

## Brief report

Distinct gene expression patterns in chronic lymphocytic leukemia defined by usage of specific *VH* genes

Dirk Kienle, Axel Benner, Alexander Kröber, Dirk Winkler, Daniel Mertens, Andreas Bühler, Till Seiler, Ulrich Jäger, Peter Lichter, Hartmut Döhner, and Stephan Stilgenbauer

**The mutation status and usage of specific *VH* genes such as *V3-21* and *V1-69* are potentially independent pathogenic and prognostic factors in chronic lymphocytic leukemia (CLL). To investigate the role of antigenic stimulation, we analyzed the expression of genes involved in B-cell receptor (BCR) signaling/activation, cell cycle, and apoptosis control in CLL using these specific *VH* genes compared to *VH* mutated (VH-**

**MUT) and *VH* unmutated (VH-UM) CLL not using these *VH* genes. *V3-21* cases showed characteristic expression differences compared to VH-MUT (up: *ZAP70* [or *ZAP-70*]; down: *CCND2*, *P27*) and VH-UM (down: *PI3K*, *CCND2*, *P27*, *CDK4*, *BAX*) involving several BCR-related genes. Similarly, there was a marked difference between *VH* unmutated cases using the *V1-69* gene and VH-UM (up: *FOS*; down: *BLNK*, *SYK*, *CDK4*, *TP53*).**

**Therefore, usage of specific *VH* genes appears to have a strong influence on the gene expression pattern pointing to antigen recognition and ongoing BCR stimulation as a pathogenic factor in these CLL subgroups. (Blood. 2006;107:2090-2093)**

© 2006 by The American Society of Hematology

## Introduction

Chronic lymphocytic leukemia (CLL) with unmutated immunoglobulin variable heavy chain (*VH*) gene (VH-UM) displays a worse prognosis compared to *VH*-mutated CLL (VH-MUT).<sup>1,2</sup> Higher expression of *ZAP70* (or *ZAP-70*), a receptor-associated tyrosine kinase, was identified in VH-UM compared to VH-MUT CLL.<sup>3,4</sup> B-cell receptor (BCR) cross-linking on *ZAP70*<sup>+</sup> CLL cells led to increased tyrosine phosphorylation of p72 (*SYK*), indicating an increased activation after BCR stimulation as a potential mechanism accounting for the clinical differences of the *VH* mutation subgroups.<sup>5-7</sup>

CLL subsets with highly restricted BCR structure were identified, indicating a role for specific antigens in CLL pathogenesis.<sup>8-14</sup> The *V1-69* gene is the most common unmutated *VH* gene in CLL and is associated with a restricted *VDJ* structure that is distinct from the repertoire of normal B cells.<sup>13,14</sup> Similarly, *V3-21* gene usage comprises cases with a highly specific BCR structure as evidenced by homologous CDR3 sequences and a restricted *VL* gene usage.<sup>10</sup> Moreover, these cases show a poor clinical outcome regardless of the *VH* mutation status,<sup>11</sup> indicating an independent pathogenic and prognostic role of specific *VH* gene rearrangements.

To investigate the role of antigenic stimulation in the pathogenesis of CLL subgroups defined by specific *VH* genes, we analyzed the quantitative expression of 26 genes with central roles in BCR

signaling, B-cell activation, cell cycle, and apoptosis control in cases defined by *V3-21* and *V1-69* usage as compared to VH-MUT and VH-UM CLL not using these *VH* genes.

## Study design

Peripheral blood samples from patients with untreated CLL diagnosed according to established criteria were included after informed consent was obtained in accordance with the Declaration of Helsinki. These studies have been approved by the institutional review board of the University of Ulm. A non-CD19-purified cohort of 69 cases constituted the following subgroups: *V3-21* usage, 16 cases (9 mutated, 7 unmutated); *V1-69*, 17 (all unmutated); VH-MUT (not using *V3-21*), 17; VH-UM (not using *V3-21* or *V1-69*), 19. A CD19-purified cohort included 53 cases (30 overlapping with the nonpurified cohort): 8 using *V3-21* (5 mutated, 3 unmutated); 12 *V1-69*, 12 were VH-MUT (not using *V3-21*); 21 VH-UM (not using *V3-21* or *V1-69*). Distribution of age, sex, Binet stages, and the high-risk aberrations del11q22-23 and del17p13 within the cohorts and subgroups is detailed in Supplemental Table S1 (see the Supplemental Tables link at the top of the online article, at the *Blood* website). Preliminary data of our group implicate a specific biology for CLL using *V3-23*.<sup>15</sup> However, these results were not reproduced independently and no specific *VDJ* configuration has been observed in these cases. Because of these uncertainties and to avoid potential interferences, we excluded *V3-23* cases from the study. Fluorescence in situ hybridization (FISH) analysis and *VDJ* sequencing were performed as described.<sup>16,17</sup> *VH* homology cut-off was 98%. CD19 purification was carried out using

From the Department of Internal Medicine III, University of Ulm; Central Unit Biostatistics, German Cancer Research Center (DKFZ), Heidelberg; Division of Molecular Genetics, DKFZ Heidelberg, Germany; and Department of Internal Medicine I, Medical University of Vienna, Austria.

Submitted April 12, 2005; accepted October 19, 2005. Prepublished online as *Blood* First Edition Paper, December 1, 2005; DOI 10.1182/blood-2005-04-1483.

Supported by the Deutsche Forschungsgemeinschaft (DFG) (Sti 296/1-1) and the Sander-Stiftung (2001.004.2).

The online version of this article contains a data supplement.

**Reprints:** Dr Stephan Stilgenbauer, Internal Medicine III, University of Ulm, Robert-Koch-Straße 8, 89081 Ulm, Germany; e-mail: stephan.stilgenbauer@medizin.uni-ulm.de.

The publication costs of this article were defrayed in part by page charge payment. Therefore, and solely to indicate this fact, this article is hereby marked "advertisement" in accordance with 18 U.S.C. section 1734.

© 2006 by The American Society of Hematology

**Table 1. Comparison of candidate gene expression between the subgroups VH-MUT, VH-UM, and V3-21 and V1-69 and VH-UM**

Gene	VH-UM vs VH-MUT	V3-21 vs VH-MUT	V3-21 vs VH-UM	V1-69 vs VH-UM
<b>Non-CD19-purified cohort</b>				
<i>AKT</i>	0.62 (0.45, 1.0)	0.84 (0.6, 1.2)	1.2 (0.8, 2.1)	0.83 (0.58, 1.3)
<i>ATM</i>	0.57 (0.33, 0.97)	0.66 (0.47, 0.93)	1.2 (0.69, 2.1)	0.81 (0.44, 1.4)
<i>BAX</i>	1.4 (1.0, 1.9)*	0.75 (0.44, 1.1)	0.55 (0.38, 0.73)*	0.76 (0.57, 1.0)
<i>BLNK</i>	1.0 (0.66, 1.5)	0.77 (0.49, 1.2)	0.8 (0.5, 1.2)	0.51 (0.32, 0.8)*
<i>CCND1</i>	0.61 (0.4, 0.94)	0.66 (0.43, 0.94)	1.0 (0.69, 1.6)	1.2 (0.72, 2.0)
<i>CCND2</i>	2.1 (1.3, 3.2)*	0.58 (0.4, 0.8)*	0.27 (0.17, 0.44)*	0.8 (0.47, 1.4)
<i>CCND3</i>	1.4 (0.91, 1.9)	0.7 (0.42, 1.2)	0.51 (0.32, 0.95)	0.72 (0.46, 1.0)
<i>CDK4</i>	1.6 (1.1, 3.3)*	0.69 (0.42, 1.5)	0.43 (0.27, 0.71)*	0.32 (0.17, 0.62)*
<i>FADD</i>	0.8 (0.55, 1.2)	1.1 (0.74, 1.5)	1.3 (0.88, 1.9)	1.1 (0.71, 1.7)
<i>JAK3</i>	1.2 (0.86, 1.7)	0.82 (0.56, 1.2)	0.66 (0.49, 0.87)	0.74 (0.57, 0.98)
<i>LYN</i>	1.1 (0.7, 1.8)	0.78 (0.56, 1.2)	0.73 (0.42, 1.2)	0.92 (0.58, 1.5)
<i>MYC</i>	1.0 (0.6, 2.0)	0.84 (0.46, 1.4)	0.78 (0.43, 1.4)	0.96 (0.46, 1.9)
<i>NFKB</i>	1.4 (1.0, 2.4)	0.87 (0.57, 1.1)	0.58 (0.34, 0.88)	1.3 (0.82, 2.0)
<i>P27</i>	0.98 (0.75, 1.2)	0.63 (0.5, 0.83)*	0.65 (0.51, 0.85)*	1.1 (0.94, 1.5)
<i>PI3K</i>	1.7 (1.2, 2.7)*	0.77 (0.57, 1.1)	0.44 (0.28, 0.66)*	0.7 (0.47, 1.1)
<i>PLCG2</i>	0.75 (0.53, 1.1)	0.7 (0.48, 0.98)	0.93 (0.67, 1.4)	0.78 (0.53, 1.1)
<i>STAT6</i>	0.95 (0.69, 1.4)	0.96 (0.64, 1.4)	0.98 (0.67, 1.4)	0.64 (0.44, 1.0)
<i>SYK</i>	1.1 (0.79, 1.4)	0.69 (0.36, 1.1)	0.63 (0.34, 1.0)	0.57 (0.45, 0.8)*
<i>TP53</i>	1.3 (0.9, 1.9)	0.77 (0.52, 1.2)	0.57 (0.4, 0.82)	0.49 (0.32, 0.8)*
<i>TRAF3</i>	0.64 (0.46, 0.95)	0.87 (0.62, 1.3)	1.4 (0.94, 2.1)	1.3 (0.77, 2.1)
<b>CD19-purified cohort</b>				
<i>BCL2</i>	0.78 (0.51, 1.2)	0.8 (0.29, 1.3)	1.0 (0.36, 1.8)	0.92 (0.51, 1.7)
<i>BCLXL</i>	0.68 (0.49, 0.96)	1 (0.45, 2.2)	1.4 (0.63, 3.2)	0.86 (0.59, 1.3)
<i>E2F1</i>	0.8 (0.24, 3.0)	0.77 (0.37, 1.6)	1.1 (0.58, 2.2)	1.1 (0.61, 1.6)
<i>FOS</i>	0.67 (0.43, 1.1)	1.2 (0.48, 5.4)	1.7 (0.56, 5.2)	3.7 (1.5, 9.8)*
<i>LCK</i>	0.92 (0.5, 2.1)	1.4 (0.66, 3.3)	1.3 (0.73, 2.9)	0.65 (0.38, 1.3)
<i>ZAP70</i>	5.8 (2.6, 14)*	9.3 (3.5, 25)*	1.5 (0.75, 3.2)	0.74 (0.36, 1.4)

Comparison of candidate gene expression between the subgroups VH-MUT, VH-UM, and V3-21 (Kruskal-Wallis test for 3-group comparisons) and between V1-69 and VH-UM (Wilcoxon rank-sum test statistics for pair-wise comparisons). The numbers for the non-CD19-purified cohort are VH-UM versus VH-MUT, 19 and 17, respectively; for V3-21 versus VH-MUT, 16 versus 17; for V3-21 versus VH-UM, 16 versus 19; and for V1-69 versus VH-UM, 17 versus 19. For the CD19-purified cohort, the respective numbers are 21 versus 12, 8 versus 12, 8 versus 21, and 12 versus 21. Data presented are fold expression changes with the corresponding 95% CIs (lower and upper confidence limits).

\*Significant expression differences after *P* adjustment.

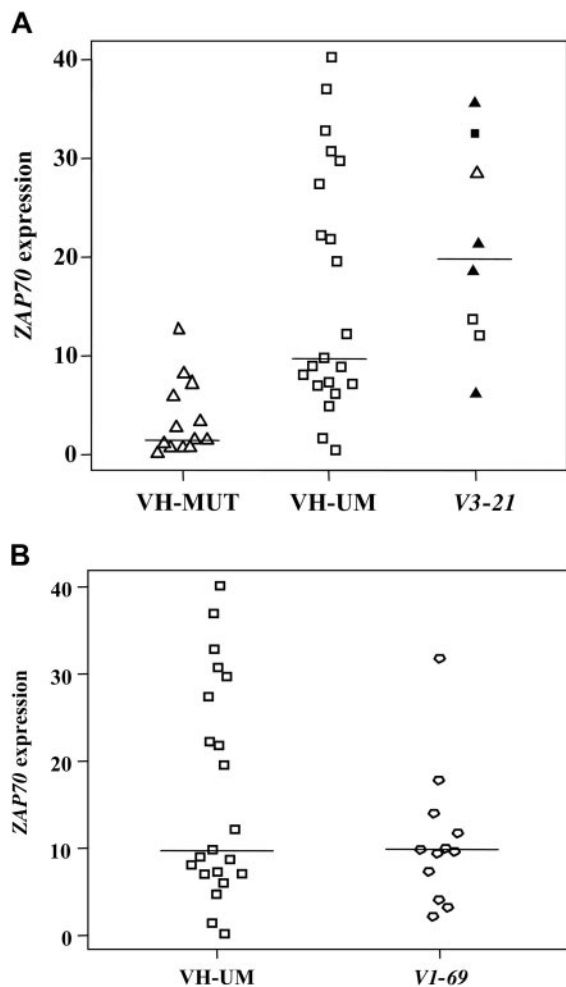
magnetically activated cell sorting (MACS) CD19 MicroBeads (Miltenyi Biotec, Bergisch Gladbach, Germany). Twenty-six candidate genes were analyzed (Table 1), of which *ZAP70*, *LCK*, *FOS*, *E2F1*, *BCL2*, and *BCLXL* showed an overexpression in the CD19<sup>-</sup> as compared to the CD19<sup>+</sup> fraction of patient (n = 3) or healthy donor (n = 3) samples. These genes were therefore analyzed in the CD19-purified cohort. RNA extraction, cDNA synthesis, and real-time quantitative polymerase chain reaction (RQ-PCR) were performed as described<sup>18,19</sup> with 3 housekeeping genes for expression normalization (*PGKI*, *LMNB1*, *ACTB*). Primers for SYBR Green detection were used or designed as described<sup>19</sup> and as shown in Table S2. We used a closed testing procedure<sup>20</sup> together with the step-down maxT method<sup>21</sup> using nonparametric test statistics with bootstrap estimated null distributions to analyze gene expression differences between VH-MUT, VH-UM, and V3-21. For the analysis of VH unmutated CLL with versus without usage of the V1-69 gene adjusted *P* values were computed by the step-down maxT procedure using Wilcoxon rank-sum statistics. For both analyses, a global significance level of .025 was used to control an overall significance level of 5%. Hodges-Lehmann estimates of log<sub>2</sub>-transformed expression data served to compute fold-change estimates and their 95% confidence intervals (CIs). The purified and nonpurified cohorts were analyzed separately. All statistical computations were done using R, version 2.1.1.<sup>22</sup>

## Results and discussion

In line with previous studies,<sup>3,4</sup> a higher *ZAP70* expression was identified in VH-UM as compared to VH-MUT cases (Table 1;

Figure 1). In addition, VH-UM showed a characteristic overexpression of *PI3K* and *CCND2*, for both of which a BCR-dependent up-regulation has been demonstrated experimentally.<sup>23,24</sup> This finding is in line with Chen et al<sup>5</sup> demonstrating that *ZAP70* expression is associated with increased BCR signaling and reinforces the concept of ongoing BCR stimulation as a pathomechanism in VH-UM CLL.<sup>5-7</sup>

The V3-21 cases under study showed the characteristic distribution into VH mutated and VH unmutated cases with a median VH homology of 97.86%; half of them exhibited the characteristic CDR3 region of 7 amino acids of close homology (Table S3). V3-21 cases showed significantly higher *ZAP70* levels as compared to VH-MUT with similar expression levels in the different V3-21 subsets (VH mutated/unmutated/7-amino acid CDR3; Table 1, Figure 1). *ZAP70* expression in V3-21 cases was comparable to VH-UM cases, strengthening the role of *ZAP70* as a prognostic marker recognizing unfavorable CLL subgroups and pointing to a common pathomechanism involving differential BCR signaling in these subgroups as recently proposed for VH unmutated CLL.<sup>6,7</sup> However, marked differences occurred when comparing V3-21 cases with VH-UM CLL including a characteristic down-regulation of several genes in V3-21 cases (Table 1). The lower expression of *PI3K* and *CCND2* in V3-21 cases implicates a down-regulation of these BCR target genes despite antigenic stimulation and points to alternative pathways. Indeed, the down-regulation of candidate genes such as *BAX* and *P27* (Table 1) suggests apoptosis impairment and reduced cell cycle



**Figure 1. ZAP70 expression.** Results from the CD19-purified cohort. (A) VH-MUT, VH-UM, and V3-21 cases; (B) VH-UM and VI-69 cases. V3-21 subgroup: □, VH unmutated; △, VH mutated cases; ▲ and ■, cases with the 7-amino acid CDR3.

inhibition as additional pathomechanisms in V3-21 CLL, which is supported by a recent report.<sup>25</sup> When comparing the mutated versus unmutated V3-21 subset, although restricted by low case numbers, no highly characteristic gene expression differences occurred (Table S4). Therefore, cases with V3-21 usage appear to represent a rather homogeneous biologic group independently of the VH mutation status.

VI-69 cases under study showed the previously described CLL-specific VDJ configuration,<sup>13,14</sup> such as unmutated VH, long CDR3 lengths, and a biased usage of JH6 (Table S5) indicating antigen-specificity of the BCR. When comparing these cases with VH unmutated cases not using VI-69, a number of differentially expressed genes was detected despite the concordant VH mutation status including the BCR-related genes *BLNK*, *SYK*, and *FOS* (Table 1). This finding points to a distinct biology of the VI-69 subset among VH unmutated CLL, which might be explained by the involvement of a distinct antigen leading to antigen-specific BCR responses in VI-69 CLL cells. Additionally, VI-69 cases exhibited reduced levels of *TP53*, which was not due to a biased distribution of 17p cases (Table S1), and of *CDK4* implicating cell-cycle deregulation and apoptosis impairment in these cases.

The concept of antigen-specific BCR stimulation is also supported by the characteristic gene expression differences that occurred between the V3-21 and VI-69 subgroups (Table S6).

Generally, the VH gene-specific gene expression pattern identified supports the concept of antigen selection, as already implicated by the highly restricted VDJ features of these cells, and a persisting antigen-dependence of the CLL cells with ongoing BCR-specific stimulation as a pathomechanism in these CLL subgroups.<sup>7-12</sup> These findings strengthen the impact of VH gene usage on CLL pathogenesis and suggest a future role for epitope-specific therapeutic approaches in CLL.

*Note added in proof.* While this manuscript was under review, the results of high ZAP70 expression in V3-21 CLL could be confirmed by Kröber et al.<sup>26</sup>

## References

- Hamblin TJ, Davis Z, Gardiner A, Oscier DG, Stevenson FK. Unmutated Ig V(H) genes are associated with a more aggressive form of chronic lymphocytic leukemia. *Blood*. 1999;94:1848-1854.
- Damle RN, Wasil T, Fais F, et al. Ig V gene mutation status and CD38 expression as novel prognostic indicators in chronic lymphocytic leukemia. *Blood*. 1999;94:1840-1847.
- Rosenwald A, Alizadeh AA, Widhopf G, et al. Relation of gene expression phenotype to immunoglobulin mutation genotype in B cell chronic lymphocytic leukemia. *J Exp Med*. 2001;194:1639-1647.
- Wiestner A, Rosenwald A, Barry TS, et al. ZAP-70 expression identifies a chronic lymphocytic leukemia subtype with unmutated immunoglobulin genes, inferior clinical outcome, and distinct gene expression profile. *Blood*. 2003;101:4944-4951.
- Chen L, Widhopf G, Huynh L, et al. Expression of ZAP-70 is associated with increased B-cell receptor signaling in chronic lymphocytic leukemia. *Blood*. 2002;100:4609-4614.
- Stevenson FK, Caligaris-Cappio F. Chronic lymphocytic leukemia: revelations from the B-cell receptor. *Blood*. 2004;103:4389-4395.
- Chiorazzi N, Rai KR, Ferrarini M. Chronic lymphocytic leukemia. *N Engl J Med*. 2005;352:804-815.
- Ghiotto F, Fais F, Valetto A, et al. Remarkably similar antigen receptors among a subset of patients with chronic lymphocytic leukemia. *J Clin Invest*. 2004;113:1008-1016.
- Messmer BT, Albesiano E, Efremov DG, et al. Multiple distinct sets of stereotyped antigen receptors indicate a role for antigen in promoting chronic lymphocytic leukemia. *J Exp Med*. 2004;200:519-525.
- Tobin G, Thunberg U, Johnson A, et al. Chronic lymphocytic leukemias utilizing the VH3-21 gene display highly restricted Vlambda2-14 gene use and homologous CDR3s: implicating recognition of a common antigen epitope. *Blood*. 2003;101:4952-4957.
- Tobin G, Thunberg U, Johnson A, et al. Somatic mutation of Ig V(H)3-21 genes characterize a new subset of chronic lymphocytic leukemia. *Blood*. 2002;99:2262-2264.
- Widhopf GF 2nd, Rassenti LZ, Toy TL, Gribben JG, Wierda WG, Kipps TJ. Chronic lymphocytic leukemia B cells of more than 1% of patients express virtually identical immunoglobulins. *Blood*. 2004;104:2499-2504.
- Widhopf GF 2nd, Kipps TJ. Normal B cells express 51p1-encoded Ig heavy chains that are distinct from those expressed by chronic lymphocytic leukemia B cells. *J Immunol*. 2001;166:95-102.
- Potter KN, Orchard J, Critchley E, Mockridge CI, Jose A, Stevenson FK. Features of the overexpressed V1-69 genes in the unmutated subset of chronic lymphocytic leukemia are distinct from those in the healthy elderly repertoire. *Blood*. 2003;101:3082-3084.
- Kröber A, Benner A, Bühler A, Döhner H, Stilgenbauer S. Multivariate survival analysis of specific VH genes in CLL: V3-21 and V3-23 are prognostic factors independently of the VH mutation status [abstract]. *Blood*. 2002;100:738a.
- Kröber A, Seiler T, Benner A, et al. V(H) mutation status, CD38 expression level, genomic aberrations, and survival in chronic lymphocytic leukemia. *Blood*. 2002;100:1410-1416.
- Döhner H, Stilgenbauer S, Benner A, et al. Genomic aberrations and survival in chronic lymphocytic leukemia. *N Engl J Med*. 2000;343:1910-1918.
- Korz C, Pscherer A, Benner A, et al. Evidence for distinct pathomechanisms in B-cell chronic lymphocytic leukemia and mantle cell lymphoma by

- quantitative expression analysis of cell cycle and apoptosis-associated genes. *Blood*. 2002;99:4554-4561.
19. Kienle D, Korz C, Hosch B, et al. Evidence for distinct pathomechanisms in genetic subgroups of chronic lymphocytic leukemia revealed by quantitative expression analysis of cell cycle, activation, and apoptosis associated genes. *J Clin Oncol*. 2005;23:3780-3792.
  20. Marcus R, Peritz E, Gabriel KR. On closed testing procedures with special reference to ordered analysis of variance. *Biometrika*. 1976;63:655-660.
  21. Van der Laan MJ, Dudoit S, Pollard KS. Multiple testing, part II: step-down procedures for control of the family-wise error rate. Accessed April 12, 2005. *Stat Applications Genet Mol Biol* (<http://www.bepress.com/sagmb/>). 2004;3:article 14.
  22. R Development Core Team. R: a language and environment for statistical computing. Vienna, Austria: R Foundation for Statistical Computing; ISBN 3-900051-07-0; 2004.
  23. Bernal A, Pastore RD, Asgary Z, et al. Survival of leukemic B cells promoted by engagement of the antigen receptor. *Blood*. 2001;98:3050-3057.
  24. Glassford J, Soeiro I, Skarell SM, et al. BCR targets cyclin D2 via Btk and the p85alpha subunit of PI3-K to induce cell cycle progression in primary mouse B cells. *Oncogene*. 2003;22:2248-2259.
  25. Fält S, Merup M, Tobin G, et al. Distinctive gene expression pattern in VH3-21 utilizing B-cell chronic lymphocytic leukemia. *Blood*. 2005;106:681-689.
  26. Kröber A, Bloehdorn J, Hafner S, et al. Additional genetic high-risk features such as 11q deletion, 17p deletion, and V3-21 usage characterize discordance of ZAP-70 and VH mutation status in chronic lymphocytic leukemia. *J Clin Oncol*. Prepublished on January 17, 2006 as DOI 10.1200/JCO.2005.03.1784.