

# Induction of acute myeloid leukemia in mice by the human leukemia-specific fusion gene *NUP98-HOXD13* in concert with *Meis1*

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**HOX** genes, notably members of the *HOXA* cluster, and *HOX* cofactors have increasingly been linked to human leukemia. Intriguingly, *HOXD13*, a member of the *HOXD* cluster not normally expressed in hematopoietic cells, was recently identified as a partner of *NUP98* in a t(2;11) translocation associated with t-AML/MDS. We have now tested directly the leukemogenic potential of the *NUP98-HOXD13* t(2;11) fusion gene in the murine hematopoietic model. *NUP98-HOXD13* strongly promoted growth and impaired differentia-

tion of early hematopoietic progenitor cells in vitro; this effect was dependent on the *NUP98* portion and an intact *HOXD13* homeodomain. Expression of the *NUP98-HOXD13* fusion gene in vivo resulted in a partial impairment of lymphopoiesis but did not induce evident hematologic disease until late after transplantation (more than 5 months), when some mice developed a myeloproliferative-like disease. In contrast, mice transplanted with bone marrow (BM) cells cotransduced with *NUP98-HOXD13* and the *HOX* cofactor *Meis1* rapidly developed

lethal and transplantable acute myeloid leukemia (AML), with a median disease onset of 75 days. In summary, this study demonstrates that *NUP98-HOXD13* can be directly implicated in the molecular process leading to leukemic transformation, and it supports a model in which the transforming properties of *NUP98-HOXD13* are mediated through *HOX*-dependent pathways. (Blood. 2003; 101:4529-4538)

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## Introduction

Therapy-related myelodysplastic syndrome (t-MDS) and acute myeloid leukemia (t-AML) have emerged as serious long-term complications, denoting poor prognosis, of genotoxic high-dose chemotherapy or radiotherapy in patients with cancer.<sup>1,2</sup> Recent data have described a novel group of t-MDS/t-AML with mostly myelomonocytic features that are characterized by balanced translocations involving the nucleoporin *NUP98* gene on chromosome 11p15. In all cases, the chromosomal translocations involving 11p15 create a chimeric gene fusing the same N-terminal portion of *NUP98* in-frame to one of multiple potential partner genes.

The most frequently observed *NUP98* fusion partners belong to the clustered homeobox gene family previously implicated in normal regulation of hematopoiesis and leukemia (for reviews, see van Oostveen et al,<sup>3</sup> Buske and Humphries,<sup>4</sup> and Owens and Hawley<sup>5</sup>). Since the first identification of the *NUP98-HOXA9* fusion gene,<sup>6,7</sup> *HOXD13* t(2;11),<sup>8</sup> *HOXA11*, *HOXA13*,<sup>9</sup> *HOXC11*,<sup>10</sup> *HOXD11*,<sup>11</sup> and the nonclustered homeobox-containing gene *PMX1*<sup>12</sup> have all been identified as *NUP98* fusion partners in de novo AML, t-AML, or both. The involvement of homeobox genes as partner genes of *NUP98* is of particular interest given the growing evidence linking *HOX* genes, particularly members of the 5'-located members of the *HOXA* cluster, such as *HOXA9* and *HOXA10*, to leukemia.<sup>13-16</sup>

The involvement of 2 members of the *HOXD* cluster in *NUP98* translocation-associated leukemia is intriguing. In contrast to members of the A cluster,<sup>17</sup> *HOXD* cluster genes are not normally expressed in hematopoietic cells but rather are recognized as key regulators in the morphogenesis of vertebrate limbs.<sup>18,19</sup> The *NUP98-HOXD13* (ND13) chimeric protein thus defines a novel class of an *NUP98* fusion gene involving a nonhematopoietic clustered *HOX* gene and resulting in its de novo and aberrant expression in human hematopoietic cells.<sup>8,20,21</sup> Moreover, as a 13-paralog member, *HOXD13*, unlike *HOXA9*, lacks the binding domain for PBX, a known *HOX* cofactor also implicated in normal hematopoiesis and leukemia.<sup>22-24</sup> It is unclear how and to what extent *ND13* alone may perturb hematopoiesis, in contrast to several other *HOX* genes so far characterized. Also of interest is the nature of other potential collaborating genes for the leukemogenic activity of *ND13*. One provocative candidate is the TALE homeobox protein *Meis1*, previously identified as a gene frequently coactivated with *Hoxa9* or *Hoxa7* in the BXH2 leukemia model<sup>25,26</sup> and able to accelerate *HOX* gene-induced leukemogenesis for several *HOX* genes, including *NUP98-HOXA9*.<sup>27,28</sup> The mechanisms responsible for the acceleration of *NUP98-HOXA9*-induced leukemogenesis by *Meis1* remain elusive because the putative *Meis1*-interacting domain, which is located N-terminal of the

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**Table 1. Absolute numbers of BM cells transplanted per ND13, Meis1, and GFP mouse**

Mouse	GFP, %	No. cells/mouse	No. transplants
Experiments 1-4			
GFP	95	$1.6-2.4 \times 10^5$	5
GFP*	50	$2.4 \times 10^5$	2
ND13	95	$2.1 \times 10^5$	7
ND13*	25	$3.5 \times 10^5$	8
Meis1	95	$1.25 \times 10^5$	2
Experiments 5-7			
GFP*	60-70	$1.0 \times 10^6$	5
ND13	50	$1.6 \times 10^5$	5
Meis1	95	$4.0 \times 10^5$	2
Meis1*	35	$1.0 \times 10^6$	3

\*Unsorted BM cells injected.

homeodomain, is lost in the fusion gene.<sup>29</sup> Interestingly, this domain is also absent in the ND13 fusion.

*NUP98* is the second member of the nucleoporin gene family implicated in human leukemogenesis in addition to *NUP214* (or *CAN*), which is found in the t(6;9)(p23;q34) in patients with AML.<sup>30</sup> *NUP98* regulates the unidirectional and the bidirectional transport of proteins and RNA-protein complexes between cytoplasm and nucleus. It belongs to a subgroup of nuclear pore complex proteins (NPCs) characterized by a common sequence motif, the phenylalanine-glycine (FG) repeat, that functions as a putative docking site for imported substrates.<sup>31,32</sup> So far, the role of the nucleoporins in the malignant transformation of hematopoietic cells is only partly understood, but, importantly, all chimeric genes preserve the FG repeats of the N-terminal half of *NUP98*.

We now have directly tested the impact of the human leukemia-specific fusion gene *ND13* on hematopoietic development. Here, we report that *ND13* has potent myeloproliferative activity in vitro and causes myeloproliferative disease in vivo but is insufficient to induce AML in mice. However, *ND13*, together with the deregulated expression of *Meis1*, induced AML in all transplanted mice with a short latency time. The hematopoietic effects of *ND13* were shown to be dependent on a functional homeodomain and the presence of the *NUP98* portion of the fusion gene. These findings support a model in which aberrant activation of *HOXD13*-dependent pathways might be critical for *ND13*-associated leukemogenesis.

## Materials and methods

### cDNA constructs and retroviral vectors

The *ND13* fusion gene was constructed by ligation of the 5' end of the *NUP98* cDNA (kindly provided by J. Borrow, Massachusetts Institute of Technology, Cambridge, MA) to the *ApaI* site upstream of the breakpoint region of the *ND13* cDNA fragment isolated from a patient with t-MDS.<sup>8</sup> The 1.2-kb coding sequence of *Meis1a* was kindly provided by G. Sauvageau (Clinical Research Institute of Montreal, Canada). A flagged-tagged version of *ND13* was engineered by ligating a polymerase chain reaction (PCR) product of the fusion gene in-frame to the 3' end of the FLAG epitope in the pSC plasmid (Clontech, Palo Alto, CA). The N51S-*ND13* dead homeodomain mutant was constructed using the Quick-Change site-directed mutagenesis kit (Stratagene, La Jolla, CA) and the pSC-*ND13* plasmid as a template. The TR-*HOXD13* and TR-*NUP98* constructs, consisting of the conserved *HOXD13* and *NUP98* portion of the *ND13* fusion gene, respectively, were obtained by PCR and subcloned in-frame into the pSC plasmid. The cDNAs were subcloned into the murine stem-cell virus (MSCV) 2.1 vector (kindly provided by R. Hawley,

American Red Cross, Rockville, MD) upstream of the internal ribosomal entry site (IRES) sequence linked to the gene encoding the enhanced green fluorescence protein (EGFP; Clontech) or to the enhanced yellow fluorescence protein (YFP) in the *Meis1a* construct (Clontech) (kindly provided by P. Leboulch, Harvard-Massachusetts Institute of Technology Division of Health Sciences and Technology, Cambridge, MA). In some experiments, a hemagglutinin (HA)-epitope tagged version of the *Meis1a* cDNA was used (fused in N-terminus). As a control, the MSCV vector carrying only the IRES-GFP cassette (GFP virus) was used. Production of high-titer, helper-free retrovirus was carried out by standard procedures.<sup>33</sup> The presence of full-length provirus was determined by cutting the provirus twice with *NheI* and using Southern blot analysis with standard techniques.<sup>34</sup>

### Mice and retroviral infection of primary bone marrow cells

Parental strain mice were bred and maintained at the British Columbia Cancer Research Centre animal facility. Donors of primary bone marrow (BM) cells were older than 12-week (C57Bl/6Ly-Pep3b  $\times$  C3H/HeJ) F1 (PepC3) mice, and recipients were older than 8- to 12-week (C57Bl/6J  $\times$  C3H/HeJ) F1 (B6C3) mice. Primary mouse BM cells were transduced as previously described.<sup>35</sup> Briefly, BM cells were harvested from mice treated 4 days previously with 150 mg/kg 5-fluorouracil (Faulding, Underdaler, Australia) and prestimulated for 48 hours in Dulbecco modified Eagle medium (DMEM) supplemented with 15% fetal bovine serum (FBS), 10 ng/mL human interleukin-6 (hIL-6), 6 ng/mL murine interleukin-3 (IL-3), and 100 ng/mL murine Steel factor (mSF) (StemCell Technologies, Vancouver, BC, Canada). Cells were infected by cocultivation with irradiated (4000 cGy x-ray) GP+E86 viral producer cells with the addition of 5  $\mu$ g/mL protamine sulfate (Sigma, Oakville, ON, Canada). A 1:1 mixture of *ND13/GFP* or *Meis1/YFP* producers was used to coinfect BM cells. Loosely adherent and nonadherent cells were harvested from the cocultures after 2 days and were cultured for 48 hours in the same medium without protamine sulfate.

### BM transplantation and assessment of mice

Retrovirally transduced BM cells were highly purified based on the expression of GFP or YFP using a FACS Vantage (Becton Dickinson, Mississauga, ON, Canada). GFP, YFP, or both were excited at 488 nm, and fluorescence emission was detected using a 530/30BP filter (Omega Optical, Brattleboro, VT) with a 560SP dichroic mirror (Omega Optical) if only GFP or YFP was present. It was detected using 510/20BP and 550/30BP optical filters (Omega Optical) and a 525-nm short-pass dichroic mirror (Omega Optical) to separate emission wavelengths if both proteins were present.

Primary and secondary F1 (B6C3) mice were irradiated with 900 cGy of cesium Cs 137  $\gamma$ -radiation. Irradiated mice underwent transplantation with sorted or unsorted transduced BM cells into the tail vein. Gene transfer efficiency to BM cells ranged from 50% to 90% for GFP, 25% to 40% for *Meis1a*, 10% to 30% for *ND13*, 20% to 35% for TR-*HOXD13*, 10% to 25% for N51S-*ND13*, and 0.3% to 10% (GFP<sup>+</sup>/YFP<sup>+</sup>) for double transduction with *ND13* and *Meis1a* (Tables 1, 2). Peripheral blood (PB) cell progeny of transduced cells was tracked by the expression of GFP, YFP, or both. Engraftment and lineage differentiation were analyzed by the aspiration of cells from the femurs of the mice under light anesthesia or after killing. Lineage distribution was determined by fluorescence-activated cell sorter (FACS) analysis as previously described.<sup>36</sup> Monoclonal antibodies (mAbs) were all purchased from PharMingen (San Diego, CA) (phycoerythrin [PE]-labeled Gr-1, Mac-1, B220, Ter-119, CD4, CD8, and c-Kit). Morphologic analysis of PB, BM, and spleen cells was determined from modified Wright-Giemsa-stained cytospin preparations, and nonspecific esterase activity was tested using alpha naphthyl butyrate. For the histologic analysis, selected organs were fixed in a buffered 4% paraformaldehyde solution, dehydrated in ethanol, and embedded in paraffin for sectioning. Sections were prepared and hematoxylin and eosin (H&E)-stained at the Academic Pathology Laboratory (University of British Columbia, Vancouver, BC, Canada) using standard protocols.

### In vitro assays

Cell proliferation was assessed in DMEM supplemented with 15% FBS, 10 ng/mL hIL-6, 6 ng/mL mL-3, and 100 ng/mL mSF by viable cell counting. Differentiation of clonogenic progenitors was analyzed by plating cells in methylcellulose culture media (Methocult M3434; StemCell Technologies), containing 3 U/mL recombinant human erythropoietin (rhEpo), 10 ng/mL mL-3, 10 ng/mL hIL-6, and 50 ng/mL rmSF. Pre-B progenitors were detected by culture in methylcellulose media containing 10 ng/mL IL-7 for 5 to 7 days (Methocult M3630; StemCell Technologies). All colonies were scored microscopically using standard criteria.

IL-3-dependent cell lines from ND13 or ND13/*Meis1*-infected bone marrow cells were established in vitro directly after sorting in DMEM/15% FBS with IL-3 alone (6 ng/mL). The differentiation capacity of cell lines was tested in DMEM/15% FBS supplemented with 100 ng/mL granulocyte-colony-stimulating factor (G-CSF) or 10 ng/mL macrophage-CSF (M-CSF) (R&D Systems, Wiesbaden, Germany) and after 7-day analysis of Wright-Giemsa-stained cytospin preparations.

### CFU-S assay

BM cells from day 4 (d4) 5-fluorouracil (5-FU)-treated PepC3 donor mice were infected with the different viruses, and transduced BM cells were purified by FACS. To assess initial (d0) colony-forming spleen unit (CFU-S) numbers, 5000 cells were injected per lethally irradiated mouse 48 hours after infection. CFU-S numbers after 7 days of culture in DMEM, supplemented with 15% FBS, 10 ng/mL hIL-6, 6 ng/mL mL-3, and 100 ng/mL mSF, were measured by injection of the total cell progeny derived from 25 to  $1 \times 10^6$  input cells. Macroscopic colonies on the spleen at day 12 after injection were counted after fixation in Telleyesnickzky solution.

### Semiquantitative RT-PCR

Expression of selected Hox genes and *Meis1* was assayed by reverse transcription-polymerase chain reaction (RT-PCR) of RNA recovered from bone marrow cells harvested from sick or control mice or from GFP control, ND13, or ND13+*Meis1*-transduced cells isolated by FACS 48 hours after infection and maintained in extended liquid culture for at least 28 days in DMEM supplemented with 15% FBS, 10 ng/mL hIL-6, 6 ng/mL mL-3, and 100 ng/mL mSF. RNA was isolated using RNeasy lysis reagent (all reagents for RT-PCR were from Invitrogen, Burlington, ON, Canada) and were treated with DNase I (amp grade) to remove contaminating genomic DNA. cDNA was synthesized from 500 ng total RNA by random priming using SuperScript II reverse transcriptase, as recommended by the manufacturer. PCR conditions were identical for all genes (annealing temperature of 60°C) using the cDNA amount originating from 5 ng starting RNA. Conditions used were those recommended by the manufacturer of Platinum Taq Polymerase. The number of PCR cycles for each gene was chosen to stop the reaction in the linear phase of the amplification (20 cycles for glyceraldehyde-3-phosphate dehydrogenase [GAPDH] and total *Meis1*; 30 for *Hoxa7* and *Hoxa9*; 20 and 30 for endogenous *Meis1* for in vitro and in vivo samples, respectively). No significant expression was detected for *Hoxd13* using up to 40 PCR cycles. Amplified products were separated on agarose gel and transferred to Zeta probe membrane (Bio-Rad, Hercules, CA) and were hybridized to randomly primed radioactive gene-specific DNA (p32- $\alpha$ -adenosine triphosphate [ATP]) fragments. The intensity for each signal was measured using a PhosphorImager STORM 860 and ImageQuant software from Molecular Dynamics (Sunnyvale, CA). The expression for each gene was normalized first to that of GAPDH and then to the value expressed relative to control BM (from a healthy mouse), which was given an arbitrary value of 1. Primer sequences are available on request.

### Western blot analysis

Nuclear extract from 293T cells was prepared as follows: cells were washed twice in cold phosphate-buffered saline (PBS), then resuspended in hypotonic solution (10 mM N-2-hydroxyethylpiperazine-N'-2-ethanesulfonic acid [HEPES] pH 7.9, 10 mM KCl, 1.5 mM MgCl<sub>2</sub>, 10% glycerol) for

10 minutes on ice. Cells were then spun down and resuspended in 1 mL hypotonic solution and homogenized using a Dounce tissue grinder. The cells were spun down and resuspended in a lysis buffer (20 mM HEPES pH 7.9, 400 mM KCl, 5 mM MgCl<sub>2</sub>, 25% glycerol); after 40 minutes of incubation, the supernatant was harvested (nuclear extract). The nuclear extract was electrophoresed in sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) 12.5% or a gradient gel (4%-12.5%) (Nu-PAGE; Invitrogen, Burlington, ON, Canada) and was blotted to nitrocellulose membranes (Millipore, Bedford, MA) as previously described.<sup>37</sup> Antibodies used consisted of monoclonal anti-FLAG (M2; Sigma), an anti-HA (16B12; Babco, Richmond, CA), and donkey horseradish peroxidase-conjugated antimouse antibody (Jackson ImmunoResearch Lab, West Grove, PA). Protein expression was detected using an enhanced luminol reagent (Renaissance, Boston, MA).

### Statistical analysis

Data were statistically tested using the *t* test for dependent or independent samples (Microsoft Excel). Differences with  $P < .05$  were considered statistically significant.

## Results

### Retroviral vectors

To engineer and study the effect of expression of the *ND13* fusion gene in hematopoietic cells, we generated the MSCV-based retroviral vector depicted in Figure 1A in which the *ND13* cDNA was linked to the EGFP marker gene by an IRES element. In some experiments, an analogous vector carrying the *Meis1a* cDNA linked to the YFP marker gene was used (Figure 1A). Transmission of full-length provirus to target cells was demonstrated by Southern blot analysis of infected BM (data not shown) for each vector and expression of *ND13* or *Meis1* was verified by Western blot analysis of a FLAG- or an HA-tagged version of each vector, respectively (Figure 1B). As a control, an MSCV virus carrying GFP alone (GFP virus) was used.

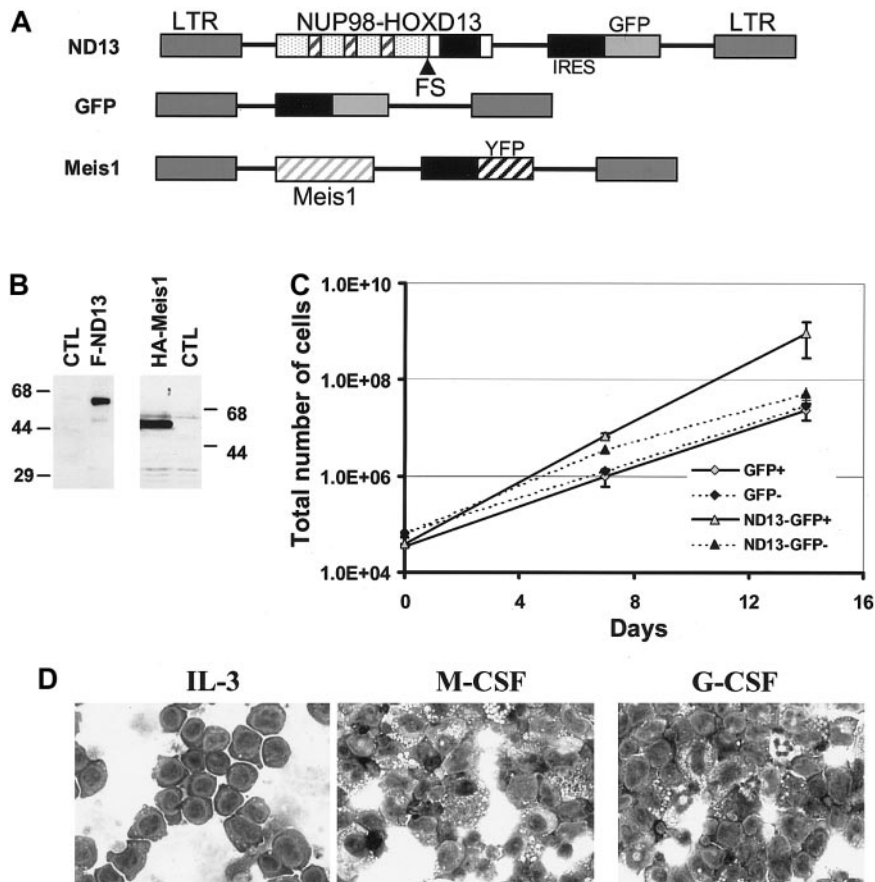
### ND13 enhances growth of early hematopoietic progenitor cells in vitro

To study the direct impact of the *ND13* on hematopoietic differentiation and proliferation, we introduced the fusion gene into primary murine BM cells by retroviral gene transfer and assessed various growth properties in vitro in comparison to nontransduced or control GFP transduced BM cells.

In liquid cultures containing IL-3, IL-6, and SF, the proportion of GFP<sup>+</sup> cells in the control culture remained constant at input levels (approximately 42.5%) over 2 weeks. In contrast, in cultures initiated with cells infected with the *ND13* virus, the proportion of transduced *ND13*-GFP-expressing cells expanded from input levels of 35% to  $94.5\% \pm 1.9\%$  after 2 weeks. This competitive outgrowth of *ND13*-GFP<sup>+</sup>-transduced cells was further evidenced by their 40-fold greater increase in total cell number compared with GFP<sup>+</sup> control cells (Figure 1C; total net fold expansion of  $2.5 \times 10^4$ ;  $P < .003$ ). Moreover, IL-3-dependent cell lines were readily established from expansion cultures of *ND13*-transduced cells that showed blast morphology on Wright-Giemsa-stained cytospin preparations. Interestingly, these cell lines retained limited capacity to undergo macrophage differentiation after stimulation with M-CSF, but most cells failed to differentiate into granulocytes following G-CSF stimulation (Figure 1D).

The growth-promoting effect of *ND13* was further seen in clonogenic progenitor assays in methylcellulose culture. Although





**Figure 1. ND13 expression in BM confers a growth advantage to transduced BM cells.** (A) Retroviral vectors used to express ND13 and Meis1 in murine BM. The FG repeats of the *NUP98* gene and the homeodomain of *HOXD13* are illustrated as hatched boxes and black boxes, respectively. (B) Western blot analysis of nuclear extracts from 293T cells transfected with FLAG-ND13 and HA-tagged Meis1 as detected with an anti-FLAG and an anti-HA monoclonal antibody, respectively. Molecular mass markers are indicated. (C) Cell expansion of transduced (GFP<sup>+</sup>) and nontransduced (GFP<sup>-</sup>) cells in cultures initiated with BM infected with GFP control or ND13 virus (mean ± SD). Results of a representative experiment (n = 3) are shown; each experiment was performed in triplicate cultures. (D) Wright-Giemsa (original magnification, ×400) staining of a representative ND13-transduced, IL-3-dependent cell line that underwent monocytic differentiation with M-CSF stimulation but remained predominantly blastic without granulocytic differentiation with G-CSF stimulation. ND13 indicates NUP98-HOXD13; FS, fusion site; LTR, long terminal repeat; and CTL, control.

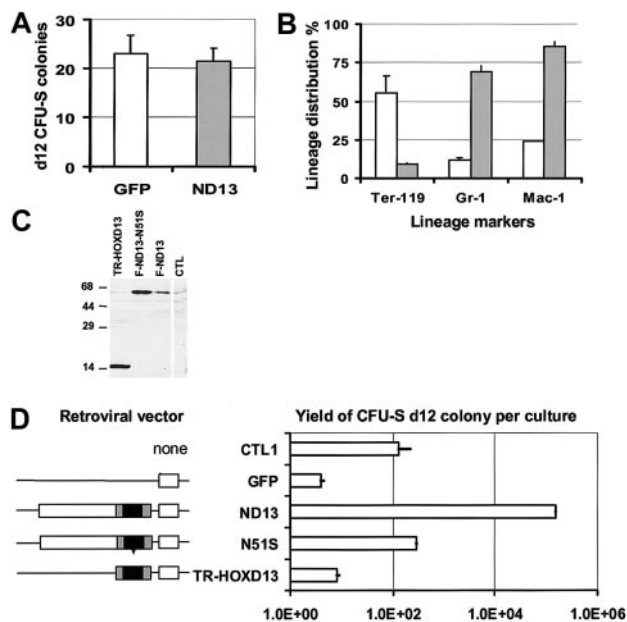
the frequency of clonogenic progenitors detected in FACS-purified GFP<sup>+</sup> cells was essentially identical for control and ND13 cells (data not shown, n = 7), ND13-transduced progenitors formed much larger granulo-monocytic (GM) colonies (an average of  $6.4 \times 10^4 \pm 1.9 \times 10^4$  cells per ND13 colony vs  $3.8 \times 10^3 \pm 1.8 \times 10^3$  per control GFP colony). Moreover, ND13 GM progenitors cells were capable of forming equivalent large secondary colonies in elevated numbers on serial replatings (4 or more), whereas GFP<sup>+</sup> control cells produced only mast cell colonies after one replating.

#### Growth-promoting effect of ND13 is dependent on an intact HOXD13 homeodomain and the NUP98 portion

To investigate further the effect of ND13 on more primitive hematopoietic cells, we used the colony-forming spleen assay (CFU-S). ND13-GFP<sup>+</sup> and control GFP<sup>+</sup>-transduced BM cells isolated by FACS were injected into lethally irradiated mice 48 hours after infection. Although the d12 CFU-S frequency was comparable between the control GFP and ND13-transduced cells (Figure 2A), the colonies arising from ND13-transduced cells were significantly larger. Moreover, closer investigation of the ND13 d12 CFU-S progeny cells indicated a marked reduction in the proportion of Ter-119<sup>+</sup> erythroid cells ( $9\% \pm 1\%$  vs  $56\% \pm 11\%$  for controls) and a concomitant increase in the proportion of Gr-1<sup>+</sup> myeloid cells ( $70\% \pm 12\%$  versus  $12\% \pm 2\%$  for controls) (Figure 2B). The impact of the *ND13* fusion gene on primitive hematopoietic progenitor cells was further evident following 1 week of liquid culture, which led to more than a 1300-fold increase in the yield of d12 CFU-S compared with untransduced BM cells or more than 5000-fold when compared with GFP<sup>+</sup> control transduced cells (Figure 2D).

In an effort to functionally characterize the contribution of the HOXD13 and NUP98 portion to the hematopoietic effects of the *ND13* fusion gene, 2 mutants were designed. The first mutant consisted of a truncated version of the fusion gene, which deleted the entire *NUP98* portion (TR-HOXD13). In the second case, a dead-homeodomain ND13 mutant was created in which the highly conserved asparagine residue at position 51 in the homeodomain of HOXD13 was changed to serine (N51S-ND13). This mutation has been shown to eliminate the DNA-binding activity of various homeobox genes.<sup>38,39</sup> Western blot analysis of 293T transfected cells confirmed that all mutant proteins were expressed (Figure 2C). The various mutants were directly tested in BM cells using the d12 CFU-S assay after 7 days of liquid culture and the growth competition assay in liquid culture.

In contrast to the marked increase in d12 CFU-S output seen in culture of ND13-transduced cells, the TR-HOXD13 and the ND13-N51S mutants yielded CFU-S numbers equivalent to those observed with the nontransduced or GFP-transduced controls (Figure 2D). Consistent with this loss of proliferative effect, none of the mutants conferred a proliferative advantage on transduced cells, as tested in liquid expansion competition culture or by colony replating (data not shown). In agreement with these results, a third mutant, consisting of the NUP98 portion only, also failed to reproduce any of the ND13 effects (data not shown). Collectively, these results demonstrate that the hematopoietic stimulatory activity of the chimeric protein is derived from both the NUP98 and the HOXD13 portion. Furthermore, the requirement of an intact HOXD13 homeodomain for functional activity indicates a potential role of the fusion gene as an aberrant transcription factor, likely involving HOX-dependent pathways.

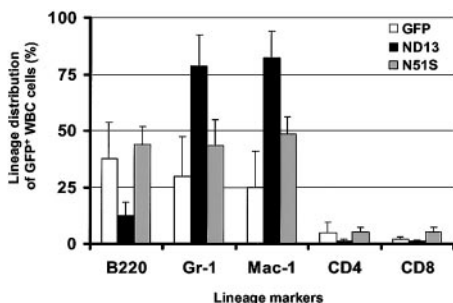


**Figure 2. Fusion gene ND13 perturbs the differentiation and proliferative potential of primitive myeloid progenitors, and its activity is dependent on the HOXD13 homeodomain and NUP98 portion.** (A) Total number of d12 CFU-S derived from 5000 FACS-purified control GFP or ND13-transduced injected BM cells (mean ± SD, n = 2). (B) Lineage distribution of GFP<sup>+</sup> d12 CFU-S-derived transduced cells. 2 × 10<sup>4</sup> cells recovered after infection were injected without selection into irradiated mice, and single spleen cell suspensions (2 spleens/construct) were analyzed by FACS 12 days later for GFP and lineage-specific marker expression (n = 2; representative experiment shown). □ indicates GFP; ▒, ND13. (C) Expression of FLAG-tagged ND13 wild-type and mutant constructs in nuclear extracts of transfected 293T cells detected by Western blot using an anti-FLAG monoclonal antibody. (D) Total number of d12 CFU-S colonies derived per culture initiated with 1 × 10<sup>6</sup> cells transduced with the GFP, ND13, and mutant viruses after 1 week in liquid culture. Yields for TR-HOXD13 and ND13-N51S were significantly different from those for ND13 (P < .03) (mean ± SD; n = 3 for all except TR-HOXD13 [n = 2]).

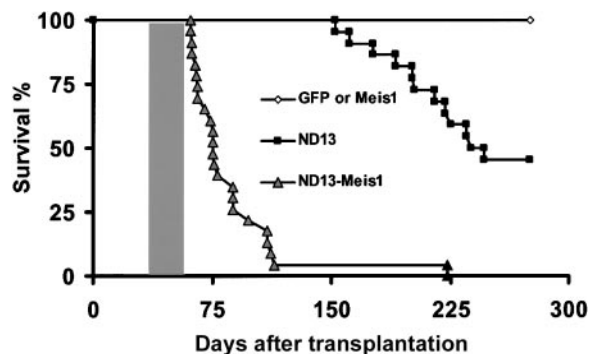
**Expression of ND13 perturbs myeloid and lymphoid development in vivo and induces myeloproliferative diseases**

To assess the long-term effect of ND13 on hematopoiesis, we exploited the murine BM transplantation model. BM cells were harvested 48 hours following infection with ND13 or control GFP virus and then transplanted with or without FACS selection for transduced (GFP<sup>+</sup>) cells into lethally irradiated recipients (Table 1).

Remarkably, in view of the growth-promoting effect of ND13 seen in vitro, analysis of the peripheral blood 10 weeks after transplantation revealed a lower level of engraftment with trans-



**Figure 3. Expression of ND13 in vivo leads to impaired lymphopoiesis.** Lineage distribution of transduced (GFP<sup>+</sup>) WBCs in recipient reconstituted with GFP<sup>-</sup>, ND13<sup>-</sup>, and ND13-N51S-transduced BM cells 4 weeks after transplantation (mean ± SD for 3-5 animals).



**Figure 4. Survival curves.** Survival curves are given for mice that received transplants of BM cells transduced with ND13 (n = 23), GFP control (n = 8), Meis1 (n = 6), or ND13 plus Meis1 (n = 21) viruses. Mice that received transplants of ND13-N51S (n = 6) and ND13-N51S-Meis1-transduced BM cells (n = 3) remained healthy (data not shown). Shaded box indicates the range of survival time for secondary transplant recipients of cells recovered from leukemic ND13-Meis1 primary mice. Two diseased ND13 mice that died 398 and 410 days after transplantation are not represented on this survival curve.

duced GFP<sup>+</sup> cells in ND13 recipient mice (mean, 40.9% GFP<sup>+</sup> white blood cells (WBCs); range, 22%-60%) compared with control GFP mice (mean, 55.5% GFP<sup>+</sup> WBCs; range, 44%-66%) and a significant decrease in WBC number (3.7 ± 0.7 × 10<sup>6</sup>/mL for ND13 vs 6.7 ± 1.1 × 10<sup>6</sup> for GFP [8 mice/arm; P < .0003]). Flow cytometry analysis indicated that the reduction of WBCs in ND13 recipients was the result of a substantial decrease in the level of B220<sup>+</sup>GFP<sup>+</sup> B-lymphocytes and of CD4/CD8<sup>+</sup>GFP<sup>+</sup> T cells (Figure 3). Most GFP<sup>+</sup> WBCs in ND13 mice was myeloid, as evidenced by the high proportion of Gr-1<sup>+</sup> and Mac-1<sup>+</sup> cells. This impairment in lymphopoiesis by ND13-transduced cells was evident as early as 4 weeks after transplantation and was not seen in mice that received transplants of the homeodomain mutant (*ND13-N51S*) version of the fusion gene (Figure 3).

The impact of the ND13 fusion gene on lymphoid development was underlined by analyses of BM aspirates obtained from mice 8 weeks after transplantation. Quantification of IL-7-sensitive clonogenic B-cell precursors in the BM aspirates using the pre-B-cell colony assay showed a 10-fold reduction in ND13 transplanted mice compared with control transplanted mice (6.7 ± 0.7 vs 70 ± 1 per 1 × 10<sup>6</sup> BM cells, respectively [2 mice/arm]). In contrast, an ND13 growth-promoting of 16-fold effect was evident for myeloid cells in ex vivo liquid culture supplemented with IL-3 after 14 days, and it induced the outgrowth of a monoblastic cell population with partial differentiation into macrophages after 3 weeks.

One ND13 mouse was dead 152 days after transplantation with splenomegaly (0.66 g vs 0.10 g for CTL), and stable ND13 provirus integration was confirmed. Further analysis of the remaining cohort at 22 weeks revealed 3 of 23 ND13 recipients with signs of myeloproliferation, as evidenced by elevated WBC counts (range, 20-90 × 10<sup>6</sup>/mL). Two of those mice developed anemia (2.7-3.2 × 10<sup>9</sup>/mL), and 1 was killed for additional analysis; it had an enlarged spleen (0.28 g), elevated WBC count (70 × 10<sup>6</sup>/mL), and BM cells that stained positive for Gr-1 and Mac-1 (82% and 98% of GFP<sup>+</sup> cells in BM, respectively). The accumulation of mature neutrophils in the PB, BM, and spleen confirmed the myeloproliferative disease. By 250 days after transplantation, 7 of 23 ND13 recipients had died (Figure 4), and 5 additional diseased primary recipients were killed and analyzed. These mice had anemia (3.2 ± 1.1 × 10<sup>9</sup>/mL), splenomegaly (0.15-0.31 g), and, with one exception, normal or inferior WBC levels (mean, 7.9 ± 6.1 × 10<sup>6</sup>

**Table 2. Absolute numbers of ND13, Meis1, and ND13-Meis1 cells transplanted per ND13-Meis1 mouse**

Experiment	No. BM cells transplanted				No. transplants	Median survival, d
	ND13	Meis1	ND13-Meis1	Untransduced		
3*	$2.3 \times 10^4$	$2.15 \times 10^4$	$3 \times 10^3$	$9.5 \times 10^5$	5	110
4	< 2000	< 2000	$1 \times 10^5$	$2.5 \times 10^4$	4	66
4†	NA	NA	NA	< 2000	4	88
5	< 2000	< 2000	$1 \times 10^5$	$2.5 \times 10^4$	3	62
6	< 2000	< 2000	$1 \times 10^5$	$2.6 \times 10^4$	3	76
6*	$2 \times 10^5$	$1.1 \times 10^5$	$1 \times 10^5$	$5.9 \times 10^4$	4	72

\*Unsorted BM cells injected

†Each mice received  $1.5 \times 10^5$  cells sorted for GFP or YFP expression (inoculum consisted of ND13, Meis1, and ND13-Meis1 cells).

WBC/mL). Flow cytometry confirmed the myeloid nature of the ND13 BM cells ( $62\% \pm 25\%$  and  $67\% \pm 27\%$  of GFP<sup>+</sup> cells for GR-1 and Mac-1, respectively). Morphologic examination of the marrow of ND13 mice revealed no significant increase in the proportion of blasts cells ( $7\% \pm 4.5\%$  vs  $6.2\% \pm 0.5\%$  for control BM) and increased levels of myeloid cells in their spleens (50%-100%). Histopathologic analysis of 4 diseased ND13 mice failed to reveal any significant perivascular infiltration in the kidney, lung, and liver (with the exception of 1 for the latter). These data underline that ND13 as a single factor induces significant perturbations of the proliferation and differentiation program of hematopoietic progenitor cells in vivo. Over time, the fusion gene can cause a myeloproliferative syndrome.

#### ND13 in collaboration with Meis1 induces fatal acute myeloid leukemia in vivo

Because the expression of ND13 alone was not associated with AML over the observation time extending up to 13 months, we were interested in assessing whether ND13 would be leukemogenic on the coengineered overexpression of *Meis1*, a nonclustered homeobox gene previously implicated in HOX-associated leukemogenesis.<sup>14,40,41</sup> Lethally irradiated mice received transplanted BM cells infected singly with a Meis1-YFP virus (40%-60% YFP<sup>+</sup> [n = 7]) or with enriched (80% GFP/YFP<sup>+</sup> [n = 10]) or nonpurified (0.3%-10% GFP/YFP<sup>+</sup> [n = 9]) BM cells coinfecting with the ND13 and the Meis1 viruses (Table 2). In striking contrast to the ND13 and Meis1 mice, all mice that received transplanted BM cells cotransduced with ND13 and Meis1 rapidly fell ill. Their

conditions quickly deteriorated, and they became moribund with a median latency of 75 days, as evidenced by significant weight loss, shortness of breath, and lethargy. They were killed for further analysis (Figure 4; Table 2).

Diseased ND13-Meis1 mice were characterized by extremely elevated peripheral nucleated cell counts (range,  $100\text{-}575 \times 10^6/\text{mL}$ ), severe anemia, and splenomegaly (Table 3). Proviral integration of ND13 and Meis1 was confirmed by Southern blot analysis of leukemic marrow (data not shown) and coexpression of the IRES-linked reporter genes GFP and YFP, respectively, as shown by flow cytometry (Figure 5A). Detailed hematologic analyses of the leukemic mice revealed a high percentage of blasts in the BM ( $65.0\% \pm 11.4\%$ ), peripheral blood ( $45.7\% \pm 13.7\%$ ), and spleen ( $47.2\% \pm 8.0\%$ ), confirming the diagnosis of AML (Table 3; Figure 5B). Peripheral blood and BM blast cells were negative for N-acetyl-chloroacetate esterase staining, consistent with a primitive myeloblastic rather than a myelomonocytic phenotype.

The myeloblastic characteristic of the disease was further revealed by immunophenotyping (Figure 6), which showed the expression of Mac-1 and Gr-1 on most of the transduced cells ( $86.6 \pm 8.9\%$  and  $74.0 \pm 7.6\%$ , respectively ( $\pm$  SEM; 10 mice analyzed from 4 experiments) in the BM. The same phenotype was also observed for circulating WBCs (Figure 6) and those isolated from the spleen (not shown). Histopathologic analysis of the diseased mice showed massive cell infiltration in the spleen with an effacement of its normal germinal center architecture (Figure 7A). This cell infiltration extended to other organs with prominent

**Table 3. Hematologic characteristics of diseased mice given transplants of ND13-Meis1-cotransduced BM cells**

Primary mouse	Disease onset, d	Peripheral blood		Blast, %		Spleen Weight, g
		WBC, $\times 10^9/\text{mL}$	RBC, $\times 10^9/\text{mL}$	PB	BM	
Experiment 3						
ND13-Meis1 no. 1*	112	385	2.05	ND	ND	0.39
Experiment 4						
ND13-Meis1 no. 2	98	210	1.20	ND	ND	0.58
ND13-Meis1 no. 3	110	100	0.85	36.0	49.3	0.31
ND13-Meis1 no. 4†	88	107	3.05	63.0	80.3	0.41
ND13-Meis1 no. 5†	88	151	10.0	ND	ND	0.37
Experiment 5						
ND13-Meis1 no. 6	65	573	3.65	39.0	62.5	0.51
ND13-Meis1 no. 7	61	100	1.3	ND	ND	0.34
Experiment 6						
ND13-Meis1 no. 8†	64	352	NA	NA	NA	NA
ND13-Meis1 no. 9†	74	245	0.9	52.1	66.1	0.43
ND13-Meis1 no. 10	76	150	1.7	27.4	57.0	0.35

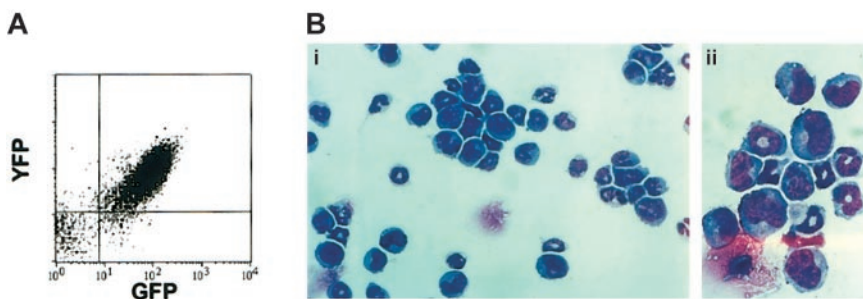
ND indicates not determined.

\*Unsorted BM cells injected.

†Received a mix of ND13, Meis1, and ND13-Meis1 BM cells.



**Figure 5. Diseased mice that received transplanted BM cotransduced with ND13 and *Meis1* died of AML.** (A) Analysis of YFP and GFP expression in leukemic marrow cells. (B) Wright-Giemsa staining of ND13-*Meis1* BM cells. Original magnification,  $\times 32$  (i);  $\times 80$  (ii).



perivascular infiltrations in the liver, kidneys (Figure 7B-C), and, to a lesser extent, in the lungs.

The strong oncogenic collaboration between these 2 genes was corroborated by the fact that all recipients ( $n = 9$ ) of transplants with a nonpurified transduced BM cell mix, initially containing a low (0.3%-10.0%) proportion of doubly transduced cells, also developed leukemia. As few as 3000 doubly infected BM cells (out of an inoculum of  $1 \times 10^6$  cells) were found sufficient to induce leukemia in all mice that received transplants. Finally, all 4 mice that received transplants with a mixture of ND13, *Meis1*, and ND13-*Meis1* transduced cells (GFP<sup>+</sup>, YFP<sup>+</sup>, or both sorted cells) (experiment 4) also rapidly succumbed to leukemia originating exclusively in the GFP<sup>+</sup>/YFP<sup>+</sup> doubly transduced cells, thus demonstrating the leukemogenic potential of only ND13-*Meis1* cotransduced cells.

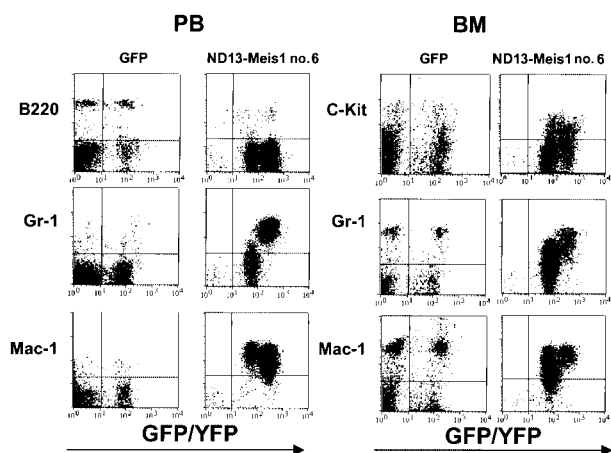
ND13-*Meis1*-induced acute leukemia was transplantable, and all secondary irradiated recipients that received  $1.5 \times 10^6$  BM cells (one third normal and two thirds leukemic cells) developed aggressive fatal acute myeloblastic leukemia, with a disease-onset median of 29 days (Figure 4; 6 primary animals tested [3 secondary transplants per primary mouse]). As previously observed, the diseased transplants were characterized by elevated levels of WBCs ( $70\text{-}469 \times 10^6$  WBC/mL), anemia ( $1.5\text{-}4.6 \times 10^9$  RBC/mL), and splenomegaly (0.2-0.5 g).

**Expression of *Hoxa7* and *Hoxa9* but not *Meis1* is up-regulated in NUP98-HOXD13 BM cells**

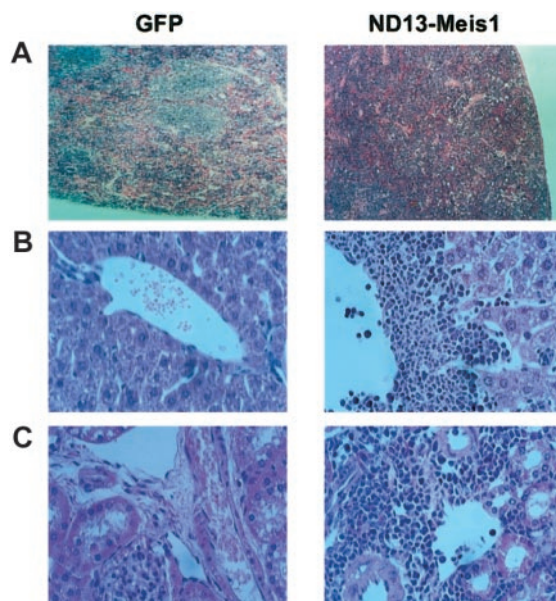
Given the strong synergy between *Meis1* and ND13 to induce AML, we asked whether BM cells from mice that became sick after the transplantation of ND13-transduced cells had increased expression of the endogenous *Meis1* gene. Semiquantitative RT-PCR

showed no increase in the level of endogenous *Meis1* transcripts in diseased ND13 mice compared with healthy mice or in ND13 versus GFP control transduced cells placed in extended liquid culture (LC) and analyzed after 28 days (Figure 8A-B). In contrast, BM from diseased ND13-*Meis1* mice or doubly transduced cells recovered after extended liquid culture had highly elevated total *Meis1* transcripts (proviral derived plus endogenous; Figure 8A) compared with levels in normal BM (more than 60-fold higher) or GFP control-transduced cells in liquid culture (more than 5-fold), respectively. This increase was clearly the result of retrovirally driven *Meis1* expression because expression of the endogenous *Meis1* gene (Figure 8B) was reduced in doubly transduced cells compared with controls, suggesting a possible negative autoregulatory mechanism of regulation for *Meis1* expression.

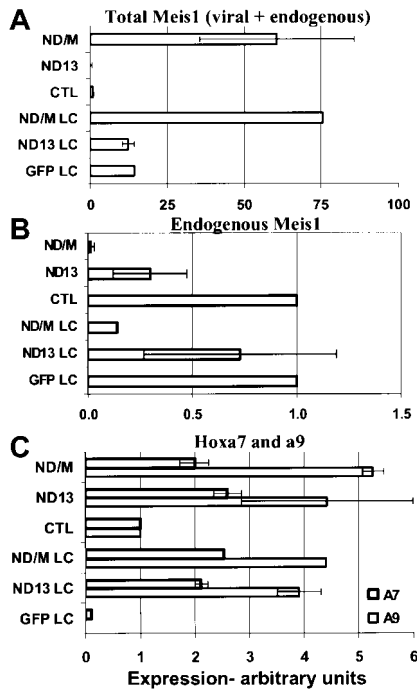
Given that *Hoxa7* and *Hoxa9* have been implicated previously in leukemia with or without *Meis1*<sup>14,25,42</sup> and that expression of the normal *HOXD13* allele has been reported in a patient with ND13 leukemia,<sup>21</sup> we also investigated whether the expression of these *Hox* genes would be increased in sick mice or ND13 and ND13-*Meis1* cell lines. Expression of *Hoxa7* and *Hoxa9* was elevated in sick ND13 and ND13-*Meis1* mice and in ND13 and ND13-*Meis1*-transduced cell lines compared with low levels observed for control whole marrow or GFP control-transduced cell lines (Figure 8C). Endogenous *Hoxd13* expression was not detected in any samples (more than 40 amplification cycles; data not shown). Whether the observed elevations in *Hoxa7* and *Hoxa9*



**Figure 6. Immunophenotyping of leukemic cells derived from an ND13-*Meis1* mouse.** Shown are flow cytometric profiles for peripheral blood and BM of a GFP control and a representative ND13-*Meis1* leukemic mouse.



**Figure 7. Diseased ND13-*Meis1* mice are characterized by massive perivascular infiltration of leukemic myeloblastic cells.** (A) Histologic analysis of spleen (original magnification,  $\times 10$ ). (B) Histologic analysis of liver (original magnification,  $\times 32$ ). (C) Histologic analysis of kidneys (original magnification,  $\times 32$ ).



**Figure 8. Expression of *Meis1*, *Hoxa7*, and *Hoxa9* in BM cells from sick ND13 and ND13-*Meis1* mice.** Expression of *Meis1* transcripts (A), endogenous *Meis1* (B), and *Hoxa7* and *Hoxa9* (C) in BM cells harvested from sick mice or transduced cells grown in LC. Mean expression shown for ND13 (n = 5), ND13-*Meis1* (n = 5), sick mice, and control mouse (n = 1). LC samples consisted of transduced BM cells isolated by FACS and cultured for more than 28 days in 15% FBS, IL-3, hIL-6, and mSF (n = 1 for GFP<sup>+</sup> control and ND13-*Meis1* [ND/M] and n = 2 for ND13). For semiquantitative RT-PCR, all expression was normalized to GAPDH and then relative to control marrow, which was set at an arbitrary value of 1, except for the expression of endogenous *Meis1* in the LC samples, which is given relative to GFP<sup>+</sup> control. See "Materials and methods" for details. Endogenous *Meis1*-specific primers were designed not to amplify retrovirally driven *Meis1* transcript. Mean  $\pm$  SD shown. Similar analysis carried out for *Hoxd13* was negative for expression (data not shown).

expression are causally linked to the transformation process or simply reflect the differentiation block induced by the fusion gene remains unclear.<sup>43</sup> Consistent with the latter interpretation, ND13-transduced BM cells in extended liquid culture were poorly differentiated (small cytoplasm) and formed large colonies in methylcellulose with a high plating efficiency (approximately 1 of 15), whereas control GFP cultures had a differentiated phenotype (larger cytoplasm, increased granules) and only formed small colonies in methylcellulose with a low plating efficiency (approximately 1 of 50).

## Discussion

Chromosomal rearrangements involving the nucleoporin gene *NUP98* on chromosome 11p15 have defined a novel group of t-MDS/AML and de novo AML.<sup>7-9,12,44</sup> *ND13* t(2;11), however, describes a novel class of *HOX* gene-associated leukemias, linking de novo expression of a nonhematopoietic clustered *HOX* gene, normally involved in embryogenesis, to human leukemogenesis. We now have directly demonstrated that ND13 itself induces severe perturbations of the normal proliferation and differentiation program of early hematopoietic progenitor cells. We also demonstrated that *ND13* can rapidly induce acute myeloblastic leukemia when coexpressed with the TALE homeobox gene *Meis1*. Furthermore, our data emphasize the key role of the *HOXD13* portion of the fusion gene for its hematopoietic activity.

The direct involvement of *HOXD13* in human leukemia is provocative given that *HOXD* cluster genes are not normally expressed in hematopoietic cells and that little is known about the involvement of the 13-paralog group in the regulation of hematopoiesis. Remarkably then, many of the in vitro and in vivo hematopoietic effects induced by ND13 expression are highly reminiscent of the effects of retrovirally overexpressed hematopoietic *HOX* genes.<sup>3</sup> Thus, for example, the dramatic growth stimulation on primitive CFU-S myeloid progenitors in liquid culture induced by ND13 mimics that seen for *HOXA10*.<sup>15</sup> Similarly, the inhibitory effect of ND13 on lymphoid growth in vivo is reminiscent of that seen following the overexpression of *HOXB3* or *HOXA10*, each of which can induce AML after a long latency period.<sup>15,36</sup> The striking similarities induced by these 2 *HOX* proteins from 2 different clusters and that of the chimeric *HOXD13* proteins suggest a high level of functional redundancy among *HOX* proteins in hematopoiesis, as previously observed in embryogenesis.<sup>45,46</sup>

Our results, coupled with observations of other groups, underline that the *NUP98* portion plays a major role in the transforming potential of the *NUP98-HOX* fusion genes. Although juxtaposition of the *HOXD13* second exon next to *NUP98* following t(2;11) leads to the aberrant expression of the *HOXD13* homeodomain, this is clearly not the sole function of the *NUP98* portion because expression of this short truncated *HOXD13* portion alone did not cause any significant hematopoietic perturbations. The activity of ND13 is also strictly dependent on the *HOXD13* portion and, more precisely, on its DNA-binding homeodomain. Our findings are consistent with the structure-function analysis of *NUP98-HOXA9* done by Kasper et al,<sup>47</sup> who showed that the ability of *NUP98-HOXA9* to transform fibroblasts is dependent on the *NUP98* portion, especially of its FG repeats. The latter, found conserved in all *NUP98-HOX* fusion genes, may promote transcription by mediating the recruitment of CBP and p300,<sup>47</sup> 2 transcriptional coactivators of a variety of transcription factors including *HOX* proteins.<sup>24,48</sup> Together, these data support a model in which the ND13 chimeric protein forms an aberrant *HOX* DNA-binding transcription factor molecule, in which the *HOXD13* and *NUP98* portions provide the DNA binding motif and transcriptional activation domain, respectively.

The long latency time observed before the onset of hematologic disease in the ND13 recipient suggests that additional mutation(s) are required for the development of frank leukemia. Our data reveal that the TALE homeobox gene *Meis1* is a strong potential collaborative gene in ND13-associated leukemia, converting ND13-induced nonleukemic alterations in growth and differentiation of early progenitor cells to frank aggressive myeloblastic leukemia. Most mice (more than 66%) receiving ND13-*Meis1*-cotransduced BM cells developed AML within 75 days, suggesting that the synergy between both genes, without further mutation, may be sufficient for full oncogenicity.

*HOXD13*, as an *AbdB-like HOX* gene, can directly interact with *Meis1*, forming heterodimeric DNA-binding complexes.<sup>38,49,50</sup> However, the mode of synergy between *Meis1* and the *ND13* fusion gene is unclear. Two models are conceivable: (1) both proteins may physically interact together and deregulate *HOX*-dependent target gene expression, or (2) they 2 act on independent pathways that combine to induce AML. Several observations argue in favor of the second model. First, the only *Meis1*-interacting site mapped on a *HOX* protein (*HOXA9*) was located to the first N-terminal 61 aa.<sup>50</sup> Consequently, ND13 would also lack the putative *Meis1*-interacting motif, and, in agreement with this, we have been unable to successfully coimmunoprecipitate ND13 and *Meis1*



proteins from nuclear extracts (N.P., unpublished results, 2002). The second model is further supported by the collaboration of *HOXB3* and *Meis1* in inducing leukemia given that *HOXB3*, as a member of the 3'-located *Antp-like HOX* genes, lacks a *Meis1*-interacting site.<sup>27</sup>

The genetic collaboration between *NUP98-HOX* and several *HOX* genes with *Meis1* in various murine models has identified *Meis1* rather than *Pbx1* as a strong oncogenic collaborating gene.<sup>14,27,28,51</sup> This conclusion is supported by several independent expression studies that showed perturbed *Meis1* expression patterns in human AML BM cells,<sup>52</sup> the coexpression of *Meis1* with *HOXA9* and *HOXA7* in AML and ALL samples, or both.<sup>40,41,53</sup> Calvo et al<sup>54</sup> recently suggested that the contribution of *Meis1* to transformation might be to block G-CSF-induced differentiation and to promote SF-induced proliferation of HOX-transformed BM cells. As observed with NUP98-HOXA9,<sup>42</sup> ND13 alone was

sufficient to promote the survival and limited growth of transduced BM in media supplied with SF only. However, under the same conditions, ND13-*Meis1*-cotransduced BM cells achieved higher cell numbers (more than a 2-fold increase after 6 days compared with ND13 cells). Intriguingly, the functional cooperation observed between ND13 and *Meis1* is more dramatic than that reported for NUP98-HOXA9, with a marked acceleration in rate of disease onset and a change in the nature of the disease, findings that more clearly parallel the interactions reported between HOXA9 and *Meis1*. These findings further highlight *HOX* gene-specific effects that likely reflect differential target genes.

This study now provides a murine model of NUP98-HOXD13-associated leukemogenesis. This model underlines the importance of HOX-dependent downstream pathways for NUP98-associated AML and will facilitate further elucidation of the molecular pathogenesis of this rapidly growing family of human leukemias.

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