

Brief report

Prospective phase 1/2 study of rituximab in childhood and adolescent chronic immune thrombocytopenic purpura

Carolyn M. Bennett, Zora R. Rogers, Daniel D. Kinnamon, James B. Bussel, Donald H. Mahoney, Thomas C. Abshire, Hadi Sawaf, Theodore B. Moore, Mignon L. Loh, Bertil E. Glader, Maggie C. McCarthy, Brigitta U. Mueller, Thomas A. Olson, Adonis N. Lorenzana, William C. Mentzer, George R. Buchanan, Henry A. Feldman, and Ellis J. Neufeld, for the Pediatric Rituximab/ITP Study Group and the Glaser Pediatric Research Network

We assessed safety and efficacy of rituximab in a prospective study of 36 patients, age 2.6 to 18.3 years, with severe chronic immune thrombocytopenic purpura (ITP). The primary outcome of sustained platelets above $50 \times 10^9/L$ ($50\,000/mm^3$) during 4 consecutive weeks, starting in weeks 9 to 12, was achieved by 11 of 36 patients (31%, confidence interval [CI], 16% to 48%). Median response time was 1

week (range, 1 to 7 weeks). Attainment of the primary outcome was not associated with age, prior pharmacologic responses, prior splenectomy, ITP duration, screening platelet count, refractoriness, or IgM reduction. First-dose, infusion-related toxicity was common (47%) despite premedication. Significant drug-related toxicities included third-dose hypotension ($n = 1$) and serum sickness ($n = 2$). Peripheral B cells were

depleted in all subjects. IgM decreased 3.4% per week, but IgG did not significantly decrease. Rituximab was well tolerated, with manageable infusion-related side effects, but 6% of subjects developed serum sickness. Rituximab is beneficial for some pediatric patients with severe, chronic ITP. (Blood. 2006;107:2639-2642)

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Introduction

Immune thrombocytopenic purpura (ITP) in children is typically a self-limited disorder that resolves without significant morbidity or mortality. In approximately 20% of pediatric patients, the disease becomes chronic with thrombocytopenia persisting beyond 6 months.² The standard treatments for children with chronic ITP include corticosteroid therapy, intravenous immunoglobulin (IVIG), anti-D immune globulin, or splenectomy. Splenectomy is effective in many patients but exposes young children to an increased risk of infection with encapsulated organisms. Some children with chronic ITP are refractory to all therapy and have chronically low platelets and intermittent bleeding.

Rituximab is a chimeric monoclonal antibody directed against the CD20 antigen expressed on pre-B and mature B lymphocytes that is approved for use in the treatment of B-cell lymphoma.³⁻⁵ Rituximab

rapidly eliminates most circulating B cells with subsequent recovery of B-cell counts 6 to 12 months after therapy. Reducing B cells in the circulation may be effective in the treatment of autoimmune diseases such as ITP. Several studies and case series in adults and children with severe chronic ITP have shown promising results.⁶⁻¹⁵

We performed an investigator-initiated, multicenter, prospective, open-label, phase 1/2 trial of rituximab in 36 children with severe or refractory chronic ITP. This report summarizes the primary outcome data from this clinical trial, the only prospective study of rituximab therapy in pediatric patients with severe chronic ITP. In addition, this study is the first report of rituximab pharmacokinetic parameters in children (Document S2 and Table S1, available on the *Blood* website; see the Supplemental Materials link at the top of the online article).

From the Division of Hematology/Oncology and the Clinical Research Program, Children's Hospital Boston, Dana-Farber Cancer Institute and Harvard Medical School, MA; the Department of Pediatrics, University of Texas Southwestern Medical Center, Dallas; Weill Medical College at Cornell University, New York, NY; Baylor College of Medicine, Houston, TX; Emory University School of Medicine, Atlanta, GA; Van Eslander Cancer Center, St John Hospital, Detroit, MI; University of California, Los Angeles (UCLA)/Mattel Children's Hospital at UCLA; University of California, San Francisco; and Stanford University, CA.

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A complete list of the members of the Rituximab/ITP Study Group and the Glaser Pediatric Research Network appears in the "Appendix."

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The online version of this article contains a data supplement.

An Inside *Blood* analysis of this article appears at the front of this issue.

Reprints: Ellis J. Neufeld, Division of Hematology/Oncology, Children's Hospital Boston, 300 Longwood Ave, Boston, MA 02115; email: ellis.neufeld@childrens.harvard.edu.

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Study design

The study was carried out at 10 clinical sites, with a data-coordinating center (Appendix). The protocol was approved by the institutional review boards at the participating institutions and carried out under Investigational New Drug application no. 10821. A Data and Safety Monitoring Board (Appendix) approved the protocol and supervised the conduct of the study. Signed informed consent was obtained from all patients' parents, and assent was obtained from patients age 12 and older.

Eligibility criteria

Patients with severe, chronic ITP (either primary or secondary), including refractory ITP, age 18 months to 18 years (before 19th birthday) at enrollment, and with a platelet count below $30 \times 10^9/L$ ($30\,000/mm^3$) at screening were eligible.

Primary outcome

Treatment success was defined as a sustained platelet count of $50 \times 10^9/L$ ($50\,000/mm^3$) during 4 consecutive weeks starting during weeks 9 to 12, with the first and fourth measurements at least 22 days apart. Responses were required to be independent of rescue and supportive care regimens within 7 days of the first measurement or anytime between measurements.

Assessment of bleeding

Bleeding severity was assessed at screening and at each subsequent study visit using a modification of the scoring system of Buchanan and Adix (Table 1).¹⁶ Using this scoring system, bleeding is graded from 0 to 5. The modification from the published face-to-face assessment scale was to allow for recording of the "highest grade of bleed since the prior visit," which was ascertained by patient or parent/guardian interview.

Treatment

Rituximab (anti-CD20; Genentech, South San Francisco, CA; Biogen, Cambridge, MA; IDEC, San Diego, CA) was given as an intravenous infusion at a dose of $375\text{ mg}/m^2$ weekly for 4 doses (days 1, 8, 15, and 22). Subjects were treated within 4 weeks of enrollment.

Statistical methods

Sample size was chosen in consideration of both the safety (phase 1) and efficacy (phase 2) aspects of the trial. Thirty-five subjects were sufficient to rule out an underlying adverse event rate of 10%, should no events be observed, and to provide 8% standard error for a success rate in the anticipated range (30%-60%). The final sample size was 36 because 2 patients were enrolled simultaneously at separate sites. In this open-label, single-arm trial there were no issues of blinding or randomization. The intention-to-treat principle was applied by analyzing data from all subjects who began treatment, regardless of whether they received all 4 planned infusions.

Analysis of the primary end point consisted of the point estimate of treatment success rate with an exact binomial 95% confidence interval (CI).

Standard descriptive statistics (mean, median, percentiles, percentages, CIs) were employed for reporting clinical characteristics and secondary outcomes.

The Fisher exact test and the exact Wilcoxon rank-sum test were used to compare characteristics of responders and nonresponders. Trends in immune measures were assessed by repeated-measures analysis using generalized estimating equations with an exchangeable working correlation structure.¹⁷ We log-transformed IgG and IgM concentration so as to express the trends in percentage per week. For pairwise analysis of changes in CD19 percentage between weeks 0 to 6 and weeks 6 to 12, we used the Wilcoxon signed rank test.

Additional information on methods with regard to formal study definitions, eligibility, exclusion criteria, laboratory and adverse event monitoring, rescue and supportive care regimens, and pharmacokinetics is given in Document S1.

Results and discussion

Patient characteristics and response to therapy

Thirty-eight children and adolescents, median age 11.2 years (range, 2.6 to 18.3 years) with severe ITP or Evans syndrome, consented to participate. One patient had a spontaneous remission before treatment, and another withdrew prior to treatment. Therefore, 36 patients were treated at 8 sites from May 2003 to September 2004. Thirty-three of 36 patients received the scheduled 4 weekly doses of rituximab at $375\text{ mg}/m^2$ per dose. Three patients did not complete all 4 doses due to adverse side effects: serum sickness in 2 patients and infusion-related hypotension in 1 patient.

Patient characteristics and responses to therapy are shown in Tables 2 and 3. The patient population included 30 (83%) patients with primary ITP and 6 (17%) with Evans syndrome. None of the Evans syndrome patients had severe hemolytic anemia at the time of study entry. This was a heavily treated group of patients who received a median of 4 (range, 2 to 8) different therapies in the course of their ITP. Seventy-five percent of the subjects were refractory (or had intolerable side effects) to at least 2 therapies. Seven patients (19%) underwent splenectomy prior to the start of the study. Eleven of 36 patients, or 31% (95% CI, 16% to 48%), achieved the primary outcome of sustained platelet count over $50 \times 10^9/L$ ($50\,000/mm^3$) in 4 consecutive weeks starting during weeks 9 to 12. The time to a first platelet measurement over $50 \times 10^9/L$ ($50\,000/mm^3$) was short, with a median of 1 week and a range of 1 to 7 weeks (Figure 1). Of the 36 subjects, 1 was scored a treatment failure per protocol criteria despite elevated platelet counts at weeks 9 to 12 because of treatment with steroids other than the defined rescue/supportive care allowed through week 11 (Document S1). One patient in the treatment failure for the primary outcome group had a robust early response from $9 \times 10^9/L$ ($9\,000/mm^3$) to $300 \times 10^9/L$ ($300\,000/mm^3$) at week 2 but relapsed at week 10 with a platelet count under $20 \times 10^9/L$ ($20\,000/mm^3$).

Table 1. Grading of hemorrhage in children with ITP

Grade	Overall bleeding severity	Description
0	None	No new hemorrhage of any kind
1	Minor	Few petechiae (≤ 100 total) and/or ≤ 5 small bruises (≤ 3 cm diameter); no mucosal bleeding
2	Mild	Many petechiae (> 100 total) and/or > 5 large bruises (> 3 cm diameter); no mucosal bleeding
3	Moderate	Overt mucosal bleeding (epistaxis, gum bleeding, oropharyngeal blood blisters, menorrhagia, gastrointestinal bleeding, others) that does not require immediate medical attention or intervention
4	Severe	Mucosal bleeding or suspected internal hemorrhage (in the brain, lung, muscle, joint, elsewhere) that requires immediate medical attention or intervention
5	Life-threatening/fatal	Documented intracranial hemorrhage or life-threatening or fatal hemorrhage in any site

Table 2. Response to rituximab treatment, overall and in subgroups

Group	No. (%)	Responders, no.	% response (95% CI)	P*
All	36 (100)	11	31 (16-48)	—
Primary	30 (83)	7	23 (10-42)	.06
Evans	6 (17)	4	67 (22-97)	
Male	21 (58)	4	19 (5-42)	.14
Female	15 (42)	7	47 (21-73)	
White	28 (78)	8	29 (13-49)	.09
Black	4 (11)	3	75 (19-99)	
Other	4 (11)	0	0 (0-60)	
Hispanic/Latino	6 (17)	1	17 (1-64)	.64
Not Hispanic/Latino	30 (83)	10	33 (17-53)	
Refractory	27 (75)	8	30 (14-50)	> .99
Not refractory	9 (25)	3	33 (7-70)	
Splenectomy	7 (19)	3	43 (10-82)	.65
No splenectomy	29 (81)	8	28 (13-47)	
Steroid responsive†	27 (75)	11	41 (22-61)	.27
Steroid nonresponsive	4 (11)	0	0 (0-60)	
Steroid unknown‡	5 (14)	—	—	
IVIG responsive†	28 (78)	9	32 (16-52)	> .99
IVIG nonresponsive	5 (14)	2	40 (5-85)	
IVIG unknown‡	3 (8)	—	—	
Anti-D responsive†	21 (58)	4	19 (5-42)	.59
Anti-D nonresponsive	6 (17)	2	33 (4-78)	
Anti-D unknown‡	9 (25)	—	—	

— indicates not applicable.
 *Testing equal response in subgroups by the Fisher exact test.
 †From medical history prior to study.
 ‡Not administered or response unknown.

The 31% response rate in this study is lower than previously reported in both adult and pediatric patients with severe chronic ITP treated with rituximab. In the largest adult study, 31 (54%) of 57 patients responded, achieving a platelet count of $50 \times 10^9/L$ ($50\,000/mm^3$).¹³ Earlier series in adults with chronic ITP reported response rates ranging from 25% to 65%.^{6-9,11} In a retrospective study of rituximab therapy in children with severe chronic ITP, 15 (63%) of 24 patients achieved a stable platelet count (more than $150 \times 10^9/L$ [$150\,000/mm^3$]) for 4 to 30 months without additional therapy.¹⁴ The patient population in our study is not directly comparable to the one in this study by Wang et al.¹⁴ For example, the patients in the present study were on the average more severely affected than those reported by Wang and colleagues. However, all of the published rituximab studies in ITP are small, and the 95% confidence intervals on success rates are broad and overlap.

Attainment of the primary outcome was associated weakly with Evans syndrome, female sex, and black race. Rituximab response was not associated with prior response to standard therapy or splenectomy, age, ITP duration, number of previous treatments, screening platelet count, refractoriness, or reduction in IgM.

Table 3. Characteristics of responders and nonresponders to rituximab

Measure	Responders, median (range)	Nonresponders, median (range)	P*
No.	11	25	
Age at enrollment, y	12.8 (7.5-17.4)	11.0 (2.6-18.3)	.23
ITP duration, y	4.6 (0.6-11.6)	3.0 (0.6-12.1)	.56
Prior treatments	4.0 (3.0-8.0)	4.0 (2.0-7.0)	.54
Initial platelet count, $1000/mm^3$	9.0 (1.0-27.0)	10.0 (5.0-26.0)	.56
Change in IgM level, mg/dL	-26.0 (-150.1-+23.6)	-27.6 (-99.1-+5.9)	.83

*Testing equal distribution in responders and nonresponders by exact Wilcoxon rank-sum test.

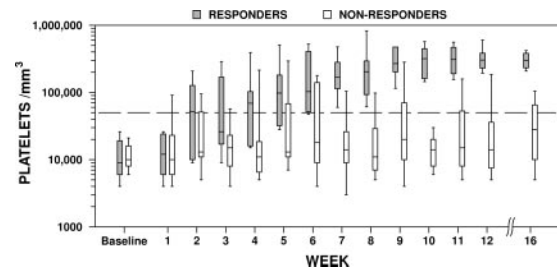


Figure 1. Platelet response to rituximab. Boxplot of platelet counts (on log scale) over time in weeks for responders (gray) and nonresponders (white) from weeks 0 through 16. The first rituximab dose was administered in week 1. The broken horizontal line represents the primary outcome platelet count of $50 \times 10^9/L$ ($50\,000/mm^3$). The horizontal lines in each plot, from highest to lowest, represent the 90th, 75th, 50th (median), 25th, and 10th percentiles.

Immunologic studies

Peripheral B cells (CD19⁺) were depleted in all patients, falling from a baseline mean of 19.5% to 2% at week 6 ($P < .001$) and remaining unchanged at 2% between week 6 and week 12 ($P = .31$). Despite circulating B-cell depletion in all patients, there was no significant hypogammaglobulinemia, with mean IgG falling only 0.7% per week (95% CI, 0.0% to 1.4%). In contrast, mean IgM levels did decrease significantly (Table 3). Based on these results, it would appear that IVIG replacement therapy for otherwise healthy pediatric ITP patients without underlying immunodeficiency treated with rituximab is unnecessary.

Adverse events and safety

During the first 12 weeks of the study, 6 patients (17%) experienced 9 serious adverse events (SAEs). Because SAEs were calculated by intent to treat and 3 patients did not complete all 4 doses, the rate may be higher than observed. Two patients, both nonresponders, had serum sickness; one, a 12 year-old male patient, presented with fever, fatigue, and rash after the second dose of rituximab, and the other, an 11-year-old female patient, developed fever, joint pain and swelling, conjunctival hyperemia, and cutaneous rash after the second rituximab dose. Another patient (nonresponder) developed common toxicity criteria (CTC) grade 2 infusion-related hypotension with his third dose. Rituximab was discontinued in these 3 patients.

The rate of serum sickness appears to be higher than that reported in adults with lymphoma. In the study by Wang et al,¹⁴ 3 (12.5%) of 24 pediatric patients with chronic ITP developed serum sickness.¹⁴ This brings the total reported incidence of serum sickness in pediatric subjects with chronic ITP treated with rituximab to 12% (5 of 60). The explanation for higher serum sickness rates in this population compared with lymphoma is not known.

One 13-year-old patient with Evans syndrome who had been weaned off steroids at the start of the study developed primary varicella after the first rituximab infusion. This subject, a responder, was admitted to the hospital for treatment with VZIG, stress-dose steroids, and acyclovir and recovered completely. No other serious infections occurred during the study period. In addition, 1 patient was hospitalized for rescue IVIG therapy for grade 4 bleeding (epistaxis), and 1 patient had 4 hospitalizations related to recurrent bleeding. Both of these patients were nonresponders. Side effects related to first-dose rituximab infusion, such as chills, fever, and respiratory symptoms, were common (47% of patients) and mild (CTC grade 1 and 2 only). There were no grade 5 bleeding episodes. Grade 3 or 4 bleeding was reported in 5 responders (45%) versus 15 nonresponders (60%) during weeks 2 to 12.

Additional information regarding ancillary clinical results of the study and pharmacokinetics is given in Documents S1 and S2 and Table S1.

We conclude that rituximab therapy is beneficial for some children with severe chronic ITP who are refractory to standard agents. The toxicity profile of rituximab is acceptable in most patients, but there was a higher than expected incidence of serum sickness, which should be discussed with patients and families prior to initiating treatment. Given the favorable safety profile and results from other studies, rituximab may be preferable to splenectomy, particularly in patients with Evans syndrome,^{18,19} in whom splenectomy is generally not effective, and in younger patients who are at relatively higher risk for infection with encapsulated organisms.

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Appendix

Sites and investigators of the Pediatric ITP Rituximab Study Group, with patient enrollment, are listed alphabetically by site. Glaser

Pediatric Research Network sites are indicated by an asterisk. *Baylor College of Medicine, Houston, TX: Donald H. Mahoney, Brigitta U. Mueller, Bogdan Dinu, 5 patients; *Children's Hospital, Dana-Farber Cancer Institute and Harvard Medical School, Boston, MA: Ellis J. Neufeld, Carolyn M. Bennett, Carol Sweeney, Pamela Boardman, 11 patients; Duke University School of Medicine, Durham, NC: Russell E. Ware, Sherri A. Zimmerman, Nicole Mortier, 0 patients; Emory University School of Medicine, Atlanta, GA: Thomas C. Abshire, Thomas A. Olson, Cara Brown, Kimberly Balark, 4 patients; *Stanford University School of Medicine, CA: Bertil E. Glader, Keniki McNeil, 0 patients; University of Texas Southwestern Medical Center, Dallas, TX: George R. Buchanan, Zora R. Rogers, Leah Adix, 1 patient; *University of California, Los Angeles/Mattel Children's Hospital at UCLA: Theodore B. Moore, Stephen A. Feig, Janet Mooney, Helene Cohen, Elena Khanukhova, 3 patients; *University of California, San Francisco: Mignon L. Loh, William C. Mentzer, Rosa Sanchez, Laura Quill, Marcia Wertz, 2 patients; Van Eslander Cancer Center, St. John's Hospital, Detroit, MI: Hadi Sawaf, Adonis N. Lorenzana, JoAnn Kapa, Pamela Rennpage, 3 patients; Weill Medical College at Cornell University, New York, NY: James B. Bussel, Megan Wissert, Joseph Cruse, 7 patients.

Members of the Design, Analysis and Coordinating Center (DACC), at Children's Hospital, Boston, MA, were Henry A. Feldman, Daniel D. Kinnamon, and Maggie McCarthy.

Members of the Data and Safety Monitoring Board were Victor S. Blanchette (chair), Alan R. Cohen, James N. George, and Sarah K. Vesely.

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