## AICAR induces apoptosis independently of AMPK and p53 through up-regulation of the BH3-only proteins BIM and NOXA in chronic lymphocytic leukemia cells

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5-Aminoimidazole-4-carboxamide riboside or acadesine (AICAR) induces apoptosis in chronic lymphocytic leukemia (CLL) cells. A clinical study of AICAR is currently being performed in patients with this disease. Here, we have analyzed the mechanisms involved in AICAR-induced apoptosis in CLL cells in which it activates its only well-known molecular target, adenosine monophosphate-activated protein kinase (AMPK). However, AMPK activation with phenformin or A-769662 failed to induce apoptosis in CLL cells

## Introduction

Available treatments for chronic lymphocytic leukemia (CLL) generally induce remission, although nearly all patients relapse and CLL remains an incurable disease.<sup>1,2</sup> 5-Aminoimidazole-4-carboxamide riboside or acadesine (AICAR) induces apoptosis in different cell types, including CLL, mantle cell lymphoma (MCL), and splenic marginal zone B-cell lymphoma (SMZL) cells and tumor cell lines, without affecting primary T lymphocytes.<sup>3-6</sup> Thus, AICAR is a promising drug for the treatment of B-cell neoplasms. A clinical phase I/II study of AICAR is currently being performed in CLL patients.<sup>7</sup>

Incorporation of AICAR into the cells and its subsequent phosphorylation to AICA ribotide (ZMP) are necessary to induce apoptosis in CLL lymphocytes.<sup>3</sup> The only well-known molecular target of ZMP described to date is adenosine monophosphateactivated protein kinase (AMPK).<sup>6,8</sup> Incubation of CLL, MCL, and SMZL cells with AICAR induces phosphorylation of AMPK at Thr172 and activation of AMPK, which correlates with induction of apoptosis.<sup>3,4</sup> However, the role of AMPK in AICAR-induced apoptosis has not been defined in CLL cells. Intriguingly, AICAR enters follicular lymphoma cells and induces phosphorylation of AMPK, but most follicular lymphoma samples tested are resistant

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and AICAR also potently induced apoptosis in B lymphocytes from  $Ampk\alpha 1^{-/-}$ mice, demonstrating an AMPK-independent mechanism of cell death. Importantly, AICAR induced apoptosis irrespective of the tumor suppressor *TP53* or ataxia telangiectasia mutated (*ATM*) status via induction of the mitochondrial pathway. Apoptosis was preceded by an increase in mRNA and protein levels of proapoptotic BCL-2 family proteins of the BH3-only subgroup, including BIM, NOXA, and PUMA in CLL cells. Strikingly, B lymphocytes from  $Noxa^{-/-}$  or  $Bim^{-/-}$  mice were partially protected from the cytotoxic effects of AICAR. Consistently, B cells from  $Noxa^{-/-}/Bim^{-/-}$  mice resisted induction of apoptosis by AICAR as potently as B lymphocytes overexpressing transgenic *BCL-2*. These findings support the notion that AICAR is an interesting alternative therapeutic option for CLL patients with impaired p53 function and resistance to conventional chemotherapy. (*Blood.* 2010;116(16):3023-3032)

to AICAR-induced apoptosis.<sup>4</sup> Indeed, AMPK activation has been shown to play a role in apoptosis or in survival depending on the cell type investigated.<sup>6,8</sup>

Two major pathways of apoptosis have been described that result in the activation of a family of proteases (caspases), which are responsible for the biochemical and morphologic changes associated with apoptosis. The intrinsic pathway involves initial mitochondrial alteration resulting from cellular stress or cytotoxic insults, whereas the extrinsic pathway is triggered by liganddependent activation of death receptors, members of the tumor necrosis factor receptor family.9 BCL-2 family proteins are regulators of the mitochondrial pathway through controlling the release of cytochrome c and other proteins from mitochondria.9,10 Activation of the multidomain proapoptotic proteins BAX and BAK mediates the release of cytochrome c from mitochondria and the activation of caspases through the mitochondrial pathway. The intrinsic and extrinsic pathways are connected in certain cell types because activation of caspase-8 through death receptors can induce the proteolysis and activation of the proapoptotic BH3-only protein BID.9

Most drugs currently used in the therapy of CLL act, at least partially, through activation of the p53 pathway.<sup>1,2</sup> *TP53* is mutated

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in 5% to 10% of CLL cases at diagnosis, but the incidence of mutations increases along with the therapy.<sup>2,11</sup> Furthermore, ataxia telangiectasia mutated (*ATM*) is inactivated in 10% to 20% of CLL cases, thus providing an alternative way for disabling p53 function.<sup>12</sup> Genetic alterations in *TP53* and *ATM* are among the worst prognostic factors in CLL patients, and *TP53* alterations confer resistance to conventional chemotherapy.<sup>1,2</sup> Thus, new approaches to induce apoptosis in cells with altered *TP53* or *ATM* are needed.<sup>2,13</sup>

It has been previously shown that AICAR induces the release of cytochrome c from mitochondria into the cytosol of CLL cells together with caspase activation without inducing p53 accumulation.<sup>3</sup> However, the relevant upstream events remain poorly defined, and it is currently unknown whether AICAR induces apoptosis in CLL samples with altered *TP53* or *ATM*. Indeed, the mechanism of AICAR-induced apoptosis remains to be elucidated in full detail.

BCL-2 family proteins are critical regulators of apoptosis in CLL cells. High levels of BCL-2 have been well documented in CLL cells.<sup>10,13</sup> Furthermore, CLL cells express other antiapoptotic BCL-2 family members, such as MCL-1, BCL-X<sub>L</sub>, and A1/BFL-1, which are involved in the survival of these cells.<sup>10,13</sup> Most apoptotic stimuli do not alter BAX or BAK protein levels in CLL cells, and activation of BAX or BAK is mediated by regulation of the BH3-only members of the BCL-2 family proteins through transcriptional or posttranscriptional mechanisms. For example, the BH3-only protein PUMA is a p53-transcriptional target in CLL cells also express the BH3-only proteins BID, BMF, NOXA, and BIM,<sup>10,13</sup> some of which have been implicated in the control of tumor cell apoptosis.<sup>16</sup> The effect of AICAR treatment on the BCL-2 rheostat in CLL has not been investigated.

Here, we analyze the role of AMPK and BCL-2 family proteins in the mechanism of action of AICAR-induced apoptosis in CLL cells and normal mouse B cells, and the effects of AICAR in CLL cells with altered *TP53* or *ATM*.

## Methods

#### CLL samples and cell isolation

Peripheral blood samples from 43 patients with CLL were studied (Table 1). CLL was diagnosed according to standard clinical and laboratory criteria. Blood samples were obtained from Hospital de Bellvitge, Barcelona, Spain. Written informed consent was obtained from all patients in accordance with Hospital de Bellvitge Ethical Committee. Mononuclear cells from peripheral blood samples were isolated by centrifugation on a Ficoll-Hypaque (Seromed) gradient and cryopreserved in liquid nitrogen in the presence of 10% dimethyl sulfoxide (Sigma-Aldrich).

Samples from patients 24 and 25 have mutated *TP53*, whereas cells from patients 26 and 27 have 17p13 deletion harboring the p53 locus. Patient 24 has the M246V mutation, which interferes with wild-type (WT) p53, and patient 25 has a frame-shift mutation in one allele (nucleotide deletion in codon 272) and a 17p13 deletion in the other allele in 86% of cells from peripheral blood lymphocytes.<sup>15</sup> Patient 26 has a 17p13 deletion in one allele in 43% of peripheral blood lymphocytes, and patient 27 has a 17p13 deletion in one allele in 94% of peripheral blood lymphocytes.<sup>17</sup>

Samples from patients 7 and 28 have 11q22 deletion and do not express ATM protein.<sup>17,18</sup> Patient 7 has the 11q23 deletion in one allele in 86% of peripheral blood lymphocytes, and patient 29 has the 11q23 deletion determined by genomic multiplex ligation-dependent probe amplification (MLPA; relative copy number value = 0.57).<sup>17</sup>

#### Animals and cell isolation

Spleen lymphocytes were obtained from WT or mutant mice 8 to 16 weeks of age. The generation of  $Ampk\alpha 1^{-/-}$ ,<sup>19</sup>  $Bim^{-/-}$ ,<sup>20</sup>  $Puma^{-/-}$ ,<sup>21</sup>  $Noxa^{-/-}$ ,<sup>21</sup>  $Hrk/Dp5^{-/-}$ ,<sup>22</sup>  $Bad^{-/-}$ ,<sup>23</sup>  $Bmf^{-/-}$ ,<sup>24</sup>  $p53^{-/-}$ ,<sup>25</sup> and vav-BCL2 transgenic<sup>26</sup> mice has been described previously. Double-deficient mice were generated by intercrossing of double-heterozygote animals. Mice were maintained on a 12:12-hour light-dark cycle and received standard rodent chow and water ad libitum. The animal protocol was reviewed and approved by the Animal Research Committee of Universitat de Barcelona and the Austrian Ministry for Education, Research and Culture. Spleen lymphocytes were isolated by centrifugation on a Ficoll-Hypaque (Seromed) or a Lympholyte-M (Cederlane Labs Limited) gradient.

#### Reagents

AICAR was synthesized by Kyowa-Hakko. A-769662 was obtained from Abbott Laboratories. Dimethyl sulfoxide and phenformin were purchased from Sigma-Aldrich. Anti-Fas, clone CH11 (Fas ligand) was from Upstate Biotechnology. IETD.fmk was from R&D Systems. Annexin V–fluorescein isothiocyanate (FITC) and propidium iodide (PI) were from Bender MedSystems. Benzyloxycarbonyl-Val-Ala-Asp-fluoromethylketone (zVADfmk) was from Bachem AG. 5-Iodotubercidin was from BIOMOL Research Laboratories. Nutlin-3a was provided by Hoffmann-La Roche. Annexin V– phycoerythrin, anti–CD45R/B220-phycoerythrin, anti–CD45R/B220allophycocyanin, and anti–CD3e-FITC were from BD Biosciences PharMingen. Anti–TCR  $\beta$ -FITC was obtained from eBioscience. Annexin V– AlexaFluor-647 was from BioLegend. 7-Amino-actinomycin D (7-AAD) was purchased from Alexis-Axxora.

#### **Cell culture**

Human lymphocytes were cultured immediately after thawing or isolation at a concentration of 0.5 to  $4 \times 10^6$  cells/mL in RPMI 1640 culture medium supplemented with 10% heat-inactivated fetal calf serum, 2mM L-glutamine, 100 U/mL penicillin, and 100 ng/mL streptomycin at 37°C in a humidified atmosphere containing 5% carbon dioxide.

Mouse lymphocytes were cultured after isolation from the spleen at a concentration of  $1.5\times10^6$  cells/mL in Iscove modified Dulbecco medium supplemented with 10% heat-inactivated fetal calf serum, 2mM L-glutamine, 55  $\mu$ M  $\beta$ -mercaptoethanol, 100 U/mL penicillin, and 100 ng/mL streptomycin at 37°C in a humidified atmosphere containing 5% carbon dioxide.

#### Analysis of cell viability by flow cytometry

Cell viability was measured by exposure of phosphatidylserine and membrane integrity. Data were analyzed using FACSCalibur and CellQuest-Pro software (BD Biosciences).

CLL cell viability was measured as the percentage of annexin V and PI double-negative cells, and it is expressed as the percentage of nonapoptotic cells. A total of  $2.5 \times 10^5$  CLL cells were incubated for 24 or 48 hours with the indicated factors. CLL cells were washed and incubated with annexinbinding buffer and annexin V for 15 minutes in the dark. Cells were then incubated with PI and analyzed by flow cytometry.

Mouse B-cell viability was measured as the percentage of annexin V and 7-AAD double-negative cells and CD45R/B220<sup>+</sup> cells, being expressed as the percentage of nonapoptotic cells. A total of  $5 \times 10^5$  lymphocytes were incubated for 12 or 24 hours with the indicated compounds. Cells were washed and incubated with phosphate-buffered saline with CD45R/B220 and CD3 $\epsilon$  or TCR- $\beta$  for 15 minutes in the dark. Cells were then washed and incubated with annexin-binding buffer, annexin V, and 7-AAD for 15 minutes in the dark.

#### **RT-MLPA**

RNA content was analyzed by reverse transcriptase (RT)–MLPA using SALSA MLPA KIT R011 Apoptosis mRNA from MRC-Holland for the simultaneous detection of 38 messenger RNA molecules.<sup>27</sup> In brief, RNA samples (200 ng total RNA) were first reverse transcribed using a gene-specific probe mix. The resulting cDNA was annealed overnight at 60°C to

#### Table 1. Characteristics of CLL patients

Patient no.	Age, y/sex	WBC, × 10 <sup>9</sup> /L	Lymphocyte, %	CD38, %	ZAP70, %	Genomic alterations*	Control, %	AICAR, 9
1	51/Male	108	93	< 20	60	Normal	90	57
2	71/Male	94	94	< 20	26	Normal	92	31
3	77/Female	137	68	< 20	< 20	Normal	65	20
4	70/Male	74	92	< 20	28	ND	68	24
5	80/Male	120	ND	< 20	ND	ND	80	42
6	70/Male	74	90	< 20	< 20	Normal	47	23
7	76/Female	36	71	83	44	del 13q, del 11q	64	47
8	77/Female	22	ND	< 20	< 20	ND	51	16
9	70/Female	21	88	< 20	28	ND	76	27
10	62/Male	36	83	< 20	< 20	ND	69	33
11	78/Female	62	92	56	59	ND	85	47
12	72/Female	127	90	< 20	< 20	del 13q	62	20
13	85/Female	118	46	77	< 20	ND	90	47
14	81/Male	39	94	< 20	< 20	ND	61	33
15	74/Female	59	92	20	< 20	ND	68	44
16	50/Female	54	85	52	< 20	Normal	43	32
17	73/Male	40	96	65	< 20	del 13q, del 11q	62	35
18	75/Female	79	ND	78	ND	tris 12, del 11q	87	41
19	79/Female	133	72	31	50	ND	71	57
20	74/Male	590	97	24	52	tris12	62	35
21	58/Female	139	91	< 20	24	tris12, del13q	85	65
22	77/Female	86	89	40	52	ND	40	30
23	53/Male	113	94	51	< 20	del 13q	43	32
24	80/Female	134	98	< 20	34	del 13q	47	28
25	70/Male	118	99	93	39	del 13q, del 17p	79	63
26	70/Male	116	93	< 20	< 20	del 17p	37	18
27	73/Female	18	86	24	29	del 17p	41	17
28	78/Male	24	80	57	< 20	del 13q, del 11q, del 8q	76	57
29	68/Male	35	81	< 20	26	ND	85	41
30	68/Female	110	93	41	42	tris 12, del 11q	88	66
31	72/Male	30	86	70	30	Normal	40	19
32	46/Female	76	91	< 20	< 20	del 13q	70	10
33	42/Male	155	56	34	22	del 13q, del 11q	89	30
34	73/Male	117	83	< 20	26	Normal	63	12
35	61/Male	73	ND	91	49	Normal	59	31
36	70/Male	112	92	51	48	del 13q, del 11q	88	44
37	41/Male	125	88	< 20	26	Normal	38	11
38	79/Male	134	92	78	< 20	tris12	54	20
39	70/Male	83	98	63	39	ND	62	39
40	64/Male	52	85	36	94	ND	81	30
41	65/Female	47	97	< 20	ND	ND	82	38
42	67/Male	38	83	< 20	< 20	del 13q	83	20
43	63/Male	74	94	< 20	< 20	del 13q	52	20

CD38 and ZAP-70 were determined by flow cytometry with conjugated antibodies (PE clone HB7, BD Biosciences; and AlexaFluor-488, Caltag Laboratories). WBC indicates white blood cell count; del, deletion; tris, trisomy; and ND, not determined.

\*Genomic alterations were determined by fluorescent in situ hybridization or by multiplex ligation-dependent probe amplification for genomic alterations.<sup>17</sup> CLL cells were untreated (Control) or treated with 0.5mM AICAR for 24 hours. Viability was measured by flow cytometry, and it is expressed as the percentage of viability.

the MLPA probe mix. Annealed oligonucleotides were ligated by adding Ligase-65 (MRC-Holland) and incubated at 54°C for 15 minutes. Ligation products were amplified by PCR (35 cycles, 30 seconds at 95°C; 30 seconds at 60°C, and 1 minute at 72°C) with one unlabeled and one FAM-labeled primer. The final PCR fragments amplified were separated by capillary electrophoresis on a 48-capillary ABI-Prism 3730 Genetic Analyzer (Applied Biosystems/Hitachi). Peak area and height were measured using GeneScan v3.0 analysis software (Applied Biosystems). The sum of all peak data was set at 100% to normalize for fluctuations in total signal between samples, and individual peaks were calculated relative to the 100% value. The mRNA levels of all the genes were standardized to those of  $\beta$ -glucoronidase.

### Western blot analysis

Cells were lysed with Laemmli sample buffer or RIPA extraction buffer, and Western blot analysis was performed as described previously<sup>3</sup> using the

following antibodies: BCL-2 (Dako Denmark), BAX and BIM (BD Biosciences PharMingen), BID (R&D Systems), BNIP3 (Calbiochem-Novabiochem), BNIP3L and NOXA (Abcam), ERK and phospho-ACC (Ser79, Upstate Biotechnology), MCL-1 (Santa Cruz Biotechnology), MOAP1 (Abnova), and phospho-AMPK (Thr172) and PUMA (Cell Signaling Technology). Antibody binding was detected using a secondary antibody conjugated to horseradish peroxidase and the enhanced chemiluminescence detection system (GE Healthcare).

#### Cytochrome c release measurements

Cells  $(25 \times 10^6)$  were harvested, washed once, and gently lysed for 30 seconds in 80 µL ice-cold lysis buffer (250mM sucrose, 1mM ethylenediaminetetraacetic acid, 0.05% digitonin, 25mM Tris, pH 6.8, 1mM dithiothreitol, 1 µg/mL leupeptin, 1 µg/mL pepstatin, 1 µg/mL aprotinin, 1mM benzamidine, and 0.1mM phenylmethylsulfonyl fluoride). Lysates were centrifuged at 12 000g at 4°C for 3 minutes to obtain the supernatants



Figure 1. AICAR induces apoptosis independently of AMPK. (A) CLL cells were untreated (CT) or treated with 0.5mM AICAR or with 0.5mM phenformin (PHEN) for 24 hours. Viability was measured by flow cytometry, and it is expressed as the percentage of the viability (n = 11). \*\*\*P < .005, AICAR-treated versus untreated cells or versus PHENtreated cells. \*\*P < .01, PHEN-treated versus untreated cells. (B) CLL cells were incubated without (CT) or with 0.5mM AICAR or 100µM A-769662 (A) for 6 and 12 hours. Phospho-ACC and phospho-AMPK were analyzed by Western blot. ERK was used as a control of protein loading. A representative patient is shown (n = 5). (C) CLL cells were untreated (CT) or treated with 0.5mM AICAR or with 100 $\mu$ M A-769662 (A) for 24 hours. Viability was measured by flow cytometry, and it is expressed as the percentage of the viability (n = 18). \*\*\*P < .005, AICAR-treated versus untreated or versus A-treated cells. In the boxplots (A,C), the top line represents the 75% quartile; bottom line, the 25% quartile; and middle line, median. The ends of the whiskers represent the minimum and maximum of all the data. (D) WT and  $Ampk\alpha 1^{-/-}$  B lymphocytes were incubated for 12 hours with AICAR in a range of concentrations (0.05, 0.1, 0.25, and 0.5mM). Viability was measured by flow cytometry, and it is expressed as the percentage of the viability. Data are mean  $\pm$  SEM from 3 independent experiments for each genotype. \*\*P < .01, \*P < .05, Ampka 1<sup>-/-</sup> versus WT B lymphocytes.

(cytosolic extracts free of mitochondria) and the pellets (fraction containing mitochondria). Supernatants (50  $\mu$ g of protein) were electrophoresed on a 15% polyacrylamide gel and analyzed by Western blot using anticytochrome *c* antibody (7H8.2C12, BD Biosciences PharMingen) and enhanced chemiluminescence detection system.

#### Statistical analysis

Statistical analysis was performed using the Student *t* test, and *P* values less than .05 were considered statistically significant. Data were analyzed using the SPSS Version 14.0 software package.

## Results

#### Activation of AMPK does not induce apoptosis in CLL cells

To study the role of AMPK in the induction of apoptosis, we assessed whether AMPK activators can induce apoptosis in CLL cells. Treatment of tumor cells with phenformin<sup>28</sup> or AICAR for 9 hours induced AMPK phosphorylation (not shown). However, when we studied the effect of 0.5mM phenformin or 0.5mM AICAR for 24 hours in 11 CLL samples, we noted that only AICAR induced efficient apoptosis in all the tumor cell samples (P < .005; Figure 1A), whereas phenformin did not induce apoptosis in most CLL samples tested (8 of 11; P < .01; Figure 1A).

Because phenformin activates AMPK indirectly,<sup>28</sup> we tested the effect of the direct AMPK activator A-769662<sup>29</sup> on CLL cell survival. Incubation with 100 $\mu$ M A-769662 for 6 and 12 hours induced the phosphorylation of the AMPK substrate acetyl-CoA carboxylase (ACC), which indicates activation of AMPK that, by itself appeared only mildly activated at 12 hours, as shown

previously for other cell types at this concentration.<sup>30</sup> Incubation with 0.5mM AICAR induced the phosphorylation of AMPK and ACC at 6 hours, which returned to control levels at 12 hours (Figure 1B). Treatment of 18 individual CLL samples with 100 $\mu$ M A-769662 or 0.5mM AICAR for 24 hours revealed that A-769662 failed to induce apoptosis in most CLL samples analyzed (16 of 18; *P* = .995; Figure 1C), suggesting that AICAR-mediated cell killing may not require AMPK.

Mouse lymphocytes<sup>31</sup> and CLL cells (not shown) only express the catalytic isoform AMPK $\alpha$ 1 but not related AMPK $\alpha$ 2. To clarify the role of AMPK in AICAR-induced apoptosis, we assessed the effect of AICAR in B cells derived from the spleen of WT or  $Ampk\alpha 1^{-/-}$  mice. Lymphocytes were incubated for 12 and 24 hours with graded doses of AICAR (0.05, 0.1, 0.25, and 0.5mM), which induced apoptosis in a dose-dependent manner in both WT (P < .05) and  $Ampk\alpha 1^{-/-}$  (P < .05) B lymphocytes alike (Figure 1D). Interestingly, B lymphocytes from  $Ampk\alpha 1^{-/-}$  mice displayed increased sensitivity to AICAR treatment, in line with previous results showing that these cells are more susceptible to metabolic mitochondrial stress.<sup>32</sup>

Altogether, our results show that the activation of AMPK per se is not sufficient to induce apoptosis in CLL cells and that AICAR-mediated cell death can occur efficiently in the absence of AMPK.

# AICAR induces apoptosis through the mitochondrial pathway in CLL cells

Next, we examined the relative contribution of the 2 major apoptosis-signaling pathways (ie, death receptor vs mitochondrial)



Figure 2. AICAR induces apoptosis through the mitochondrial pathway in CLL cells. (A) Cells were preincubated without (-) or with 50µM IETD.fmk or 200µM Z-VAD.fmk for 30 minutes, and 0.5mM AICAR (+) was added for 24 hours. Viability was measured by flow cytometry, and it is expressed as the percentage of the viability. The top line represents the 75% quartile, and the bottom line represents the 25% quartile, with the middle line showing the median. The ends of the whiskers represent the minimum and maximum of all the data (n = 6). \*\*\*P < .005, AICAR-treated versus untreated or versus Z-VAD.fmk + AICAR, or IETD + AICAR versus IETD-treated cells. (B) Cells were preincubated without (CT) or with 200µM Z-VAD.fmk for 30 minutes, and 0.5mM AICAR was added for 6 and 12 hours. Control extracts by Western blot, and viability was measured by flow cytometry. ERK was used as a control of protein loading. A representative sample is shown (n = 2).

**ZVAD** 

to cell death induced by AICAR. Thus, CLL cells were preincubated with or without the caspase-8 inhibitor IETD.fmk or the pan-caspase inhibitor Z-VAD.fmk and then 0.5mM AICAR was added. Remarkably, IETD.fmk did not protect CLL cells from AICAR-induced apoptosis (P = .195; Figure 2A) at a concentration (50µM) that potently inhibited the induction of apoptosis by Fas ligand in Jurkat cells (not shown). On the contrary, Z-VAD.fmk blocked AICAR-induced apoptosis (P < .001; Figure 2A). Moreover, Z-VAD.fmk delayed processing of the BH3-only protein BID and tumor cell killing downstream of cytochrome c release (Figure 2B). Appearance of tBID was only observed in 1 of 5 samples analyzed and was reverted by Z-VAD.fmk (not shown). Together, these data indicate that the extrinsic pathway is not critical for AICAR-induced apoptosis.

## AICAR induces apoptosis irrespective of *TP53* or *ATM* mutational status in CLL cells

studied the effect of AICAR in CLL samples with mutated/deleted *TP53* to assess whether AICAR-induced apoptosis is dependent on functional p53 in CLL cells. Patient samples with mutated *TP53* (patients 24 and 25) or with 17p13 deletion harboring the p53 locus (patients 26 and 27) were previously described<sup>15,17</sup> and used for this analysis.

First, CLL cells with mutated or deleted *TP53* were treated with 0.5mM AICAR for 24 and 48 hours. Importantly, AICAR induced apoptosis in CLL cells with mutated or deleted *TP53* (P < .005; Figure 3A) as effectively as in tumors cells harboring WT *TP53* (Table 1, patients without 11q23 or 17p13 deletion). To assess *TP53* status, samples from patients 24, 25, 26, and 27 were incubated with 5µM nutlin-3a, the MDM2 inhibitor, which has been shown to induce apoptosis and accumulation of p53 in WT *TP53* cells but not in mutated *TP53* cells.<sup>15</sup> Nutlin-3a did not induce apoptosis in these samples with mutated or deleted *TP53* (not shown). In agreement with these results, AICAR induced apoptosis in B lymphocytes from the spleen of  $p53^{-/-}$  or WT mice alike (not shown).

Next, the effect of AICAR was examined in CLL cells with *ATM* alterations. CLL cells from patients 7 and 28 have 11q23 deletion, which involves the *ATM* gene, and do not express ATM protein.<sup>17,18</sup> CLL cells with altered *ATM* were treated with 0.5 or 1mM AICAR for 24 and 48 hours. Remarkably, AICAR also induced apoptosis in CLL cells with 11q23 deletion and without ATM protein expression (Figure 3B). Taken together, our results



Figure 3. AICAR induces apoptosis independently of *TP53* or *ATM* in CLL cells. (A) Cells with mutated *TP53* (patients 24 and 25) or with 17p13 deletion (patients 26 and 27) were untreated (□) or treated with 0.5mM AICAR (■) for 24 and 48 hours. (B) Cells with 11q23 deletion and without ATM protein (patient 7 and 28) were untreated (□) or treated with 0.5mM (■) and 1mM (■) AICAR for 24 and 48 hours. Viability was measured by flow cytometry, and it is expressed as the percentage of the viability (A-B).



demonstrate that AICAR potently induces apoptosis in CLL cells regardless of its p53 or ATM status. In agreement with these data, there is no correlation between AICAR-induced apoptosis and the most common genetic abnormalities in CLL cells (presence of 11q23 deletion, trisomy 12, 13q14 deletion, or 17p13 deletion, supplemental Figure 1 [available on the *Blood* Web site; see the Supplemental Materials link at the top of the online article]).

#### AICAR induces the expression of proapoptotic BCL-2 family members in CLL cells

RT-MLPA was used to analyze changes in the expression of all known BCL-2 family members.<sup>27</sup> Incubation of CLL cells with 0.5mM AICAR for 24 hours significantly modified the mRNA levels of several apoptosis-related genes (Figure 4A). Importantly, increases in the proapoptotic genes *BIM*, *BNIP3*, *BNIP3L*, *HRK*, *MOAP1*, *NOXA*, and *PUMA* could play a role in AICAR-induced apoptosis. Of note, the mRNA levels of these proapoptotic genes were not modified concomitantly in all CLL samples analyzed; consequently, not all of them were induced in the same tumor sample (Figure 4B). Interestingly, the mRNA levels of the BH3-only BCL-2 family members *BIM*, *HRK*, and *NOXA* were most strongly and most frequently coregulated. In addition, the mRNA levels of *BIM*, *NOXA*, and *PUMA* reached statistically significant increases in relative mRNA levels already at 6 hours after AICAR treatment (supplemental Figure 2).

Next, we analyzed whether the changes in the mRNA expression profile induced by AICAR were dependent on its intermediate metabolite ZMP. CLL cells were preincubated with or without the adenosine kinase inhibitor 5-iodotubercidin to inhibit ZMP accumulation<sup>3</sup> and then treated with 0.5mM AICAR. 5-Iodotubercidin completely blocked all mRNA changes induced by AICAR (Figure 4B). Moreover, the pan-caspase inhibitor Z-VAD.fmk did not inhibit these mRNA changes (not shown), demonstrating that these inductions precede the activation of caspases.

As AICAR-induced apoptosis appears independent of AMPK, the changes in BCL-2 family members induced by AICAR should be independent of AMPK. Therefore, we analyzed by RT-MLPA the changes induced in CLL cells using the AMPK activator A-769662 on the mRNA profile of apoptosis-related genes. CLL cells were incubated with  $100\mu$ M A-769662 or 0.5mM AICAR for 24 hours. A-769662 did not induce any significant change in the mRNA levels of the genes that were modulated by treatment with AICAR (supplemental Figure 3). Thus, selective activation of AMPK is not sufficient to induce proapoptotic genes as induced by AICAR in CLL cells.

Consistent with our observations on cell death, incubation with 0.5mM AICAR for 24 hours induced the same mRNA profile in CLL cells with mutated or deleted *TP53* (Figure 5A) as in *TP53* WT CLL cells (supplemental Figure 4). Of note, nutlin-3a did not change the p53-dependent genes in these samples with mutated or deleted *TP53* (not shown). Furthermore, incubation with AICAR for 24 hours induced the same mRNA profile in CLL cells without ATM protein (Figure 5B) than in CLL cells without 11q23 deletion (supplemental Figure 4).

Importantly, we analyzed changes in the protein expression of several BCL-2 family members after 9 hours of incubation with AICAR in CLL cells (Figure 6). Western blot analysis confirmed an induction of the BIM, NOXA, and PUMA protein levels by AICAR

Figure 4. Analysis of apoptosis-related gene expression induced by AICAR in CLL cells. (A) Cells were untreated ( $\Box$ ) or treated (**m**) with 0.5mM AICAR for 24 hours. Cells were lysed, and the mRNA levels of the BCL-2 family members were analyzed by RT-MLPA. Data are mean  $\pm$  SEM (n = 19). \*\*\**P* < .005, AICAR-treated versus untreated cells. (B) Cells were preincubated without (Control) or with 0.2 $\mu$ M 5-iodotubercidin (I) for 30 minutes, and 0.5mM AICAR was added for 24 hours. Cells were lysed, and the mRNA levels of *BIM*, *BNIP3*, *BNIP3L*, *HRK*, *MOAP1*, *NOXA*, and *PUMA* were analyzed by RT-MLPA. Two representative samples are shown (n = 3).

Figure 5. AICAR-induced mRNA profile is irrespective of *TP53* or *ATM* in CLL cells. (A) Cells from patients 26 and 27 (with 17p13 deletion) and (B) patients 7 and 28 (with 11q23 deletion and without ATM protein) were untreated (□) or treated with 0.5mM (□) or 1mM AICAR (□) for 24 hours. Cells were lysed, and the mRNA levels of *BIM*, *BNIP3*, *BNIP3L*, *HRK*, *MOAP1*, *NOXA*, and *PUMA* were analyzed by RT-MLPA.



in all the samples analyzed (Figure 6B). In contrast, the protein levels of the BCL-2 family members BNIP3, BNIP3L, MOAP1, BAX, BCL-2, or MCL-1 did not change after incubation with AICAR (Figure 6A). The levels of HRK could not be analyzed because, to our knowledge, no antibodies exist that reliably recognize human HRK protein.

# AICAR induces apoptosis by triggering the joint induction of BIM and NOXA

To study a rate-limiting role of BIM, NOXA, and PUMA in AICAR-induced apoptosis, we assessed the effect of drug treatment in B lymphocytes lacking *Bim*, *Puma*, *Noxa*, *Bim* and *Puma*, or *Bim* and *Noxa* and compared it with the effects observed in mice overexpressing human *BCL-2* in all hematopoietic cells. Lymphocytes were incubated for 12 hours with AICAR in a range of concentrations (0.05, 0.1, 0.25, and 0.5mM). AICAR induced apoptosis, as in CLL samples,<sup>3</sup> preferentially in B cells, although 4 times higher doses were required to kill mouse T cells (supplemental Figure 5). Moreover, the pan-caspase inhibitor Z-VAD.fmk blocked AICAR-induced apoptosis in mouse B cells from spleen (supplemental Figure 6). In line with previous results in CLL cells, RT-MLPA analysis showed that AICAR induced a significant increase in the mRNA levels of *Bim* and *Noxa* in mouse lymphocytes (not shown).

B cells from WT or  $Puma^{-/-}$  animals died with the same kinetics, whereas  $Bim^{-/-}$  or  $Noxa^{-/-}$  B lymphocytes were partially protected from the effects of AICAR (Figure 7A). Consistent with this observation, B lymphocytes derived from  $Bim^{-/-}/Noxa^{-/-}$  animals resisted AICAR-induced apoptosis most effectively, whereas cells from  $Bim^{-/-}/Puma^{-/-}$  behaved like Bim-deficient cells (Figure 7B). Notably, the protection provided by combined loss of Bim and Noxa was comparable with the one achieved by overexpression of human BCL-2 as a transgene in mouse B lymphocytes (Figure 7B). Loss of other BH3-only proteins, including *Bad*, *Bmf*, or *Hrk/Dp5*, provided no protective effect (not shown).

Taken together, these observations suggest that AICAR-induced induction of BIM and NOXA is most critical for cell killing, whereas other transcriptional changes observed appear dispensable. Furthermore, BIM and NOXA account for all BCL-2 blockable cell death triggered by AICAR.

## Discussion

In the present study, we show that AMPK activators, such as phenformin<sup>28</sup> or A-769662,<sup>29</sup> do not induce apoptosis in most CLL samples analyzed. These results suggest that AMPK activation on its own is insufficient to induce apoptosis in CLL cells and that in these tumor cells AICAR induces apoptosis largely through an AMPK-independent mechanism. Consistently, AICAR induces apoptosis in both WT and  $Ampk\alpha I^{-/-}$  B lymphocytes from mouse spleen alike. In addition, AMPK seems to act as a survival factor in mouse B lymphocytes because  $Ampk\alpha l^{-/-}$  B cells showed higher sensitivity to AICAR-induced apoptosis than WT cells. Specific inhibitors of AMPK could induce apoptosis or enhance the apoptotic effect of AICAR in CLL cells. Activation of AMPK inhibits proliferation<sup>8</sup> and induces cytoprotective autophagy<sup>33,34</sup> in different cell types. Thus, although the apoptotic effect of AICAR is independent of AMPK in CLL cells, AMPK could play a role in limiting cell proliferation in the CLL-proliferating centers.

It has been described that AICAR induces p53 through AMPK activation.<sup>35,36</sup> However, AICAR does not affect p53 phosphorylation or accumulation in CLL cells,<sup>3</sup> suggesting that it could induce apoptosis through a p53-independent mechanism. Furthermore, a combination of AICAR with the MDM2 inhibitor nutlin-3a does not enhance its apoptotic activity.<sup>15</sup> Importantly, in the present study, we demonstrate that AICAR induces apoptosis and the same mRNA changes in CLL cells with mutated/deleted *TP53* or deleted *ATM*, as in WT *TP53* or *ATM* CLL cells. These data demonstrate that AICAR induces an interesting treating option for patients presenting an inactivated ATM/p53 signaling axis.



Figure 6. AICAR induces BIM, NOXA, and PUMA proteins in CLL cells. (A) Cells were untreated (–) or treated (+) with 0.5mM AICAR for 9 hours. Cells were lysed and analyzed by Western blot. Total levels of the BCL-2 family members BIM, BNIP3, BNIP3L, MOAP1, BAX, NOXA, PUMA, MCL-1, and BCL-2 were analyzed. ERK was used as a control of protein loading. One representative sample is shown (n = 6). (B) BIM<sub>EL</sub>, BIM<sub>L/β</sub>, NOXA, and PUMA protein levels from AICAR-treated cells for 9 hours were quantified by densitometry and normalized by the ERK protein levels. Data are mean  $\pm$  SEM (n = 6), expressed as the fold induction compared with untreated cells. \*\*P < .01, \*P < .05, AICAR-treated versus untreated cells.

We found that AICAR induces apoptosis through activation of the mitochondrial pathway because inhibition of caspase-8 did not inhibit AICAR-induced apoptosis and caspase inhibition failed to inhibit the cytochrome *c* release induced by AICAR. Furthermore, we found that AICAR induces a significant increase in *BIM*, *BNIP3*, *BNIP3L*, *HRK*, *MOAP1*, *NOXA*, and *PUMA* mRNA levels in the tumor samples analyzed, but not all genes were induced concomitantly. Importantly, our findings demonstrate that BCL-2 family changes induced by AICAR are dependent on ZMP accumulation and independent of AMPK activation in CLL cells.

AICAR induces the accumulation of BIM, NOXA, and PUMA proteins in the CLL samples analyzed. Remarkably, B lymphocytes from mice lacking both *Bim* and *Noxa* mice are strongly resistant to AICAR-induced apoptosis. Previously, increases in NOXA protein levels have been shown to be implicated in the induction of apoptosis by aspirin,<sup>37</sup> 2-phenylacetylenesulfonamide,<sup>38</sup> and bort-ezomib<sup>39</sup> in CLL cells, whereas BIM protein seems to play a role in the cytotoxic effect of glucocorticoids<sup>40</sup> and forodesine.<sup>41</sup> Moreover, both proteins have been involved in the apoptotic effect of HDAC inhibitors<sup>42</sup> in CLL cells. The exact mechanisms of transcriptional induction of *BIM* and *NOXA* by AICAR in B lymphocytes are presently unknown and will be the subject of our future investigations. Candidate transcriptional activators of *NOXA*,

besides p53,<sup>43</sup> which does not appear critical here, include p73, HIF-1 $\alpha$ , E2F-1, and c-MYC.<sup>44,45</sup> In addition, several transcriptional factors, including FOXO3a, E2F-1, and c-Jun, have been involved in the regulation of BIM.<sup>46</sup> Whether PUMA plays a critical role in AICAR-induced apoptosis in human CLL but not mouse B cells remains to be investigated.

Of interest for future therapeutic application is the observation that AICAR induces NOXA, which primarily neutralizes the BCL-2 prosurvival homolog MCL-1.47 MCL-1 expression levels were defined as the key-resistance factor hampering the use of currently developed BH3-mimetics that act like BH3-only proteins, such as ABT-737 or ABT-263 in CLL.48,49 Although we noted that *Bim<sup>-/-</sup>/Noxa<sup>-/-</sup>* and *vav-BCL2* transgenic mice are highly resistant to AICAR treatment, most CLL cell samples tested were highly sensitive to AICAR treatment. This could be surprising because CLL cells frequently express high levels of BCL-2 and seem to depend on it for survival.<sup>10,13</sup> In CLL cells, BIM appears to be sequestered by BCL-2, rendering these cells "primed for death."50 In the context of AICAR treatment, the observed increase in BIM is then sufficient to push these cells "over the edge" because NOXA, induced in the same setting, acts as a sensitizer that helps to prevent MCL-1 from also sequestering BIM. In B cells from mice overexpressing human BCL-2, the levels of BCL-2 most probably exceed those in CLL cells and possibly can buffer all available as well as induced BIM, that, in WT cells may require NOXA for sensitization to cell death by blocking MCL-1, as suggested by our findings using Bim<sup>-/-</sup>/Noxa<sup>-/-</sup> mice. So, cell death inhibition in vav-BCL2 transgenic versus Bim-/-/Noxa-/- mice may actually differ at the molecular level but yields similar results in terms of overall survival in vitro.

In conclusion, present results demonstrate that AICAR induces apoptosis by a p53- and AMPK-independent mechanism through up-regulation of BIM and NOXA in CLL cells. AICAR is currently in a clinical trial for CLL patients<sup>7</sup> and might be a new therapeutic option for treatment of B-cell neoplasms.

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## Authorship

Contribution: A.F.S. and D.M.G.-G. performed research, analyzed data, and wrote the paper; D.I.-S., L.C.-M., A.M.C., and M.d.F.

Figure 7. B lymphocytes from Noxa<sup>-/-</sup>/Bim<sup>-/-</sup> mice resisted induction of apoptosis by AICAR. (A) B cells from WT,  $Bim^{-/-}$ ,  $Noxa^{-/-}$ , and  $Puma^{-/-}$  and (B) B cells from WT,  $Bim^{-/-}$ ,  $Bim^{-/-}$ /Noxa<sup>-/-</sup>,  $Bim^{-/-}$ / $Puma^{-/-}$ , and vav-BCL2 transgenic (BCL-2 tg) mouse spleen were incubated for 12 hours with AICAR in a range of concentrations (0.05, 0.1, 0.25, and 0.5mM). Viability was measured by flow cytometry, and it is expressed as the percentage of the viability of untreated cells. Data are mean ± SEM from 3 to 6 independent experiments for each genotype. \*\*\*P < .005, \*\*P < .05, mutant versus WT B lymphocytes.



performed research; C.C. contributed with analytical tools; E.G.-B. and E.A. contributed with patient samples and data; V.L. assisted with mice material; B.V. supplied  $Ampk\alpha 1^{-/-}$  mice and revised the data; A.B. supplied  $Hrk/Dp5^{-/-}$  mice and revised the data; G.P. analyzed the data; A.V. supervised experiments of D.M.G.-G. using mice that lack *Bim, Noxa, Puma, Bim/Noxa, Bim/Puma, Bad, Bmf,* or *p53* or animals expressing a *vav-BCL2* transgene, analyzed the data, and edited the manuscript; J.G. designed and supervised the research, analyzed the data, and wrote the paper; and all authors revised the manuscript.

Conflict-of-interest disclosure: C.C. and J.G. patented the use of AICAR for B-cell neoplasms treatment, and this patent was licensed to ADVANCELL-Advanced In Vitro Cell Technologies SA in 2004. C.C. currently works as Executive VP of Advancell Therapeutics at ADVANCELL-Advanced In Vitro Cell Technologies SA. The remaining authors declare no competing financial interests.

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