

The Pten/PI3K pathway governs the homeostasis of V α 14*i*NKT cells

Hiroyuki Kishimoto,¹ Toshiaki Ohteki,² Nobuyuki Yajima,¹ Koichi Kawahara,¹ Miyuki Natsui,¹ Satoru Kawarasaki,¹ Koichi Hamada,¹ Yasuo Horie,³ Yoshiaki Kubo,⁴ Seiji Arase,⁴ Masaru Taniguchi,⁵ Bart Vanhaesebroeck,⁶ Tak Wah Mak,⁷ Toru Nakano,⁸ Shigeo Koyasu,⁹ Takehiko Sasaki,¹⁰ and Akira Suzuki¹

Departments of ¹Molecular Biology, ²Immunology, and ³Gastroenterology, Akita University School of Medicine, Japan; ⁴Department of Dermatology, Institute of Health Bioscience, Graduate School of Medicine, The University of Tokushima, Japan; ⁵RIKEN Research Center for Allergy and Immunology, Yokohama, Japan; ⁶Ludwig Institute for Cancer Research, London, United Kingdom; ⁷Campbell Family Institute for Breast Cancer Research and Departments of Immunology and Medical Biophysics, University of Toronto, ON, Canada; ⁸Department of Pathology, Medical School and Graduate School of Frontier Biosciences, Osaka University, Suita, Japan; ⁹Department of Microbiology and Immunology, Keio University School of Medicine, Tokyo, Japan; ¹⁰Department of Microbiology, Akita University School of Medicine, Japan

The tumor suppressor PTEN is mutated in many human cancers. We previously used the *Cre-loxP* system to generate mice (*LckCrePten* mice) with a Pten mutation in T-lineage cells. Here we describe the phenotype of Pten-deficient V α 14*i*NKT cells. A failure in the development of V α 14*i*NKT cells occurs in the *LckCrePten* thymus between stage 2 (CD44^{high}NK1.1⁻) and stage 3 (CD44^{high}NK1.1⁺), resulting in decreased numbers of peripheral V α 14*i*NKT cells. In vitro, Pten-deficient V α 14*i*NKT cells show reduced proliferation and cytokine secretion in response to α GalCer stimulation but enhanced inhibitory Ly49 receptor expression. Following interaction with dendritic cells (DCs) loaded with α GalCer, Ptendeficient V α 14*i*NKT cells demonstrate activation of PI3K. Indeed, the effects of the Pten mutation require intact function of the PI3K subunits p110 γ and p110 δ . In vivo, *LckCrePten* mice display reduced serum IFN γ after α GalCer administration. Importantly, V α 14*i*NKT cell–mediated protection against the metastasis of melanoma cells to the lung was impaired in the absence of Pten. Thus, the Pten/PI3K pathway is indispensable for the homeostasis and antitumor surveillance function of V α 14*i*NKT cells. (Blood. 2007; 109:3316-3324)

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Introduction

Va14iNKT cells, originally defined as NK1.1⁺ T cells,¹⁻³ are a unique lymphoid lineage characterized by the expression of several receptors that distinguish them from T, B, and natural killer (NK) cells. These receptors include an invariant rearranged T-cell receptor α (TCR α) chain, NK1.1, the IL-2/IL-15R β chain (CD122), and Ly49. The TCR α chain expressed by V α 14*i*NKT cells is encoded by the V α 14 and J α 18 gene segments and is usually associated with a TCR β chain containing the V β 8 gene segment, a combination not expressed by conventional T cells.⁴ Va14iNKT cells constitute more than half of the NK1.1⁺ T-cell population in the murine spleen and most NK1.1⁺ T cells in the thymus and liver.⁵ Vα14*i*NKT cells respond to glycolipid antigens such as the marine sponge-derived glycolipid a-galactosylceramide (aGal-Cer). aGalCer is presented to NKT cells by CD1d, an antigen presentation molecule that structurally resembles major histocompatibility complex (MHC) class I. Because of their restricted specificity, $V\alpha 14iNKT$ cells can be readily identified by staining with CD1d tetramers or dimers loaded with αGalCer.6,7

During their development in the thymus, $V\alpha 14iNKT$ precursors at the CD4⁺CD8⁺ (double positive [DP]) stage are positively selected by CD1d-mediated presentation of self-glycolipids (such as iGb3) by CD4⁺CD8⁺ thymocytes.^{8,9} V α 14*i*NKT precursors (CD44^{low}NK1.1⁻; stage 1) then develop sequentially into immature NKT cells (CD44^{high}NK1.1⁻; stage 2) and finally into mature V α 14*i*NKT cells (CD44^{high}NK1.1⁺; stage 3).^{10,11} V α 14*i*NKT cells apparently also undergo negative selection such that NKT cell maturation is severely reduced in situations in which activation signals for developing cells are enhanced to supraphysiological levels either in vitro or in vivo.¹²⁻¹⁶

In response to TCR ligation, mature peripheral V α 14*i*NKT cells promptly produce large amounts of Th1 cytokines (IFN γ , TNF) as well as Th2 cytokines (IL-4, IL-10, IL-13).^{17,18} V α 14*i*NKT cells therefore play important roles in a variety of innate and adaptive immune responses, including those mediating autoimmunity or combating microbial infections or tumor metastasis.^{5,19-21} Indeed, mice deficient in V α 14*i*NKT cells show impaired tumor rejection.²¹ This observation is consistent with the antitumor effect of α GalCer administration on various tumor types, including melanomas and lung, colon, and hematopoietic cancers.²² Despite the importance of V α 14*i*NKT cells, little is known about their intracellular signaling pathways or how these pathways might be regulated.

PTEN is a tumor suppressor gene²³ that is mutated in many human sporadic cancers and in hereditary tumor susceptibility disorders such as Cowden disease.²⁴ PTEN is a multifunctional phosphatase whose major substrate is phosphatidylinositol-3,4,5triphosphate (PIP3),²⁵ a lipid second messenger molecule. PIP3 is generated by the action of phosphoinositide-3-kinases (PI3Ks). Four distinct class I PI3K isotypes exist in mammals: PI3K α , β , δ , and γ .²⁶ The function of the PIP3 generated by PI3K is to activate

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numerous downstream targets, including the serine-threonine kinase PKB/Akt that is involved in antiapoptosis, proliferation, and oncogenesis. By using its lipid phosphatase activity to dephosphorylate PIP3, PTEN negatively regulates the PI3K-PKB/Akt pathway and thereby exerts tumor suppression.²⁷ Thus, murine T cells lacking Pten show enhanced proliferation and activation in vitro, and T cell-specific Pten-deficient mice develop T-cell lymphomas/ leukemias and are prone to autoimmunity.28 Conversely, mice deficient for the PI3K subunit p110y show impaired T-cell development and activation,29 and T cells from animals with a kinaseinactivating mutation (D910A) of p1108 have a defect in TCRstimulated proliferation.³⁰ Analyses of these and other genetically modified mice have begun to provide insights into the pathways and molecules mediating intracellular signaling in Va14iNKT cells. However, the specific functions of PI3K and Pten in these cells are totally unknown.

In this study, we demonstrate that mutation of Pten in murine $V\alpha 14iNKT$ cells results in developmental failure leading to increased numbers of immature $V\alpha 14iNKT$ cells and decreased numbers of mature $V\alpha 14iNKT$ cells of impaired functionality. Moreover, these defects depend on PI3K function. Our results indicate that the Pten/PI3K pathway is important for the homeostasis of $V\alpha 14iNKT$ cells and their antitumor surveillance function.

Materials and methods

Mice

 $LckCrePten^{flox/flox}$,²⁸ Pten^{+/-},³¹ PI3K $p110\gamma^{-/-}$,²⁹ PI3K $p110\delta^{D910A/D910A}$,³⁰ $J\alpha 18^{-/-}$,²¹ and AktPH- $GFP^{tg/tg}$ transgenic,³² mice (all C57BL6/J background, H-2^b) have been previously described. Because $LckCrePten^{flox/flox}$ mice begin to develop T-cell lymphomas at age 3 months, all experiments were performed using lymphoma-free mice younger than 8 weeks of age. $LckCrePten^{+/+}$ and $Pten^{flox/flox}$ mice²⁸ were indistinguishable in pilot experiments examining flow cytometry, cell proliferation, and cytokine production (data not shown), so that $Pten^{flox/flox}$ mice were used as representative wild-type (WT) controls in this study. The Institutional Review Board of the Akita University School of Medicine approved all animal experiments.

Reagents and melanoma cell line

A synthetic form of α GalCer (KRN7000) was provided by the Kirin Brewery (Gumma, Japan). Antibodies to TCR β -FITC (H57-597), NK1.1-FITC or -PE (PK136), CD24/HSA-biotin (30-F1), and PE-Cy5-streptavidin were purchased from eBioscience (San Diego, CA). Antibodies to TCR β -APC (H57-597), CD45R/B220-APC (RA3-6B2), Ly49C/I-biotin (5E6), Ly49A-biotin (A1), CD44-FITC (IM7), mouse IgG1-biotin (A85-1), and mouse CD1d dimmer–Ig fusion protein were purchased from BD PharMingen (San Diego, CA). The B16F10 mouse melanoma cell line was supplied by the Cell Resource Center for Biomedical Research, Tohoku University, Japan.

Flow cytometry and cell sorting

Mononuclear cells (MNCs) from the thymus, liver, and spleen were obtained as previously described.³³ For routine staining of V α 14*i*NKT cells, MNCs were first preincubated with CD16/32 mAb to prevent nonspecific binding of antibodies to Fc γ R. The cells were then incubated with CD1d dimmer–Ig (α GalCer-CD1d)⁷ followed by anti–mouse IgG1–biotin, PE-Cy5-streptavidin, and anti-TCR β –APC. To analyze NKT cell development, cells were also stained with anti-NK1.1–PE and anti-CD44–FITC. To detect Ly49 expression, cells were stained with anti-NK1.1–FITC, anti-TCR β –APC, CD1d dimmer–Ig (α GalCer-CD1d), anti–mouse IgG1–PE, anti-Ly49C/I–biotin (or anti-Ly49A–biotin), and streptavidin-PE-Cy5. All flow

cytometry and sorting experiments were performed using MoFlo and Summit software (Dako Cytomation, Glostrup, Denmark).

PCR and Western blotting

For analyzing the deletion frequency of the *Pten* gene at the DNA level, genomic DNA samples from sorted TCR β +NK1.1⁺HSA^{low} thymocytes of 8-week-old *Pten*^{flox/flox} and *LckCrePten*^{flox/flox} mice were amplified by polymerase chain reaction (PCR) as described.³⁴ Sense primer (5'-GTGAAAGTGCCCCAACATAAGG-3') and antisense primer (5'-CTC-CCACCAATGAACAAACAGT-3') were used to detect the WT and floxed *Pten* alleles; sense primer (5'-GGCCTAGGACTCACTAGATAGC-3') and antisense primer (5'-CTCCCACCAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAACAATGAAACAGT-3') were used to detect the deleted *Pten* Δ allele. Amplified fragments of 514 bp (*Pten*^{flox} allele) and 705 bp (*Pten* Δ allele) were obtained.

Total lysates (10 μ g) of sorted TCR β ⁺NK1.1⁺HSA^{low} thymocytes from 8-week-old *Pten^{flox/flox}* and *LckCrePten^{flox/flox}* mice were analyzed by Western blotting using antibodies directed against Pten (Cascade Bioscience, Winchester, MA) or actin (Santa Cruz Biotechnology, Santa Cruz, CA).

In vitro and in vivo activation of NKT cells

For in vitro activation of NKT cells, thymocytes were isolated from 8-week-old LckCrePten^{flox,flox} or Pten^{flox,flox} mice, and NK1.1⁺TCRβ⁺HSA^{low} NKT cells were purified by cell sorting. α GalCer-loaded dendritic cells (DCs) were prepared from spleens as described.³⁵ Briefly, spleens from WT mice were digested using collagenase and erythrocytes, and MNCs were collected by step centrifugation using 28% bovine serum albumin and serumfree RPMI medium. Isolated MNCs were cultured for 2 hours, and adherent cells were further cultured overnight with or without 100 ng/mL α GalCer. Nonadherent CD11c⁺ cells were plated at 2 \times 10⁴ per well in a 96-well plate and γ -irradiated (30 Gy). These cells were used as the α GalCer-loaded DCs. Purified NKT cells (1 \times 10⁴ per well) were incubated with a GalCer-loaded DCs in 96-well plates in RPMI 1640 medium containing 10% FCS. The aGalCer-stimulated NKT cells were harvested on day 2, and their proliferation was assayed by pulsing for 12 hours with 0.5 µCi (0.0185 MBq) [3H]-thymidine (Amersham, Arlington Heights, IL) per well and measuring [3H]-thymidine incorporation by standard methods. Culture supernatants were assayed for the production of IFNy and IL-4 by ELISA (Pierce Endogen, Rockford, IL).

For in vivo activation of NKT cells, α GalCer (2 µg) was intraperitoneally injected into 8-week-old *Pten^{flox/flox}*, *Pten^{+/-}*, and *LckCrePten^{flox/flox}* mice. Serum IL-4 and IFN γ levels were examined by enzyme-linked immunosorbent assay (ELISA) at 2 hours and 12 hours after α GalCer injection, respectively.

NKT cell maturation in FTOC

Fetal thymus organ culture (FTOC) was performed as described¹¹ with some modifications. Fetal thymus lobes from embryonic day 14.5 $J\alpha 18^{-/-}$ fetal mice were cultured for 3 days on Nucleopore membrane (Whatman, Middlesex, United Kingdom) in RPMI medium supplemented with 10% FCS, nonessential amino acids, pyruvate, and β -mercaptoethanol (all from Invitrogen, Carlsbad, CA). Purified CD44^{high}NK1.1⁻ α GalCer-CD1d⁺TCR β ⁺ immature V α 14*i*NKT cells (1 × 10⁵) isolated from the thymus of a 3-week-old *LckCrePten*^{flox/flox} or *Pten*^{flox/flox} mouse were microinjected into each thymic lobe of a $J\alpha 18^{-/-}$ embryo and cultured for additional 9 days. The maturation of the injected NKT cells was evaluated by flow cytometry as described.

Tumor immunity

The B16F10 melanoma cell line was maintained in the same culture medium as that used for FTOC. $J\alpha 18^{-/-}$ mice (8 weeks old) were intravenously inoculated with B16F10 cells (4×10^5). After 3 hours, these mice were intravenously injected with sorted α GalCer-CD1d⁺TCR β^+ thymocytes (1×10^6) from 8-week-old *Pten^{flax/flax}*, *LckCrePten^{flax/flax}*, or *LckCrePten^{flax/flax}* mice. After 30 minutes, 2 µg α GalCer was intraperitone-ally injected into the mice. On days 4 and 8 after cell transfer, the mice received an additional 2 µg α GalCer. The mice were killed on day 14, and

the number of surface lung metastases was evaluated with aid of a dissecting microscope. The data were recorded as the mean number of metastases per lung \pm SEM.

Confocal video microscopy

TCRβ⁺NK1.1⁺HSA^{low} NKT cells (1 × 10⁴) purified from thymocytes of 8-week-old *AktPH-GFP*^{rg/rg} mice and DCs (1 × 10⁵) either loaded or not with αGalCer were coplated in fibronectin-coated glass-base dishes for 10 minutes. In some experiments, the PI3K inhibitor wortmannin (10 nM; Sigma, St Louis, MO) was added before the cells were mixed. Time-lapse studies to detect PIP3 identified by the AktPH-GFP bioprobe³² were performed at 37°C using a heating device (Harvard Apparatus, Holliston, MA) adapted to a DM IRE2 Leica (Wetzlar, Germany) microscope fitted with a confocal imaging system (Yokogawa, Tokyo, Japan) and equipped with a Leica HCX APO 100×/1.30 numerical aperture objective. Confocal fluorescent or differential interface contrast (Nomarski optics) images were acquired using an Orca-ER cooled charge-coupled-device (CCD) camera (Hamamatsu Photonics, Hamamatsu, Japan) driven by IPLab software version 3.0.4 (BD Bioscience).

Statistical methods

Statistical differences were determined using the Student t test.

Results

Pten deficiency in NKT cells of LckCrePtenflox/flox mice

We previously reported a conditional *Pten* knockout mouse in which the *Pten* gene was deleted by *Lck*, a T cell–specific promoter (*LckCrePten*^{flox/flox} mice).²⁸ Genomic PCR examination of DNA from purified TCR β ⁺NK1.1⁺HSA^{low} thymocytes from 8-week-old *Pten*^{flox/flox} and *LckCrePten*^{flox/flox} mice showed that highly efficient Cre-mediated recombination had occurred not only in conventional T cells but also in NKT cells (Figure 1A). The deletion of Pten was confirmed at the protein level by Western blotting (Figure 1B).

Impaired development of NKT cells in Pten-deficient mice

To examine the role of Pten in NKT cell development, we analyzed the NK1.1⁺TCR β^+ NKT population in the thymus, spleen, and liver of 7- to 8-week-old *LckCrePten*^{flox/flox} and *Pten*^{flox/flox} mice. *LckCrePten*^{flox/flox} mice showed reductions not only in the percentages of NK1.1⁺TCR β^+ cells present in all 3 tissues (Figure 2A) but also in absolute numbers of these NKT cells in the thymus (4.5-fold decrease), spleen (2.1-fold), and liver (4.3-fold) (Figure 2B).



Figure 1. *Pten* gene deletion in NKT cells in *LckCrePten*^{flox/flox} mice. (A) Genomic DNA from TCR β +NK1.1+HSA^{low} thymocytes of the indicated genotype was analyzed by PCR. In *LckCrePten*^{flox/flox} mice, most TCR β +NK1.1+HSA^{low} thymocytes contained the deleted *Pten* allele (Δ) rather than the WT Pten allele (flox). (B) Western blot analysis of Pten protein expression by the thymocytes in panel A. Actin loading control.

We next investigated numbers of V α 14*i*NKT cells, a major subset of NKT cells, using the reagent CD1d dimer-Ig (aGalCer-CD1d).7 The thymi of WT and LckCrePtenflox/flox mice contained equal numbers of aGalCer-CD1d+TCRB+NKT cells (Va14iNKT cells) (Figure 2C-D, left panels), indicating that Pten deficiency has no effect on total Va14iNKT cell numbers. We then determined CD44/NK1.1 profiles^{10,11} to follow the development of Va14*i*NKT cells in thymus. While about 80% of thymic Va14iNKT cells in Ptenflox/flox mice were mature and had reached stage 3 (CD44^{high}NK1.1⁺), only 9% of Va14iNKT cells in LckCrePtenflox/floxmice were at stage 3 and most had arrested at stage 2 (immature CD44^{high}NK1.1⁻ cells) (Figure 2C, right panel, and E). No differences were observed between the WT and mutant thymus in the percentage of stage 1 NKT precursors (CD44^{low}NK1.1⁻) present. When proliferative capacity was examined, there was no difference between the WT and the mutant in the percentage of BrdU-positive cells in the stage 2 population (Figure S1A, available on the *Blood* website; see the Supplemental Materials link at the top of the online article). Thus, a block in differentiation is the most likely explanation for the observed increase in stage 2 cells in the mutant thymus. In the periphery, Va14iNKT cells in LckCrePtenflox/flox mice were decreased in the spleen and liver to 51% and 48% of WT values, respectively (Figure 2C-D). These decreases had to be due to reductions in mature Va14iNKT cells because no increases were observed in the percentage of NK1.1- Va14iNKT cells in mutant spleen and liver (Figure S1B).

V α 14*i*NKT cells are positively selected in the thymus via the interaction of their TCRs with CD1d expressed by DP cortical thymocytes and negatively selected by CD1d expressed by antigenpresenting cells such as DCs.^{8,12} Thus, normal CD1d expression on DP thymocytes and thymic/peripheral DCs is required for proper NKT cell development.^{8,9} Flow cytometric analysis revealed no differences in CD1d levels expressed by DP thymocytes or thymic or splenic DCs from *Ptenflox/flox* and *LckCrePtenflox/flox* mice (Figure S1C). These data indicate that the impaired development of Pten-deficient V α 14*i*NKT cells is not due to altered CD1d expression by antigen-presenting cells.

The developmental failure of Vα14*i*NKT cells in *LckCrePten^{flox/flox}* mice is cell autonomous

The Pten gene is deleted in both NKT cells and T cells in LckCrePtenflox/flox mice, and DP T cells are an important stromal component for normal NKT cell development in the thymus.^{8,9,12} To rule out the possibility that it was the Pten mutation in DPT cells that caused the decrease in NKT cells in LckCrePtenflox/flox mice, purified CD44^{high}NK1.1^{- α}GalCer-CD1d⁺TCR β^+ immature Va14iNKT cells (stage 2) from Ptenflox/flox and LckCrePtenflox/flox mice were transferred into $J\alpha 18^{-/-}$ fetal thymus (which lacks all Va14iNKT cells) and cultured for 9 days using the FTOC method.¹¹ As shown in Figure 3, more than 60% of immature Ptenflox/flox Va14iNKT cells developed into mature Va14iNKT cells (CD44^{high}NK1.1⁺ α GalCer-CD1d⁺TCR β ⁺; stage 3) in this environment. In contrast, almost all transferred immature LckCre-Ptenflox/flox Va14iNKT cells remained at stage 2. This result clearly indicates that the reduced number of Va14iNKT cells in LckCre-Pten^{flox/flox} mice was due to a cell-autonomous defect.

Impaired activation of Pten-deficient NKT cells in vitro and in vivo

We next investigated the functional status of Pten-deficient $V\alpha 14iNKT$ cells by determining their proliferation and cytokine



Figure 2. Altered NKT cell populations in *LckCrePten^{flox/flox}* mice. (A-B) Decreased numbers of NK1.1⁺TCR β^+ cells in *LckCrePten^{flox/flox}* mice. (A) MNCs were isolated from the thymus, spleen, and liver of mice of the indicated genotypes, and NK1.1⁺TCR β^+ cells were analyzed by flow cytometry. Numbers within the panels indicate the relative percentages of NK1.1⁺TCR β^+ cells in total lymphocyte populations. (B) Absolute numbers of the NK1.1⁺TCR β^+ cells in panel A. (C-E) Altered maturation and numbers of Va14/iNKT cells. (C, left panel, and D) The percentages of Va14/iNKT cells in total MNCs is normal in the thymus of *LckCrePten^{flox/flox}* mice but decreased in spleen and liver. (C, right panel, and E) Stage 2 (CD44⁺NK1.1⁻) Va14/iNKT cells were increased, but stage 3 (CD44⁺NK1.1⁺) cells were decreased in the thymus of *LckCrePten^{flox/flox}* mice. The percentages of NKT subsets in aGalCer-CD14⁺TCR β^+ -gated thymocytes (C, right panel) and in total MNCs in thymus (E) are shown. For panels B, D, and E, results are expressed as the mean \pm SEM for 3 mice per group.**P < .01.

production. When total cells from WT thymus were stained with CD1d dimer–Ig and anti-TCR β antibody, the V α 14*i*NKT fraction was found to be slightly activated prior to any exogenous stimulation. We therefore purified NK1.1⁺TCR β ⁺ cells from *Pten*^{flox/flox} and *LckCrePten*^{flox/flox} thymi and activated these cells using DCs that had been loaded in vitro with α GalCer. In response to this activation, NK1.1⁺TCR β ⁺ mature NKT cells from *Pten*^{flox/flox} thymus showed high levels of proliferation (Figure 4A) as well as vigorous production of IL-4 (Figure 4B) and IFN γ (Figure 4C). In contrast, NK1.1⁺TCR β ⁺ mature NKT cells from *LckCrePten*^{flox/flox} thymus showed severely impaired proliferation and cytokine production (Figure 4A-C). These results suggest that Pten is required not only for the normal development of NKT cells but also for their functions.

To examine cytokine production by $V\alpha 14iNKT$ cells in response to α GalCer in vivo, we intraperitoneally injected α GalCer into *Pten*^{flox/flox}, *Pten*^{+/-}, and *LckCrePten*^{flox/flox} mice. Administration of α GalCer to WT mice led to a rapid increase in serum IL-4 and IFN γ levels within 2 hours and 12 hours, respectively (Figure 4D-E). It is known that $V\alpha 14iNKT$ cells are solely responsible for the production of these cytokines at these time points.²² Accordingly, in both *Pten*^{+/-} and *LckCrePten*^{flox/flox} mice that received intraperitoneal injection of α GalCer, serum levels of IFN γ were severely decreased. α GalCer-treated *LckCrePten*^{flox/flox} mice also showed a significant decrease in serum IL-4 whereas *Pten*^{+/-} mice showed only a slight (insignificant) reduction. These data indicate that, as well as reducing their numbers, mutation of Pten functionally impairs mature $V\alpha 14iNKT$ cells both in vitro and in vivo.







Figure 4. Impaired activation of Pten-mutant NKT cells in vitro and in vivo. (A) Impaired proliferation. NK1.1⁺TCR β^+ cells were purified from the thymi of *Pten^{flox/flox}* or *LckCrePten^{flox/flox}* mice and cocultured with DCs loaded with vehicle (DC) or α GalCer (DC- α GC). After 48 hours, cells were pulsed with [3H]-thymidine for 12 hours and thymidine uptake was measured. (B-C) Impaired cytokine production. IL-4 and IFN γ in the supernatants of the cultures in panel A were measured by ELISA. (D-E) Impaired response of Va14/NKT cells to aGalCer administration in vivo. αGalCer was intraperitoneally injected into Ptenflox/flox, Pten+/-, or LckCrePtenflox/flox mice, and serum concentrations of IL-4 (D) and IFN γ (E) were evaluated at 2 hours and 12 hours after aGalCer injection, respectively. For all panels, data are expressed as the mean value \pm SEM for 3 mice per group. **P < .01.

$\label{eq:product} \mbox{Pten-deficient V} \alpha 14 \mbox{iNKT$ cells show defective} \\ antitumor immunity$

Because V α 14*i*NKT cells were abnormal in number and function in *LckCrePten^{flox/flox}* mice, we investigated whether these animals had a defect in V α 14*i*NKT-mediated antitumor immunity in vivo. First, we purified α GalCer-CD1d⁺TCR β^+ (V α 14*i*NKT) cells from *Pten^{flox/flox}* mice and adoptively transferred them into α GalCertreated $J\alpha$ 18^{-/-} (V α 14*i*NKT cell–deficient) recipients that had been inoculated with B16F10 melanoma cells (Figure 5A). The presence of WT V α 14*i*NKT cells markedly inhibited the metastasis of B16F10 cells to the lungs (about 60% reduction; Figure 5B-C), consistent with previous observations.³⁶ In contrast, only a minor inhibition of B16F10 metastasis was achieved using V α 14*i*NKT cells from α GalCer-treated *Pten*^{+/-} mice (16% reduction; Figure 5C) or α GalCer-treated *LckCrePten*^{flox/flox} mice (8% reduction; Figure 5B). These findings show that mutation of Pten in V α 14*i*NKT cells impairs antitumor immunity.

PI3K signaling is responsible for the phenotypes of Pten-deficient V α 14*i*NKT cells

To determine whether PI3K was activated in V α 14*i*NKT cells that interacted with α GalCer-loaded DCs, we analyzed levels of the PI3K product PIP3. Purified V α 14*i*NKT cells expressing the fusion bioprobe AktPH-GFP³² were cultured with α GalCer-loaded DCs in



Figure 5. Defective antitumor surveillance by Pten-deficient V α 14*i*/NKT cells. (A) Scheme describing the experimental protocol used to evaluate antitumor surveillance by NKT cells in vivo. V α 14*i*/NKT cells were purified from mice of the indicated genotypes and adoptively transferred into $J\alpha$ 18^{-/-} mice that had been inoculated with B16F10 melanoma cells. The mice were then injected with α GalCer at 0, 4, and 8 days after NKT cell transfer. After 14 days, numbers of focal lung metastases were counted. (B-C, left panels) Increased lung metastasis. Quadrant scheme in the upper corners shows the labeling of the cell images. "None" indicates controls in which no cells were transferred. Bec, left cells (C) are shown. (B-C, right panels) Relative numbers of the lung metastases identified in the left panels. Results shown are mean ± SEM of 18 mice that received no cells and 6 mice each that received V α 14*i*/NKT cells. ***P* < .01.



Figure 6. PI3K is activated in V α 14/NKT cells that interact with α GalCer-loaded DCs. Localization of PIP3 to the NKT cell membrane. TCR $\beta^+NK1.1^+HSA^{low}$ NKT cells were purified from thymocytes of 8-week-old *AktPH-GFP^{tgrig}* mice (WT for Pten) expressing the PIP3-binding AktPH-GFP bioprobe I (left). These V α 14/NKT cells were incubated with α GalCer-loaded DCs, and PIP3 localization was determined by confocal fluorescence (left) and phase contrast (right) microscopy. (A) V α 14/NKT cells that made contact with α GalCer-loaded DCs showed membrane localization of PIP3 (solid arrow), while PIP3 remained in the cytoplasm of V α 14/NKT cells that did not make contact with DCs (dotted arrows). (B) AktPH-GFP bioprobe localization to the plasma membrane of V α 14/NKT cells was inhibited by wortmannin or by contact with DCs lacking α GalCer.

fibronectin-coated glass-base dishes. As shown in Figure 6A, the AktPH-GFP bioprobe was recruited to the plasma membrane of WT V α 14*i*NKT cells that interacted with α GalCer-loaded DCs (solid arrow) but remained in the cytoplasm of WT V α 14*i*NKT cells that did not make contact with the DCs (dotted arrows). In addition, the bioprobe was not recruited to the plasma membrane of WT V α 14*i*NKT cells that interacted with α GalCer-loaded DCs in the presence of wortmannin (a pan-PI3K inhibitor) or in V α 14*i*NKT

cells that contacted DCs lacking α GalCer (Figure 6B). These findings suggest that PI3K is activated in V α 14*i*NKT cells when these cells are stimulated by interaction with α GalCer-loaded DCs.

The PI3K signaling pathway leads to PKB/Akt activation, an event that is negatively regulated by Pten. Accordingly, Pten deficiency usually leads to enhanced PI3K signaling and cellular hyperactivation and hyperproliferation.^{26,28} Curiously, Pten deficiency in Va14iNKT cells led to impaired cell numbers and functions. We therefore examined the role of PI3K in generating the phenotypes of Pten-deficient NKT cells. The PI3K subunit p1108 is highly expressed in hematopoietic cells and is the major catalytic subunit of class IA PI3Ks in T-lineage cells.³⁰ The PI3K subunit p110 γ , which is a unique catalytic subunit responsible for class IB PI3K activation downstream of G protein-coupled receptors (GPCRs), is also highly expressed in lymphocytes and important for the normal development of T-lineage cells.²⁹ To determine whether PI3K signaling was responsible for the defects of Pten-deficient Va14iNKT cells, we examined double-mutant mice lacking Pten and PI3K p110 γ^{29} (LckCrePten^{flox/flox}/p110 $\gamma^{-/-}$) or Pten and PI3K p110 δ^{30} (*LckCrePtenflox/p110\delta^{D910A/D910A}*). The mutation of p110 γ partially rescued V α 14*i*NKT cell numbers in LckCrePten^{flox/flox} mice (Figure 7A, upper panel) and completely rescued the proliferation defect of Pten-deficient Va14iNKT cells (Figure 7B). A slightly weaker but still significant effect was seen upon mutation of p1108. Moreover, numbers of peripheral Ptendeficient Va14iNKT cells were restored to near-normal levels in both strains of double-mutant mice (data not shown). These results indicate that excessive PI3K signaling contributes to the phenotypes of Pten-deficient Va14iNKT cells.

Enhanced expression of inhibitory Ly49 receptors by Pten-deficient $V\alpha 14 \textit{i}\text{NKT}$ cells

Interaction between MHC class I and inhibitory Ly49 receptors (such as Ly49A and LY49C/I) can negatively regulate the responses of T cells to alloantigens,³⁷ suggesting that signaling via the TCR can be regulated by a Ly49-dependent mechanism. With respect to NKT cells, NKT functions are impaired upon inhibitory



Figure 7. The phenotypes observed in Pten-deficient NKT cells are PI3K dependent. (A, top) Decreased maturation. Thymic Va14iNKT cells from mice of the indicated genotypes were analyzed by flow cytometry for percentages of stage 3 cells. (A. bottom) Increased expression of Ly49C/I receptors. The aGalCer- $CD1d^{+}TCR\beta^{+}NK1.1^{+}$ cells in the top panel were analyzed by flow cytometry to determine relative expression levels of Lv49C/I. (B) Impaired proliferation. The $NK1.1^+TCR\beta^+$ cells from panel A were stimulated by incubation with α GalCer-loaded DCs, and [³H]-thymidine incorporation was measured. Data shown are the mean \pm SEM for 3 mice per group. **P < .01. For both panels, the defects of Pten-deficient V α 14/NKT cells were partially rescued by mutation of a PI3K subunit (p110v or p110δ).

Ly49-MHC class I interaction,38 and mice transgenic for Ly49A show impaired NKT cell maturation and selection.³⁹ Thus, Ly49mediated regulation is important for the development and functions of NKT cells, and this regulation may involve modulation of the strength of TCR signaling. These considerations led us to examine the expression of inhibitory Ly49 receptors by our Pten-deficient Va14iNKT cells. Specifically, we determined the expression of Ly49C/I (which recognizes MHC class I, H-2K^b, H-2D^d) and Ly49A (which recognizes MHC class I, H-2D^d) by thymic Va14*i*NKT cells of *LckCrePten^{flox/flox}* mice and the double mutants. As expected, the proportion of α GalCer-CD1d⁺TCR β ⁺NK1.1⁺ thymocytes showing strong expression of Ly49C/I (Figure 7A, bottom) and Ly49A (Figure S2) was increased in LckCrePtenflox/flox mice compared with Ptenflox/flox mice. At least for Ly49C/I, this increased expression was mitigated by the presence of the $p110\delta^{D910A/D910}$ or $p110\gamma^{-/-}$ mutation. There were no differences between LckCrePten^{flox/flox} mice and Pten^{flox/flox} mice in the H2-K^b levels expressed by thymic or splenic DCs (Figure S1C). Thus, PI3K signaling is responsible for the altered inhibitory Ly49 receptor repertoire of Pten-deficient thymic Va14iNKT cells. Taken together, our results suggest that the reduced number and functions of Pten-deficient Va14iNKT cells may be linked to their increased expression of inhibitory Ly49 receptors.

Discussion

Many studies in mouse models have demonstrated that $V\alpha 14iNKT$ cells are activated in a broad range of disorders, including autoimmune diseases, microbial infections, graft rejection, and cancer progression/metastasis.^{5,19-21} However, little is known about intracellular signal transduction in NKT cells, particularly the role of the Pten/PI3K signaling pathway that is crucial in so many other cell types. Our results are the first to demonstrate that mutation of Pten in murine $V\alpha 14iNKT$ cells impairs the development and functions of these cells and that these defects depend on PI3K activity. An in vivo consequence of the defective $V\alpha 14iNKT$ compartment is enhanced tumor metastasis.

The study of genetically modified mice has begun to yield important insights into the mechanisms controlling the maturation of Va14iNKT cells. To date, investigations of diverse genedeficient mice have revealed 3 general categories of Va14iNKT cell abnormalities.⁴⁰ In the first category (group 1), the mutant mice show a total absence or a severe reduction in numbers of both immature (stage 2) and mature (stage 3) Va14iNKT cells. In the second category (group 2), the mutant animals exhibit a block in $V\alpha 14iNKT$ cell development between stage 2 and stage 3 such that stage 2 cells are increased while stage 3 cells are decreased. In the third category (group 3), numbers of stage 3 V α 14*i*NKT cells are decreased but there is no increase in stage 2 cell numbers. Knockout mice lacking CD1d, Fyn, SAP, IL-7, IKK2, NIK, or RelB all have the group 1 phenotype, indicating that these molecules are essential for the initial development of V α 14*i*NKT cells from DP precursors.40,41 Mice lacking T-bet42 or Itk43 are members of group 2. These molecules do not affect the earliest stages of NKT differentiation from DP precursors but are critical for the continued maturation of stage 2 Va14iNKT cells. Mice deficient for IL-15 or transgenic for mIkBa fall into group 3 because these molecules are important for the survival of mature NKT cells.^{40,44} While the phenotypes observed in the V α 14*i*NKT cells of T-bet- or Itk-deficient mice are strikingly similar to those we observed for Va14iNKT cells of LckCrePtenflox/flox mice, there are some fundamental differences. First, although the phenotypes observed in T-bet-deficient mice can be explained by a failure to express the IL-2R/IL-15R common β subunit (CD122),⁴² the proliferative response to IL-15 by mature NKT cells of LckCre-Ptenflox/flox mice was normal (Figure S3). Second, Itk-deficient Va14iNKT cells show reduced expression of the inhibitory NK receptors Ly49C/I and intact IFNy secretion,43 but Pten-deficient Va14iNKT cells exhibit enhanced Ly49C/I and Ly49A expression and reduced IFNy secretion. In addition, because Itk is activated by Pten deficiency,⁴⁵ reduction of Itk signaling is unlikely to have occurred in our LckCrePten^{flox/flox} mice. For these reasons, we believe that the phenotypes observed in Va14iNKT cells of LckCrePten^{flox/flox} mice cannot be explained by effects attributable to altered T-bet or Itk function. Finally, in all the previously reported mutant mice, the molecules deleted by the gene targeting are known to activate cells. No other mutant mouse to date features the targeting of an intracellular signaling molecule that negatively regulates cellular activation in V α 14*i*NKT cells.

Ly49 is a multigene receptor family expressed mainly by NK cells and stage 3 mature Va14iNKT cells.44 Ly49A, Ly49C/I, and Ly49G2 are inhibitory NK receptors, while Ly49D and Ly49H are activatory NK receptors. Both groups of receptors interact with proteins encoded by specific MHC class I alleles.⁴⁶ It has been clearly shown that the interaction between Ly49A and H-2D^d and between Ly49C and H-2K^b or H-2D^d negatively regulates NK effector functions such as cytotoxicity and bone marrow graft rejection.47,48 Similarly, the appropriate inhibitory Ly49-MHC class I interactions can negatively regulate the maturation, proliferation, and functions of NKT cells.^{37,38,39} In our study, we found that most aGalCer-CD1d+TCRB+NK1.1+ thymocytes in LckCre-Ptenflox/flox mice showed an enhancement of Ly49C/I expression that was PIP3 dependent. The continuous accumulation of PIP3 that resulted from the Pten deficiency in our mutants may have up-regulated the expression of inhibitory Ly49 receptors. Thus, the phenotypes observed in Pten-deficient Va14iNKT cells can plausibly be explained by their enhanced expression of inhibitory Ly49 receptors.

Several lines of evidence suggest that developing $V\alpha 14iNKT$ cells undergo negative selection if they encounter high-avidity antigen or abundant self-antigen. First, the addition of aGalCer to early-stage FTOC prevents the development of Va14iNKT cells in a dose-dependent manner.14,16 Second, overexpression of CD1d on DCs or thymocytes in transgenic mice results in a reduced number of V α 14*i*NKT cells that exhibit both altered V β repertoire usage and reduced sensitivity to antigen.^{12,14} Third, in mice doubly transgenic for the activatory Ly49D receptor and its ligand H2-D^d, $V\alpha 14iNKT$ cells are absent from the thymus and periphery due to developmental arrest between stage 2 and stage 3. The addition of the inhibitory Ly49A transgene to Ly49D/H2-D^d transgenic mice resulted in a partial rescue of NKT cell development.¹⁵ A possible interpretation of these findings is that Val4iNKT cells with self-recognizing Ly49 inhibitory receptors preferentially survive as a result of decreased negative selection mediated by activatory Ly49. It may be that, as well as TCR avidity, an appropriate balance of signals delivered by activatory and inhibitory Ly49 receptors is required to set the affinity threshold and regulate proper Va14iNKT cell development. Pten-deficient Va14iNKT cells exposed to endogenous glycolipid antigens may transmit supraphysiological levels of signaling which, in turn, may drive enhanced negative selection of V α 14*i*NKT cells. The deficit of V α 14*i*NKT cells in the peripheral organs and thymus may then be partially restored by repopulation of the mature Va14iNKT compartment with cells showing increased expression of inhibitory Ly49 receptors, altered V β usage, and reduced sensitivity to antigen.¹⁴

Pre-TCR signaling in T cells of PI3K p110 $\delta^{-/-}/p110\gamma^{-/-}$ double-mutant mice is greatly decreased compared with signaling in T cells of PI3K $\delta^{-/-}$ or $\gamma^{-/-}$ single-mutant animals.⁴⁹ In addition, T-cell responses induced by TCR stimulation are much weaker in p110 $\delta^{-/-50}$ and p110 $\gamma^{-/-29}$ mice than in WT controls. In our study, we showed that the phenotypes observed in Pten-deficient NKT cells could be partially rescued by mutation of p110 γ . These results suggest that TCR signaling may directly activate both PI3K δ and PI3K γ in V α 14*i*NKT cells. Alternatively, inhibitory Ly49 receptors may directly affect both PI3K δ and PI3K γ , which may eventually result in modulated TCR signaling. In support of the latter possibility, there is evidence that SHIP1 (another PIP3 phosphatase) as well as the p85 subunit of PI3K can both be recruited to Ly49A or Ly49C.⁵¹

The molecular mechanisms underlying the impaired function of Val4iNKT cells in patients with autoimmune disease or cancer remain to be determined. Our work suggests that the continuous activation of the PI3K pathway in murine LckCrePtenflox/flox $V\alpha 14iNKT$ cells (due to decreased Pten function) may alter the negative selection, development, function, and tolerance of these cells. In humans, a parallel scenario could lead to the onset of these diseases. It is well known that aGalCer has antitumor effects against cancer cells of various origins and that this protection depends on an initial burst of IFN γ production by NKT cells and subsequent ongoing IFNy production by NK cells.³⁶ IFNy itself is not directly toxic to tumor cells, but the IFN γ produced by NKT cells is critical for the recruitment and proliferation of NK cells (and other leukocytes) that are cytolytic to tumor cells. In addition, IFN γ has potent antiangiogenic activity⁵² and induces other leukocytes to produce IFNy-inducible factors such as IFNinducible protein 10 (IP-10) and monokine induced by IFNy.53 These cytokines may indirectly inhibit tumor neovascularization and thus induce tumor hypoxia. We have demonstrated that Pten-deficient V α 14*i*NKT cells fail to produce IFN γ both in vitro and in vivo, most likely accounting for the impaired antitumor surveillance observed in *LckCrePten^{flox/flox}* mice.

Our study is the first to show that engagement of the TCRs of murine $V\alpha 14iNKT$ cells by α GalCer-loaded DCs leads to the

activation of PI3K in the NKT cells. We have also demonstrated that the loss of Pten or activation of PI3K signaling in Va14iNKT cells leads to a failure in their development. The few mature $V\alpha 14iNKT$ cells that survive are tolerant to α GalCer, resulting in defective antitumor surveillance and accelerated metastasis. Mice heterozygous for the Pten mutation ($Pten^{+/-}$) showed a significant reduction in serum IFNy production after administration of aGal-Cer in vivo. In addition, adoptive transfer of Pten+/- Va14iNKT cells into aGalCer-treated, B16F10 melanoma cell-inoculated $J\alpha 18^{-/-}$ recipients impaired the inhibition of B16F10 melanoma metastasis to the lungs. We speculate that an identical mechanism may be operating in humans with Cowden disease, a hereditary syndrome of cancer susceptibility caused by heterozygous mutations of PTEN: Namely, an individual who inherits a mutated PTEN allele is not only at risk for additional tumorigenic mutations due to loss of heterozygosity (LOH) of the PTEN gene but may also experience accelerated growth of any incipient tumors due to impaired NKT-mediated antitumor surveillance.

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Authorship

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H.K. and T.O. contributed equally to this work.

Correspondence: Akira Suzuki, Hondo 1-1-1, Akita, 0108543 Japan; e-mail: suzuki@med.akita-u.ac.jp.

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