higher proportion of the CLL cases with mutations in *Hh-pathway* genes expressed *GLI1* than CLL without such mutations, a major finding of this study was that an unexpectedly large number of cases without identified Hh pathway mutations were *GLI1*⁺. Although 84% of patients with CLL cells with mutations in the 10 *Hh-pathway* genes overexpressed *GLI1*, we discovered that more than one third (38%) of samples lacking identified mutations also expressed high levels of *GLI1*. As such, nearly one half (49%) of the subcohort of 210 CLL cases examined had activation of the Hh pathway.

The finding that a high proportion of CLL cells express *GLI1* has apparent functional and clinical significance. We found that expression of *GLI1* was associated with significant increases in the transcript and protein levels of downstream targets of *GLI1*, namely *E2F1* and *AKT1*, which were associated with increased expression and phosphorylation, respectively, of *BIM*. Furthermore, patients with *GLI1*⁺ CLL cells were observed to have a significantly shorter median TFS than patients with *GLI1*⁻ CLL cells independent of IGHV mutation status. The finding that the expression of *GLI1* could segregate even those patients with CLL cells that used unmutated IGHV into subgroups with significantly

different median TFS implies that activation of the Hh pathway can strongly influence disease progression in CLL, as has been noted in other cancers.¹⁸⁻²¹

Human lymphoid malignancies, such as T-ALL,⁷⁰ diffuse large B-cell lymphoma,⁷¹ anaplastic large cell lymphoma driven by nucleophosmin-anaplastic lymphoma kinase,⁷² mantle cell lymphoma,⁷³ or multiple myeloma,^{24,74} have been shown to have frequent activation of the Hh signaling pathway and sensitivity to SMO and *GLI1* inhibitors. In this study, we found that *GLI1*⁺ CLL cells were significantly more sensitive to treatment with GANT61 than *GLI1*⁻ CLL cells, regardless of whether the CLL cells had mutations in any 1 of the 10 *Hh-pathway* genes. This outcome suggests that the survival of *GLI1*⁺ CLL cells may have a greater dependency on activation of the Hh pathway. Activation of this pathway apparently may result from mutations in genes encoding proteins downstream of SMO, making cells harboring such mutations potentially insensitive to agents that solely inhibit SMO. Such cells still would be expected to be sensitive to inhibition of *GLI1*, which is a primary effector of Hh signaling. Finding frequent mutations in genes associated with the Hh pathway downstream of SMO could account for the relatively low activity of SMO inhibitors compared with *GLI1* inhibitors in killing CLL cells in vitro.^{27,28,30}

Nonetheless, the current study identified that a high proportion of the patients have CLL cells that have activation of the Hh pathway. Agents that block expression or function of *GLI1* may be particularly active in such patients. Targeting *GLI1* could block ligand-independent and ligand-dependent Hh pathway activation and perhaps overcome the apparent resistance to SMO inhibitors. As such, this report shows that the Hh pathway frequently is activated in CLL and associated with relatively rapid disease progression, while identifying a new avenue for therapeutic intervention for patients with this disease.

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Authorship

Contribution: E.M.G. and L.Z.R. designed, performed the research, analyzed the data, and wrote the paper; F.Y., J.D.M., and T.J.H. generated the

targeted sequencing datasets and revised the paper; D.S.N., O.H., and A.B. analyzed the data, performed statistical analysis, and revised the paper; E.N.S. and K.A.F. contributed to scientific discussion, data interpretation, and paper revision; and T.J.K. supervised the study, designed research, analyzed data, provided patient samples, and wrote the paper.

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The current affiliation for T.J.H. is AbbVie Inc., Redwood City, CA.

A list of participants of the HALT Pan-Leukemia Gene Panel Consortium appears in supplemental Appendix 1.

ORCID profiles: E.M.G., 0000-0002-6060-6106; D.S.N., 0000-0003-2566-3145; E.N.S., 0000-0001-9632-4760; J.D.M., 0000-0001-8049-9347; T.J.H., 0000-0002-1376-4849; O.H., 0000-0002-8098-9888; K.A.F., 0000-0002-6060-8902; T.J.K., 0000-0002-0064-4549.

Correspondence: Thomas J. Kipps, Moores Cancer Center, University of California San Diego, 3855 Health Sciences Dr, Room 4307, San Diego, CA 92093-0820; e-mail: tkipps@ucsd.edu.

Footnotes

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