

Genetic evidence for lineage-related and differentiation stage-related contribution of somatic *PTPN11* mutations to leukemogenesis in childhood acute leukemia

Marco Tartaglia, Simone Martinelli, Giovanni Cazzaniga, Viviana Cordeddu, Ivano Iavarone, Monica Spinelli, Chiara Palmi, Claudio Carta, Andrea Pession, Maurizio Aricò, Giuseppe Masera, Giuseppe Basso, Mariella Sorcini, Bruce D. Gelb, and Andrea Biondi

SHP-2 is a protein tyrosine phosphatase functioning as signal transducer downstream to growth factor and cytokine receptors. SHP-2 is required during development, and germline mutations in *PTPN11*, the gene encoding SHP-2, cause Noonan syndrome. SHP-2 plays a crucial role in hematopoietic cell development. We recently demonstrated that somatic *PTPN11* mutations are the most frequent lesion in juvenile myelomonocytic leukemia and are observed in a smaller percentage of children with other myeloid malignan-

cies. Here, we report that *PTPN11* lesions occur in childhood acute lymphoblastic leukemia (ALL). Mutations were observed in 23 of 317 B-cell precursor ALL cases, but not among 44 children with T-lineage ALL. In the former, lesions prevalently occurred in *TEL-AML1*⁻ cases with *CD19*⁺/*CD10*⁺/*cyIgM*⁻ immunophenotype. *PTPN11*, *NRAS*, and *KRAS2* mutations were largely mutually exclusive and accounted for one third of common ALL cases. We also show that, among 69 children with acute myeloid leukemia,

***PTPN11* mutations occurred in 4 of 12 cases with acute monocytic leukemia (FAB-M5). Leukemia-associated *PTPN11* mutations were missense and were predicted to result in SHP-2 gain-of-function. Our findings provide evidence for a wider role of *PTPN11* lesions in leukemogenesis, but also suggest a lineage-related and differentiation stage-related contribution of these lesions to clonal expansion. (Blood. 2004;104:307-313)**

© 2004 by The American Society of Hematology

Introduction

SHP-2 is a cytoplasmic Src homology-2 (SH2) domain containing protein tyrosine phosphatase that plays a key role in intracellular signaling elicited by a number of growth factors, hormones, and cytokines.^{1,2} The accumulated data provide evidence that SHP-2 positively modulates the signal flow in most circumstances, even though it can also function as negative regulator depending on its binding partner and interactions with downstream signaling networks. Specifically, SHP-2 positively controls RAS function and is required for sustained activation of the mitogen-activated protein kinase (MAPK) cascade induced by several growth factors and cytokines.³⁻⁶ SHP-2 is widely expressed in both embryonic and adult tissues and is required in several developmental processes, including gastrulation and mesodermal patterning,^{7,8} development of terminal and skeletal structures,^{9,10} semilunar valvulogenesis,¹¹ and hematopoiesis.^{9,12-14}

Recently, we identified germline mutations in *PTPN11*, the gene encoding SHP-2, as major causative events in Noonan syndrome (NS),^{15,16} a disorder characterized by short stature, dysmorphic face, congenital heart disease, and skeletal anomalies.¹⁷ Children with NS are also predisposed to a spectrum of hematologic abnormalities and

malignancies, including juvenile myelomonocytic leukemia (JMML),¹⁸⁻²⁰ acute lymphoblastic leukemia (ALL),²¹⁻²³ and acute myeloid leukemia (AML).²³ Consistent with the crucial role of SHP-2 in RAS signaling and the higher prevalence of myeloproliferative disorders in infants and children with NS, we provided evidence that somatic *PTPN11* mutations represent the most frequent molecular lesion in JMML.²⁴ Interestingly, *PTPN11* missense defects were also identified in a smaller percentage of children with myelodysplastic syndromes (MDSs) and de novo AML. A similar incidence and distribution of *PTPN11* mutations in JMML and other myeloid malignancies was recently reported.²⁵ Molecular modeling and functional data support a gain-of-function role of these mutations on SHP-2 catalytic activity.²⁴

Because of the higher prevalence of ALL in children with NS and the key role of SHP-2 in lymphoid progenitor cell commitment and differentiation, we hypothesized a wider role of SHP-2 gain-of-function in leukemogenesis and considered *PTPN11* as an excellent candidate gene that might be mutated in ALL. Here, we show that acquired missense mutations in *PTPN11* represent a recurrent event in B-cell precursor ALL, are prevalently observed in children with the *CD19*⁺/*CD10*⁺/*cyIgM*⁻ immunophenotype,

From the Dipartimento di Biologia cellulare e Neuroscienze and Dipartimento Ambiente e connessa prevenzione primaria, Istituto Superiore di Sanità, Rome, Italy; Centro Ricerca M. Tettamanti, Clinica Pediatrica Università di Milano Bicocca, Monza, Italy; Dipartimento di Pediatria, Università di Padova, Padova, Italy; Dipartimento di Pediatria, Università di Bologna, Bologna, Italy; U.O. Onco-Ematologia Pediatrica, Ospedale dei Bambini "G. Di Cristina," Palermo, Italy; and Departments of Pediatrics and Human Genetics, Mount Sinai School of Medicine, New York, NY.

Submitted November 12, 2003; accepted February 5, 2004. Prepublished online as *Blood* First Edition Paper, February 24, 2004; DOI 10.1182/blood-2003-11-3876.

Supported in part by grants from Ricerca finalizzata 1% FSN-2003 (Stabilità del genoma: bersagli molecolari nella prevenzione e nel controllo delle neoplasie) and Ricerca corrente ISS-2003 (*PTPN11* e tumori: epidemiologia molecolare e studi funzionali) (M.T.); Associazione Italiana per la Ricerca sul Cancro (AIRC), Fondo per gli Investimenti della Ricerca di Base (FIRB), Fondazione Cariplo

and Fondazione Tettamanti (A.B., G.C.); Ministero dell'Istruzione, dell'Università e della Ricerca-Consiglio Nazionale delle Ricerche (MIUR-CNR) and Fondazione Città Della Speranza (G.B.); and the US Public Health Service (grants HL71207 and HD01294) (B.D.G.).

The online version of the article contains a data supplement.

An Inside *Blood* analysis of this article appears in the front of this issue.

Reprints: Marco Tartaglia, Dipartimento di Biologia cellulare e Neuroscienze, Istituto Superiore di Sanità, Viale Regina Elena, 299-00161, Rome, Italy; e-mail: mrtartaglia@iss.it.

The publication costs of this article were defrayed in part by page charge payment. Therefore, and solely to indicate this fact, this article is hereby marked "advertisement" in accordance with 18 U.S.C. section 1734.

© 2004 by The American Society of Hematology

frequently occur among patients with hyperdiploid DNA content, and are negatively associated with both *TEL-AML1* gene rearrangements and oncogenic *RAS* mutations. We also report that, among children with acute myeloid leukemia, *PTPN11* mutations are frequently found in children with acute monocytic leukemia. Our findings provide evidence for a wider role of *PTPN11* lesions in leukemogenesis and suggest that the contribution of these mutations to expansion of the leukemic clone depends on stage of differentiation and lineage of the precursor cell.

Patients, materials, and methods

Patients

Children and adolescents with ALL (n = 362) and de novo AML (n = 69) were included in the study. Informed consent was obtained for each patient of the 2 cohorts. Approval for this study was obtained from the Istituto Superiore di Sanità, Fondazione Tettamanti and Associazione Italiana Ematologia Oncologia Pediatrica (AIEOP) review boards.

Between January 2001 and September 2002, 568 patients, aged 1 to 18 years, with ALL had been consecutively enrolled in the ongoing AIEOP-BFM ALL 2000 study. Diagnosis was established according to standard morphologic, cytochemical, and immunologic criteria²⁶ and centrally reviewed. According to surface/cytoplasmic antigen expression, B-cell precursor ALL was classified as pro-B ALL (CD19⁺, CD10⁻, CD20⁻, cyIgM⁻), common ALL (CD19⁺, CD10⁺, cyIgM⁻), pre-B (CD19⁺, CD10^{+/-}, cyIgM⁺), or pre-B/B (CD19⁺, CD10^{+/-}, cyIgM⁺, sIgM⁺, IgMκλ⁻). One case exhibited a bilineage leukemic condition with a mixed population expressing CD10⁺/CD33⁻ (65% of cells) or CD10⁻/CD33⁺ (35% of cells). DNA samples were available in the diagnostic reference laboratory for 362 patients (64%). No statistically significant differences in clinical and laboratory features were observed between patients included or not in the study (data not shown).

Frozen material from 69 (52.7%) of 135 de novo AML cases, diagnosed in a single AIEOP institution since 1981, was available for the study. Diagnosis was established by standard morphologic, cytochemical, and immunologic criteria. According to the French-American-British (FAB) classification, patients were classified as M0 (n = 1, 1.4%), M1 (n = 15, 21.7%), M2 (n = 18, 26.1%), M3 (n = 11, 16.0%), M4 (n = 8, 11.6%), M5 (n = 12, 17.4%), M6 (n = 1, 1.4%), and M7 (n = 2, 2.9%); in 1 case the FAB subtype was unknown. Karyotype information was available for 60 patients (87%). Chromosomal aberrations characteristic for de novo AML, that is, t(8;21), t(15;17), inv(16), as well as other complex abnormalities were documented. Median age was 6.3 years (range, 0.2-17.6 years), 45 were boys (65.2%) and 24 were girls (34.8%). Median white blood cell (WBC) count was $26 \times 10^9/L$ (range, $0.8-296 \times 10^9/L$).

Molecular analyses

DNA sample acquisition. Bone marrow aspirates were obtained at diagnosis, prior to therapy, as well as during the follow-up. Mononuclear cells were separated from aspirated bone marrow samples using a Ficoll gradient, and gDNA was isolated from lysates of these cells using a standard protocol.

Mutation analysis. The entire *PTPN11* coding region (exons 1-15 and flanking intronic stretches) was screened for mutations. Polymerase chain reactions (PCRs) to amplify exons 2 to 15 were carried out as previously described¹⁶; exon 1 was amplified in 25 μ L reaction volume containing 50 ng gDNA, 1 U AmpliTaq Gold (Applied Biosystems, Foster City, CA), 20 pmol each primer (MWG-Biotech, Ebersberg, Germany), 1.5 mM MgCl₂, 10% dimethyl sulfoxide (DMSO), and 75 μ M each dNTP and 1 \times PCR Buffer II (Applied Biosystems), using primer pairs *PTPN11*-1sF, 5'-CGGAGCCTGAGCAAGGAGCG-3'; *PTPN11*-1sR, 5'-CGAGGGGAC-GAGGAGGAACC-3', and the following cycling parameters: 94°C, 8 minutes (first denaturing step); 94°C, 45 seconds; 60°C, 30 seconds; 72°C, 45 seconds; 33 cycles; 72°C, 15 minutes (last extension step). Mutational analysis was also performed on exons 1 and 2 of the *NRAS* and *KRAS*2

genes (primer sequences and PCR conditions are available on request). Unpurified PCR products were analyzed by denaturing high-performance liquid chromatography (DHPLC), using the Wave DNA Fragment Analysis System (Transgenomics, Omaha, NE) at column temperatures recommended by the WaveMaker version 4.1.31 software (Transgenomics). Heterozygous templates with previously identified mutations or synthetic templates containing heterozygous exonic single nucleotide changes were used as positive controls for all exons. Amplimers having abnormal denaturing profiles were purified (Microcon PCR; Millipore, Bedford, MA) and sequenced bidirectionally using the ABI BigDye Terminator Sequencing Kit version 3.1 (Applied Biosystems) and an ABI Prism 310 Genetic Analyzer (Applied Biosystems). Sequencing results were analyzed using the Sequencing Analysis version 3.6.1 and AutoAssembler version 2.1 software packages (both from Applied Biosystems).

RT-PCR assay. RNA was purified from bone marrow mononuclear cells by standard phenol-chloroform extraction method. Reverse transcription-PCR (RT-PCR) was performed as previously described.²⁷ All samples were analyzed by single-step PCR for the presence of the *MLL-AF4*, *BCR-ABL* and *TEL-AML1* fusion transcripts; in addition, *PTPN11* mutated cases were also analyzed for the presence of the *E2A-PBX1* fusion gene product. Amplification of the housekeeping *ABL* gene transcript was performed in all samples to guarantee for good quality cDNA synthesis. After amplification, 10 μ L PCR products were run on a 2.5% agarose gel stained with ethidium bromide and visualized under a UV lamp.

Cytogenetic, FISH, and DNA index analyses. Cytogenetic analysis was performed on leukemic bone marrow mononuclear cells methanol-acetic acid fixed chromosome preparations by standard QFQ-banding. Rearrangement of the *MLL* gene was investigated by fluorescence in situ hybridization (FISH) analysis from methanol-acetic acid-fixed interphase nuclei, by using the "Dual color, break apart *MLL* probe" (Vysis, Downers Grove, IL), covering the *MLL* locus on chromosome 11q23. DNA index was calculated according to the guidelines provided by the Committee on Nomenclature of the Society for Analytical Cytology.²⁸

Statistical analyses

Descriptive analyses were conducted to examine the distribution of variables of interests (age at diagnosis, gender, immunophenotype, presence/absence of gene mutations or rearrangements, DNA index). The Pearson χ^2 test was used to evaluate statistical significance (at 95% level) of differences in proportions among groups; the Fisher exact test (2-tailed *P* values) was alternatively adopted when an expected cell value in a contingency table was less than 5. Exact confidence intervals of proportions (at 95% level) were calculated based on binomial distribution. Mean values of continuous variables were compared among groups by means of the nonparametric Kruskal-Wallis rank test. Unconditional logistic regression models were adopted to investigate the relationship between frequency of *PTPN11* mutations and covariates of interest. All analyses were carried out with the STATA statistical package release 7.0 (Stata, College Station, TX).

Results

PTPN11 mutations in childhood ALL

We investigated the prevalence of *PTPN11* mutations in bone marrow mononuclear cells from a cohort of 362 children and adolescents with ALL using DHPLC. *PTPN11* defects were identified in 23 (7.3%) of 317 children with B-cell precursor ALL (Table 1), whereas no mutation was observed in the T-lineage ALL cohort (44 cases). Mutation analysis of DNAs from bone marrow samples obtained during disease remission demonstrated absence of the mutated allele in all cases (Figure 1A), providing evidence that all mutations were somatic events acquired in the leukemic cells. Consistently, none of these defects was observed among more than 200 control individuals.^{15,16} All mutations were missense changes, 18 affecting exon 3 and 5 residing in exon 13. Among

Table 1. Prevalence of *PTPN11* and *RAS* gene mutations in the ALL and AML cohorts included in the study

Malignancy	<i>PTPN11</i>		<i>NRAS</i> and <i>KRAS2</i>	
	No. of cases analyzed	No. of cases with mutations (%)*	No. of cases analyzed	No. of cases with mutations (%)*
ALL				
Pro-B	11	0	9	1 (11.1)
Common	188	20§ (10.6)	166	40 (24.1)
Pre-B	113	3 (2.7)	99	23 (23.2)
Pre-B/B	5	0		
T	44	0	34	3 (8.8)
Not determined	1	0		
Total	362	23 (6.3)	308	67 (21.8)
P†		.019		.114
AML‡				
M0	1	0	1	0
M1	15	0	11	2 (18.2)
M2	18	0	12	2 (16.7)
M3	11	0	9	0
M4	8	0	6	1 (16.7)
M5	12	4 (33.3)	7	0
M6	1	0	1	0
M7	2	0	1	0
Not determined	1	0	1	0
Total	69	4 (5.8)	49	5 (10.2)

*Values refer to the prevalence of cases with *PTPN11* or *RAS* gene mutations within each subtype and entire cohort.

†Significance of the Fisher exact test for the independence of distributions of *PTPN11* or *RAS* mutations across ALL immunophenotypes. The comparison of the distributions of *PTPN11* and *RAS* mutations across B-cell precursor ALL immunophenotypes showed a statistically significant difference ($P = .026$, Fisher exact test).

‡AML patients were grouped according to the FAB classification.

§One case showed mixed leukemic cell population expressing CD10⁺/CD33⁻ (65% of cells) and CD10⁻/CD33⁺ (35% of cells).

them, the 181G>T (Asp61Tyr), 182A>T (Asp61Val), 205G>A (Glu69Lys), 214G>A (Ala72Thr), 215C>T (Ala72Val), 226G>A (Glu76Lys), and 227A>G (Glu76Gly) changes had been previously reported in children with JMML.^{24,25} Several lesions were found recurrently, and mutations affecting residues Asp61, Glu69, Ala72, Glu76, and Pro491 accounted for 87% of all defects. As previously observed in JMML, codon 76 represented a mutational hot spot (35% of total mutations), with 3 different amino acid substitutions predicted among 8 individuals. All mutations affected amino acid residues located in the N-SH2 and PTP functional domains. Specifically, all mutated residues except Pro491 clustered in regions of these domains that are involved in the N-SH2/PTP intramolecular interaction that normally stabilizes SHP-2 in its catalytically inactive conformation (Figure 1C). According to the protein crystallographic structure,²⁹ Pro491 is spatially far from the N-SH2/PTP interaction surfaces, residing on the PTP surface exposed toward the linker stretch connecting the C-SH2 domain to the PTP domain (residues 217-223).

PTPN11 defects were not randomly distributed in the ALL cohort ($P = .019$) because the lesions occurred prevalently among patients with the common (CD19⁺/CD10⁺/cyIgM⁻) immunophenotype, where they accounted for 10.6% of cases (95% CI, 6.6%-16.0%). Three mutations occurred in children with pre-B ALL (2.7% of cases; 95% CI, 0.6%-7.6%). One of the common ALL cases with mutated *PTPN11* showed CD10 antigen expression only in a subset of cells and expression of the CD33 myeloid antigen in a different subset of cells, indicating a mixed population.

Because gene rearrangements and other chromosomal abnormalities account for a relatively large portion of childhood ALL cases,

major chromosomal translocations were systematically investigated in all patients carrying a mutated *PTPN11* gene. We did not observe the *E2A-PBX1*, *BCR-ABL*, and *MLL-AF4* gene rearrangements among children carrying a mutated *PTPN11* gene (Table S1; see the Supplemental Materials link at the top of the online article on the *Blood* website). Significantly, none of cases exhibited the *TEL-AML1* fusion gene. That karyotypically cryptic gene rearrangement represents the most recurrent lesion in children with B-lineage ALL and was observed in 25% of common ALL cases in our series (Table 2). This mutually exclusive distribution was statistically significant ($P = .007$). Because the *TEL-AML1* gene rearrangement has been documented to be strongly associated with nonhyperdiploid DNA content³⁰ (present study; Figure S1), we compared the prevalence of *PTPN11* mutations in patients with and without hyperdiploidy (Table 2). A higher prevalence of mutations in children and adolescents with hyperdiploid DNA content was observed. This association was statistically significant within both the B-cell precursor ALL (14 of 102 versus 8 of 206; $P = .004$) and common ALL (11 of 66 versus 8 of 114; $P = .042$) cohorts.

Analysis of distribution of *PTPN11* mutations by age at diagnosis and gender did not reveal any statistically significant differences either among patients with B-cell precursor ALL or those with common ALL (Table S1). However, a statistically

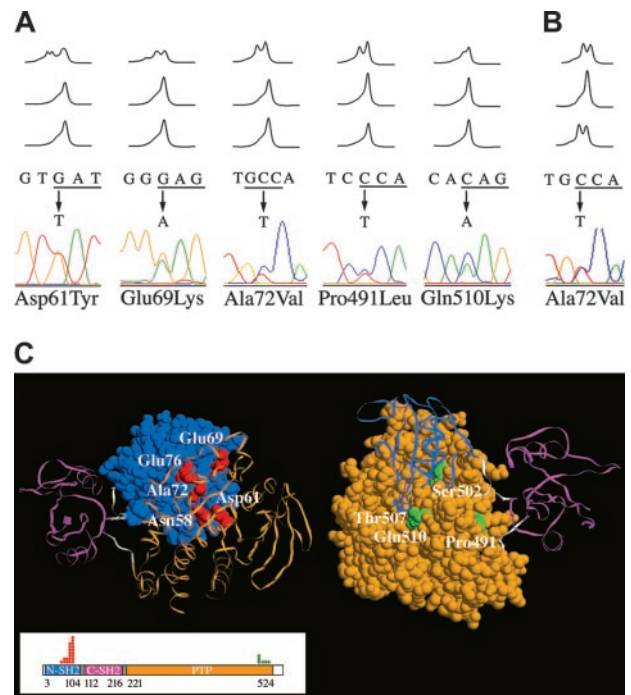


Figure 1. Somatic *PTPN11* mutations in childhood acute leukemia. (A) Representative DHPLC profiles showing the occurrence of missense mutations in 5 children with B-cell precursor ALL; in all cases, mutations were observed at diagnosis (top), but were undetectable during remission, after 33 (middle) and 78 (bottom) days of follow-up. Corresponding nucleotide changes and electropherograms are also shown. (B) DHPLC profiles, and corresponding nucleotide change and electropherogram, showing the 215C>T substitution in one case with FAB-M5a identified at diagnosis (top) and relapse (bottom), but not during remission (middle). (C) Location of SHP-2 mutated residues in childhood acute leukemia. Exposed surface or C α trace of N-SH2 (blue), C-SH2 (magenta), and PTP (orange) domains, and N-SH2/C-SH2 and C-SH2/PTP linkers (gray) of the catalytically inactive conformation of SHP-2.²⁹ The views are rotated to show the interdomain interacting surfaces and the exposed residues of the N-SH2 (left) and PTP (right) surfaces. Mutated N-SH2 residues (Asn58, Asp61, Glu69, Ala72, and Glu76) are indicated in red; mutated PTP residues (Pro491, Ser502, Thr507, and Gln510) are indicated in green. SHP-2 domain organization is shown in the boxed area. The numbers below the domain structure indicate the amino acid boundaries of those domains. Dots above the domain structure refer to number of cases with mutations documented in this study.

Table 2. Prevalence of RAS mutations, TEL-AML1 gene rearrangement, and DNA index in ALL cases with or without mutations in PTPN11

	Cases analyzed for PTPN11 mutations			P
	Total no. of cases (%)	No. of cases without mutations (%)	No. of cases with mutations (%)	
RAS mutations				
Common ALL				
Positive	40 (26.9)	39 (29.8)	1 (5.6)	
Negative	109 (73.1)	92 (70.2)	17 (94.4)	
Total	149	131	18	.043†
B-cell precursor ALL				
Positive	64 (24.9)	63 (26.7)	1 (4.8)	
Negative	193 (75.1)	173 (73.3)	20 (95.2)	
Total	257	236	21	.026‡
TEL-AML1				
Common ALL				
Positive	43 (24.9)	43 (27.7)	0	
Negative	130 (75.1)	112 (72.3)	18 (100)	
Total	173	155	18	.007†
B-cell precursor ALL				
Positive	66 (22.8)	66 (24.4)	0	
Negative	223 (77.2)	204 (75.6)	19 (100)	
Total	289	270	19	.009†
DNA index				
Common ALL				
Less than or equal to 1*	114 (63.3)	106 (65.8)	8 (42.1)	
Greater than 1	66 (36.7)	55 (34.2)	11 (57.9)	
Total	180	161	19	.042‡
B-cell precursor ALL				
Less than or equal to 1*	206 (66.9)	198 (69.2)	8 (36.4)	
Greater than 1	102 (33.1)	88 (30.8)	14 (63.6)	
Total	308	286	22	.002‡

*Four cases (2 common, 1 pre-B, and 1 pre-B/B) exhibited DNA index less than 1.

†Significance of Fisher exact test.

‡Significance of Pearson χ^2 test.

significant higher prevalence of *PTPN11* mutations in patients with age at diagnosis older than 15 years was observed compared with younger patients, within the B-cell precursor ALL cohort (4 of 12 versus 19 of 205, $P = .007$, Fisher exact test; Figure S1). Moreover, results from unconditional logistic regression analysis highlighted that *PTPN11* mutations were significantly associated with both hyperdiploid DNA content and age at diagnosis among common ALL cases. Specifically, the probability of a *PTPN11* mutation was approximately 3-fold and 5-fold higher in patients, respectively, with hyperdiploid DNA content (odds ratio [OR] = 2.80; 95% CI, 1.04-7.53) and age at diagnosis older than 15 years (OR = 5.38; 95% CI, 1.17-24.78), taking age at diagnosis younger than 15 years and diploidy as reference categories.

Deregulated RAS signaling in childhood ALL

Increasing evidence supports the idea that SHP-2 positively controls cell proliferation and survival of hematopoietic cells by acting as positive modulator of RAS function.³¹⁻³³ Consequently, we investigated the cumulative prevalence of up-regulated RAS signaling in 166 common ALL cases by performing mutational screening of exons 1 and 2 of the *NRAS* and *KRAS2* genes (Table 1). Sixteen mutations affecting codons 12, 13, and 61 of *NRAS* were observed (Table S2), accounting for 9.6% of cases (95% CI, 5.6%-15.2%). Mutations affecting exon 1 of *KRAS2* were identified in 24 cases (14.5%; 95% CI, 9.5%-20.7%). Among the 23 cases

with mutated *PTPN11* gene, only one showed concomitant lesions in the *NRAS* or *KRAS2* genes (Table 2). In that case, leukemic cells were not available to evaluate if mutations were present in independent subclones. These results documented that, among children with common ALL, *PTPN11*, *NRAS*, and *KRAS2* gene mutations account for approximately 45% of *TEL-AML1*⁻ cases.

To investigate the distribution and prevalence of oncogenic *NRAS* and *KRAS2* lesions in the other ALL subtypes included in the study, children with pro-B (n = 9), pre-B (n = 99), and T-cell (n = 34) ALL were also screened. Consistent with available molecular evidence,³⁴⁻³⁶ mutations were observed in all the cohorts, with a combined prevalence of 9% (95% CI, 1.9%-23.7%) among the T-ALL cases and 11% (95% CI, 0.3%-48.2%) and 23% (95% CI, 15.3%-32.8%) among the pro-B and pre-B cases, respectively (Table 1 and Table S2). The distributions of *PTPN11* and *RAS* gene mutations differed significantly when compared among all the different ALL subtypes ($P = .012$) as well as among B-cell precursor ALL immunophenotypes ($P = .026$). These results support that the contribution of *RAS* mutations to leukemogenesis is not restricted to specific subtypes, a notable difference from what was observed for *PTPN11* mutations.

Multiple lesions affecting codons 12 and 13 of the *NRAS* and *KRAS2* genes were observed in 5 children (Table S2). Leukemic cells were not available to evaluate if lesions were present in distinct cell subpopulations. DHPLC profiles and electropherograms, however, indicated that these mutations might be present only in a fraction of leukemic cells, suggesting that these lesions do not represent primary events during leukemogenesis but are acquired during progression of disease.

NRAS mutations were found to be largely mutually exclusive with the *TEL-AML1* gene rearrangement ($\chi^2 = 5.95$, $P = .015$), whereas no association was observed between *KRAS2* and *TEL-AML1* ($\chi^2 = 0.003$; $P = .957$). No association between *RAS* gene mutations and age at diagnosis was observed, whereas, as observed for *PTPN11* mutations, *RAS* defects had significant higher prevalence among cases with hyperdiploid DNA content (Figure S1).

PTPN11 mutations in childhood AML

Sixty-nine children with de novo AML were included in the study to investigate prevalence, spectrum, and distribution of *PTPN11* lesions in this heterogeneous group of myeloid malignancies. Somatic *PTPN11* lesions were identified in 4 children (6% of cases; 95% CI, 1.6%-14.2%; Table 1), confirming the relatively low prevalence of this class of molecular lesions we previously documented.²⁴ All mutations were missense (Table 3) and affected residues located in the interacting surfaces of the N-SH2 and PTP domains. Two amino acid substitutions (Ala72Val and Glu76Lys) have been observed previously in JMML and childhood MDSs,^{24,25} as well as in the present ALL cohort. A novel change (1520C>A), resulting in the substitution of residue Thr507 by lysine was identified in one case. Bone marrow specimens at remission were available in 3 cases and showed absence of the mutated allele. A subsequent relapse occurred in one child, and the presence of the mutated allele was also documented (Figure 1B).

Significantly, all cases carrying a mutated *PTPN11* gene exhibited acute monocytic leukemia (FAB-M5 subtype). These results combined with those from our previous study²⁴ indicate that *PTPN11* is frequently mutated in children within this leukemic condition (5 of 20; eg, 25% of cases; 95% CI, 8.7%-49.1%). Cytogenetic data were available for 2 of the 4 cases. One patient exhibited the complex karyotype 46,XX, invdup(1)(q31), t(2;17)(q14;q24), t(2;19)(p22;q13); mosaicism for t(2;10)(q36;q22)

Table 3. Somatic *PTPN11* mutations in childhood acute leukemia

Malignancy, subtype, and nucleotide substitution	No. of cases	Amino acid substitution
ALL		
Common		
172A>T	1	Asn58Tyr
181G>T	1	Asp61Tyr
182A>T	1	Asp61Val
205G>A	2	Glu69Lys
214G>A	1	Ala72Thr
215C>T	3	Ala72Val
226G>A	3	Glu76Lys
226G>C	2	Glu76Gln
227A>G	1	Glu76Gly
1471C>T	1	Pro491Ser
1472C>T	1	Pro491Leu
1504T>C	1	Ser502Pro
1528C>A	1	Gln510Lys
Pre-B		
215C>A	1	Ala72Asp
226G>A	1	Glu76Lys
1472C>T	1	Pro491Leu
Bilineage		
227A>G	1*	Glu76Gly
AML		
FAB-M5		
215C>T	2†	Ala72Val
226G>A	1†	Glu76Lys
1520C>A	1	Thr507Lys

*Mixed leukemic cell population expressing CD10⁺/CD33⁻ (65% of cells) and CD10⁻/CD33⁺ (35% of cells).

†Acute monocytic leukemia, poorly differentiated (FAB-M5a AML).

was observed in the second case. FISH analysis carried out on 3 of the 4 cases showed rearrangement of the *MLL* gene (data not shown).

The distribution of *NRAS* and *KRAS2* gene mutations in the AML cohort was also investigated. gDNA was available for 49 cases, and mutational screening identified *NRAS* lesions in 5 (10%; 95% CI, 3.4%-22.2%). Mutations occurred in children with different AML subtypes, including 2 with FAB-M1, 2 with FAB-M2, and 1 with FAB-M4 (Table S2). No *NRAS* mutation was identified among the 4 cases with mutated *PTPN11*.

Discussion

In the present study, we documented that somatic missense mutations in *PTPN11* represent a recurrent molecular event in childhood acute leukemia. Specifically, we provided first evidence that *PTPN11* is mutated in B-cell precursor ALL, particularly among children with common ALL, where these lesions accounted for 11% of cases. Our data also suggest that, as observed in myeloid malignancies, up-regulated RAS signaling, due to mutations in *RAS* genes or in genes coding for proteins controlling RAS function, represent a major pathway driving the aberrant growth of malignant B-cell precursors. Finally, we confirmed and further extended our previous observations on the relevance of *PTPN11* lesions in pediatric AML by documenting that these defects frequently occur in children with acute monocytic leukemia, where they account for approximately one fourth of cases.

Several lines of evidence support the notion that *PTPN11* mutations represent events that contribute to leukemogenesis. First, molecular analysis of bone marrow specimens documented that mutations were observed at disease presentation, but were undetect-

able at remission, supporting the presence of the mutated gene in the leukemic clone. Consistently, in previous studies^{15,16} we did not observe any of these mutations in more than 200 control individuals. Second, the spectrum and distribution of mutations indicated that these lesions are not random, but affect specific domains of the protein and are predicted to promote SHP-2 gain-of-function. Third, among children with ALL, *PTPN11* defects were negatively associated with major gene rearrangements (*TEL-AML1*, *E2A-PBX1*, *BCR-ABL*, and *AF4-MLL*), and other gene lesions (*NRAS* and *KRAS2*). Fourth, *PTPN11* mutations appeared to be associated preferentially with certain acute leukemia subtypes, suggesting a specific role in disease pathogenesis. Finally, we documented the same mutation at initial diagnosis and relapse in the only relapsing case with a *PTPN11* lesion and serial samples in our series.

SHP-2 contains 2 tandemly arranged amino-terminal SH2 domains (N-SH2 and C-SH2), a single catalytic (PTP) domain, and a carboxy-terminal tail (Figure 1C). Crystallographic data indicate that SHP-2 is basally inactive with an autoinhibited conformation because of the intramolecular interaction between the N-SH2 and PTP domains.²⁹ Catalytic activation requires disruption of such interaction, which is promoted by the conformational change of the N-SH2 domain subsequent to its binding to phosphotyrosyl-containing motifs of signaling partners. Similarly to what we observed for most mutations causing NS,^{15,16} our previously published²⁴ and present data document that the vast majority of leukemia-associated *PTPN11* lesions affect residues located at or close to the N-SH2/PTP-interacting surfaces. Significantly, both the spectrum and location of mutations support a model in which *PTPN11* lesions up-regulate SHP-2 physiologic activation by impairing the switching between the inactive and active conformations, favoring a shift in the equilibrium toward the latter. Consistent with this view, neither mutations affecting residues that are essential for phosphatase activity, nor nonsense, frameshift, or splicing defects have been observed among the numerous mutations (N > 200) identified thus far^{15,16,24,25} (present study; and M.T. and B.D.G., unpublished data, May 2004). Accordingly, in vitro functional studies on 2 JMML-associated SHP-2 mutants, Asp61Gly and Glu76Lys,²⁴ the latter here documented to be recurrent in ALL and AML, as well as 3 mutants identified in NS (Ser72Ala, Ile282Val, and Asn308Asp)³⁷ demonstrated increased basal PTPase activity. This supports the idea that these substitutions weaken the autoinhibitory interaction between the N-SH2 and PTP domains controlling SHP-2 activation. Functional studies are required to understand the significance of substitutions affecting Pro491.

Missense *PTPN11* mutations occur as germline lesions in NS and related developmental disorders, and as somatic defects in hematologic malignancies. The present study confirms previous data from our group and others indicating that the identity of the affected residues or the type of substitution differ between developmental and hematologic disorders, even though the resulting molecular defects appear to be functionally similar.^{15,16,24,25,38} Such dramatic genotype-phenotype relationship defines a novel class of missense mutations in the *PTPN11* gene with a role in leukemia. The prognostic significance of these mutations remains unknown, and prospective studies will be needed to clarify their clinical significance.

We documented that the vast majority of cases with B-cell precursor ALL do not harbor mutations in both *PTPN11* and *RAS* genes. Because SHP-2 is a positive modulator of RAS function, this finding suggests that mutated SHP-2 and RAS proteins might elicit their effects through a common pathway, and that missense mutations in *PTPN11* might represent a novel class of lesions that

lead to hyperactive RAS. This view was originally introduced by the observation that *PTPN11*, *NRAS*, *KRAS2*, and *NFI* mutations are largely mutually exclusive in JMML,^{24,25,39} and supported by additional analyses documenting ligand-dependent prolonged activation of ERK2 in cells expressing mutated SHP-2 proteins at least under selected experimental conditions.²⁴ RAS activation is an essential component of proliferative and antiapoptotic responses to a number of hematopoietic growth factors and cytokines,⁴⁰⁻⁴² and up-regulation of RAS signaling has a pivotal role in promoting proliferation and survival of malignant myeloid cells, as documented by *RAS* point mutations and a number of genetic lesions that deregulate RAS function.^{43,44} Here, we showed that the combined prevalence of *PTPN11*, *NRAS*, and *KRAS2* mutations accounts for approximately one third of pediatric cases with common ALL. Significantly, whereas *PTPN11* mutations appeared to be preferentially associated with the common immunophenotype, mutations in *NRAS* or *KRAS2* were uniformly distributed among the B-cell precursor ALL subtypes with a cumulative prevalence ranging between 9% and 24%. Because there are some limitations to the accuracy of this estimate (no attempt was made to look for mutations located on other exons and known to activate RAS function); the true prevalence of *NRAS* and *KRAS2* oncogenic lesions in our series could be slightly higher. Consequently, these data support a relevant role of deregulated RAS signaling in B-cell precursor ALL and suggest that additional genes coding for transducers involved in this signal transduction pathway might represent novel candidate genes in B-cell precursor ALL pathogenesis.

A major finding of the present study concerns the mutually exclusive occurrence of *PTPN11* and *TEL-AML1* lesions among patients with B-cell precursor ALL. The *TEL-AML1* gene rearrangement is strongly associated with nonhyperdiploid DNA content and occurs frequently among children with that form of leukemia. In

contrast, a significant association with hyperdiploidy and advanced age at diagnosis (> 15 years) was observed for *PTPN11* mutations. These findings suggest that *PTPN11* and *TEL-AML1* lesions might contribute with different, and possibly alternative, mechanisms to ALL pathogenesis. Although additional studies will be required to address this issue, the high prevalence of *PTPN11* mutations among adolescents and their exclusive occurrence among *TEL-AML1*⁻ cases suggests that *PTPN11* lesions might be relevant for the pathogenesis of ALL in adults.

In conclusion, the present findings provide the first genetic evidence of a mutated protein tyrosine phosphatase acting as oncoprotein in both lymphoid and myeloid malignancies. The existing data do not permit any firm conclusions regarding whether somatic *PTPN11* mutations represent primary permissive events or second hits acquired during disease progression that might be important for emergence of fully developed leukemia. The finding, however, that they appear to be almost exclusively restricted to specific acute leukemia conditions, that is, common ALL and acute monocytic leukemia, strongly suggests that gain-of-function of SHP-2 contributes to expansion of the leukemic clone depending on stage of differentiation and lineage of the precursor cell.

Acknowledgments

We are indebted to patients and their families who participated in the study and the clinicians of the AIEOP centers for providing bone marrow samples. We thank J. D. Licht (Mount Sinai School of Medicine, New York, NY) for critical comments on the manuscript. We are also grateful to L. Morelli and M. Conte (Istituto Superiore di Sanità, Rome, Italy) for their helpful assistance in DNA sequencing, and to G. Giudici and S. Bungaro (Centro Ricerca Tettamanti, Monza, Italy) for cytogenetic and FISH analyses.

References

- Neel BG, Gu H, Pao L. The 'Shp'ing news: SH2 domain-containing tyrosine phosphatases in cell signaling. *Trends Biochem Sci.* 2003;28:284-293.
- Tartaglia M, Niemeyer CM, Shannon KM, Loh ML. SHP-2 and myeloid malignancies. *Curr Opin Hematol.* 2004;11:44-50.
- Maroun CR, Naujokas MA, Holgado-Madruga M, Wong A, Park M. The tyrosine phosphatase SHP-2 is required for sustained activation of extracellular signal-regulated kinase and epithelial morphogenesis downstream from the Met receptor tyrosine kinase. *Mol Cell Biol.* 2000;20:8513-8525.
- Shi Z-Q, Yu D-H, Park M, Marshall M, Feng G-S. Molecular mechanism for the Shp-2 tyrosine phosphatase function in promoting growth factor stimulation of Erk activity. *Mol Cell Biol.* 2000;20:1526-1536.
- Agazie YM, Hayman MJ. Molecular mechanism for a role of SHP-2 in epidermal growth factor receptor signaling. *Mol Cell Biol.* 2003;23:7875-7886.
- Yu WM, Hawley TS, Hawley RG, Qu CK. Catalytic-dependent and -independent roles of SHP-2 tyrosine phosphatase in interleukin-3 signaling. *Oncogene.* 2003;22:5995-6004.
- Tang TL, Freeman RM Jr, O'Reilly AM, Neel BG, Sokol SY. The SH2-containing protein-tyrosine phosphatase SH-PTP2 is required upstream of MAP kinase for early *Xenopus* development. *Cell.* 1995;80:473-483.
- Saxton TM, Henkemeyer M, Gasca S, et al. Abnormal mesoderm patterning in mouse embryos mutant for the SH2 tyrosine phosphatase Shp-2. *EMBO J.* 1997;16:2352-2364.
- Qu C-K, Yu W-M, Azzarelli B, Cooper S, Broxmeyer HE, Feng G-S. Biased suppression of hematopoiesis and multiple developmental defects in chimeric mice containing Shp-2 mutant cells. *Mol Cell Biol.* 1998;18:6075-6082.
- Saxton TM, Ciruna BG, Holmyard D, et al. The SH2 tyrosine phosphatase Shp2 is required for mammalian limb development. *Nat Genet.* 2000;24:420-423.
- Chen B, Bronson RT, Klamann LD, et al. Mice mutants for *Egfr* and *Shp2* have defective cardiac semilunar valvulogenesis. *Nat Genet.* 2000;24:296-299.
- Qu CK, Shi ZQ, Shen R, Tsai FY, Orkin SH, Feng GS. A deletion mutation in the SH2-N domain of Shp-2 severely suppresses hematopoietic cell development. *Mol Cell Biol.* 1997;17:5499-5507.
- Qu C-K, Nguyen S, Chen J, Feng G-S. Requirement of Shp-2 tyrosine phosphatase in lymphoid and hematopoietic cell development. *Blood.* 2001;97:911-914.
- Chan RJ, Johnson SA, Li Y, Yoder MC, Feng GS. A definitive role of Shp-2 tyrosine phosphatase in mediating embryonic stem cell differentiation and hematopoiesis. *Blood.* 2003;102:2074-2080.
- Tartaglia M, Mehler EL, Goldberg R, et al. Mutations in *PTPN11*, encoding the protein tyrosine phosphatase SHP-2, cause Noonan syndrome. *Nat Genet.* 2001;29:465-468.
- Tartaglia M, Kalidas K, Shaw A, et al. *PTPN11* mutations in Noonan syndrome: molecular spectrum, genotype-phenotype correlation, and phenotypic heterogeneity. *Am J Hum Genet.* 2002;70:1555-1563.
- Tartaglia M, Gelb BD. *PTPN11* and the Noonan syndrome. In: Epstein CJ, Erickson RP, Wynshaw-Boris A, eds. *Inborn Errors of Development. The Molecular Basis of Clinical Disorders of Morphogenesis.* San Francisco, CA: Oxford University Press; 2004:895-903.
- Bader-Meunier B, Tchernia G, Miélot F, et al. Occurrence of myeloproliferative disorder in patients with Noonan syndrome. *J Pediatr.* 1997;130:885-889.
- Fukuda M, Horibe K, Miyajima Y, Matsumoto K, Nagashima M. Spontaneous remission of JCML in an infant with NS. *J Pediatr Hematol Oncol.* 1997;19:177-178.
- Choong K, Freedman MH, Chitayat D, Kelly EN, Taylor G, Zipursky A. Juvenile myelomonocytic leukemia and Noonan syndrome. *J Pediatr Hematol Oncol.* 1999;21:523-527.
- Piombo M, Rosanda C, Pasino M, Marasini M, Cerruti P, Comelli A. Acute lymphoblastic leukemia in Noonan syndrome: report of two cases. *Med Pediatr Oncol.* 1993;21:454-455.
- Attard-Montalto SP, Kingston JE, Eden T. Noonan's syndrome and acute lymphoblastic leukemia. *Med Pediatr Oncol.* 1994;23:391-392.
- Johannes JM, Garcia ER, De Vaan GA, Weening RS. Noonan's syndrome in association with acute leukemia. *Pediatr Hematol Oncol.* 1995;12:571-575.
- Tartaglia M, Niemeyer CM, Fragale A, et al. Somatic *PTPN11* mutations in juvenile myelomonocytic leukemia, myelodysplastic syndromes and acute myeloid leukemia. *Nat Genet.* 2003;34:148-150.
- Loh ML, Vattikuti S, Schubert S, et al. Somatic

- mutations in *PTPN11* implicate the protein tyrosine phosphatase SHP-2 in leukemogenesis. *Blood*. Prepublished on November 26, 2003, as DOI 10.1182/blood-2003-09-3287. (Now available as *Blood*. 2004;103:2325-2331.)
26. Bene MC, Castoldi G, Knapp W, et al. Proposals for the immunological classification of acute leukemias. *Leukemia*. 1995;9:1783-1786.
 27. van Dongen JJ, Macintyre EA, Gabert JA, et al. Standardized RT-PCR analysis of fusion gene transcripts from chromosome aberrations in acute leukemia for detection of minimal residual disease. Report of the BIOMED-1 Concerted Action: investigation of minimal residual disease in acute leukemia. *Leukemia*. 1999;13:1901-1928.
 28. Hiddemann W, Schumann J, Andreef M, et al. Convention on nomenclature for DNA cytometry. Committee on Nomenclature, Society for Analytical Cytology. *Cancer Genet Cytogenet*. 1984;13:181-183.
 29. Hof P, Pluskey S, Dhe-Paganon S, Eck MJ, Shoelson SE. Crystal structure of the tyrosine phosphatase SHP-2. *Cell*. 1998;92:441-450.
 30. Shurtleff SA, Buijs A, Behm FG, et al. TEL/AML1 fusion resulting from a cryptic t(12;21) is the most common genetic lesion in pediatric ALL and defines a subgroup of patients with an excellent prognosis. *Leukemia*. 1995;9:1985-1989.
 31. Pazdrak K, Adachi T, Alam R. Src homology 2 protein tyrosine phosphatase (SHP2)/Src homology 2 phosphatase 2 (SHP2) tyrosine phosphatase is a positive regulator of the interleukin 5 receptor signal transduction pathways leading to the prolongation of eosinophil survival. *J Exp Med*. 1997;186:561-568.
 32. Chauhan D, Pandey P, Hideshima T, et al. SHP2 mediates the protective effect of interleukin-6 against dexamethasone-induced apoptosis in multiple myeloma cells. *J Biol Chem*. 2000;275:27845-27850.
 33. Yu WM, Hawley TS, Hawley RG, Qu CK. Catalytic-dependent and -independent roles of SHP-2 tyrosine phosphatase in interleukin-3 signaling. *Oncogene*. 2003;22:5995-6004.
 34. Yokota S, Nakao M, Horiike S, et al. Mutational analysis of the N-ras gene in acute lymphoblastic leukemia: a study of 125 Japanese pediatric cases. *Int J Hematol*. 1998;67:379-387.
 35. Lübbert M, Mirro J Jr, Miller CW, et al. N-Ras gene point mutations in childhood acute lymphocytic leukemia correlate with a poor prognosis. *Blood*. 1990;75:1163-1169.
 36. Ahuja HG, Foti A, Bar-Eli M, Cline MJ. The pattern of mutational involvement of RAS genes in human hematologic malignancies determined by DNA amplification and direct sequencing. *Blood*. 1990;75:1684-1690.
 37. Fragale A, Tartaglia M, Wu J, Gelb BD. Noonan syndrome-associated SHP-2/PTPN11 mutants cause EGF-dependent prolonged GAB1 binding and sustained ERK2/MAPK1 activation. *Hum Mut*. 2004;23:267-277.
 38. Digilio MC, Conti E, Sarkozy A, et al. Grouping of multiple-lentiginos/LEOPARD and Noonan syndromes on the *PTPN11* gene. *Am J Hum Genet*. 2002;71:389-394.
 39. Kalra R, Paderanga D, Olson K, Shannon KM. Genetic analysis is consistent with the hypothesis that *NF1* limits myeloid cell growth through p21^{ras}. *Blood*. 1994;84:3435-3439.
 40. de Groot RP, Coffey PJ, Koenderman L. Regulation of proliferation, differentiation and survival by the IL-3/IL-5/GM-CSF receptor family. *Cell Signal*. 1998;10:619-628.
 41. Miyajima A, Ito Y, Kinoshita T. Cytokine signaling for proliferation, survival, and death in hematopoietic cells. *Int J Hematol*. 1999;69:137-146.
 42. Chang F, Steelman LS, Lee JT, et al. Signal transduction mediated by the Ras/Raf/MEK/ERK pathway from cytokine receptors to transcription factors: potential targeting for therapeutic intervention. *Leukemia*. 2003;17:1263-1293.
 43. Byrne JL, Marshall CJ. The molecular pathophysiology of myeloid leukaemias: Ras revisited. *Br J Haematol*. 1998;100:256-264.
 44. Kelly LM, Gilliland DG. Genetics of myeloid leukemias. *Annu Rev Genomics Hum Genet*. 2002;3:179-198.