# Related transplantation with HLA-1 Ag mismatch in the GVH direction and HLA-8/8 allele-matched unrelated transplantation: a nationwide retrospective study

Junya Kanda,<sup>1,2</sup> Hiroh Saji,<sup>3</sup> Takahiro Fukuda,<sup>4</sup> Takeshi Kobayashi,<sup>5</sup> Koichi Miyamura,<sup>6</sup> Tetsuya Eto,<sup>7</sup> Mineo Kurokawa,<sup>8</sup> Heiwa Kanamori,<sup>9</sup> Takehiko Mori,<sup>10</sup> Michihiro Hidaka,<sup>11</sup> Koji Iwato,<sup>12</sup> Takashi Yoshida,<sup>13</sup> Hisashi Sakamaki,<sup>5</sup> Junji Tanaka,<sup>14</sup> Keisei Kawa,<sup>15</sup> Yasuo Morishima,<sup>16</sup> Ritsuro Suzuki,<sup>17</sup> Yoshiko Atsuta,<sup>17</sup> and Yoshinobu Kanda<sup>1</sup>

<sup>1</sup>Division of Hematology, Saitama Medical Center, Jichi Medical University, Saitama, Japan; <sup>2</sup>Adult Stem Cell Transplant Program, Division of Cellular Therapy, Department of Medicine, Duke University Medical Center, Durham, NC; <sup>3</sup>HLA Laboratory, Kyoto, Japan; <sup>4</sup>Stem Cell Transplantation Division, National Cancer Center Hospital, Tokyo, Japan; <sup>5</sup>Hematology Division, Tokyo Metropolitan Cancer and Infectious Diseases Center, Komagome Hospital, Tokyo, Japan; <sup>6</sup>Department of Hematology, Japanese Red Cross Nagoya First Hospital, Nagoya, Japan; <sup>7</sup>Department of Hematology, Hamanomachi Hospital, Fukuoka, Japan; <sup>8</sup>Department of Hematology and Oncology, Graduate School of Medicine, University of Tokyo, Tokyo, Japan; <sup>9</sup>Department of Hematology, Kanagawa Cancer Center, Yokohama, Japan; <sup>10</sup>Department of Medicine, Division of Hematology, Keio University School of Medicine, Tokyo, Japan; <sup>11</sup>Department of Internal Medicine, National Hospital Organization, Kumamoto Medical Center, Kumamoto, Japan; <sup>12</sup>Department of Blood Transfusion, Hiroshima Red Cross and Atomic Bomb Survivors Hospital, Hiroshima, Japan; <sup>13</sup>Department of Internal Medicine, Toyama Prefectural Central Hospital, Toyama, Japan; <sup>14</sup>Stem Cell Transplantation Center, Hokkaido University Hospital, Sapporo, Japan; <sup>15</sup>Department of Haematology/Oncology, Osaka Medical Centre and Research Institute for Maternal and Child Health, Osaka, Japan; <sup>16</sup>Department of Hematology and Cell Therapy, Aichi Cancer Center Hospital, Nagoya, Japan; and <sup>17</sup>Department of Hematopoietic Stem Cell Transplantation Data Management/Biostatistics, Nagoya University School of Medicine, Nagoya, Japan

To clarify which is preferable, a related donor with an HLA-1 Ag mismatch at the HLA-A, HLA-B, or HLA-DR loci in the graft-versus-host (GVH) direction (RD/ 1AG-MM-GVH) or an HLA 8/8-allele (HLA-A, HLA-B, HLA-C, and HLA-DRB1)– matched unrelated donor (8/8-MUD), we evaluated 779 patients with acute leukemia, chronic myelogenous leukemia, or myelodysplastic syndrome who received a T cell–replete graft from an RD/1AG-MM-GVH or 8/8-MUD. The use of an RD/1AG-MM-GVH donor was significantly associated with a higher overall mortality rate than the use of an 8/8-MUD in a multivariate analysis (hazard ratio, 1.49; P < .001), and this impact was statistically significant only in patients with standard-risk diseases (P = .001). Among patients with standard-risk diseases who received transplantation from an RD/1AG-MM-GVH donor, the presence of an HLA-B Ag mismatch was significantly associated with a lower overall survival rate than an HLA-DR Ag mismatch because of an increased risk of treatment-related mortality. The HLA-C Ag mismatch or multiple allelic mismatches were frequently observed in the HLA-B Ag-mismatched group, and were possibly associated with the poor outcome. In conclusion, an 8/8-MUD should be prioritized over an RD/1AG-MM-GVH donor during donor selection. In particular, an HLA-B Ag mismatch in the GVH direction has an adverse effect on overall survival and treatment-related mortality in patients with standard-risk diseases. (*Blood.* 2012;119(10):2409-2416)

# Introduction

An HLA-matched unrelated donor (MUD) is considered to be an alternative donor in hematopoietic stem cell transplantation (SCT) for patients who lack an HLA-identical sibling. However, it is difficult to find an MUD for patients with rare HLA haplotypes. SCT from a related donor with 1 Ag mismatch at HLA-A, HLA-B, or HLA-DR loci in the graft-versus-host (GVH) direction results in a higher but acceptable incidence of acute GVHD and outcomes comparable to that of SCT from a matched related donor (MRD) in patients with high-risk diseases because it reduces the risk of relapse via a graft-versus-leukemia (GVL) effect.<sup>1-3</sup> In previous studies, HLA mismatches in the host-versus-graft (HVG) direction were associated with higher graft failure and lower overall survival (OS).<sup>1,2,4</sup> However, strategies to reduce the risk of graft failure might have been improved by the use of conditioning regimens that strongly suppress recipient immune system.<sup>5</sup> Therefore, in current clinical practice in Japan, SCT from a related donor with 1 Ag

Submitted August 8, 2011; accepted October 20, 2011. Prepublished online as *Blood* First Edition paper, October 31, 2011; DOI 10.1182/blood-2011-08-372573.

There is an Inside Blood commentary on this article in this issue.

The online version of this article contains a data supplement.

mismatch in the GVH direction and accepting multiple Ag mismatches in the HVG direction without specific stem cell manipulation is being performed,<sup>1,2</sup> although such an approach has not yet been evaluated in a large cohort.

Our previous study showed that SCT from an HLA-1 Agmismatched donor in the GVH or HVG direction is comparable to that from an HLA-A, HLA-B, or HLA-DR Ag-MUD.<sup>1</sup> However, this study is relatively old (1991-2000) and may not reflect current practice. Furthermore, the analysis was mainly performed based on serological matching, because information on HLA allele matching in unrelated transplantation was insufficient at that time. The importance of allele matching at the HLA-A, HLA-B, and HLA-DRB1 loci in unrelated donor transplantation has been established previously.<sup>6-8</sup> In addition, the importance of allele matching at the HLA-C locus has been highlighted in several recent studies of unrelated transplantation, although HLA-C matching is, in general,

The publication costs of this article were defrayed in part by page charge payment. Therefore, and solely to indicate this fact, this article is hereby marked "advertisement" in accordance with 18 USC section 1734.

© 2012 by The American Society of Hematology

still not considered in related transplantation.<sup>9-12</sup> Therefore, we conducted a nationwide retrospective study to compare the clinical outcomes of transplantation from a related donor with an HLA-1 Ag mismatch at the HLA-A, HLA-B, or HLA-DR loci in the GVH direction (RD/1AG-MM-GVH) with an HLA 8/8-allele (HLA-A, HLA-B, HLA-B, HLA-C, and HLA-DRB1)–MUD (8/8-MUD).

### Methods

#### **Data collection**

Data for patients 16-70 years of age with acute myelogenous leukemia (AML), acute lymphoblastic leukemia (ALL), myelodysplastic syndrome (MDS), or chronic myelogenous leukemia (CML) who received a first allogeneic transplantation from a related donor or HLA-6/6-Ag-MUD between January 1, 2001 and December 31, 2008 were obtained from the Transplant Registry Unified Management Program,<sup>13</sup> which includes data from the Japan Society for Hematopoietic Cell Transplantation and the Japan Marrow Donor Program. Our analysis included 344 patients who received a graft from an RD/1AG-MM-GVH donor and 453 patients who received a graft from an 8/8-MUD. The following patients were excluded: 11 patients who lacked data on survival status, survival date, sex of recipient and donor, stem cell source, GVHD prophylaxis, or performance status; 2 patients who received both BM and peripheral blood in related transplantation; and 5 patients who received stem cells manipulated by ex vivo T-cell depletion or CD34 selection. Finally, 327 patients who received a graft from an RD/1AG-MM-GVH donor and 452 patients who received a graft from an 8/8-MUD fulfilled the criteria. The data on 2318 patients who received transplantation from an MRD were also collected on the basis of similar inclusion and exclusion criteria to compare the OS rate. The study was approved by the data management committees of Transplant Registry Unified Management Program and by the institutional review board of Saitama Medical Center (Jichi Medical University, Saitama, Japan), where this study was organized.

#### Histocompatibility

Histocompatibility data for serological and genomic typing for the HLA-A, HLA-B, HLA-C, and HLA-DR loci were obtained from reports obtained from the institution at which the transplantation was performed. To reflect current practice in Japan, HLA matching in RD/1AG-MM-GVH donors was assessed by serological data for HLA-A, HLA-B, and HLA-DR loci, whereas that in 8/8-MUD was assessed by genomic data for HLA-A, HLA-B, HLA-B, HLA-C, and HLA-DR loci. When the recipient's Ags or alleles were not shared by the donor, this was considered an HLA mismatch in the GVH direction; when the donor's Ags or alleles were not shared by the recipient, this was considered a mismatch in the HVG direction. SCT from a related donor with 1 Ag mismatches in the HVG direction,<sup>1,2</sup> and therefore was included in this study.

#### End points and statistical analyses

The primary end point of the study was to compare OS rates between the RD/1AG-MM-GVH and 8/8-MUD groups. For exploratory purposes, OS, treatment-related mortality (TRM), relapse, acute and chronic GVHD, and cumulative incidences of neutrophil engraftment were analyzed in a subset of cohorts. The physicians who performed transplantation at each center diagnosed and graded acute and chronic GVHD according to standard criteria.<sup>14,15</sup> The incidence of chronic GVHD was evaluated in patients who survived for at least 100 days. Neutrophil recovery was considered to have occurred when the absolute neutrophil count exceeded  $0.5 \times 10^9$ /L for 3 consecutive days after transplantation.

Descriptive statistics were used to summarize variables related to patient characteristics. Comparisons between groups were performed with the  $\chi^2$  statistic or extended Fisher exact test as appropriate for categorical variables and the Mann-Whitney *U* test or the Kruskal-Wallis test as

appropriate for continuous variables. The probability of OS was estimated according to the Kaplan-Meier method, and the groups were compared with the log-rank test. The probabilities of TRM, relapse, acute and chronic GVHD, and neutrophil engraftment were estimated on the basis of cumulative incidence curves to accommodate the following competing events16: death for relapse, relapse for TRM, death without GVHD for acute and chronic GVHD, and death without engraftment for neutrophil engraftment; the groups were compared with a Gray test.<sup>17</sup> Cox proportionalhazards regression was used to evaluate variables that may affect OS, whereas the Fine and Gray proportional-hazard model was used to evaluate variables that may affect TRM, relapse, acute and chronic GVHD, and neutrophil engraftment.<sup>18</sup> For patients for whom conditioning intensity (myeloablative or reduced-intensity) was not reported, we reclassified the conditioning regimen as either myeloablative or reduced-intensity according to the National Marrow Donor Program/Center for International Blood and Marrow Transplant Research operational definitions.<sup>19</sup> To be consistent with our previous study, acute leukemia in the first or second remission, CML in the first or second chronic phase, and MDS without leukemic transformation were defined as standard-risk diseases, and others were defined as high-risk diseases.<sup>1</sup> The following variables were considered: the recipient's age group ( $\leq 50$  years or > 50 years at transplantation), recipient's sex, presence of female (donor) to male (recipient) sex mismatch, performance status (0-1 or 2-4), disease (AML, ALL, CML, or MDS), disease status before transplantation (standard- or high-risk), type of conditioning regimen (myeloablative or reduced-intensity), type of GVHD prophylaxis (cyclosporine-based, tacrolimus-based, or other), use of antithymocyte globulin or alemtuzumab, and the time from diagnosis to transplantation (< 6 months or  $\geq$  6 months). In addition, a variable of graft source (BM or peripheral blood) was also considered in the analysis specific to related donors. Factors with P < .10 in the univariate analysis were used in the first multivariate model without donor type and deleted in a stepwise manner from the model by backward selection. We added donor type to the final model. All tests were 2-sided, and P < .05 was considered to indicate statistical significance. All statistical analyses were performed with STATA Version 11 software (StataCorp) and R Version 2.12.0 software (The R Foundation for Statistical Computing).

# Results

#### Patient characteristics

Compared with recipients of an 8/8-MUD, recipients of an RD/1AG-MM-GVH were more likely to be younger, to be male receiving a transplantation from a female donor, to have a shorter duration from diagnosis to transplantation, to have a high-risk disease, to receive cyclosporine for GVHD prophylaxis, to receive antithymocyte globulin or alemtuzumab, and to have a longer follow-up period (Table 1). Approximately half of the recipients in the RD/1AG-MM-GVH group received peripheral blood stem cells, whereas during this period in Japan, the source of transplantation from an MUD was restricted to BM. In the RD/1AG-MM-GVH group, the number of Ag mismatches in the HVG direction was 0 in 11%, 1 in 67%, 2 in 20%, and 3 in 2%. HLA-A, HLA-B, and HLA-DRB1 allelic information in both recipients and donors was available in 148 of 327 transplantations from an RD/1AG-MM-GVH donor and information on HLA-C Ag mismatch in either the GVH or HVG direction was available in 123 of 327.

#### OS

The 2-year OS rates in the 8/8-MUD and RD/1AG-MM-GVH groups were 0.59 (95% confidence interval [CI], 0.53-0.64) and 0.44 (95% CI, 0.38-0.49), respectively (log-rank test; P < .001; Figure 1A). Multivariate analysis revealed that, compared with the use of an 8/8-MUD, the use of an RD/1AG-MM-GVH was a significant adverse factor for OS (hazard ratio [HR], 1.49; 95% CI,

#### Table 1. Patient characteristics

Variable	RD/1AG-MM-GVH (n = 327)	8/8 MUD (n = 452)	Р
Median age at transplantation,	45 (16-69)	48 (16-68)	.043
y (range)			
Recipient sex, n (%)			
Male	184 (56%)	267 (59%)	.434
Female	143 (44%)	185 (41%)	
Sex combination of donors and	d recipients, n (%)		
Female to male	91 (28%)	73 (16%)	< .001
Other combinations	236 (72%)	379 (84%)	
Performance status, n (%)			
0/1	298 (91%)	415 (92%)	.736
2/3/4	29 (9%)	37 (8%)	
Disease, n (%)			
AML	167 (51%)	249 (55%)	.512
ALL	90 (28%)	107 (24%)	
CML	19 (6%)	21 (5%)	
MDS	51 (16%)	75 (17%)	
Duration from diagnosis to trar		× /	
< 6 mo	124 (38%)	102 (23%)	< .001
≥ 6 mo	191 (58%)	350 (77%)	
Unknown	12 (4%)	0 (0%)	
Disease risk, n (%)	(1,0)	0 (0 /0)	
Standard	175 (54%)	317 (70%)	< .001
High	133 (41%)	129 (29%)	
Unknown	19 (6%)	6 (1%)	
Source of stem cells, n (%)	10 (070)	0 (170)	
BM	142 (43%)	452 (100%)	< .001
Peripheral blood	185 (57%)	102 (100 /0)	
HLA compatibility in the HVG d	, ,		
Matched	36 (11%)	452 (100%)	< .001
1-antigen mismatch	218 (67%)	102 (100 %)	< .001
2-antigen mismatch	65 (20%)		
3-antigen mismatch	8 (2%)		
HLA compatibility in the GVH d			
Matched	0 (0%)	452 (100%)	< .001
1-allele mismatch	111 (34%)	102 (100 /0)	
2-allele mismatch	36 (11%)		
3-allele mismatch	1 (0%)		
Uncertain/missing	179 (55%)		
Conditioning regimen, n (%)	175 (5576)		
Myeloablative	243 (74%)	338 (75%)	.883
Reduced-intensity	84 (26%)	114 (25%)	.000
GVHD prophylaxis, n (%)	07 (20/0)	117 (23/0)	
Cyclosporine-based	113 (35%)	108 (24%)	0.004
Tacrolimus-based	209 (64%)	338 (75%)	0.004
Others	5 (2%)	6 (1%)	
Use of ATG/alemtuzumab, n (%		0 (1 /0)	
Yes	-	13 (3%)	< .001
No	33 (10%) 294 (90%)	13 (3%)	< .001
NO	, ,	439 (97%)	
Median follow-up of survivors,	36.2 (3.0-95.7)	13.5 (1.7-62.8)	< .001

\*HLA compatibility was defined according to the HLA-A, HLA-B, and HLA-DR loci.

1.19-1.86; P < .001; Table 2). Age > 50 years, performance status  $\geq$  2, and high-risk disease were also found to be significant adverse factors, whereas other variables, such as the time from diagnosis to transplantation, were not.

Because our previous study showed that the impact of an HLA-1 Ag mismatch in a related transplantation on OS differed according to whether patients had standard-risk or high-risk diseases,<sup>1</sup> the survival rates were compared separately in each disease-risk group. The OS rates of patients with standard-risk diseases in the 8/8-MUD group were significantly higher than those

in the RD/1AG-MM-GVH group (P = .003), whereas there was no significant difference in high-risk patients (P = .090; Figure 1B-C). Although the interaction between the donor type and disease risk did not reach statistical significance (P = .140), multivariate analyses in each disease-risk group showed that the adverse impact of the use of an RD/1AG-MM-GVH donor was significant in standard-risk patients (HR, 1.72; 95% CI, 1.24-2.39; P = .001), but not in high-risk patients (Table 2).

To visually compare MRDs and other stem-cell sources, the OS rate for MRDs was layered on those for MUDs and RD/1AG-MM-GVHs (Figure 1). The OS curve of transplantation from an MRD

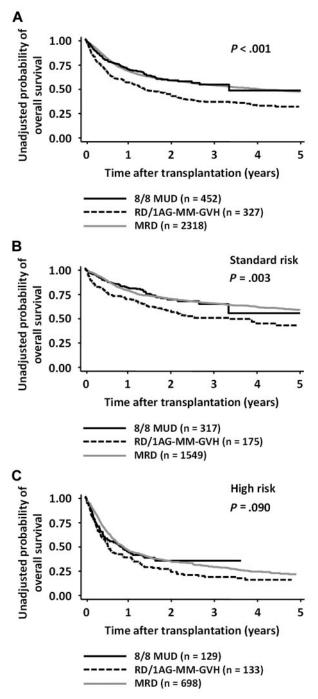


Figure 1. OS according to donor type and risk of disease. OS after transplantation from an RD/1AG-MM-GVH donor, an 8/8-MUD, and HLA-MRD in patients with both-risk (A), standard-risk (B), or high-risk diseases (C). Survival rates in the 8/8-MUD and RD/1AG-MM-GVH groups were compared with the log-rank test.

Variable	Total (n = 779)		Standard-risk (n = 492)		High-risk (n = 262)	
	HR (95% CI)	Р	HR (95% CI)	Р	HR (95% CI)	Р
Donor type						
8/8 MUD	1.00		1.00		1.00	
RD/1AG-MM-GVH	1.49 (1.19-1.86)	< .001	1.72 (1.24-2.39)	.001	1.30 (0.96-1.76)	.095
Age, y						
≤ 50	1.00		1.00			
> 50	1.44 (1.16-1.79)	.001	1.55 (1.13-2.15)	.007		
Performance status						
0/1	1.00				1.00	
2/3/4	1.79 (1.30-2.48)	< .001			1.76 (1.24-2.52)	.002
Disease risk						
Standard	1.00					
High	2.41 (1.92-3.03)	< .001				
Unknown	1.38 (0.82-2.33)	.227				

#### Table 2. Multivariate analysis of OS

Only variables that remained after backward selection in the multivariate analysis are shown.

was superimposed on that from an MUD in both standard- and high-risk patients (MRD vs MUD: standard-risk group, P = .895, and high-risk group, P = .581). Multivariate analysis confirmed that OS in the MRD group was comparable to the MUD group (MRD vs MUD: standard-risk group, HR, 1.02; 95% CI, 0.79-1.32; P = .878; high-risk group, HR, 0.98; 95% CI, 0.76-1.26; P = .865).

#### Effect of HLA mismatches on OS

To identify factors that may contribute to the inferior OS in standard-risk patients in the RD/1AG-MM-GVH group compared with those in the 8/8-MUD group, we evaluated the impact of each HLA-A, HLA-B, or HLA-DR Ag mismatch in the GVH direction and the number of Ag mismatches in the HVG direction on OS rates in the RD/1AG-MM-GVH group.

In the RD/1AG-MM-GVH group, the OS rate for patients who received a transplantation from a related donor with an HLA-B Ag mismatch in the GVH direction and that from a donor with 2 or 3 Ag mismatches in the HVG direction were significantly lower than those in other groups (log-rank test for HLA-A Ag mismatch vs HLA-B Ag mismatch vs HLA-DR Ag mismatch in the GVH direction, P < .001, and 0-1 mismatches vs 2-3 mismatches in the HVG direction, P = .003; Figure 2). However, multivariate analysis revealed that only the presence of an HLA-B Ag mismatch in the GVH direction (HR, 1.57; 95% CI, 1.13-2.18; P = .007) was significantly associated with a lower OS (Table 3).

The OS rates were also compared separately in the standard-risk and high-risk disease groups (Figure 2). Although the interaction between the presence of an HLA-B Ag mismatch and disease risk did not reach statistical difference (P = .232), the adverse impact of an HLA-B Ag mismatch in the GVH direction was observed in the standard-risk group (HR, 1.86 95% CI, 1.14-3.01; P = .012), but not in the high-risk group (Table 3). Conversely, the survival curve for the HLA-A Ag or HLA-DR Ag-mismatched group was almost superimposed on that for 8/8-MUDs (Figure 2; standardrisk group: for the HLA-A Ag-mismatched group vs the 8/8-MUD group, HR, 1.26; 95% CI, 0.73-2.19; P = .411; for the HLA-DR Ag-mismatched group vs the 8/8-MUD group, HR, 1.37; 95% CI, 0.89-2.11; P = .154; high-risk group: for the HLA-A Agmismatched group vs the 8/8-MUD group, HR, 1.26; 95% CI, 0.80-2.00; P = .320; and for the HLA-DR Ag-mismatched group vs the 8/8-MUD group, HR, 1.03; 95% CI, 0.67-1.59]; P = .880). The impact of 2 or 3 Ag mismatches in the HVG direction was not significant in either the standard-risk or high-risk group (Table 3).

# Effect of an HLA-B mismatch on TRM, relapse, GVHD, and neutrophil engraftment in patients with standard-risk diseases

Our findings showed that an HLA-B Ag mismatch in the GVH direction strongly contributed to the low survival rate in standardrisk patients, which can explain the inferior survival rates in the RD/1AG-MM-GVH group compared with the 8/8-MUD group. Therefore, we evaluated the impact of an HLA-B Ag mismatch in the GVH direction on other outcomes in patients with standard-risk diseases in the RD/1AG-MM-GVH group.

First, we compared the characteristics of patients with standardrisk diseases who received transplantation from a related donor with an HLA-A, HLA-B, and HLA-DR Ag mismatch in the GVH direction (supplemental Table 1, available on the *Blood* Web site; see the Supplemental Materials link at the top of the online article). Two or 3 Ag mismatches in the HVG direction were observed more frequently in the HLA-B Ag-mismatched group (28%) than in the HLA-A Ag-mismatched group (2%) or the HLA-DR Ag-mismatched group (17%). Although there was no information available on allelic mismatch or HLA-C Ag mismatch in more than half of the patients, an HLA-C Ag mismatch in either the GVH or HVG direction was observed more frequently in the HLA-B Agmismatched group (61% among the available data) than in the HLA-A Ag-mismatched group (25%) or the HLA-DR Agmismatched group (17%).

The incidence of TRM was higher in the HLA-B Agmismatched group (3-year mortality rate: HR, 0.47; 95% CI, 0.32-0.60) than in the HLA-A Ag-mismatched group (HR, 0.28; 95% CI, 0.14-0.44) or the HLA-DR Ag-mismatched group (HR, 0.27; 95% CI, 0.17-0.38; Figure 3A; log-rank test, P = .030). The presence of an HLA-B Ag mismatch in the GVH direction was an independent significant adverse factor that affected TRM in the RD/1AG-MM-GVH group (Table 4). Conversely, the incidence of relapse did not significantly differ among the 3 groups (Figure 3B and Table 4).

The incidence of grade 2-4 acute GVHD in the HLA-B Ag-mismatched group was higher than that in the HLA-A Ag-mismatched group, but comparable to that in the HLA-DR Ag-mismatched group (supplemental Figure 1 and supplemental Table 2). There was no significant difference in the incidence of grade 3-4 acute GVHD among the 3 groups. Regarding neutrophil engraftment, multivariate analysis showed that an HLA-B Ag mismatch was significantly associated with inferior neutrophil engraftment and 2 or 3 Ag mismatches in the HVG direction were

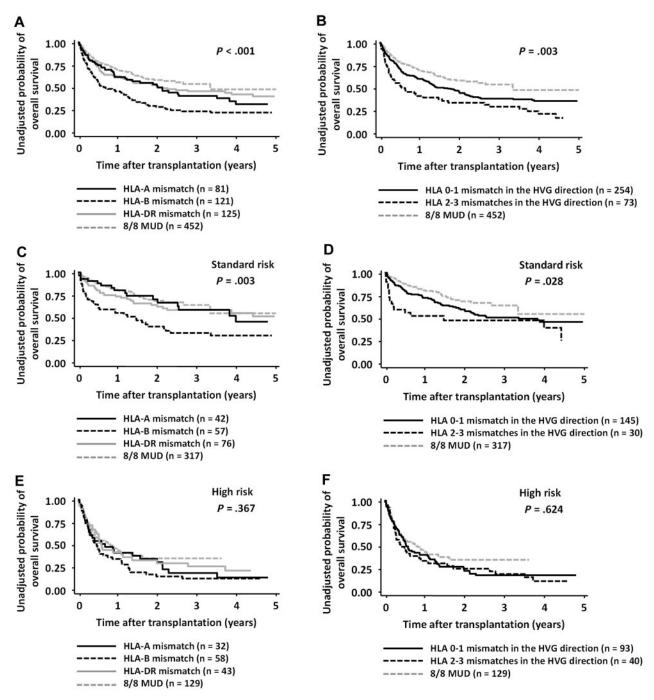


Figure 2. OS in patients with both-risk, standard-risk, or high-risk diseases according to the locus of HLA mismatch in the GVH direction and the number of mismatches in the HVG direction. Survival rates in patients with HLA-A, HLA-B, and HLA-DR Ag mismatches in the GVH direction were compared with the log-rank test (A,C,E). Survival rates in patients with 0-1 and 2-3 mismatches in the HVG direction were compared with the log-rank test (B,D,F). Survival rates of the 8/8-MUD group are shown for visual comparison.

associated with inferior neutrophil engraftment, with marginal significance (supplemental Table 2).

## Discussion

In this nationwide retrospective study, we found that the survival rate of the RD/1AG-MM-GVH group was significantly inferior to that of the 8/8-MUD group, and this significant difference was observed only in patients with standard-risk diseases, although the

interaction between donor type and disease risk did not reach statistical significance. We reported previously that transplantation from a related donor with 1 Ag mismatch in the GVH or HVG direction gave a clinical outcome comparable to that of transplantation from a 6/6-Ag-MUD in patients with either standard-risk or high-risk diseases.<sup>1</sup> However, because HLA matching at the allelic level in unrelated transplantation significantly reduces the risk of GVHD, in the present study, the survival curve of transplantation from an 8/8-MUD was substantially improved, and could be superimposed on a curve corresponding to that from an MRD.

Variable	Total (n = 327)		Standard-risk (n = 175)		High-risk (n = 133)	
	HR (95% CI)	Р	HR (95% CI)	Р	HR (95% CI)	Р
HLA mismatch in the GVH	direction					
HLA-DR mismatch	1.00		1.00		1.00	
HLA-A mismatch	1.07 (0.73-1.56)	.737	0.98 (0.54-1.81)	.966	1.11 (0.65-1.89)	.701
HLA-B mismatch	1.57 (1.13-2.18)	.007	1.86 (1.14-3.01)	.012	1.36 (0.86-2.17)	.193
HLA mismatch in the HVG	direction					
0-1 mismatches	1.00		1.00		1.00	
2-3 mismatches	1.27 (0.91-1.76)	.154	1.67 (0.98-2.85)	.061	1.06 (0.69-1.61)	.799
Age, y						
≤ 50	1.00		1.00			
> 50	1.52 (1.14-2.03)	.004	1.87 (1.21-2.91)	.005		
Disease risk						
Standard	1.00					
High	2.06 (1.53-2.78)	< .001				
Unknown	1.00 (0.53-1.89)	.989				

Table 3. Multivariate analysis of OS in patients receiving transplantation from a related donor with a 1-antigen mismatch in the GVH direction

Only variables that remained after backward selection in the multivariate analysis are shown

Consistent with our findings, several studies have shown that the clinical outcomes of transplantation from an 8/8-10/10 MUD are comparable to those from an MRD.<sup>20,21</sup> The significant difference

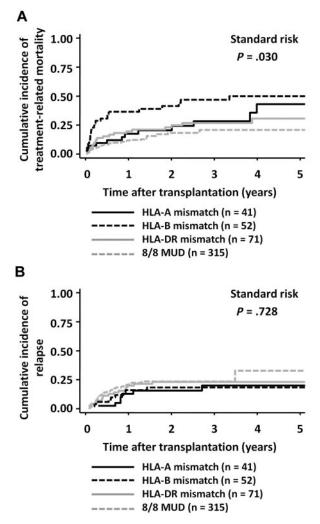


Figure 3. Cumulative incidence according to the locus of HLA mismatch in the GVH direction in patients with standard-risk diseases. Cumulative incidences in the related transplantation groups were compared with the Gray test. (A) TRM. (B) Relapse.

in survival rates between transplantation from an RD/1AG-MM-GVH donor and an 8/8-MUD disappeared in patients with highrisk diseases, probably because the adverse impact of acute GVHD on survival might be offset by the potential GVL effect in transplantation from an RD/1AG-MM-GVH donor.<sup>1,2,22</sup>

We evaluated factors that may contribute to the inferior OS in patients with standard-risk diseases in the RD/1AG-MM-GVH group and found that, compared with the presence of an HLA-DR Ag mismatch, the presence of an HLA-B Ag mismatch in the GVH direction was significantly associated with lower OS and higher TRM. Conversely, the rates of OS and TRM in the HLA-A Ag- or HLA-DR Ag-mismatched group were superimposed on those in the MUD group. However, HLA-A, HLA-B, and HLA-DR Ag mismatches had similar effects on the incidence of severe acute GVHD; consequently, the causal relationship between an HLA-B Ag mismatch in the GVH direction and higher TRM remains unknown. In contrast to our findings, Valcarcel et al reported that there was no significant difference in OS between the use of 1-Ag-mismatched related donors (n = 89) and 8/8-MUDs (n = 700) in transplantation for AML and ALL during the first or second complete remission.<sup>23</sup> This difference from our results can be partly

Table 4. Multivariate analysis of TRM and relapse in patients with standard-risk diseases receiving transplantations from a related donor with a 1-antigen mismatch in the GVH direction

	TRM (n = 16	4)	Relapse (n = 164)		
Variable	HR (95% CI)	Р	HR (95% CI)	Р	
HLA mismatch in the	GVH direction				
HLA-DR mismatch	1.00		1.00		
HLA-A mismatch	1.22 (0.59-2.52)	.587	0.70 (0.29-1.67)	.418	
HLA-B mismatch	2.00 (1.09-3.65)	.025	0.80 (0.34-1.87)	.605	
HLA mismatch in the I	HVG direction				
0-1 mismatches	1.00		1.00		
2-3 mismatches	2.21 (1.14-4.28)	.019	0.67 (0.23-1.98)	.467	
Age, y					
≤ 50	1.00				
> 50	2.08 (1.18-3.65)	.011			
Duration from diagnos	sis to transplantatior	1 I			
< 6 mo	1.00				
$\geq$ 6 mo	2.40 (1.19-4.82)	.014			
Unknown	2.23 (0.77-6.48)	.140			

Only variables that remained after backward selection in the multivariate analysis are shown. explained by the fact that the MUD group in their study included a significantly smaller number of ALL patients with low-risk cytogenetics. In addition, in our study, genetic homogeneity in the Japanese population might affect the lower incidence of severe acute GVHD in MUD transplantation because of the less frequent mismatches in minor histocompatibility Ags.<sup>24,25</sup>

The frequency of an HLA-C Ag mismatch was substantially higher in the HLA-B Ag-mismatched group than in the HLA-A or HLA-DR Ag-mismatched groups. This finding may represent linkage disequilibrium between the HLA-B and HLA-C genes, which are located at a very close physical proximity within the major histocompatibility complex.26,27 Therefore, the impact of HLA-B-Ag might be affected by the co-presence of HLA-C Ag mismatch. We could not evaluate the impact of HLA-C Ag mismatch on OS rates because of the limited information on HLA-C Ag mismatch; therefore, an analysis with larger cohorts with complete HLA-C Ag information will be needed to evaluate the impact of HLA-C and/or HLA-B mismatch in transplantation from an RD/1AG-MM-GVH donor. Accordingly, we could not evaluate the impact of the KIR ligand mismatch. Although the impact of KIR ligand mismatch is still controversial, several studies analyzing T cell-replete transplantation showed that KIR ligand mismatch is associated with lower OS.12,28,29 The analysis of KIR matching would be helpful in elucidating the mechanism underlying the adverse effect of HLA-B mismatch in T cell-replete transplantation from an RD/1AG-MM-GVH donor.

Whether the presence of allelic mismatches in addition to the 1-Ag mismatch (2 or more allelic mismatches in total) affects transplantation outcome is also an important clinical question in transplantation from an RD/1AG-MM-GVH donor. A high frequency of 2-allele mismatches in the GVH direction was seen in the HLA-B Ag-mismatched group, suggesting a possible association between 2-allele mismatches and low OS. However, we did not observe a significant effect of the number of allelic mismatches on OS after transplantation from an RD/1AG-MM-GVH donor, possibly because of the small sample size.

Our study has several limitations. First, because several months are required to arrange unrelated transplantations, patients at low risk for relapse may more often be selected for these procedures. To minimize this bias, we included the duration from diagnosis to transplantation in the multivariate analysis; however, this variable did not have a significant effect in the multivariate analysis. Second, heterogeneous backgrounds may have resulted in a bias. In particular, the stem-cell source in unrelated transplantation was exclusively BM. However, the analysis of OS in the subgroup of patients who received a BM graft from an RD/1AG-MM-GVH donor or an 8/8-MUD showed similar results. Third, because we have incomplete Ag and allele information on the HLA-C and -DQB1 loci, we may have underestimated the degree of mismatching in transplantation from an RD/1AG-MM-GVH donor. Fourth, the difference in the impact of donor type between standard- and high-risk diseases should be cautiously interpreted, because the interaction between the donor type and disease risk did not reach statistical significance. This may be partly because of the lower statistical power to detect the interaction than the main effect.

In conclusion, our findings suggest that an 8/8-MUD, if available, should be prioritized over an RD/1AG-MM-GVH donor for patients without an MRD if an immediate transplantation is not necessary. In particular, the presence of an HLA-B Ag mismatch in the GVH direction has an adverse effect on OS because of treatment-related complications. This may be because of the high frequencies of additional mismatches of HLA-C Ag or allele in the HLA-B Ag-mismatched group. To elucidate the mechanism of the adverse outcomes in RD/1AG-MM-GVH donors with an HLA-B Ag mismatch, HLA Ag/allele matching including HLA-C should be performed in transplantations from an RD/1AG-MM-GVH donor.

# Acknowledgments

The authors are indebted to all of the physicians and data managers at the centers who contributed valuable data on transplantation to the Japan Society for Hematopoietic Cell Transplantation and the Japan Marrow Donor Program. They also thank all of the members of the data management committees of the Japan Society for Hematopoietic Cell Transplantation and the Japan Marrow Donor Program for their management of data.

J.K. is a Research Fellow of the Japan Society for the Promotion of Science. This work was supported in part by a grant-in-aid for Japan Society for the Promotion of Science (to J.K.).

# Authorship

Contribution: Y.K. designed the research and organized the project; J.K., H. Saji, and Y.K. reviewed and analyzed the data and wrote the manuscript; J.K. and Y.K. performed the statistical analysis; H. Sakamaki, J.T., R.S., and Y.A. collected data from Japan Society for Hematopoietic Cell Transplantation; K.K. and Y.M. collected data from Japan Marrow Donor Program; and all authors interpreted the data and reviewed and approved the final manuscript.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

Correspondence: Yoshinobu Kanda, MD, Division of Hematology, Saitama Medical Center, Jichi Medical University, 1-847 Amanuma Town, Omiya Ward, Saitama City, Saitama, Japan 330-8503; e-mail: ycanda-tky@umin.ac.jp.

# References

- Kanda Y, Chiba S, Hirai H, et al. Allogeneic hematopoietic stem cell transplantation from family members other than HLA-identical siblings over the last decade (1991-2000). *Blood.* 2003;102(4): 1541-1547.
- Teshima T, Matsuo K, Matsue K, et al. Impact of human leucocyte antigen mismatch on graftversus-host disease and graft failure after reduced intensity conditioning allogeneic haematopoietic stem cell transplantation from related donors. Br J Haematol. 2005;130(4):575-587.
- Anasetti C, Beatty PG, Storb R, et al. Effect of HLA incompatibility on graft-versus-host disease, relapse, and survival after marrow transplantation

for patients with leukemia or lymphoma. Hum Immunol. 1990;29(2):79-91.

- Anasetti C, Amos D, Beatty PG, et al. Effect of HLA compatibility on engraftment of bone marrow transplants in patients with leukemia or lymphoma. N Engl J Med. 1989;320(4):197-204.
- Lu DP, Dong L, Wu T, et al. Conditioning including antithymocyte globulin followed by unmanipulated HLA-mismatched/haploidentical blood and marrow transplantation can achieve comparable outcomes with HLA-identical sibling transplantation. *Blood*. 2006;107(8):3065-3073.
- 6. Morishima Y, Sasazuki T, Inoko H, et al. The clinical significance of human leukocyte antigen

(HLA) allele compatibility in patients receiving a marrow transplant from serologically HLA-A, HLA-B, and HLA-DR matched unrelated donors. *Blood.* 2002;99(11):4200-4206.

- Sasazuki T, Juji T, Morishima Y, et al. Effect of matching of class I HLA alleles on clinical outcome after transplantation of hematopoietic stem cells from an unrelated donor. Japan Marrow Donor Program. N Engl J Med. 1998;339(17):1177-1185.
- Lee SJ, Klein J, Haagenson M, et al. Highresolution donor-recipient HLA matching contributes to the success of unrelated donor marrow transplantation. *Blood*. 2007;110(13):4576-4583.

- Kawase T, Morishima Y, Matsuo K, et al. High-risk HLA allele mismatch combinations responsible for severe acute graft-versus-host disease and implication for its molecular mechanism. *Blood*. 2007;110(7):2235-2241.
- Flomenberg N, Baxter-Lowe LA, Confer D, et al. Impact of HLA class I and class II high-resolution matching on outcomes of unrelated donor bone marrow transplantation: HLA-C mismatching is associated with a strong adverse effect on transplantation outcome. *Blood.* 2004;104(7):1923-1930.
- Woolfrey A, Klein JP, Haagenson M, et al. HLA-C antigen mismatch is associated with worse outcome in unrelated donor peripheral blood stem cell transplantation. *Biol Blood Marrow Transplant.* 2011;17(6):885-892.
- Morishima Y, Yabe T, Matsuo K, et al. Effects of HLA allele and killer immunoglobulin-like receptor ligand matching on clinical outcome in leukemia patients undergoing transplantation with T-cellreplete marrow from an unrelated donor. *Biol Blood Marrow Transplant*. 2007;13(3):315-328.
- Atsuta Y, Suzuki R, Yoshimi A, et al. Unification of hematopoietic stem cell transplantation registries in Japan and establishment of the TRUMP System. Int J Hematol. 2007;86(3):269-274.
- Przepiorka D, Weisdorf D, Martin P, et al. 1994 Consensus Conference on Acute GVHD Grading. *Bone Marrow Transplant*. 1995;15(6):825-828.
- Sullivan KM, Agura E, Anasetti C, et al. Chronic graft-versus-host disease and other late complications of bone marrow transplantation. *Semin Hematol.* 1991;28(3):250-259.
- 16. Gooley TA, Leisenring W, Crowley J, Storer BE. Estimation of failure probabilities in the presence

of competing risks: new representations of old estimators. *Stat Med.* 1999;18(6):695-706.

- Gray RJ. A class of k-sample tests for comparing the cumulative incidence of a competing risk. *Ann Stat.* 1988;16:1141-1154.
- Fine JP, Gray RJ. A proportional hazards model for subdistribution of a competing risk. J Am Stat Assoc. 1999;94(446):456-509.
- Giralt S, Ballen K, Rizzo D, et al. Reducedintensity conditioning regimen workshop: defining the dose spectrum. Report of a workshop convened by the center for international blood and marrow transplant research. *Biol Blood Marrow Transplant*. 2009;15(3):367-369.
- Schetelig J, Bornhauser M, Schmid C, et al. Matched unrelated or matched sibling donors result in comparable survival after allogeneic stemcell transplantation in elderly patients with acute myeloid leukemia: a report from the cooperative German Transplant Study Group. J Clin Oncol. 2008;26(32):5183-5191.
- Yakoub-Agha I, Mesnil F, Kuentz M, et al. Allogeneic marrow stem-cell transplantation from human leukocyte antigen-identical siblings versus human leukocyte antigen-allelic-matched unrelated donors (10/10) in patients with standard-risk hematologic malignancy: a prospective study from the French Society of Bone Marrow Transplantation and Cell Therapy. J Clin Oncol. 2006; 24(36):5695-5702.
- Szydlo R, Goldman JM, Klein JP, et al. Results of allogeneic bone marrow transplants for leukemia using donors other than HLA-identical siblings. *J Clin Oncol.* 1997;15(5):1767-1777.
- 23. Valcárcel D, Sierra J, Wang T, et al. One-antigen mismatched related versus HLA-matched unrelated donor hematopoietic stem cell transplanta-

tion in adults with acute leukemia: Center for International Blood and Marrow Transplant Research results in the era of molecular HLA typing. *Biol Blood Marrow Transplant*. 2011;17(5): 640-648.

- Oh H, Loberiza FR Jr, Zhang MJ, et al. Comparison of graft-versus-host-disease and survival after HLA-identical sibling bone marrow transplantation in ethnic populations. *Blood.* 2005;105(4): 1408-1416.
- Morishima S, Ogawa S, Matsubara A, et al. Impact of highly conserved HLA haplotype on acute graft-versus-host disease. *Blood.* 2010;115(23): 4664-4670.
- Prasad VK, Heller G, Kernan NA, O'Reilly RJ, Yang SY. The probability of HLA-C matching between patient and unrelated donor at the molecular level: estimations based on the linkage disequilibrium between DNA typed HLA-B and HLA-C alleles. *Transplantation*. 1999;68(7):1044-1050.
- Nakajima F, Nakamura J, Yokota T. Analysis of HLA haplotypes in Japanese, using high resolution allele typing. *MHC*. 2001;8(1):1-32.
- Sun JY, Dagis A, Gaidulis L, et al. Detrimental effect of natural killer cell alloreactivity in T-replete hematopoietic cell transplantation (HCT) for leukemia patients. *Biol Blood Marrow Transplant*. 2007;13(2):197-205.
- Yabe T, Matsuo K, Hirayasu K, et al. Donor killer immunoglobulin-like receptor (KIR) genotypepatient cognate KIR ligand combination and antithymocyte globulin preadministration are critical factors in outcome of HLA-C-KIR ligand-mismatched T cell-replete unrelated bone marrow transplantation. *Biol Blood Marrow Transplant.* 2008;14(1):75-87.