## **Brief report**

# Chromosome aberrations detected by conventional karyotyping using novel mitogens in chronic lymphocytic leukemia with "normal" FISH: correlations with clinicobiologic parameters

Gian Matteo Rigolin,<sup>1</sup> Francesca Cibien,<sup>1</sup> Sara Martinelli,<sup>1</sup> Luca Formigaro,<sup>1</sup> Lara Rizzotto,<sup>1</sup> Elisa Tammiso,<sup>1</sup> Elena Saccenti,<sup>1</sup> Antonella Bardi,<sup>1</sup> Francesco Cavazzini,<sup>1</sup> Maria Ciccone,<sup>1</sup> Ilaria Nichele,<sup>2</sup> Giovanni Pizzolo,<sup>2</sup> Francesco Zaja,<sup>3</sup> Renato Fanin,<sup>3</sup> Piero Galieni,<sup>4</sup> Alessia Dalsass,<sup>4</sup> Francesca Mestichelli,<sup>4</sup> Nicoletta Testa,<sup>4</sup> Massimo Negrini,<sup>5</sup> and Antonio Cuneo<sup>1</sup>

<sup>1</sup>Section of Hematology, Azienda Ospedaliero-Universitaria, Arcispedale S. Anna, University of Ferrara, Ferrara, Italy; <sup>2</sup>Section of Hematology, Department of Medicine, University of Verona, Verona, Italy; <sup>3</sup>Department of Hematology and Dipartimento Ricerche Mediche Morfologiche, Azienda Ospedaliero Universitaria S. Maria della Misericordia, Udine, Italy; 4 Division of Hematology, Ospedale G. Mazzoni, Ascoli Piceno, Italy; and 5 Department of Experimental and Diagnostic Medicine and Laboratorio per le Tecnologie delle Terapie Avanzate, University of Ferrara, Ferrara, Italy

It is unclear whether karyotype aberrations that occur in regions uncovered by the standard fluorescence in situ hybridization (FISH) panel have prognostic relevance in chronic lymphocytic leukemia (CLL). We evaluated the significance of karyotypic aberrations in a learning cohort (LC; n = 64) and a validation cohort (VC; n = 84) of patients with chronic lymphocytic leukemia with "normal" FISH.

An abnormal karyotype was found in 21.5% and 35.7% of cases in the LC and VC, respectively, and was associated with lower immunophenotypic score а (P = .030 in the LC, P = .035 in the VC),advanced stage (P = .040 in the VC), and need for treatment (P = .002 in the LC, P = < .0001 in the VC). The abnormal karyotype correlated with shorter time to first treatment and shorter survival in

both the LC and the VC, representing the strongest prognostic parameter. In patients with chronic lymphocytic leukemia with normal FISH, karyotypic aberrations by conventional cytogenetics with novel mitogens identify a subset of cases with adverse prognostic features. (Blood. 2012; 119(10):2310-2313)

### Introduction

Chronic lymphocytic leukemia (CLL) is a clinically heterogeneous disease.<sup>1-3</sup> Adverse prognostic parameters include stage,<sup>4</sup> CD38 and the unmutated configuration of the variable region of the immunoglobulin heavy chain gene (IGHV),<sup>5</sup> ZAP-70,<sup>6</sup> and chromosome aberrations.7,8

According to fluorescence in situ hybridization (FISH) analysis to detect trisomy 12 and deletions at 13q14, 11q22-23/ATM, and 17p13/p53, 60%-80% of cases carry an abnormality.9 Patients without FISH aberrations (here referred to as "normal" FISH) have a relatively favorable outcome.9

In vitro stimulation with CpG-oligonucleotide DSP30 plus IL-2 (DSP30/IL2) improves the proliferation of CLL cells, yielding assessable metaphases in most patients.<sup>10</sup> By this method, chromosomal aberrations are detected in 80% of CLL patients, with some patients showing aberrations in regions not covered by the classic 4-probe panel.11

The present study was designed to assess whether karyotypic aberrations in CLL cases with normal FISH correlate with established clinical and prognostic parameters. The clinical and prognostic significance of karyotypic aberrations in normal FISH CLL was first evaluated in a retrospective single-center series of patients (learning cohort [LC]) diagnosed between 1998 and 2006 and then validated prospectively in a multicenter series of cases (validation

Submitted November 28, 2011; accepted January 8, 2012. Prepublished online as Blood First Edition paper, January 13, 2012; DOI 10.1182/blood-2011-11-395269

The online version of this article contains a data supplement.

cohort [VC]) diagnosed and analyzed for karyotype with DSP30/ IL2 stimulation between 2007 and 2011.

### Methods

The LC consisted of 65 of 70 unselected normal FISH CLL patients for whom a successful cytogenetic analysis was available. These patents were derived from a series of 218 consecutive CLL patients diagnosed between 1998 and 2006 at the Hematology Section of the University of Ferrara. The VC consisted of 85 of 85 normal FISH patients with successful cytogenetic analysis derived from a series of 274 consecutive CLL patients diagnosed according to National Cancer Institute criteria<sup>12</sup> at 4 GIMEMA (Gruppo Italiano Malattie EMatologiche dell'Adulto) CLL group centers between 2007 and 2011. The Matutes immunophenotypic score<sup>13</sup> was calculated, giving 1 point each to CD5 positivity, CD23 positivity, CD22 weak positivity, sIg (surface immunoglobulins) weak positivity, and FMC7 negativity. Only patients with a score  $\geq 3$  (ie, typical CLL) were included. The expression of CD38 (since 2000) and ZAP-70 (since 2003) was tested on fresh peripheral blood cells with a 20% cutoff for positivity, as described previously.14 This study was approved by the University of Ferrara ethics committee. Indications for treatment included increased white blood cell count with < 6-month lymphocyte doubling time, anemia or thrombocytopenia because of bone marrow infiltration or autoimmune phenomena not responding to steroids, and disease progression in the Binet staging system.

The publication costs of this article were defrayed in part by page charge payment. Therefore, and solely to indicate this fact, this article is hereby marked "advertisement" in accordance with 18 USC section 1734.

© 2012 by The American Society of Hematology

#### Table 1. Clinical correlations according to karyotype

Parameter	Learning cohort			Validation cohort			
	Normal karyotype (51 cases)	Abnormal karyotype (14 cases)	Р	Normal karyotype (54 cases)	Abnormal karyotype (30 cases)	Р	
Mean age, y (range)	64 (31-84)	69 (50-87)	NS	63 (41-90)	63 (32-84)	NS	
M/F	31/20	8/6	NS	34/20	23/7	NS	
Matutes score, 3/4/5	3/25/23	4/3/7	.030	4/24/25	7/6/12	.035	
Binet stage, A/B/C	47/3/1	10/3/1	.089	45/9/0	20/7/3	.040	
CD38 positive/negative	21/29	8/6	NS	11/41	12/18	.079	
ZAP-70 positive/negative	15/6	5/4	NS	16/31	15/11	.083	
IGVH unmutated/mutated	ND	ND		11/38	10/17	.191	
Therapy, yes/no	16/35	11/3	.002	6/48	19/11	< .0001	

M/F indicates male/female; NS, not significant; and ND, not done.

Fludarabine-containing regimens were used as first-line treatment; chlorambucil was used in some elderly and unfit patients.

In the LC, cytogenetic analysis was performed with traditional mitogens, as reported previously.<sup>8</sup> In the VC, cytogenetic analysis was centralized in the Ferrara center and performed with CpG-oligonucleotide DSP30 (2  $\mu$ M; TIB MolBiol) plus IL-2 (100 U/mL; StemCell Technologies) as described previously.<sup>10,15</sup> In all cases, cytogenetic analysis was conducted on the same samples used for FISH studies. Interphase FISH was performed on peripheral blood samples obtained at diagnosis or before therapy with probes for the following regions: 13q14, 12q13, 11q22/ATM, and 17p13/TP53 (Vysis/Abbott Co) as described previously.<sup>16</sup> IGHV genes were amplified from genomic DNA and sequenced according to standard methods; the cutoff of 98% homology to the germline sequence was chosen to discriminate between mutated (< 98% homology) and unmutated ( $\geq$  98% homology) cases, as reported previously.<sup>14</sup> TP53 mutational analysis was performed as described elsewhere.<sup>17</sup>

The Mann-Whitney test and the Fisher exact test were applied for quantitative and categorical variables, respectively. Time to first treatment (TFT) was calculated as the interval between diagnosis and the start of first-line treatment. Overall survival was calculated from the date of diagnosis until death of any cause or until the last patient follow-up. Survival curves were compared by log-rank test. Proportional hazards regression analysis was used to identify the significant independent prognostic variables on TFT. Statistical analysis was performed with Stata 8.0 (Stata Corp) and GraphPad Prism 4.00 for Windows software.

### **Results and discussion**

The present data show that a significant proportion of CLL cases with normal FISH carry chromosome aberrations in regions not covered by the 4-probe FISH panel used in most clinical trials.<sup>3,9</sup> An abnormal karyotype was observed in 14 (21.5%) of 65 and 30 (35.7%) of 84 patients in the LC and VC, respectively (P = NS). This novel cytogenetic category (ie, abnormal karyotype with normal FISH) accounted for 6.4% and 10.9% of the cases in the LC and VC, respectively, and for 8.9% in the pooled series of 492 patients in the present study, thus representing the fourth most common cytogenetic group, after deletions at 13q and 11q and trisomy 12.

Recurring aberrations were as follows (supplemental Tables 1 and 2, available on the *Blood* Web site; see the Supplemental Materials link at the top of the online article): 14q deletions in 8 cases (1 in the LC, 7 in the VC); 7q deletions in 5 cases (1 in the LC, 4 in the VC); 6q deletions in 5 cases (4 in the LC, 1 in the VC); 14q32 translocations in 4 cases (2 in the LC, 2 in the VC); and 3q deletions, distal to the q14 band, in 3 cases (all in the VC). A complex karyotype ( $\geq$  3 aberrations) was found in 14 patients, 12 of whom were in the VC.

The present study confirms previous observations that in CLL patients, stimulation with DSP30/IL2 improves the rate of metaphase generation (65/70 versus 85/85 cases in the LC and VC, respectively; P = .017).<sup>10</sup>

The correlations between karyotype and clinicobiologic parameters are reported in Table 1. Interestingly, the abnormal karyotype did not correlate with known molecular prognostic parameters, including CD38 and ZAP-70 positivity (in both the LC and VC) and *IGHV* mutational status in the VC.

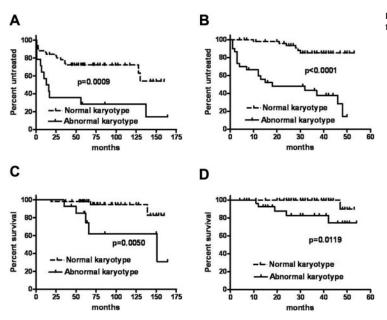
Most importantly, we demonstrated for the first time that among CLL patients with normal FISH, the abnormal karyotype correlated significantly with a shorter TFT in univariate analysis in both the LC and the VC (Table 2; Figure 1). On multivariate analysis, the factors independently predictive of shorter TFT were as follows: In the LC, CD38 positivity (hazard ratio [HR] 2.82, 95% CI 1.19-6.69, P = .018) and abnormal karyotype (HR 2.54, 95% CI 1.07-6.07, P = .034); in the VC, advanced Binet stage (HR 2.77, 95% CI 1.05-7.29, P = .039) and abnormal karyotype (HR 6.39, 95% CI 2.44-16.86, P < .001).

Recently, it was demonstrated that TP53 mutations<sup>18,19</sup> represent a strong prognostic marker in CLL. By mutational analysis, we observed no TP53 mutations in the VC (0 of 23 patients with abnormal karyotype). This finding is not surprising if we consider that patients with 17p- were excluded from the present analysis

Table 2. Analy	ysis of fa	actors affecting	time to f	irst treatment
----------------	------------	------------------	-----------	----------------

Parameter	Learning cohort			Validation cohort		
	No. of cases	HR (95% CI)	Р	No. of cases	HR (95% CI)	Р
Karyotype, abnormal vs normal	14 vs 51	3.33 (2.03-15.66)	.0009	34 vs 50	7.80 (4.36-25.12)	< .0001
Binet stage, B-C vs A	8 vs 57	4.56 (4.43-89.97)	< .0001	19 vs 65	3.66 (2.47-26.83)	.0006
CD38 positive vs negative	29 vs 35	3.26 (1.60-7.78)	.0018	23 vs 59	2.00 (0.91-5.73)	.0786
ZAP-70 positive vs negative	10 vs 20	2.31 (0.81-5.78)	NS	32 vs 41	1.52 (0.70-3.41)	NS
IGHV unmutated vs mutated	ND	ND	ND	21 vs 55	2.40 (1.11-7.64)	.0297

NS indicates not significant; and ND, not done.



and that among untreated CLL patients without 17p-, the incidence of *TP53* mutations is approximately 3%.<sup>17-19</sup>

The abnormal karyotype also correlated on univariate analysis with overall survival in both the LC and the VC (HR 5.87, 95% CI 2.08-62.68, P = .005, and HR 9.41, 95% CI 1.61-47.35, P = .0119, respectively; Figure 1). This observation needs to be validated in clinical trials with homogeneous treatment for larger series of patients.

In conclusion, conventional karyotyping with DSP30/IL2 stimulation is an effective method for the detection of chromosome aberrations in approximately one third of CLL patients with normal FISH on a conventional 4-probe panel. This set of data also showed that in CLL patients with normal FISH, conventional cytogenetic analysis identifies a subset of cases with adverse clinical and prognostic features that should be considered for the design of risk-adapted treatment strategies.

### Acknowledgments

The study was supported by FAR 2009 and 2010 of the University of Ferrara (G.M.R. and A.C.), Programma Ricerca Regione Università

### References

- Chiorazzi N, Rai KR, Ferrarini M. Chronic lymphocytic leukemia. N Engl J Med. 2005;352(8): 804-815.
- Zenz T, Mertens D, Küppers R, Döhner H, Stilgenbauer S. From pathogenesis to treatment of chronic lymphocytic leukaemia. *Nat Rev Cancer.* 2010;10(1):37-50.
- Grever MR, Lucas DM, Dewald GW, et al. Comprehensive assessment of genetic and molecular features predicting outcome in patients with chronic lymphocytic leukemia: results from the US Intergroup Phase III Trial E2997. J Clin Oncol. 2007;25(7):799-804.
- Binet JL, Auquier A, Dighiero G, et al. A new prognostic classification of chronic lymphocytic leukemia derived from a multivariate survival analysis. *Cancer.* 1981;48(1):198-206.
- 5. Damle RN, Wasil T, Fais F, et al. Ig V gene mutation status and CD38 expression as novel prog-

nostic indicators in chronic lymphocytic leukemia. *Blood.* 1999;94(6):1840-1847.

- Wiestner A, Rosenwald A, Barry TS, et al. ZAP-70 expression identifies a chronic lymphocytic leukemia subtype with unmutated immunoglobulin genes, inferior clinical outcome, and distinct gene expression profile. *Blood.* 2003; 101(12):4944-4951.
- Juliusson G, Oscier DG, Fitchett M, et al. Prognostic subgroups in B-cell chronic lymphocytic leukemia defined by specific chromosomal abnormalities. N Engl J Med. 1990;323(11):720-724.
- Cavazzini F, Hernandez JA, Gozzetti A, et al. Chromosome 14q32 translocations involving the immunoglobulin heavy chain locus in chronic lymphocytic leukaemia identify a disease subset with poor prognosis. *Br J Haematol.* 2008;142(4):529-537.
- 9. Dohner H, Stilgenbauer S, Benner A, et al. Genomic aberrations and survival in chronic lym-

2007-2009 University of Ferrara (G.M.R. and A.C.), AIL Ferrara, PRIN 2008 (A.C.), AIRC (G.P.), and Fondazioni CARIVERONA and CARIPARO (an integrated approach to Chronic Lymphoproliferative disorders: B-CLL and virus-related disorders; G.P.).

### Authorship

Contribution: G.M.R., G.P., R.F., P.G., and A.C. created and designed the study; G.M.R., F. Cibien, F. Cavazzini, M.C., I.N., F.Z., A.D., F.M., and N.T. provided study materials or patients; E.T. and A.B. performed the cytogenetic analysis; L.R., E.S., and M.N. performed the molecular studies; G.M.R., F. Cibien, S.M., L.F., F. Cavazzini, I.N., F.Z., and N.T. collected and assembled data; and all authors assisted in the analysis and/or interpretation of the data and critically revised and gave final approval of the manuscript.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

Correspondence: Gian Matteo Rigolin, MD, PhD, Hematology Section, Azienda Ospedaliero-Universitaria Arcispedale S. Anna, University of Ferrara, Corso Giovecca, 203, 44100, Ferrara, Italy; e-mail: rglgmt@unife.it.

phocytic leukemia. *N Engl J Med.* 2000;343(26): 1910-1916.

- Dicker F, Schnittger S, Haferlach T, et al. Immunostimulatory oligonucleotide-induced metaphase cytogenetics detect chromosomal aberrations in 80% of CLL patients: a study of 132 CLL cases with correlation to FISH, IgVH status, and CD38 expression. *Blood.* 2006;108(9):3152-3160.
- Haferlach C, Dicker F, Schnittger S, et al. Comprehensive genetic characterization of CLL: a study on 506 cases analysed with chromosome banding analysis, interphase FISH, IgVH status and immunophenotyping. *Leukemia*. 2007; 21(12):2442-2451.
- Hallek M, Cheson BD, Catovsky D, et al; International Workshop on Chronic Lymphocytic Leukemia. Guidelines for the diagnosis and treatment of chronic lymphocytic leukemia: a report from

the International Workshop on Chronic Lymphocytic Leukemia updating the National Cancer Institute-Working Group 1996 guidelines. *Blood.* 2008;111(12):5446-5456.

- Matutes E, Owusu-Ankomah K, Morilla R, et al. The immunological profile of B-cell disorders and proposal of a scoring system for the diagnosis of CLL. *Leukemia*. 1994;8(10):1640-1645.
- Rigolin GM, Maffei R, Rizzotto L, et al. Circulating endothelial cells in patients with chronic lymphocytic leukemia: clinical-prognostic and biologic significance. *Cancer*. 2010;116(8):1926-1937.
- Bardi A, Cavazzini F, Rigolin GM, et al. Employment of oligodeoxynucleotide plus interleukin-2 improves cytogenetic analysis in splenic marginal zone lymphoma. *J Biomed Biotechnol.* 2011; 2011:691493.
- Cuneo A, Rigolin GM, Bigoni R, et al. Chronic lymphocytic leukemia with 6q - shows distinct hematological features and intermediate prognosis. *Leukemia*. 2004;18(3):476-483.
- Rossi D, Cerri M, Deambrogi C, et al. The prognostic value of TP53 mutations in chronic lymphocytic leukemia is independent of del17p13: impli-

cations for overall survival and chemorefractoriness. *Clin Cancer Res.* 2009; 15(3):995-1004.

- Zenz T, Eichhorst B, Busch R, et al. TP53 mutation and survival in chronic lymphocytic leukemia. *J Clin Oncol.* 2010;28(29):4473-4479.
- Gonzalez D, Martinez P, Wade R, et al. Mutational status of the TP53 gene as a predictor of response and survival in patients with chronic lymphocytic leukemia: results from the LRF CLL4 trial. J Clin Oncol. 2011;29(16):2223-2229.