

## Follicular Lymphoma International Prognostic Index

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The prognosis of follicular lymphomas (FL) is heterogeneous and numerous treatments may be proposed. A validated prognostic index (PI) would help in evaluating and choosing these treatments. Characteristics at diagnosis were collected from 4167 patients with FL diagnosed between 1985 and 1992. Univariate and multivariate analyses were used to propose a PI. This index was then tested on 919 patients. Five adverse prognostic factors were selected: age (> 60 years vs

≤ 60 years), Ann Arbor stage (III-IV vs I-II), hemoglobin level (< 120 g/L vs ≥ 120 g/L), number of nodal areas (> 4 vs ≤ 4), and serum LDH level (above normal vs normal or below). Three risk groups were defined: low risk (0-1 adverse factor, 36% of patients), intermediate risk (2 factors, 37% of patients, hazard ratio [HR] of 2.3), and poor risk (≥ 3 adverse factors, 27% of patients, HR = 4.3). This Follicular Lymphoma International Prognostic Index (FLIPI) appeared more discriminant than

the International Prognostic Index proposed for aggressive non-Hodgkin lymphomas. Results were very similar in the confirmation group. The FLIPI may be used for improving treatment choices, comparing clinical trials, and designing studies to evaluate new treatments. (Blood. 2004;104:1258-1265)

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### Introduction

Follicular lymphomas (FLs) account for one third of non-Hodgkin lymphomas (NHLs) in adults. The course of the disease is usually characterized by a response to initial treatment, followed by relapses, sometimes associated with histologic transformation into high-grade NHL.<sup>1</sup> From “watchful waiting” to high-dose therapy, numerous treatment options have been proposed for patients with FL. Meanwhile, there is no consensus on any of these approaches. Agreement in the treatment algorithm of patients with FL would be made easier by a simple, validated, and accurate prognostic index similar to the International Prognostic Index proposed for aggressive NHLs in 1993.<sup>2</sup> In retrospective analyses of a series of FL or indolent NHLs, several characteristics were associated with a poor clinical outcome such as advanced age,<sup>3-10</sup> male sex,<sup>4,7,11,12</sup> disseminated disease according to Ann Arbor classification,<sup>1,4</sup> high number of nodal<sup>3,7</sup> and/or extra nodal involvement sites,<sup>12</sup>

presence of bulky tumor(s),<sup>6,8</sup> increased serum lactate dehydrogenase (LDH)<sup>13</sup> and/or  $\beta_2$  microglobulin<sup>14</sup> levels, poor performance status,<sup>5,6</sup> and a low hemoglobin level.<sup>4</sup> From these analyses, a few prognostic indices have been proposed<sup>3,4,9,12</sup> but none of them has been validated and/or widely used. Several retrospective analyses have also suggested that the International Prognostic Index (IPI) initially designed for aggressive NHLs could also be used in indolent NHLs.<sup>15-20</sup> However, some important prognostic factors may have been missed since the IPI was not designed to investigate prognostic factors in FL. Moreover, when using the IPI, very few patients (around 10%-15%) with FL are classified in the poor-risk category. Because of this, the IPI is not appropriate to identify patients in whom intensive therapy has to be tested. An international cooperative study was thus designed to collect the data on initial characteristics of a large number of patients with FL and to propose a prognostic index for FL. This

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An Inside *Blood* analysis of this article appears in the front of this issue.

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cooperative study culminated in a proposal for a Follicular Lymphoma International Prognostic Index (FLIPI).

## Patients and methods

### Patients

The following inclusion criteria were used: (1) Follicular lymphoma according to the Working Formulation for Clinical Usage<sup>21</sup> and/or the Kiel classification,<sup>22</sup> which were in use at the time of the period of inclusion. All cell types (small-cell, mixed, or large-cell FL) could be included in the study. No central pathology review was performed. (2) Initial diagnosis between January 1, 1985, and December 31, 1992. (3) Staging procedures including at least a CT scan of the thorax, abdomen and pelvis, or lymphangiography plus abdominal and pelvis echography, bone marrow biopsy, routine blood counts, and biochemistry tests. (4) Follow-up until death, or for at least 5 years for surviving patients. The FLIPI was a retrospective study that relied on patients included in several trials conducted according to legal guidelines in each country at the time of study. Consent for this study was part of the informed consent given for these trials. The study was approved by the French Committee for the Use of Computerized Medical Data.

### Data collection

**Demographic characteristics and initial staging.** Nodal areas considered were cervical, axillary, inguino-crural, para aortic and/or iliac, celiac and/or mesenteric, and other ancillary nodal sites. Involved area (or areas) either clinically or on CT scan (or scans) was quoted as 1 (2 if bilateral) and each patient had between 0 and 8 or more involved areas (Figure 1). All extra nodal areas were taken into account. In the absence of any agreement on a threshold, it was not possible to define a bulky tumor. As in the International Prognostic Index for aggressive NHLs<sup>2</sup> the spleen was considered as an extra nodal site.

**Clinical and biologic characteristics.** The following clinical and biologic characteristics were related to disease extension and/or tumor bulk: cell type, Ann Arbor stage, serum LDH, and  $\beta_2$  microglobulin levels (expressed as the ratio of the measured value to the upper limit of normal for the center). The following clinical and biologic characteristics were related to the effects of FL on the host: performance status according to the

**Table 1. Accrual of patients in the FLIPI study**

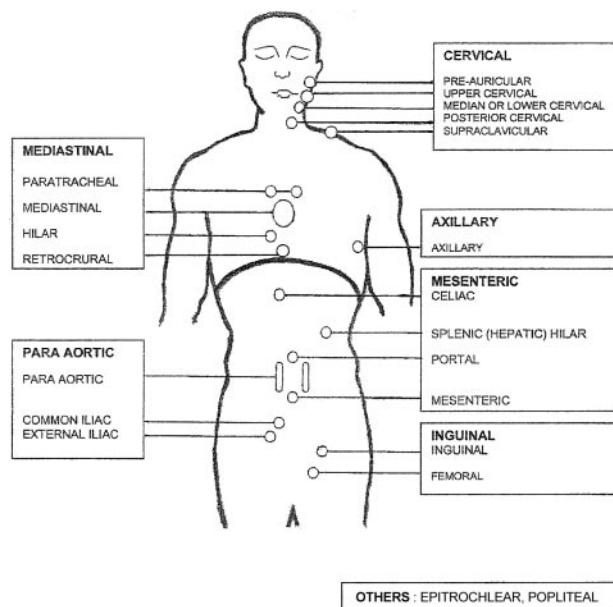
	Group (G) or center (C)	Country or countries	No. of patients
Barcelona	G	Spain	142
Becquerel H Hospital	C	France	127
Bellinzona	C	Switzerland	60
Bergamo Hospital	C	Italy	42
Bergonié Institute	C	France	65
BNLI	G	United Kingdom	474
Bretonneau Hospital	C	France	35
EORTC	G	Europe	347
GELF	G	France, Belgium	567
GOELAMS	G	France	118
Hotel Dieu Nantes Hospital	C	France	42
Huriez L Hospital	C	France	87
Intergruppo Italiano Linfomi	G	Italy	848
LNH-Pro	G	Spain	55
Lyon-Sud	C	France	197
MD Anderson	C	United States	451
Mondor H Hospital	C	France	34
Mont-Godinne Hospital	C	Belgium	27
Nebraska Lymphoma Study Group	C	United States	186
Queen Mary Hospital Hong Kong	C	China	66
Saint Bartholomew Hospital	C	United Kingdom	108
Saint Louis Hospital	C	France	33
Salamanca Hospital	C	Spain	18
Santander Hospital	C	Spain	57
Sapienza (La) Roma	C	Italy	94
SNLNG	G	United Kingdom	557
SWOG	G	United States	283

Data are organized alphabetically by center. BNLI indicates British National Lymphoma Intergrup; EORTC, European Organization for the Treatment of Cancer; GELF, Groupe d'Etude des Lymphomes Folliculaires; GOELAMS, Groupe Ouest-Est des Leucémies Aiguës et Autres Maladies du Sang; SNLNG, Scotland and Newcastle Lymphoma Study Group; and SWOG, SouthWest Oncology Group.

Eastern Cooperative Oncology Group scale, presence or absence of any B symptoms, anemia, lymphocytopenia, decreased serum albumin level, increased erythrocyte sedimentation rate (ESR), thrombocytopenia.

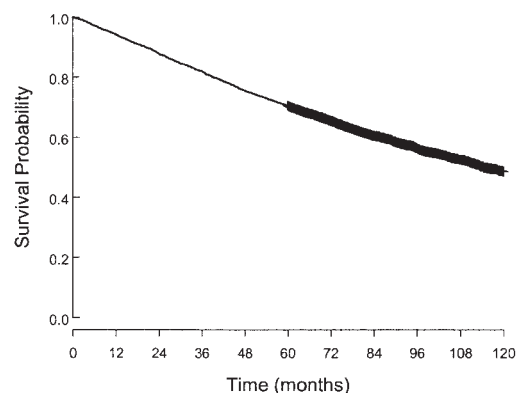
### Statistical analysis

Overall survival was the end point of all statistical analyses. Survival rates and corresponding standard errors were estimated using Kaplan and Meier



**Figure 1. Mannikin used for counting the number of involved areas.** See "Demographic characteristics and initial staging" in the text. Each rectangle corresponds to a nodal area.

**Overall Survival (n = 4167)**



No. of Events	-	237	507	747	1017	1226	1418	1569	1671	1737	1786
No. at Risk	4167	3930	3660	3420	3150	2939	2232	1630	1163	778	479

**Figure 2. Overall survival of the study population (n = 4167).**

estimators.<sup>23</sup> Survival curves were compared applying the log-rank test. Continuous biologic variables were dichotomized applying usual clinical thresholds. These a priori chosen thresholds were checked using cubic smoothing spline<sup>24</sup> and the risk function of a proportional hazard model.<sup>25</sup> A prognostic model was built fitting a proportional hazard model with all variables that significantly influenced the overall survival at a level of *P* values less than or equal to .05 in the univariate analysis (full model). A forward stepwise Cox regression analysis<sup>25</sup> was then performed, including

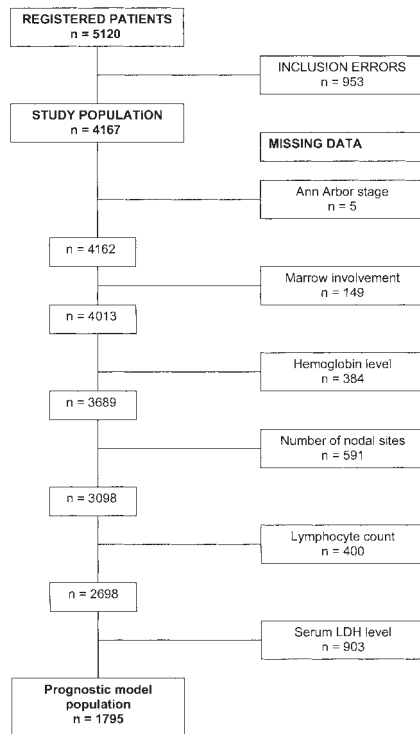
age and sex and, successively, extent of the disease, influence of the disease on the host, and other biologic variables. The prognostic index was derived from the prognostic model resulting from the Cox analysis. The clinical committee of the project asked for an index that would include no more than 5 variables in order to make its use easier in routine practice. If the Cox analysis retained more than 5 variables, it was decided to select the 5 variables from the prognostic model that produced the smallest loss of discriminating power. For choosing the most accurate model, all the

**Table 2. Characteristics of the patients and results of the univariate analysis of prognostic factors**

Parameter	n	%	5-year survival, % (SE)	10-year survival, % (SE)	Log rank, <i>P</i>
<b>Sex</b>	4167				.0025
Female		49	72.5 (1.0)	51.0 (1.4)	
Male		51	68.7 (1.0)	46.2 (1.4)	
<b>Age</b>	4167				< 10 <sup>-4</sup>
Younger than 60 y		63	78.1 (0.8)	58.4 (1.2)	
60 y or older		37	57.7 (1.3)	32.3 (1.6)	
<b>Cell type</b>	3511				.1065
Small cell		50	71.8 (1.1)	48.6 (1.5)	
Mixed		41	71.1 (1.2)	50.4 (1.7)	
Large cell		9	66.1 (2.7)	40.0 (3.9)	
<b>Ann Arbor stage</b>	4162				< 10 <sup>-4</sup>
I-II		22	83.2 (1.2)	64.3 (2.0)	
III-IV		78	67.0 (0.8)	44.1 (1.1)	
<b>B symptoms</b>	3965				< 10 <sup>-4</sup>
Absence		81	73.8 (0.8)	50.7 (1.2)	
Presence		19	55.8 (1.8)	36.8 (2.3)	
<b>Performance status (ECOG)</b>	3602				< 10 <sup>-4</sup>
0-1		88	72.4 (0.8)	50.0 (1.2)	
More than 1		12	58.6 (2.4)	37.8 (3.0)	
<b>Number of nodal sites</b>	3322				< 10 <sup>-4</sup>
0-4		65	77.0 (0.9)	54.6 (1.4)	
5 or more		35	63.7 (1.4)	42.1 (1.9)	
<b>Number of extra nodal sites other than bone marrow</b>	3741				< 10 <sup>-4</sup>
0		62	76.1 (0.9)	55.4 (1.3)	
1 or more		38	63.7 (1.3)	40.8 (1.7)	
<b>Bone marrow involvement</b>	4016				< 10 <sup>-4</sup>
Absence		52	75.6 (0.9)	56.2 (1.3)	
Presence		48	65.7 (1.1)	40.4 (1.6)	
<b>Spleen involvement</b>	3816				< 10 <sup>-4</sup>
Absence		78	74.8 (0.8)	53.0 (1.2)	
Presence		22	57.6 (1.7)	36.5 (2.1)	
<b>Serum β<sub>2</sub> microglobulin</b>	716				< 10 <sup>-4</sup>
Less than or equal to ULN		59	86.0 (1.7)	65.1 (3.9)	
Greater than ULN		41	65.0 (2.8)	42.5 (3.8)	
<b>ESR</b>	2256				< 10 <sup>-4</sup>
Less than or equal to 40 mm/h		89	73.3 (1.0)	52.0 (1.4)	
Greater than 40 mm/h		11	43.6 (3.2)	28.5 (3.6)	
<b>Serum LDH</b>	2565				< 10 <sup>-4</sup>
Less than or equal to ULN		79	76.6 (0.9)	53.9 (1.6)	
Greater than ULN		21	57.6 (2.1)	41.4 (2.7)	
<b>Thrombocyte count</b>	3655				< 10 <sup>-4</sup>
Greater than or equal to 150 × 10 <sup>9</sup> /L		88	72.2 (0.8)	50.5 (1.2)	
Less than 150 × 10 <sup>9</sup> /L		12	59.9 (2.3)	36.1 (3.1)	
<b>Hemoglobin level</b>	3813				< 10 <sup>-4</sup>
Greater than or equal to 120 g/L		82	74.9 (0.8)	51.7 (1.2)	
Less than 120 g/L		18	50.7 (1.9)	35.0 (2.3)	
<b>PB lymphocyte count</b>	3122				< 10 <sup>-4</sup>
Greater than or equal to 1 × 10 <sup>9</sup> /L*		80	73.3 (0.9)	51.5 (1.3)	
Less than 1 × 10 <sup>9</sup> /L		20	62.5 (2.0)	39.2 (2.6)	
<b>Serum albumin level</b>	2116				< 10 <sup>-4</sup>
Greater than or equal to 35 g/L		90	72.3 (1.0)	50.3 (1.4)	
Less than 35 g/L		10	48.3 (3.5)	25.8 (4.0)	

SE indicates standard error; ESR, erythrocyte sedimentation rate; LDH, lactate dehydrogenase; ULN, upper limit of normal; PB, peripheral blood; and ECOG, Eastern Cooperative Oncology Group.

\*Patients with leukemic involvement were not separated because blood involvement was considered an extra nodal site.



**Figure 3. Numbers of patients included for designing the FLIPI.** From the study population, the remaining number of patients who had information on the 8 significant factors after Cox analysis are mentioned. Note that no data were missing for age and sex.

candidates with 4 variable models other than age were classified according to 2 criteria: (1) score tests, evaluated on 100 resamples of the original data set; and (2) the Somer D coefficient adapted from Harrell et al<sup>26</sup> for measuring concordance of observed and expected survival, with correction of optimism using the bootstrap technique. Risk groups were defined by comparing the relative risk of death in patients with each possible number of presenting risk factors (from 0 to 5). Then, categories were combined according to the number of patients within each category, the combination producing the smallest loss of information in terms of log-likelihood, and clinical consideration in order to obtain 3 categories of approximately equal size.

**External validation**

Inclusion criteria for external validation were similar to those of the initial study, with 2 specificities: diagnosis after January 1993, and availability of the information on the 5 parameters of the FLIPI.

**Table 3. Results of the Cox regression analysis in 1795 patients who exhibited the 8 parameters having significant influence on overall survival**

Variable	Adverse factor	P	RR	95% CI
Sex	Male	.001	1.33	1.14-1.56
Age	> 60 years	< 10 <sup>-3</sup>	2.40	2.05-2.81
Ann Arbor stage	III-IV	< 10 <sup>-3</sup>	1.66	1.26-2.19
Bone marrow	Involved	.001	1.37	1.14-1.64
Number of nodal sites	> 4	.001	1.32	1.11-1.56
Hemoglobin level	< 120 g/L	< 10 <sup>-3</sup>	1.59	1.31-1.92
PB lymphocyte count	< 1 × 10 <sup>9</sup> /L	.008	1.27	1.06-1.52
LDH	> ULN	< 10 <sup>-3</sup>	1.50	1.26-1.77

RR indicates relative risk (of death); CI, confidence interval; PB, peripheral blood; LDH, lactate dehydrogenase; and ULN, upper limit of normal.

**Table 4. Results of the Cox regression analysis in 1795 patients who exhibited the 5 parameters retained for building the Follicular Lymphoma International Prognostic Index**

Parameter	Adverse factor	RR	95% CI
Age	≥ 60 y	2.38	2.04-2.78
Ann Arbor stage	III-IV	2.00	1.56-2.58
Hemoglobin level	< 120 g/L	1.55	1.30-1.88
Serum LDH level	> ULN	1.50	1.27-1.77
Number of nodal sites	> 4	1.39	1.18-1.64

RR indicates relative risk (of death); CI, confidence interval; LDH, lactate dehydrogenase; and ULN, upper limit of normal.

**Results**

**Patient characteristics**

Overall, 5120 patients from 27 centers or groups have been registered (Table 1). There were 953 who were not included for various reasons, including date of diagnosis not between 1985 and 1992 (45%), insufficient follow-up (36%), incomplete data (8%), and other reasons (11%). There were 4167 cases included in the final analysis. The median follow-up of surviving patients was 7.5 years and the overall survival of these patients is shown in Figure 2. The main clinical characteristics are shown in Table 2. Treatment modalities varied over time and according to the institutions.

**Univariate analysis**

The correlations between the clinical characteristics at diagnosis and overall survival are shown in Table 2. Given the size of the study population, all the listed characteristics (except cell type) were significantly associated with outcome. However, in order to propose a simple and accurate index, the clinical and statistical committees decided not to include all of these parameters in the multivariate analysis. The following parameters were not included: ESR, because this parameter was only measured in European patients, and not in those from the United States; ECOG performance status (PS), because the number of patients with a poor PS (ECOG > 1) was low (12%) and because there was an unexplained difference in the percentage of patients with a poor PS between European (14.5%) and US (2.1%) centers; serum β<sub>2</sub> microglobulin level and serum albumin level because of the very high proportion of patients with missing data.

**Prognostic model**

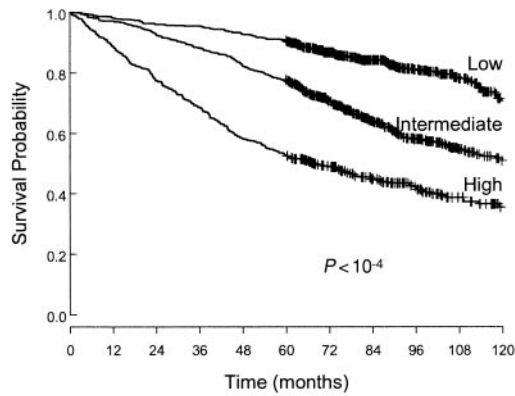
Based on clinical relevance and availability of the information, 12 pretreatment characteristics were included in the multivariate

**Table 5. Outcome and relative risk of death according to risk group as defined by the Follicular Lymphoma International Prognostic Index**

Risk group	Number of factors*	Distribution of patients, %	5-year OS, % (SE)		10-year OS, % (SE)		RR	95% CI
			5-year OS, % (SE)	10-year OS, % (SE)				
Low	0-1	36	90.6 (1.2)	70.7 (2.7)	1.0	NA		
Intermediate	2	37	77.6 (1.6)	50.9 (2.7)	2.3	1.9-2.8		
High	≥ 3	27	52.5 (2.3)	35.5 (2.8)	4.3	3.5-5.3		

N = 1795. OS indicates overall survival; SE, standard error; CI, confidence interval; RR, relative risk (of death), and NA, not applicable.

\*Factors adversely affecting survival in the FLIPI include age greater than 60 years; Ann Arbor stage III-IV; number of nodal sites greater than 4; serum LDH level greater than the upper limit of normal; and hemoglobin level less than 120 g/L.



No. of Events	-	12	25	29	46	60	83	95	106	113	125
Low	-	12	25	29	46	60	83	95	106	113	125
Intermediate	-	19	49	79	118	150	192	225	247	255	261
High	-	54	109	152	202	229	245	260	268	274	278

No. at Risk	641	629	616	612	595	581	450	337	241	157	93
Low	641	629	616	612	595	581	450	337	241	157	93
Intermediate	670	651	621	591	552	519	385	263	178	108	68
High	484	430	375	332	282	255	193	139	98	56	33

Figure 4. Survival of the 1795 patients according to risk group as defined by the Follicular Lymphoma International Prognostic Index.

analysis (sex, age group, Ann Arbor stage, bone marrow involvement, splenic involvement, number of nodal areas involved, number of extra nodal sites other than bone marrow, B symptoms, anemia, lymphocytopenia, thrombocytopenia, and serum LDH level). Both complete model and forward analyses retained 8 variables independently associated with the prognosis in a model established on 1795 patients (Figure 3) for whom these parameters were available (Table 3).

**Prognostic index**

This sample of 1795 patients comprised the population used to build the FLIPI. Both methods retained the same 5-variable submodel: age ( $\geq 60$  years vs  $< 60$  years), Ann Arbor stage (III-IV vs I-II), hemoglobin level ( $< 120$  g/L vs  $\geq 120$  g/L), number of nodal areas involved ( $> 4$  vs  $\leq 4$ ), serum LDH level (above normal vs normal or below; Table 4). In the 100 resamples of the original data set, this 5-parameter model was classified 24 times with the best score and 59 times as one of the 3 highest scoring models. In terms of individual prediction, this model was also the closest, as measured by the D coefficient,<sup>26</sup> to the 8-parameter model.

Patients with a score of 5 were combined with patients with a score of 4 because the former were too rare to constitute a category. Patients with scores of 0 and 1 were combined because both correspond to a group with a very good prognosis. Combining patients with a score of 3 with those having a score of 4 or 5 yielded the smallest log-likelihood change. The FLIPI index was thus created with 3 risk groups: low (0-1 risk factor), intermediate (2

risk factors), high ( $\geq 3$  risk factors). The distribution of patients into these 3 groups and hazard ratios are shown in Table 5. The survival curves are shown in Figure 4.

**Comparison with the International Prognostic Index (IPI)**

This comparison was performed on 1647 of 1795 patients used for building the FLIPI for whom complete information was also available for the parameters of the IPI (age, serum LDH level, performance status, Ann Arbor stage, number of extra nodal sites of disease).

The distribution of patients into the 4 IPI risk groups and the relative risks of death are shown in Table 6. The IPI separates the patients into 4 risk groups with significantly different survivals. Meanwhile, the number of patients in “high” and “high-intermediate” risk groups is low (4.7% and 15.5%, respectively). Conversely, most of the patients are in the “low” and “low-intermediate” risk groups (49% and 31%, respectively). As shown in Figure 5, the FLIPI was discriminant as well as in patients with low risk ( $P = .001$ ), intermediate risk ( $P = .001$ ), and high-intermediate and high-risk ( $P = .014$ ) according to the IPI.

**Age-adjusted model**

The FLIPI was also tested in patients younger than 60 years and in patients 60 years or older. As in the IPI study,<sup>2</sup> the 4 risk factors other than age were tested within each age group. The 4 other identified risk factors (number of nodal sites, Ann Arbor stage, serum LDH level, and hemoglobin level) remained independent prognostic factors. Survival curves for these 2 age groups are shown in Figure 6.

**External validation**

The data of 1101 other cases of patients with FL were received from 10 groups or centers in the United States and Europe. Of these, 92 were not analyzed because of missing values and/or inconsistencies. Overall, 919 cases (83.5%) were included in the analysis. The median follow-up was 6.8 years. The distribution of the 5 parameters of the FLIPI among these 919 patients, the distribution among the 3 FLIPI groups, and the hazard ratios are shown in Table 7. Survival curves are shown in Figure 7.

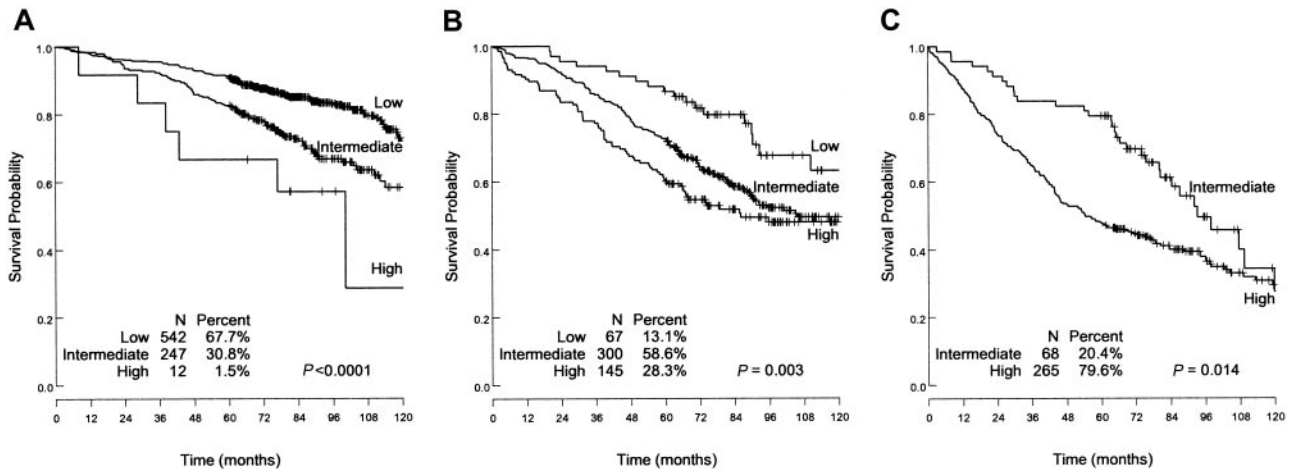
Serum  $\beta_2$  microglobulin ( $\beta_2$  M) level was measured in a greater number of cases (65%) at the time of diagnosis for this group of patients and thus could be studied as a factor that could potentially add information to the FLIPI. Serum  $\beta_2$  M was normal in 65% of patients and increased above the upper limit of normal in 35% of patients. Survival curve analysis showed that there was no difference between patients with normal  $\beta_2$  M or increased  $\beta_2$  M within each FLIPI subgroup (data not shown).

Table 6. Outcome and relative risk of death according to risk group as defined by the IPI among the 1647 patients for whom data for the FLIPI and the IPI were available

Risk group	Number of factors*	Distribution of patients, %	5-year OS, % (SE)	10-year OS, % (SE)	RR	95% CI
Low	0-1	49	88.1 (1.1)	67.3 (2.5)	1.0	NA
Low-intermediate	2	31	70.9 (2.0)	49.5 (2.8)	2.2	1.8-2.7
High-intermediate	3	15	57.4 (3.1)	27.6 (4.1)	3.5	2.8-4.3
High	4-5	5	43.6 (5.6)	35.8 (5.6)	4.5	3.3-6.2

OS indicates overall survival; SE, standard error; CI, confidence interval; RR, relative risk (of death); and NA, not applicable.

\*Factors adversely affecting survival in the IPI<sup>2</sup> include performance status greater than 1; number of extra nodal sites greater than 1; serum LDH level greater than the upper limit of normal; Ann Arbor stage III-IV; and age greater than 60 years.



**Figure 5.** Overall survival of patients with low IPI risk, low-intermediate IPI risk, and high-intermediate plus high IPI risk as determined by the Follicular Lymphoma International Prognostic Index (FLIPI). (A) Low IPI risk. (B) Low-intermediate IPI risk. (C) High-intermediate and high IPI risk. Within each IPI risk group, the FLIPI can discriminate patients in groups with significantly different death risks.

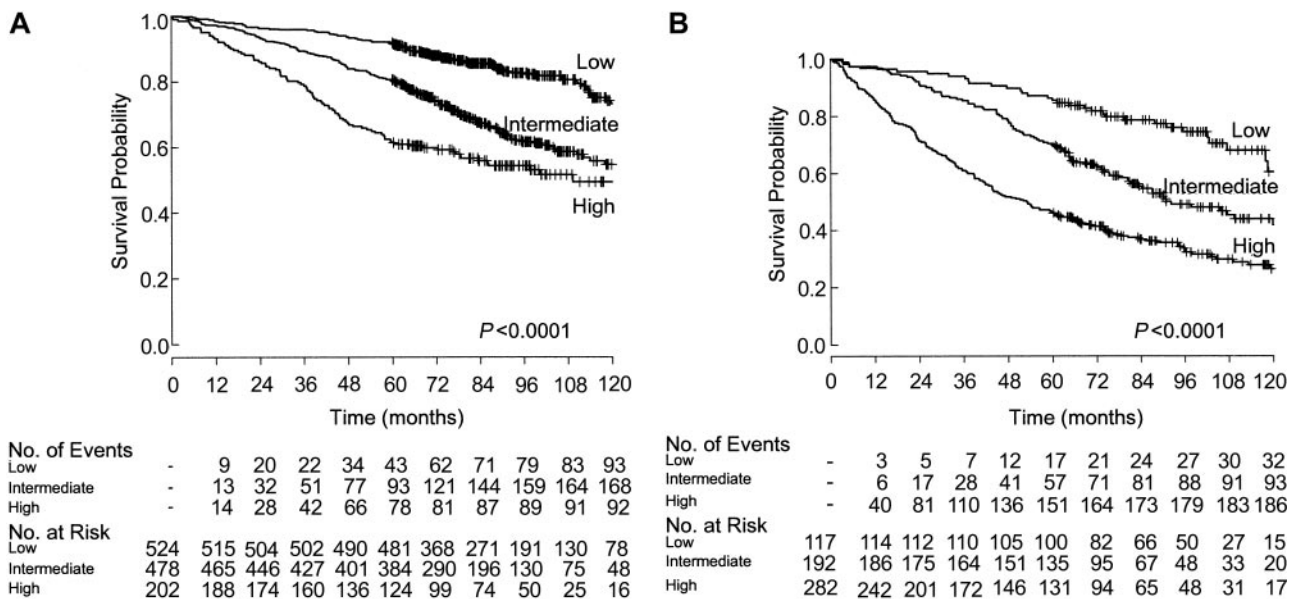
### Discussion

Among all NHLs, follicular lymphomas are the second most frequent subtype. Unfortunately, there is no truly effective therapy for FL, and its prognosis has remained basically unchanged over the last 30 years.<sup>1</sup> However, several new treatment modalities including combination of chemotherapy and interferon alpha,<sup>27</sup> anti-CD20 monoclonal antibodies given alone<sup>28</sup> or bound to a radio nuclide,<sup>29</sup> intensive therapy with autologous stem cell transplantation,<sup>30</sup> or nonmyeloablative allogeneic stem cell transplantation<sup>31</sup> have recently shown their activity in clinical trials. These treatments have significant toxicities and are costly. To better define the patients in whom these therapies are warranted, a prognostic index would be very helpful.

From a large and multicentric database of patients, we were able to propose and to validate a prognostic index for follicular lymphomas, the FLIPI. Although inclusion criteria did not define

age limits, the median age was 56 years with 37% of patients older than 60 years. This median age, possibly lower than that of all patients with FL, may be related to the fact that most patients were registered by groups and included in clinical trials (Table 1). However, this has probably no influence on results. This index includes parameters related to patient characteristics (age), tumor burden (Ann Arbor stage, number of nodal sites), tumor aggressiveness (serum LDH level), and consequences of the lymphoma on the host (hemoglobin level). Using this index, 3 risk groups of approximately the same size (36%, 37%, and 27%) have been separated. There is clearly a difference in survival between each of these risk groups. An external validation on another group of 919 patients with FL showed a very similar distribution of patients, highly significant differences in overall survival, and similar hazard ratios between the 3 FLIPI subgroups. This external validation confirms the reproducibility of the FLIPI analysis.

All the parameters of the FLIPI have been found to significantly influence prognosis in several other analyses<sup>3-20</sup> and have been included



**Figure 6.** Survival of 1795 patients according to risk group as defined by the Follicular Lymphoma International Prognostic Index. Patients younger than 60 years (A); patients 60 years or older (B).

**Table 7. Characteristics of the patients studied for the “external” validation of the Follicular Lymphoma International Prognostic Index (FLIPI)**

Characteristic	Number of patients (%)
<b>Age</b>	
Younger than 60 y	626 (68)
60 y or older	293 (32)
<b>Ann Arbor stage</b>	
I-II	191 (21)
III-IV	729 (79)
<b>LDH</b>	
Less than or equal to ULN	709 (77)
Greater than ULN	210 (23)
<b>Hemoglobin level</b>	
Less than 120 g/L	178 (19)
Greater than or equal to 120 g/L	741 (81)
<b>Number of nodal sites</b>	
Less than or equal to 4	568 (62)
Greater than 5	351 (38)
<b>FLIPI</b>	
Low*	345 (38)
Intermediate†	320 (35)
High‡	254 (28)

N = 919 patients. LDH indicates lactate dehydrogenase; and ULN, upper limit of normal.

\*Relative risk of death: 1.

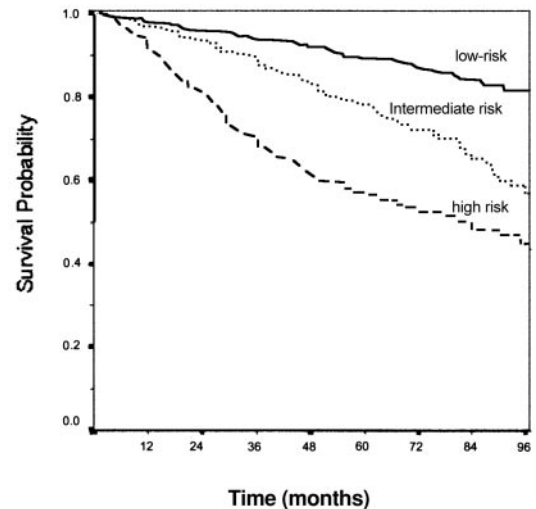
†Relative risk of death (95% CI): 2.45 (1.75-3.41).

‡Relative risk of death (95% CI): 4.68 (3.39-6.46).

in other prognostic indices.<sup>3,4,9,12</sup> These parameters have been routinely evaluated in the initial staging of patients with FL for many years. This will allow the comparison of the distribution of patients and the survival curves of many other series' with those reported herein and will further evaluate the accuracy of the FLIPI. Treatment was not included in the prognostic analysis, which concerned only initial characteristics. However, although treatments were heterogeneous, none of the treatments given during the period of inclusion has significantly changed the natural history of the disease.<sup>1</sup>

The number of prognostic factors used to build this index was deliberately limited in order to obtain a simple and accurate index. The concordance in discriminatory power between the training and confirmation groups demonstrates the accuracy of the FLIPI. An additional advantage of the FLIPI is that it can be used irrespective of age group.

The FLIPI may be used for selecting treatment in individual patients. In patients with a good prognosis (0-1 adverse factor), the 10-year overall survival is 71%. This indicates that optimal treatment in these patients has to avoid toxicity and to preserve quality of life. Involved-field radiation therapy for patients with limited disease and an initial “no treatment policy,” for patients with disseminated disease may be recommended outside clinical trials. In contrast, patients with high-risk FL have a median survival around 5 years. Innovative approaches such as the combination of CVP (cyclophosphamide, vincristine, prednisone) or CHOP (CVP



No. Of Events	6	14	20	26	35	41	47	50	
Low-risk	-	6	14	20	26	35	41	47	50
Intermediate-risk	-	9	20	34	52	67	83	94	101
High-risk	-	24	52	82	100	110	118	124	127

Low-risk	345	335	325	313	297	281	219	138	65
Intermediate-risk	320	308	296	278	252	226	156	89	31
High-risk	254	229	197	165	146	129	90	49	20

**Figure 7. Overall survival of the 919 patients used for validation of the Follicular Lymphoma International Prognostic Index.** Solid line indicates patients in the low-risk group (0-1 factor); dotted line, patients in the intermediate-risk group (2 factors); dashed line, patients in the high-risk group ( $\geq 3$  factors).  $P < 10^{-4}$ .

plus doxorubicin) and anti-CD20 monoclonal antibody,<sup>32</sup> purine analog-based regimens,<sup>33</sup> and autologous stem cell transplantation<sup>30</sup> followed by vaccine therapies<sup>34</sup> may be studied in this subgroup. All these approaches have been so far evaluated in phase 2 studies. The size of the high-risk group (27% of patients in the sample used for creating this index and 28% in the sample used for validation) could allow the design of multicenter randomized trials.

In conclusion, the FLIPI is an extremely simple and reproducible prognostic index, based on easily available clinical data, for patients with FL. This index may be a useful tool for improving the prognostic assessment of patients with FL. It can also be of help in selecting the most appropriate treatment in individual patients and in stratifying patients in prospective trials.

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