Brief report

Poor response to second-line kinase inhibitors in chronic myeloid leukemia patients with multiple low-level mutations, irrespective of their resistance profile

Wendy T. Parker,¹ Musei Ho,¹ Hamish S. Scott,^{1,2} Timothy P. Hughes,^{3,4} and Susan Branford^{1,3}

¹Department of Genetics and Molecular Pathology, Centre for Cancer Biology, SA Pathology, Adelaide, Australia; ²School of Molecular and Biomedical Science and ³School of Medicine, University of Adelaide, Adelaide, Australia; and ⁴Department of Hematology, Centre for Cancer Biology, SA Pathology, Adelaide, Australia

Specific imatinib-resistant *BCR-ABL1* mutations (Y253H, E255K/V, T315I, F317L, and F359V/C) predict failure of secondline nilotinib or dasatinib therapy in patients with chronic myeloid leukemia; however, such therapy also fails in approximately 40% of patients in the chronic phase of this disease who do not have these resistant mutations. We investigated whether sensitive mutation analysis could identify other poor-risk subgroups. Analysis was performed by direct sequencing and sensitive mass spectrometry on 220 imatinib-resistant patients before they began nilotinib or dasatinib therapy. Patients with resistant mutations by either method (n = 45) were excluded because inferior response was known. Of the remaining 175 patients, 19% had multiple mutations by mass spectrometry versus 9% by sequencing. Compared with 0 or 1 mutation, the presence of multiple mutations was associated with lower rates of complete cytogenetic response (50% vs 21%, P = .003) and major molecular response (31% vs 6%, P = .005) and a higher rate of new resistant mutations (25% vs 56%, P = .0009). Sensitive mutation analysis identified a poor-risk subgroup (15.5% of all patients) with multiple mutations not identified by standard screening. (*Blood.* 2012;119(10): 2234-2238)

Introduction

Mutation analysis is required to aid subsequent therapy selection for patients with chronic myeloid leukemia (CML) for whom imatinib therapy fails,^{1,2} because certain *BCR-ABL1* kinase domain mutations confer clinical resistance to nilotinib (Y253H, E255K/V, T315I, and F359V/C)³ or dasatinib (V299L, T315I/A, and F317L/I/ V/C)⁴⁻⁷ and are associated with poor outcome.^{3,7} However, secondline nilotinib or dasatinib therapy also fails in approximately 40% of patients with imatinib-resistant chronic phase (CP) CML without these resistant mutations.^{3,7} We examined the *BCR-ABL1* kinase domain of imatinib-resistant CML patients before commencing nilotinib/dasatinib therapy using sensitive multiplex mass spectrometry,⁸ to determine whether the number of mutations harbored by individual patients impacted subsequent response. Multiple sensitive mutations were associated with poor response and a high rate of new resistant mutations.

Methods

The patients analyzed were a subset of patients from previously reported studies^{3,7,9-11} and have been described formerly.⁸ The trials were run in accordance with the Declaration of Helsinki, and approvals were obtained from the relevant institutional review boards of all participating institutions. Peripheral blood samples of 220 imatinib-resistant CML patients (CP n = 100, accelerated phase n = 64, blast crisis n = 56) collected before subsequent treatment with nilotinib (n = 89) or dasatinib (n = 131) were investigated. The median follow-up during nilotinib/dasatinib treatment for patients in CP, accelerated phase, and blast crisis was 18 (range 2-33), 12 (range 1-36), and 3 (range 1-27) months, respectively. *BCR-ABL1*

mutation analysis was performed by direct sequencing (detection limit 10%-20%)¹² and retrospectively by mass spectrometry (Sequenom MassAR-RAY; detection limit 0.05%-0.5%),⁸ which detects 31 common mutations, including those clinically resistant to nilotinib³ or dasatinib.⁴⁻⁷ Mutations that were not clinically resistant to the second-line inhibitor received by the patient were classified as sensitive. Frequencies were compared with the χ^2 and Fisher exact tests.

Results and discussion

BCR-ABL1 mutations (n = 301) were detected before nilotinib/ dasatinib therapy was begun (switchover) in 139 of 220 imatinibresistant patients (supplemental Figure 1, available on the Blood Web site; see the Supplemental Materials link at the top of the online article). Of these, 44% (132/301) were low-level mutations that were detected by mass spectrometry alone (detected in 64 patients). We previously demonstrated that at switchover, mass spectrometry could detect mutations that conferred clinical resistance to the inhibitor received in 9% more patients than sequencing and that these low-level resistant mutations rapidly expanded and were associated with subsequent treatment failure.8 Therefore, when we assessed the impact of multiple mutations on response to subsequent nilotinib/dasatinib therapy, patients with these mutations detected by either method (n = 45) were excluded, because poor response was known (3 of 45 achieved complete cytogenetic response [CCyR]).8

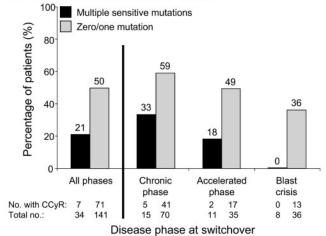
In the switchover samples of the remaining 175 patients, 159 mutations were detected in 86 patients by mass spectrometry,

The online version of this article contains a data supplement.

© 2012 by The American Society of Hematology

Submitted August 23, 2011; accepted December 19, 2011. Prepublished online as *Blood* First Edition paper, December 30, 2011; DOI 10.1182/blood-2011-08-375535.

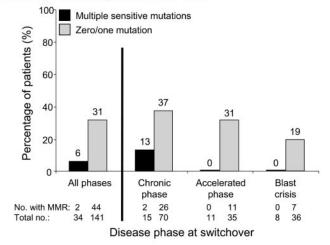
The publication costs of this article were defrayed in part by page charge payment. Therefore, and solely to indicate this fact, this article is hereby marked "advertisement" in accordance with 18 USC section 1734.



A CCyR rates according to mutation status



B MMR rates according to mutation status



C New resistant mutations according to mutation status

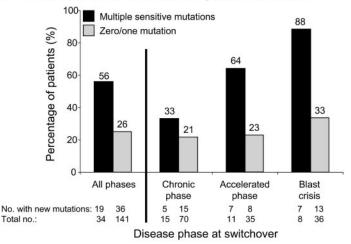


Figure 1. The association between multiple mutations and response to second-line kinase inhibitor therapy. Among the 220 imatinib-resistant CML patients analyzed for *BCR-ABL1* kinase domain mutations by sequencing and mass spectrometry before commencing nilotinib/dasatinib therapy (switchover), 175 did not have detectable mutations known to confer clinical resistance to the inhibitor they received. Patients were categorized on the basis of the presence (n = 34) or absence (n = 141) of multiple sensitive mutations detected by mass spectrometry at switchover. (A) Cumulative incidence of CCyR and/or its equivalent of $\leq 1\%$ *BCR-ABL1* IS (International Scale),²⁶ according to disease phase at switchover. (B) Cumulative incidence of major molecular response (MMR; $\leq 0.1\%$ *BCR-ABL1* IS), according to disease phase at switchover. (C) Rate of new resistant mutations detected by direct sequencing during nilotinib/dasatinib therapy, according to disease phase at switchover.

Table 1. Details on response during nilotinib or dasatinib therapy of imatinib-resistant patients with multiple mutations detected by
sensitive multiplex mass spectrometry at switchover (mutations were considered not clinically resistant to the inhibitor received by
the patient)

Disease phase	No. of mutations by mass spectrometry	Mutations by mass spectrometry	Therapy received	New mutations by sequencing during nilotinib/dasatinib*	Months to CCyR	Months to MMR	Follow-up, mo
СР	2	M244V, H396R	Dasatinib	M244V	No CCyR	No MMR	7
СР	2	M351T, H396R	Dasatinib		24	No MMR	30
CP	2	M244V, G250E	Dasatinib		3	No MMR	18
СР	2	G250E, E255K	Dasatinib		3	11	24
СР	2	G250E, M351T	Dasatinib	T315I	No CCyR	No MMR	18
СР	3	Q252H, E255K, H396R	Dasatinib	T315I	No CCyR	No MMR	5
СР	3	G250E, E355G, E459K	Dasatinib	<u>G250E</u> , F317L	No CCyR	No MMR	22
CP	3	M244V, L248V, F359I	Dasatinib	V299L	No CCyR	No MMR	20
CP	6	M244V, L248V, G250E, Y253H, M351T, E355G	Dasatinib		6	9	12
СР	8	L248V, G250E, E255V, M351T, F359V, H396R, E459K, F486S	Dasatinib	F317L × 3†	No CCyR	No MMR	10
CP	2	M244V, F359I	Nilotinib	<u>F359I</u>	No CCyR	No MMR	12
CP	2	V299L, F317L	Nilotinib	Q252H	No CCyR	No MMR	4
СР	2	M244V, G250E	Nilotinib		No CCyR	No MMR	15
CP	3	M351T, F486S, F317L	Nilotinib	F317L	No CCyR	No MMR	18
СР	5	G250E, M351T, E355G, F359I, H396R	Nilotinib	<u>G250E,</u> <u>F359I,</u> <u>H396R</u>	No CCyR	No MMR	3
AP	2	M244V, H396R	Dasatinib		21	No MMR	21
AP	2	G250E, H396R	Dasatinib	T315A	No CCyR	No MMR	16
AP	2	H396R, E459K	Dasatinib		No CCyR	No MMR	12
AP	2	Y253H, E255K	Dasatinib	T315I	No CCyR	No MMR	4
AP	3	M244V, E355G, F359V	Dasatinib	<u>M244V</u> , T315I	3	No MMR	35
AP	3	G250E, M351T, F359V	Dasatinib	F317L	No CCyR	No MMR	36
AP	3	M244V, M351T, H396R	Dasatinib		No CCyR	No MMR	33
AP	4	G250E, Y253F, M351T, H396R	Dasatinib	T240A‡, <u>G250E</u>	No CCyR	No MMR	5
AP	4	Y253F, F359I, F359V, H396R	Dasatinib	T315I	No CCyR	No MMR	18
AP	8	M244V, L248V, E255V, E279K, M351T, E355A, E459K, F486S	Dasatinib	<u>L248V</u> , T315I, <u>E355A</u>	No CCyR	No MMR	24
AP	2	M351T, H396R	Nilotinib	Y253H, E255V	No CCyR	No MMR	18
BC	2	F359V, H396R	Dasatinib	F317L	No CCyR	No MMR	8
BC	2	Y253H, E459K	Dasatinib	T315I	No CCyR	No MMR	3
BC	4	G250E, E279K, H396R, F486S	Dasatinib	F317L × 2§	No CCyR	No MMR	8
BC	9	M244V, L248V, G250E, Y253H, M351T, F359V, H396R, E459K, F486S	Dasatinib	F317L	No CCyR	No MMR	4
BC	2	M244V, Q252H	Nilotinib	Y253H, E255K, F359V	No CCyR	No MMR	2
BC	2	Y253F, F359I	Nilotinib		No CCyR	No MMR	3
BC	2	V299L, F317l	Nilotinib	E255V	No CCyR	No MMR	2
BC	2	M244V, D276G	Nilotinib	D276G, E255V	No CCyR	No MMR	5

CCyR indicates complete cytogenetic response; MMR, major molecular response; CP, chronic phase; AP, accelerated phase; and BC, blast crisis.

*Mutations became detectable by sequencing during nilotinib or dasatinib therapy. Mutations are coded according to the inhibitor received. Underlined mutations do not confer clinical resistance to the inhibitor received and were detected by mass spectrometry at switchover. Mutations in bold confer clinical resistance to the inhibitor received and were not detected at switchover by mass spectrometry.

†Three different F317L mutations were acquired in this patient (c.949T > C, c.951C > G, and c.951C > A).

‡Mutation not included in the design of the mass spectrometry assay.

Two different F317L mutations were detected in this patient (c.949T > C and c.951C > A).

but just 108 mutations were detected in 89 patients by sequencing. Thirteen rare mutations detected by sequencing were not included in the mass spectrometry assay design (the response of patients with these mutations has been detailed previously⁸). Mass spectrometry detected all other mutations detected by sequencing, plus 64 low-level mutations not detected by sequencing. Multiple (≥ 2) sensitive mutations were detected in more of the 175 patients by mass spectrometry (34/175, 19%; 2-9 mutations per patient) than by sequencing (16/175, 9%; 2-3 mutations per patient; P = .009).

During nilotinib/dasatinib therapy, 78 (45%) of 175 patients achieved CCyR and 46 (26%) achieved major molecular response. Sequencing was used to monitor patients during nilotinib/dasatinib therapy for emerging new mutations. New mutations were detected in 65 (37%) of 175 patients (n = 87 mutations). Sixty-six of these

new mutations (76%) were considered clinically resistant to the inhibitor received (T315I; n = 23).

We investigated whether the number of sensitive mutations detected by mass spectrometry at switchover impacted response to subsequent nilotinib/dasatinib therapy. Consistent with previous reports,^{3,6} patients with at least 1 mutation (n = 86) were more likely to develop new resistant mutations than patients without mutations (n = 89; 42% vs 21%, P = .006). However, no differences in the CCyR (40% vs 49%, P = .24) or major molecular response (27% vs 26%, P = .97) rates were observed between the 2 groups. When we analyzed the subgroup of patients with multiple mutations (n = 34), we could segregate a group with poorer response to nilotinib/dasatinib therapy. The frequency of multiple mutations for patients in CP, accelerated phase, and blast crisis was

18% (15/85), 24% (11/46), and 18% (8/44), respectively. Patients with 0 or 1 mutation, and similarly patients with 2 or > 2 mutations, were grouped together, because no difference in response was observed within these subgroups (data not shown). Likewise, similar responses were observed for nilotinib-treated patients and dasatinib-treated patients. Multiple mutations at switchover were associated with lower rates of CCyR (21% vs 50%, P = .003; Figure 1A) and major molecular response (6% vs 31%, P = .005; Figure 1B) and higher rates of new resistant mutations (56% vs 26%, P = .0013; Figure 1C; Table 1). Supplemental Figure 2 shows the failure-free survival¹ rates for the subgroup of CP patients. We were unable to assess whether other factors at switchover, such as prior response to imatinib, were associated with multiple sensitive mutations because this information was not available.

Interestingly, of the 64 low-level mutations detected at switchover, 12 (19%) expanded during nilotinib/dasatinib therapy and were detected by sequencing at a median of 3 months after switchover (Table 1). These mutant clones may have expanded because of differential inhibitor sensitivity. Indeed, most had lower in vitro sensitivity to the inhibitor received than did unmutated BCR-ABL1.^{13,14}

The present study suggests that the detection of multiple mutations at switchover, in the absence of resistant mutations, could classify a group of imatinib-resistant CML patients with poorer response to nilotinib/dasatinib therapy. This subgroup represented 15.5% of all patients in the present study cohort (34/220). Multiple mutations after imatinib resistance may be a marker of an increased propensity for subsequent selection of resistant mutations. Clonal diversity, the number of clones in a tumor, has been associated with cancer progression.¹⁵ Genetic instability, a hallmark of cancer and a feature of CML progression,16-19 drives clonal diversity if viable mutants can expand into detectable clones.15 Clonal diversity allows for interclonal cooperativity, in which clones with different mutations complement each other to drive progression, which has been observed in solid tumors.²⁰ Paracrine protection of imatinib-sensitive leukemic cells by low levels of imatinib-resistant cells with BCR-ABL1 mutations has also been reported.21

A recent study found no correlation between the number of low-level mutations (detection limit 1%) in tyrosine kinase inhibitornaïve, Philadelphia chromosome-positive acute lymphoblastic leukemia patients and the likelihood of relapse when taking dasatinib.²² However, only 15 patients were studied, and all had ≥ 2 mutations, which may signify high clonal diversity in these patients. Another study reported that multiple mutations by sequencing at switchover in imatinib-resistant CML patients were associated with low response and progression-free survival rates on second-line therapy.²³ However, only 7 patients were identified with multiple mutations (3% of patients screened), and 5 of 7 with multiple mutations already had mutations that the authors classified as highly resistant to the second-line therapy received, which may be associated with the poor response.

Direct sequencing is the method currently recommended to assess *BCR-ABL1* mutation status.² However, we⁸ and others²⁴ have demonstrated that low-level mutations are clinically signifi-

cant for imatinib-resistant patients. Therefore, we believe that low-level mutation detection should be incorporated into clinical practice to aid therapy selection after imatinib failure. Among the 220 patients analyzed in the present study, the number of mutations detected per patient by mass spectrometry (maximum of 10; 18 [13%] of 139 patients with mutations had \geq 4) far exceeded the number concurrently detected by sequencing (maximum of 4; 2 [1%] of 139 patients had 4) and the numbers previously reported by use of sequencing alone.²⁵ This suggests that mass spectrometry detected a pool of subclonal mutants, each with a small survival advantage after imatinib therapy that was insufficient for their clonal predominance. The study demonstrates the advantage of sensitive multiplex mutation analysis, as opposed to current sensitive singleplex techniques such as allele-specific oligonucleotide PCR. Ultra-deep next-generation sequencing, however, may allow simultaneous detection of all mutations.

In conclusion, sensitive *BCR-ABL1* mutation analysis identified a poor-risk subgroup of imatinib-resistant CML patients with multiple mutations who were not identified by sequencing. This subgroup represented 15.5% of all patients, who would not otherwise be classified as being at risk of poor response on the basis of their mutation status determined by conventional sequencing. This poor-risk subgroup may warrant closer monitoring, experimental approaches, or stem cell transplantation to reduce the high risk of second-line kinase inhibitor failure.

Acknowledgments

The authors would like to thank the patients, clinicians, and study center coordinators who contributed samples and follow-up data for this study and the staff of the Leukemia Unit, Genetics and Molecular Pathology, SA Pathology, for their excellent technical support.

This work was supported by a grant from the National Health and Medical Research Council (565170).

Authorship

Contribution: W.T.P. contributed to experimental design, performed research, analyzed data, and wrote the manuscript; M.H. performed research and contributed to manuscript preparation; H.S.S. contributed to experimental design and manuscript preparation; T.P.H. contributed to experimental design and contributed significantly to manuscript preparation; and S.B. contributed to experimental design, analyzed data, and contributed significantly to manuscript preparation.

Conflict-of-interest disclosure: S.B. and T.H. have received research funding and honoraria from Novartis Pharmaceuticals, Bristol-Myers Squibb, and Ariad Pharmaceuticals. The remaining authors declare no competing financial interests.

Correspondence: Wendy T. Parker, Department of Genetics and Molecular Pathology, SA Pathology (RAH Campus), PO Box 14, Rundle Mall, Adelaide, SA, 5000, Australia; e-mail: wendy.parker@health.sa.gov.au.

References

- Baccarani M, Cortes J, Pane F, et al. Chronic myeloid leukemia: an update of concepts and management recommendations of European LeukemiaNet. J Clin Oncol. 2009;27(35):6041-6051.
- 2. Soverini S, Hochhaus A, Nicolini FE, et al.

BCR-ABL kinase domain mutation analysis in chronic myeloid leukemia patients treated with tyrosine kinase inhibitors: recommendations from an expert panel on behalf of European LeukemiaNet. *Blood.* 2011;118(5):1208-1215.

- Hughes T, Saglio G, Branford S, et al. Impact of baseline BCR-ABL mutations on response to nilotinib in patients with chronic myeloid leukemia in chronic phase. J Clin Oncol. 2009;27(25):4204-4210.
- 4. Soverini S, Colarossi S, Gnani A, et al. Resistance to

dasatinib in Philadelphia-positive leukemia patients and the presence or the selection of mutations at residues 315 and 317 in the BCR-ABL kinase domain. *Haematologica*. 2007;92(3):401-404.

- Shah NP, Skaggs BJ, Branford S, et al. Sequential ABL kinase inhibitor therapy selects for compound drug-resistant BCR-ABL mutations with altered oncogenic potency. *J Clin Invest.* 2007; 117(9):2562-2569.
- Soverini S, Gnani A, Colarossi S, et al. Philadelphia-positive patients who already harbor imatinib-resistant Bcr-Abl kinase domain mutations have a higher likelihood of developing additional mutations associated with resistance to secondor third-line tyrosine kinase inhibitors. *Blood.* 2009;114(10):2168-2171.
- Müller MC, Cortes JE, Kim D-W, et al. Dasatinib treatment of chronic-phase chronic myeloid leukemia: analysis of responses according to preexisting BCR-ABL mutations. *Blood*. 2009;114(24): 4944-4953.
- Parker WT, Lawrence RM, Ho M, et al. Sensitive detection of BCR-ABL1 mutations in patients with chronic myeloid leukemia after imatinib resistance is predictive of outcome during subsequent therapy. J Clin Oncol. 2011;29(32):4250-4259.
- le Coutre P, Ottmann OG, Giles F, et al. Nilotinib (formerly AMN107), a highly selective BCR-ABL tyrosine kinase inhibitor, is active in patients with imatinib-resistant or -intolerant acceleratedphase chronic myelogenous leukemia. *Blood*. 2008;111(4):1834-1839.
- Cortes J, Rousselot P, Kim D-W, et al. Dasatinib induces complete hematologic and cytogenetic responses in patients with imatinib-resistant or -intolerant chronic myeloid leukemia in blast crisis. *Blood.* 2007;109(8):3207-3213.
- 11. Apperley JF, Cortes JE, Kim DW, et al. Dasatinib in the treatment of chronic myeloid leukemia in

accelerated phase after imatinib failure: the START A trial. *J Clin Oncol.* 2009;27(21):3472-3479.

- Branford S, Rudzki Z, Walsh S, et al. Detection of BCR-ABL mutations in patients with CML treated with imatinib is virtually always accompanied by clinical resistance, and mutations in the ATP phosphate-binding loop (P-loop) are associated with a poor prognosis. *Blood*. 2003;102(1):276-283.
- O'Hare T, Walters DK, Stoffregen EP, et al. In vitro activity of Bcr-Abl inhibitors AMN107 and BMS-354825 against clinically relevant imatinibresistant Abl kinase domain mutants. *Cancer Res.* 2005;65(11):4500-4505.
- Redaelli S, Piazza R, Rostagno R, et al. Activity of bosutinib, dasatinib, and nilotinib against 18 imatinib-resistant BCR/ABL mutants. *J Clin Oncol.* 2009;27(3):469-471.
- Maley CC, Galipeau PC, Finley JC, et al. Genetic clonal diversity predicts progression to esophageal adenocarcinoma. *Nat Genet.* 2006;38(4): 468-473.
- Canitrot Y, Falinski R, Louat T, et al. p210 BCR/ ABL kinase regulates nucleotide excision repair (NER) and resistance to UV radiation. *Blood.* 2003;102(7):2632-2637.
- Nowicki MO, Falinski R, Koptyra M, et al. BCR/ ABL oncogenic kinase promotes unfaithful repair of the reactive oxygen species-dependent DNA double-strand breaks. *Blood*. 2004;104(12):3746-3753.
- Koptyra M, Cramer K, Slupianek A, Richardson C, Skorski T. BCR/ABL promotes accumulation of chromosomal aberrations induced by oxidative and genotoxic stress. *Leukemia*. 2008;22(10): 1969-1972.
- Stoklosa T, Poplawski T, Koptyra M, et al. BCR/ ABL inhibits mismatch repair to protect from apo-

ptosis and induce point mutations. *Cancer Res.* 2008;68(8):2576-2580.

- Lyons JG, Lobo E, Martorana AM, Myerscough MR. Clonal diversity in carcinomas: its implications for tumour progression and the contribution made to it by epithelial-mesenchymal transitions. *Clin Exp Metastasis*. 2008;25(6):665-677.
- Liu J, Joha S, Idziorek T, et al. BCR-ABL mutants spread resistance to non-mutated cells through a paracrine mechanism. *Leukemia*. 2008;22(4): 791-799.
- Soverini S, Vitale A, Poerio A, et al. Philadelphiapositive acute lymphoblastic leukemia patients already harbor BCR-ABL kinase domain mutations at low levels at the time of diagnosis. *Haematologica*. 2011;96(4):552-557.
- Quintás-Cardama A, Kantarjian H, O'Brien S, et al. Outcome of patients with chronic myeloid leukemia with multiple ABL1 kinase domain mutations receiving tyrosine kinase inhibitor therapy. *Haematologica*. 2011;96(6):918-924.
- Ernst T, Gruber FX, Pelz-Ackermann O, et al. A co-operative evaluation of different methods of detecting BCR-ABL kinase domain mutations in patients with chronic myeloid leukemia on second-line dasatinib or nilotinib therapy after failure of imatinib. *Haematologica*. 2009;94(9):1227-1235.
- Branford S, Melo JV, Hughes TP. Selecting optimal second-line tyrosine kinase inhibitor therapy for chronic myeloid leukemia patients after imatinib failure: does the BCR-ABL mutation status really matter? *Blood.* 2009;114(27):5426-5435.
- Hughes TP, Hochhaus A, Branford S, et al. Longterm prognostic significance of early molecular response to imatinib in newly diagnosed chronic myeloid leukemia: an analysis from the International Randomized Study of Interferon and STI571 (IRIS). *Blood.* 2010;116(19):3758-3765.